## Membership tests for images of algebraic sets by linear projections

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#### Abstract

Given a witness set for an irreducible variety V and a linear map  $\pi$ , we describe membership tests for both the constructible algebraic set  $\pi(V)$  and the algebraic set  $\overline{\pi(V)}$ . We also provide applications and examples of these new tests including computing the codimension one components of  $\overline{\overline{\pi(V)} \setminus \pi(V)}$ . Additionally, we also describe computing the geometric genus of a curve section of an irreducible component of the solution set of a polynomial system and a test for deciding whether a plane quartic curve is a Lüroth quartic.

**Keywords**. Numerical algebraic geometry, polynomial system, algebraic sets, witness sets, projections, membership test, numerical irreducible decomposition, geometric genus, Lüroth quartic

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## Introduction

Given a polynomial system  $f : \mathbb{C}^N \to \mathbb{C}^n$ , an  $\ell$ -dimensional irreducible component  $V \subset f^{-1}(0)$ , and a linear map  $\pi : \mathbb{C}^N \to \mathbb{C}^K$ , a "witness set" for  $\overline{\pi(V)}$  was constructed in [7] from a witness set for V, hereafter called a *pseudo-witness set* for  $\overline{\pi(V)}$ . This approach reduces computations on  $\overline{\pi(V)}$  to computations on V without using elimination theory to construct a polynomial system g such that  $\overline{\pi(V)}$  is an irreducible component of  $g^{-1}(0)$ .

The main results of this article, presented in §2, are algorithms for performing a numerical membership test for both  $\pi(V)$  and  $\overline{\pi(V)}$ .

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Chevalley's Theorem [4] states that the image of a constructible set, e.g.,  $\pi(V)$ , is a constructible set<sup>1</sup>. Effective symbolic methods for performing computations with constructible sets are discussed in [5, 19].

In §3, we use these membership tests to compute a numerical decomposition of the irreducible components of  $\overline{\overline{\pi(V)}} \setminus \pi(V)$  of codimension one in  $\overline{\pi(V)}$  and use this to develop an approach for computing the geometric genus of a generic curve section of  $\overline{\pi(V)}$ .

The necessary background material is presented in §1 which also codifies the properties of our substitute for witness sets into the notion of a pseudo-witness set.

In §4, we present examples using our new membership tests.

## 1 Background material

We collect some background material in this section. Throughout, we assume  $f : \mathbb{C}^N \to \mathbb{C}^n$  is a polynomial system and define  $\mathcal{V}(f)$  to be the set of points in  $\mathbb{C}^N$  which f maps to 0. The algebraic set  $\mathcal{V}(f)$  is reduced and, in particular, all of the irreducible components of  $\mathcal{V}(f)$  have multiplicity one. We let  $f^{-1}(0)$  denote  $\mathcal{V}(f)$  with its underlying scheme structure, which includes the multiplicity information of the components of  $\mathcal{V}(f)$  with regard to f.

#### 1.1 Witness sets

Suppose that  $V \subset f^{-1}(0)$  is an  $\ell$ -dimensional irreducible algebraic set of degree d. A witness set for V is the triple  $\{f, \mathcal{L}, W\}$  where  $\mathcal{L}$  consists of  $\ell$  general linear polynomials on  $\mathbb{C}^N$  and  $W = V \cap \mathcal{V}(\mathcal{L})$ . The witness point set W consists of d points. A finite set  $\mathcal{W}$  with  $W \subset \mathcal{W} \subset V$  is called a witness point superset for V.

The multiplicity of V with respect to f is the multiplicity of any  $w \in W$  as a root of  $\begin{bmatrix} f \\ \mathcal{L} \end{bmatrix}$ . The component V is said to be generically reduced with respect to f if the multiplicity of V with respect to f is 1. Otherwise, V is said to be generically nonreduced, which we consider in the following section. See [23, Chap. 13] for more details regarding witness sets.

#### 1.2 Deflation

If V is generically nonreduced with respect to f, then the deflation approach of [10] produces a polynomial system  $F : \mathbb{C}^N \to \mathbb{C}^m$ , with  $m \ge n$ , such that  $F^{-1}(0)$  has an irreducible and generically reduced component  $\widehat{V}$  which, as a set, is equal to V. By renaming as necessary, we will assume without loss of generality that V is generically reduced with respect to f.

<sup>&</sup>lt;sup>1</sup>A constructible subset of an algebraic set X is any set in the Boolean algebra of subsets of X obtained by starting with algebraic subsets of X and closing up under the operations of finite unions and complementation.

It should be noted that more traditional versions of deflation (see also [8, 11, 12] and [23, §13.3.2, §15.2.2]) change the dimension of the ambient space and may replace V with an algebraic set V' that maps generically one-to-one onto a dense subset of V.

