

# INTEGRAL EXTENSIONS

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## 1. INTRODUCTION

## 2. PRELIMINARY RESULTS

Discussion of integral extensions. We follow closely Samuel, Algebraic Theory of Numbers, section 2.1.

Let  $R$  be a subring of a ring  $S$ .

**Definition 2.1.** An element  $\alpha \in S$  is called integral over  $R$  if there exists a monic polynomial  $p(x) \in R[x]$  such that  $p(\alpha) = 0$ .

For  $\alpha_1, \dots, \alpha_n$  as above,  $R[\alpha_1, \dots, \alpha_n]$  is by definition the subring of  $S$  generated by  $R$  and  $\{\alpha_1, \dots, \alpha_n\}$ . It is not difficult to check that  $R[\alpha] = \{\sum r_i \alpha^i : r_i \in R\}$ .

**Lemma 2.2.** *Let  $R \subset S$  be as above and let  $N$  be a  $S$ -module. If  $S$  is a finitely generated  $R$ -module, and  $N$  is a finitely generated  $S$ -module, then  $N$  is a finitely generated  $R$ -module.*

The proof of this last assertion is quite easy.

**Theorem 2.3.** *Let  $\alpha \in S$  with  $R \subset S$  as above. The following are equivalent:*

- (i)  $\alpha$  is integral over  $R$ .
- (ii)  $R[\alpha]$  is a finitely generated  $R$ -module.
- (iii) There exists a subring  $T$  of  $S$  such that  $R[\alpha] \subset T$  and  $T$  is a finitely generated  $R$ -module.

We proved this in class. It is Prop 23 in 15.3 of Dummit and Foote.

**Proposition 2.4.** *Let  $R \subset S$  be as above. Let  $\alpha_1, \dots, \alpha_n$  be elements of  $S$  such that if we let  $R_i := R[\alpha_1, \dots, \alpha_i]$ , then  $\alpha_{i+1}$  is integral over  $R_i$ . Then  $R[\alpha_1, \dots, \alpha_n]$  is a finitely generated  $R$ -module and is integral over  $R$ .*

*Proof.* We prove the assertion by induction on  $k$ , and note the assertion is trivial for  $R_0 := R$ . Since  $\alpha_{k+1}$  is integral over  $R_k$ , then by Theorem 2.3,  $R_{k+1} = R_k[\alpha_{k+1}]$  is a finitely generated  $R_k$ -module. By induction,  $R_k$  is a finitely generated  $R$ -module, so by Lemma 2.2,  $R_{k+1}$  is a finitely generated  $R$ -module. This proves that  $R[\alpha_1, \dots, \alpha_n]$

is a finitely generated  $R$ -module. For the last assertion, let  $\gamma \in R[\alpha_1, \dots, \alpha_n]$ . Then  $\gamma$  is integral over  $R$  by (iii) implies (i) of Theorem 2.3.  $\square$

**Corollary 2.5.** *For  $\alpha_1, \dots, \alpha_n \in S$  which are each integral over  $R$ , then  $R[\alpha_1, \dots, \alpha_n]$  is integral over  $R$ , and is a finitely generated  $R$ -module.*

*Proof.* The assertion is an easy special case of the previous proposition.  $\square$

**Corollary 2.6.** *Let  $R \subset S$  be as above, and let  $\overline{R}$  be the set of elements of  $S$  which are integral over  $R$ . Then  $\overline{R}$  is a subring of  $S$ .*

*Proof.* For  $\alpha, \beta \in \overline{R}$ , then by Proposition 2.4,  $R[\alpha, \beta]$  is integral over  $R$ . Hence,  $\alpha - \beta$  and  $\alpha\beta$  are integral over  $R$ .  $\square$

**Proposition 2.7.** *Let  $R, S$ , and  $T$  be rings, with  $R$  a subring of  $S$ , and  $S$  a subring of  $T$ . If  $S$  is integral over  $R$  and  $T$  is integral over  $S$ , then  $T$  is integral over  $R$ .*

*Proof.* Let  $\alpha \in T$ . Since  $T$  is integral over  $S$ , there exists  $p(x) = s_0 + s_1x + \dots + s_{n-1}x^{n-1} + x^n \in S[x]$  such that  $p(\alpha) = 0$ . It follows that  $\alpha$  is integral over  $R' := R[s_0, \dots, s_{n-1}]$ . By Corollary 2.5, the ring  $R'$  is finitely generated as a  $R$ -module. By Proposition 2.4,  $R'[\alpha]$  is finitely generated over  $R'$ . Hence by lemma 2.2, it follows that  $R'[\alpha]$  is a finitely generated  $R$ -module. Hence by (iii) implies (i) of Theorem 2.3, it follows that  $\alpha$  is integral over  $R$ . This proves the assertion.  $\square$

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