#### 1.3 Randomization

Let  $f: \mathbb{C}^N \to \mathbb{C}^n$  be a polynomial system and  $1 \leq k \leq n$ . For  $A \in \mathbb{C}^{k \times (n-k)}$ , let

$$\mathcal{R}(f;k) = [I_k \ A] \cdot f$$

where  $I_k$  is the  $k \times k$  identity matrix. It is a consequence of Bertini's theorem, e.g., [22] or [23, §13.5], that any irreducible codimension k component of  $\mathcal{V}(f)$  is an irreducible component of  $\mathcal{V}(\mathcal{R}(f;k))$  for a nonempty Zariski open (and hence dense) set of matrices  $A \in \mathbb{C}^{k \times (n-k)}$ . Thus, we will assume without loss of generality that  $f : \mathbb{C}^N \to \mathbb{C}^k$  is a polynomial system where  $V \subset f^{-1}(0)$  is a codimension k irreducible component.

#### 1.4 Pseudo-witness sets

Let  $f : \mathbb{C}^N \to \mathbb{C}^n$  be a polynomial system and  $\{f, \mathcal{L}, W\}$  be a witness set for an irreducible and generically reduced component  $V \subset f^{-1}(0)$  of dimension  $\ell$ . Suppose that  $\pi : \mathbb{C}^N \to \mathbb{C}^K$  is a linear map and  $B \in \mathbb{C}^{K \times N}$  such that  $\pi(x) = Bx$ .

Even though the set  $\pi(V)$  might not be an algebraic set, it is very close to an algebraic set. More specifically,  $\pi(V)$  is a *constructible algebraic set* which means that it is a member of the Boolean algebra of sets constructed from algebraic sets by the operations of finite unions, finite intersections, and complementation. A typical example is the projection onto (x, y) of  $\mathcal{V}(x - yz)$ : the image is  $(\mathbb{C}^2 \setminus \mathcal{V}(y)) \cup \{(0, 0)\}$ .

The closure of a constructible algebraic set C in the complex topology  $\overline{C}$  is the same as the closure of C in the Zariski topology. The same statement holds for the interior  $C^{\circ}$  of C with  $\overline{C^{\circ}} = \overline{C}$ . In particular, since the dimensions of  $\overline{C}$  and  $C^{\circ}$  are equal, the dimension of C is well-defined. Finally, if  $\overline{C}$  is pure k-dimensional, then  $\overline{C} \cap L = C^{\circ} \cap L$ for a general affine linear space L of codimension k. Additional details for constructible algebraic sets is provided in [23, Appendix A].

Let  $\ell' = \dim \overline{\pi(V)}$ . For  $i = 1, \ldots, \ell'$ , let  $b_i \in \mathbb{C}^N$  be general elements in the row span of B and, for  $i = \ell' + 1, \ldots, \ell$ , let  $b_i \in \mathbb{C}^N$  be general elements in  $\mathbb{C}^N$ . We call the quadruple  $\{f, \pi, \mathcal{L}', W'\}$  [7], where

$$\mathcal{L}'(x) = \begin{bmatrix} b_1 \cdot x - 1 \\ \vdots \\ b_{\ell} \cdot x - 1 \end{bmatrix} \text{ and } W' = V \cap \mathcal{V}(\mathcal{L}'),$$

a pseudo-witness set for  $\pi(V)$  with deg  $\overline{\pi(V)} = |\pi(W)|$ .

A pseudo-witness set may be efficiently used to fulfill the same tasks for which a witness set for  $\overline{\pi(V)}$  would be used if we had a set of polynomials on  $\mathbb{C}^K$  whose solution set contained  $\overline{\pi(V)}$  as an irreducible component. One example is using pseudo-witness

sets in place of witness sets to work with the numerical irreducible decomposition [20] of the closure of the image of an algebraic map, e.g.,  $[1, \S 2.1.3]$ .

#### 1.5 Moving linear spaces

The membership tests developed in this article are based on moving linear spaces. Let  $\{f, \mathcal{L}, W\}$  be a witness set for an irreducible and generically reduced  $V \subset f^{-1}(0)$  of dimension  $\ell$  where  $f : \mathbb{C}^N \to \mathbb{C}^{N-\ell}$  and  $\mathcal{L} : \mathbb{C}^N \to \mathbb{C}^{\ell}$ . Given a system of linear polynomials  $\widehat{\mathcal{L}} : \mathbb{C}^N \to \mathbb{C}^{\ell}$  with dim  $\mathcal{V}(\widehat{\mathcal{L}}) = N - \ell$ , we want to compute the set of points  $\widehat{W} := V \cap \mathcal{V}(\widehat{\mathcal{L}}) \subset V$  by deforming  $\mathcal{L}$  to  $\widehat{\mathcal{L}}$  using the "square" homotopy  $H : \mathbb{C}^N \times \mathbb{C} \to \mathbb{C}^N$  defined by

$$H(x,t) = \begin{bmatrix} f(x) \\ (1-t)\widehat{\mathcal{L}}(x) + t\mathcal{L}(x) \end{bmatrix}.$$
 (1)

Starting at t = 1 with the points in W, continuation allows one to track the path defined by  $H(x,t) \equiv 0$  as t goes from 1 to 0. Additional details are provided in [23].

Of the |W| paths tracked using the homotopy H, some of them may diverge as t approaches 0. The set  $\widehat{W}$  is the set of endpoints of the paths that converge to a point in  $\mathbb{C}^N$  as t approaches 0.

One application of moving linear spaces is the homotopy membership test, first described in [21], which replaced the more expensive interpolation test of [20]. Given a point  $y \in \mathbb{C}^N$ , let  $\widehat{\mathcal{L}} : \mathbb{C}^N \to \mathbb{C}^\ell$  be a system of general linear polynomials such that  $y \in \mathcal{V}(\widehat{\mathcal{L}})$ . If  $\widehat{W}$  is the set of finite endpoints of the homotopy H defined in (1) starting at each point in W, then  $y \in V$  if and only if  $y \in \widehat{W}$ .

#### **1.6** Geometric genus of a curve

In [3], a numerical algorithm is given for computing the geometric genus of an irreducible one-dimensional component  $R \subset \mathbb{C}^K$  of the solution set of a polynomial system. The geometric genus of R is the topological genus of the unique smooth compactification of the desingularization of R. Since the desingularizations of a curve and a generically one-to-one image of a curve are isomorphic, deflation of a component will not change its geometric genus. Therefore the component R may be assumed to have multiplicity one. The algorithm of [3], which is based on the Hurwitz theorem, starts with the restriction  $p: R \to \mathbb{C}$  of a linear projection  $A: \mathbb{C}^K \to \mathbb{C}$ .

In that article p is assumed proper, but this is *easily modified* as will be shown below. We also show how the algorithm may be applied to an irreducible curve  $R \subset \mathbb{C}^K$  arising as the closure of a constructible set  $R' \subset \mathbb{C}^K$ .

Let us explain the algorithm of [3].

We may regard A as the product projection  $\mathbb{C}^{K-1} \times \mathbb{C} \to \mathbb{C}$ . We let  $\overline{A}$  be the product projection of  $\mathbb{P}^{K-1} \times \mathbb{P}^1 \to \mathbb{P}^1$ . Taking the closure  $\overline{R}$  of R in  $\mathbb{P}^{K-1} \times \mathbb{P}^1$ , we have the proper map  $\overline{p} := \overline{A}_{\overline{R}}$ . Let  $s : \widehat{R} \to \overline{R}$  denote the desingularization of  $\overline{R}$  and  $\widehat{p} : \widehat{R} \to \mathbb{P}^1$  the map  $s \circ \overline{p}$ . Then, Hurwitz theorem tells us that

$$g = -2\deg(\widehat{p}) + \rho$$

where

- 1. g is the genus of  $\widehat{R}$ , which we want to compute;
- 2. deg  $(\hat{p})$  is the degree of  $\hat{p}$ , which equals the degree of p; and
- 3.  $\rho$  is the ramification of  $\hat{p}$ .

From the above we see that we need to compute  $\rho$ . Let  $\mathcal{R}$  denote the images under  $\hat{p}$  of the branch points of  $\hat{p}$ . For any  $y \in \mathcal{R}$ , let  $\Delta_y$  denote a contractible set with a continuous and piecewise differentiable boundary, e.g., a disk in a Euclidean patch  $\mathbb{C} \subset \mathbb{P}^1$  containing y, such that no points of  $\mathcal{R}$  other than y are in  $\Delta_y$ . Fix a point  $x_y$  on the boundary of  $\Delta_y$ . We have a monodromy transformation  $T_y : \hat{p}^{-1}(x_y) \to \hat{p}^{-1}(x_y)$  obtained by continuation around the boundary of  $\Delta_y$  of the paths starting at points of  $p^{-1}(x_y)$ . The ramification  $\rho$  is a sum of contributions  $\rho_y$  for the points  $y \in \mathcal{R}$ .

The number  $\rho_y$  equals deg $(\hat{p})$  minus the number of orbits of the permutation group on  $\hat{p}^{-1}(x_y)$  generated by  $T_y$ . There are two main observations of [3].

The first is that

•  $\rho_y$  may be computed using the monodromy transformation  $T_y: \overline{p}^{-1}(x_y) \to \overline{p}^{-1}(x_y)$ . (We use the same symbol  $T_y$  because  $p^{-1}(x_y)$  is naturally identified with  $\hat{p}^{-1}(x_y)$  and under this identification, the monodromy transformations are the same.)

Though computing  $\mathcal{R}$  is involved, it is straightforward (see [3]) to compute a finite set on  $\overline{R}$  that maps to a finite set of  $\mathbb{P}^1$  containing  $\mathcal{R}$ . It suffices to work with this larger set instead of  $\mathcal{R}$  is a consequence of the second main observation:

• for any point y not in  $\mathcal{R}$ , the local monodromy contribution of  $\rho_y$  is zero.

Note also that we can work with  $p: R \to \mathbb{C}$  as long as we also do a calculation of  $\rho_{\infty}$  by going around a large enough circle on  $\mathbb{C}$ , so that any point of  $\mathcal{R}$  (except possibly for  $\infty$ ) is contained within the circle.

If p is not proper, we simply need to add to  $\mathcal{R}$  the points over which p is not proper, i.e., we add to  $\mathcal{R}$  the image under  $\overline{A}$  of the set  $\overline{R} \cap (\mathbb{P}^{K-1} \setminus \mathbb{C}^{K-1}) \times \mathbb{C}$ . As above, this may add a finite number of extra points without any harm to the final result.

**Extension to constructible sets** Finally, assume  $R' \subset \mathbb{C}^K$  is a constructible set whose closure is an irreducible curve  $R \subset \mathbb{C}^K$ . We fix a linear projection  $A : \mathbb{C}^K \to \mathbb{C}$ and set p equal to A restricted to R. Possibly making a linear change of coordinates, we regard A as the product projection  $\mathbb{C}^{K-1} \times \mathbb{C} \to \mathbb{C}$ . We let  $\overline{A}$  denote the product projection  $\mathbb{P}^{K-1} \times \mathbb{P}^1 \to \mathbb{P}^1$ . Let  $\overline{R}$  denote the closure of R in  $\mathbb{P}^{K-1} \times \mathbb{P}^1$ , we have the proper map  $\overline{p} := \overline{A}_{\overline{R}}$ . We let  $\widehat{p} : \widehat{R} \to \mathbb{P}^1$  denote the composition of the desingularization map  $s : \widehat{R} \to \overline{R}$  and  $\overline{p}$ .

Looking over the argument sketched above for the algorithm to compute g in the case when R' = R, we see that the algorithm for a constructible set R' to work, we need to compute

- 1. the degree of p; and
- 2. a finite subset of  $\mathbb{P}^1$  containing  $\widehat{p}(\mathcal{R})$ , where  $\mathcal{R}$  is the set of branch points of the  $\widehat{p}$ .

The set  $\mathcal{R}$  is contained in the union of  $\infty \in \mathbb{P}^1$  and the images under p of

- 1. the singular points of R;
- 2. the points  $R \setminus R'$ ;
- 3.  $A((\mathbb{P}^{K-1} \setminus \mathbb{C}^K) \cap \overline{R});$  and
- 4. all of the branch points of the algebraic map  $p: R \to \mathbb{C}$ .

## 2 Membership tests for projections

Let  $f : \mathbb{C}^N \to \mathbb{C}^n$  be a polynomial system and  $V \subset f^{-1}(0)$  be an irreducible algebraic set of dimension  $\ell$ . As developed in §1, we may assume without loss of generality that V is generically reduced and  $n = N - \ell$ .

Let  $\pi : \mathbb{C}^{N} \to \mathbb{C}^{K}$  be a linear map and  $y \in \pi(\mathbb{C}^{N}) \subset \mathbb{C}^{K}$ . We will first use a pseudo-witness set  $\{f, \pi, \mathcal{L}, \mathcal{W}\}$  for  $\overline{\pi(V)}$  to determine if  $y \in \overline{\pi(V)}$  and provide sufficient conditions for deciding if  $y \in \pi(V)$ . We will then use a witness set  $\{f, L, W\}$  for V to determine if  $y \in \pi(V)$ .

#### 2.1 Basic membership test

Let  $\ell' = \dim \overline{\pi(V)}$  and  $\mathcal{L} = [\mathcal{L}_1 \cdots \mathcal{L}_\ell]^T$  such that  $\mathcal{L}_1, \ldots, \mathcal{L}_{\ell'}$  are general linear polynomials on  $\pi(\mathbb{C}^N)$  and  $\mathcal{L}_{\ell'+1}, \ldots, \mathcal{L}_\ell$  are general linear polynomials on  $\mathbb{C}^N$ . For  $i = 1, \ldots, \ell'$ , let  $\widehat{\mathcal{L}}_i : \mathbb{C}^K \to \mathbb{C}$  be a general linear polynomial such that  $y \in \mathcal{V}(\widehat{\mathcal{L}}_i)$  and define

$$\widehat{\mathcal{L}}(x) = \begin{bmatrix} \widehat{\mathcal{L}}_1(\pi(x)) \\ \vdots \\ \widehat{\mathcal{L}}_{\ell'}(\pi(x)) \\ \mathcal{L}_{\ell'+1}(x) \\ \vdots \\ \mathcal{L}_{\ell}(x) \end{bmatrix}.$$

Consider the homotopy H defined by (1) which deforms  $\mathcal{L}$  to  $\widehat{\mathcal{L}}$ . For each  $w \in \mathcal{W}$ , let  $x_w(t)$  be the path defined by  $x_w(1) = w$  and  $H(x_w(t), t) \equiv 0$  for  $t \in (0, 1]$ . There are three possibilities for each path  $x_w(t)$  as t approaches 0, namely

- 1.  $x_w(t)$  converges to a point in  $\mathbb{C}^N$  yielding that  $\pi(x_w(t))$  converges to a point in  $\mathbb{C}^K$ ;
- 2.  $x_w(t)$  diverges but  $\pi(x_w(t))$  converges to a point in  $\mathbb{C}^K$ ; or
- 3.  $x_w(t)$  and  $\pi(x_w(t))$  both diverge.

Consider the related sets:

- 1.  $C_y = \{\lim_{t\to 0} \pi(x_w(t)) \mid w \in \mathcal{W} \text{ and } \lim_{t\to 0} x_w(t) \text{ converges}\}; \text{ and }$
- 2.  $P_y = \{\lim_{t \to 0} \pi(x_w(t)) \mid w \in \mathcal{W} \text{ and } \lim_{t \to 0} \pi(x_w(t)) \text{ converges} \}.$

Clearly,  $C_y \subset P_y \subset \overline{\pi(V)}$ . The following lemma yields a membership test for  $\overline{\pi(V)}$  using  $P_y$  and sufficient conditions for deciding if  $y \in \pi(V)$  using  $C_y$ .

**Lemma 1.** With the setup described above, we have the following tests:

- 1.  $y \in \overline{\pi(V)}$  if and only if  $y \in P_y$ ;
- 2. if  $y \in C_y$ , then  $y \in \pi(V)$ ; and
- 3. if  $C_y = P_y$  or dim  $\overline{\pi(V)} = 1$ , then  $y \in C_y$  if and only if  $y \in \pi(V)$ .

*Proof.* Define  $\mathcal{L}_y(z) = \begin{bmatrix} \widehat{\mathcal{L}}_1(z) \\ \vdots \\ \widehat{\mathcal{L}}_{\ell'}(z) \end{bmatrix}$ . By genericity,  $\overline{\pi(V)} \cap \mathcal{V}(\mathcal{L}_y)$  consists of finitely many

points. It follows from [14] that  $P_y = \overline{\pi(V)} \cap \mathcal{V}(\mathcal{L}_y)$ . Since  $y \in \mathcal{V}(\mathcal{L}_y)$ , we know that  $y \in P_y$  if and only if  $y \in \overline{\pi(V)}$ .

If  $y \in C_y$ , then there exists  $w \in \mathcal{W}$  and  $\alpha \in V \subset \mathbb{C}^N$  such that  $\alpha = \lim_{t \to 0} x_w(t)$ and  $y = \pi(\alpha) = \lim_{t \to 0} \pi(x_w(t))$ . Since  $\alpha \in V$ , this implies  $y = \pi(\alpha) \in \pi(V)$ .

The only part remaining for the final statement is showing that  $y \in \pi(V)$  implies  $y \in C_y$ . If  $C_y = P_y$ , this follows from the first statement. If  $\dim \overline{\pi(V)} = 1$ , we know that  $y \in \pi(V)$  implies that  $V \cap \pi^{-1}(y)$  is pure-dimensional of dimension  $\dim V - 1$  since V is irreducible. Therefore, this case also follows from [14].

**Remark 2.** If  $w_1, w_2 \in W$  such that  $\pi(w_1) = \pi(w_2)$ , then  $\pi(\underline{x_{w_1}(t)}) = \pi(x_{w_2}(t))$  for all  $t \in (0, 1]$ . In particular, we only need to track at most deg  $\pi(V) = |\pi(W)|$  paths in order to determine if  $y \in \pi(V)$ .

**Remark 3.** We note that the membership test of this section immediately applies to a wide class of projections of quasialgebraic sets<sup>2</sup>. For example, consider the product

<sup>&</sup>lt;sup>2</sup>A quasialgebraic set is a set of the form  $A \setminus B$ , where A and B are algebraic subsets of  $\mathbb{P}^N$ .

projection  $\pi_{\mathbb{P}} : \mathbb{P}^{N-k} \times \mathbb{P}^K \to \mathbb{P}^K$ . Let  $X \subset \underline{\mathbb{P}^{N-k}} \times \mathbb{P}^K$  be a quasialgebraic set. Let  $y \in \mathbb{P}^K$  be a point that we wish to check is in  $\overline{\pi_{\mathbb{P}}(X)}$ . Choose a generic Euclidean patch  $U \subset \mathbb{P}^{N-k} \times \mathbb{P}^K$ , i.e., choose generic hyperplanes  $H_v \subset \mathbb{P}^{N-K}$  and  $H_h \subset \mathbb{P}^K$  and let

$$U = \left(\mathbb{P}^{N-K} \setminus H_v\right) \times \left(\mathbb{P}^K \setminus H_h\right).$$

Then with probability one,  $y \in (\mathbb{P}^K \setminus H_h)$  and if  $y \in \overline{\pi_{\mathbb{P}}(X)} = \pi_{\mathbb{P}}(\overline{X})$ , i.e., if there is an  $x \in \overline{X}$  going to y, then  $x \in (\mathbb{P}^{N-K} \setminus H_h)$ .

#### 2.2 Advanced membership test

We see from Lemma 1 that the one remaining case is deciding if  $y \in \pi(V)$  given that  $y \in P_y \subset \overline{\pi(V)}$ ,  $y \notin C_y$ , and  $\dim \overline{\pi(V)} > 1$ . The advanced membership test is based on the fact that  $y \in \pi(V)$  if and only if  $V \cap \pi^{-1}(y)$  is nonempty. That is, one simply computes the intersection of V with the linear space  $\pi^{-1}(y)$ . This can be accomplished starting with a witness set for V together with slice moving which we perform following a regenerative cascade approach [9]. Since we only need to decide if  $V \cap \pi^{-1}(y)$  is empty, the test simply cascades down through the dimensions under consideration and terminates when either a point in  $V \cap \pi^{-1}(y)$  is found or all of the possible dimensions are empty. As above, let  $\ell = \dim V$  and  $\ell' = \dim \overline{\pi(V)}$ . Then, since  $\ell - \ell'$  is the general fiber dimension, the possible fiber dimensions under consideration are  $\ell - 1, \ell - 2, \ldots, \ell - \ell'$ . Thus, this test tracks at most  $\ell' \cdot \deg V$  paths. If we have already verified that  $y \notin C_y$ from §2.1, then we do not need to consider the general fiber dimension. In this case, this test tracks at most  $(\ell' - 1) \cdot \deg V$  additional paths.

Let  $\{f, L, W\}$  be a witness set for V where  $\hat{L} = [L_1, \ldots, L_\ell]^T$  and  $\hat{\mathcal{L}}_1, \ldots, \hat{\mathcal{L}}_{\ell'}$  be general linear polynomials on  $\mathbb{C}^K$  such that  $y \in \mathcal{V}(\hat{\mathcal{L}}_i)$ . For  $i = 0, \ldots, \ell'$ , define

$$\mathcal{M}_{i}(x) = \begin{bmatrix} \widehat{\mathcal{L}}_{1}(\pi(x)) \\ \vdots \\ \widehat{\mathcal{L}}_{i}(\pi(x)) \\ L_{i+1}(x) \\ \vdots \\ L_{\ell}(x) \end{bmatrix}.$$

We have  $\mathcal{M}_0 = L$  and define  $S_0 = W$ . If  $0 \leq i < \ell'$  such that  $S_i$  is known, we compute  $S_{i+1}$  as follows. Let  $W_{i+1}$  be the finite endpoints of the modified homotopy H defined by (1) which deforms  $\mathcal{M}_i$  to  $\mathcal{M}_{i+1}$  with start points  $S_i$ . Let  $G_{i+1}$  be the subset of points of  $W_{i+1}$  which  $\pi$  maps to y and  $S_{i+1} = W_{i+1} \setminus G_{i+1}$ . In particular, it follows from [9, §2] that each point in  $S_{i+1}$  is a nonsingular root of  $\begin{bmatrix} f \\ \mathcal{M}_{i+1} \end{bmatrix}$  and  $G_{i+1}$  is a witness point superset for the pure (i+1)-codimensional component of  $V \cap \pi^{-1}(y)$ .

**Lemma 4.** With the setup described above,  $y \in \pi(V)$  if and only if  $G_i \neq \emptyset$  for some  $i \in \{1, \ldots, \ell'\}$ . Moreover, if  $y \notin C_y$ , where  $C_y$  is defined as in §2.1, then  $y \in \pi(V)$  if and only if  $G_i \neq \emptyset$  for some  $i \in \{1, \ldots, \ell'-1\}$ .

*Proof.* This follows from the above discussion together with [9, Lemma 2.2 & Theorem 2.3] applied to this context.  $\Box$ 

**Remark 5.** By working with generic Euclidean patches as in Remark 3, the membership test of this section extends to a wide class of projections of quasiprojective sets. We will use the version for the restriction of the product projection  $\pi_{\mathbb{P}} : \mathbb{P}^{N-K} \times \mathbb{P}^{K} \to \mathbb{P}^{K}$  in the next section.

# **3** Codimension one components of $\overline{\overline{\pi(V)} \setminus \pi(V)}$

In order to compute more detailed invariants of  $\pi(V)$ , it may be necessary to have a numerical irreducible decomposition of  $\overline{\pi(V)} \setminus \pi(V)$ , i.e., a numerical irreducible decomposition of  $\overline{\pi(V)} \setminus \pi(V)^{\circ}$ , where the set  $\mathcal{C}^{\circ}$  is the largest Zariski open set contained in the constructible algebraic set  $\mathcal{C}$ . The results of this article allow us to compute the decomposition of the codimension one components of  $\overline{\overline{\pi(V)}} \setminus \pi(V)$ . As an illustration, we describe how to use this partial decomposition to compute a basic invariant of  $\overline{\pi(V)}$ .

#### 3.1 Decomposition of codimension one components

Assume we have the standard setup, i.e.,  $f : \mathbb{C}^N \to \mathbb{C}^n$  is a polynomial system and  $V \subset f^{-1}(0)$  is an irreducible  $\ell$ -dimensional component. By §1.2 and §1.3, we may assume without loss of generality that V is generically reduced and  $n = N - \ell$ , respectively. For simplicity, assume that  $\pi : \mathbb{C}^N \to \mathbb{C}^K$  is a linear projection onto the last K coordinates. Note that the projection  $\pi$  extends to the product projection  $\pi_{\mathbb{P}} : \mathbb{P}^{N-K} \times \mathbb{P}^K \to \mathbb{P}^K$ . Let  $V_{\mathbb{P}}$  denote the closure of V in  $\mathbb{P}^{N-K} \times \mathbb{P}^K$ .  $\mathbb{C}^{K}$ . Let  $V_{\mathcal{P}}$  denote the closure of  $\mathcal{E}^K$  and  $\mathcal{E} = (\mathbb{P}^{N-K} \setminus \mathbb{C}^{N-K}) \times \mathbb{C}^K$ . Let  $V_{\mathcal{P}}$  denote the closure

Define  $\mathcal{P} = \mathbb{P}^{N-K} \times \mathbb{C}^K$  and  $\mathcal{E} = (\mathbb{P}^{N-K} \setminus \mathbb{C}^{N-K}) \times \mathbb{C}^K$ . Let  $V_{\mathcal{P}}$  denote the closure of V in  $\mathcal{P}$  and  $\pi_{\mathcal{P}}$  denote the restriction of  $\pi_{\mathbb{P}}$  to  $\mathcal{P}$ . We have the following:

- 1.  $\pi_{\mathbb{P}}(V_{\mathbb{P}})$  is the closure of  $\pi(V)$  in  $\mathbb{P}^{K}$ ; and
- 2.  $\overline{\pi(V)}$ , the closure of  $\pi(V)$  in  $\mathbb{C}^K$ , equals  $\pi_{\mathcal{P}}(V_{\mathcal{P}})$ .

We first consider the case when  $\dim \overline{\pi(V)} = 1$ . In this case, all fibers of  $\pi_{\mathcal{P}}$  restricted to  $V_{\mathcal{P}}$ , i.e.,  $\pi_{\mathcal{P}|V_{\mathcal{P}}}$ , are of pure dimension  $\dim \overline{V} - 1$ . Since  $\dim(V_{\mathcal{P}} \cap \mathcal{E}) = \dim \overline{V} - 1$ , we conclude that the irreducible components of the fibers of  $\pi_{\mathcal{P}|V_{\mathcal{P}}}$  over the finite set  $\overline{\pi(V)} \setminus \pi(V)$  are irreducible components of  $V_{\mathcal{P}} \cap \mathcal{E}$ . That is, we can first compute the numerical irreducible decomposition of  $V_{\mathcal{P}} \cap \mathcal{E}$  and then use the membership test of this article to determine the points of  $\overline{\pi(V)} \setminus \pi(V)$ .

Now assume that dim  $\pi(V) \ge 2$ . It is a consequence of a vanishing theorem of Picard-Kodaira type [18, Theorem 3.42] that, if dim  $\overline{\pi(V)} \ge 2$ , then for a general hyperplane

 $\mathcal{H}$  of  $\mathbb{C}^{K}$ ,  $H = \pi_{\mathcal{P}}^{-1}(\mathcal{H}) \cap V_{\mathcal{P}}$  is irreducible. Since a general linear space of codimension dim  $\overline{\pi(V)} + 1$  meets  $\overline{\pi(V)}$  in an irreducible curve and meets each codimension one component A of  $\overline{\overline{\pi(V)}} \setminus \overline{\pi(V)}$  in deg A points, we have constructed a pseudo-witness point set for the union of codimension one components of  $\overline{\overline{\pi(V)}} \setminus \overline{\pi(V)}$ . This shows in particular that for each codimension one irreducible component A of  $\overline{\overline{\pi(V)}} \setminus \overline{\pi(V)}$ , there is a dim V - 1 component of  $V_{\mathcal{P}} \cap \mathcal{E}$  surjecting onto A.

We may compute a numerical irreducible decomposition of the dim  $\overline{V}-1$  components  $\mathcal{A}$  of  $V_{\mathcal{P}} \cap \mathcal{E}$  and then use the membership test for the images of the witness sets of these components to check which components have images in  $\overline{\pi(V)} \setminus \pi(V)$ . For the irreducible components  $\mathcal{A}$  with an image A in  $\overline{\overline{\pi(V)}} \setminus \pi(V)$ , the results of [7] using the map  $\pi_{\mathcal{A}} : \mathcal{A} \to A$  yield a pseudo-witness set of A. Finally, we need to compute the dimensions of the fibers over the images of one point from the witness set of  $\mathcal{A}$ : those A of codimension one are precisely the ones where the fiber dimension is dim  $V - \dim \overline{\pi(V)}$ .

#### **3.2** Application to the geometric genus of a curve section

As an application, we describe how we may use the pseudo-witness set for the codimension one boundary components to compute the geometric genus g of a general curve section of  $\overline{\pi(V)} \subset \mathbb{C}^K$ . The number g is, by definition, equal to the genus of the desingularization  $s: \widehat{R} \to R$  of the intersection  $R \subset \mathbb{C}^K$  of  $\overline{\pi(V)}$  and a general affine linear space L of  $\mathbb{C}^K$  of dimension  $K+1-\dim \overline{\pi(V)}$ . Topologically, g is the usual genus of the unique smooth compactification of  $\widehat{R}$ . Equivalently, it is the genus of the desingularization of the closure in  $\mathbb{P}^K$  of the intersection of  $\overline{\pi(V)}$  and a general affine linear space of  $\mathbb{C}^K$  of dimension  $K+1-\dim \overline{\pi(V)}$ .

Given a general affine linear space L of  $\mathbb{C}^K$  of dimension  $K + 1 - \dim \overline{\pi(V)}$ , we take  $R = \overline{\pi(V)} \cap L$  and  $R' = \pi(V) \cap L$ . Using [18, Theorem 3.42], we can again reduce down to the case that  $\dim \overline{\pi(V)} = 1$ . We take the map  $p: R \to \mathbb{C}$  to be the restriction to R to any linear projection from  $\mathbb{C}^K$  to  $\mathbb{C}$ . By taking the intersection of V with a general affine linear space of codimension  $\ell - 1$ , we may, by renaming if necessary, assume that V is one-dimensional. Let  $q: V \to R$  be the map obtained by composing the restriction of  $\pi$  to V with the map p.

For Q, we take the union of the following sets:

- 1. the image under q of the branchpoints of q;
- 2. the image under q of the singular points of V;
- 3. the image under  $p \circ \pi_{\mathcal{P}}$  of the set  $V_{\mathcal{P}} \cap \mathcal{E}$  using the notation from §3.1; and
- 4. the image under p of the points in  $\overline{\pi(V)} \setminus \pi(V)$ .

The first three items require only standard computations. The last item follows from the computation of the finite set  $\overline{\overline{\pi(V)} \setminus \pi(V)}$ , which was computed in §3.1. Note the

third item is a finite set of points containing the points over which q is not proper and therefore also the points over which p is not proper.

To see that this set Q suffices, note that all the singular points of  $\overline{\pi(V)}$  and branchpoints of p are either over  $\overline{\overline{\pi(V)} \setminus \pi(V)}$  or in the image of the branchpoints of  $p \circ \pi_{\mathcal{P}}$ and the singular set of  $V_{\mathcal{P}}$ .

The last item needed is the ability to track paths. We note that the paths on  $\overline{\pi(V)}$  which are contained in  $\pi(V)^{\circ}$  may be tracked using the pseudo-witness set of  $\overline{\pi(V)}$ .

### 4 Examples

We conclude by demonstrating the membership tests and codimension one decomposition on illustrative examples and then report on a more advanced example. The linear slice moving computations reported here were performed using Bertini v1.3.1 [2].

#### 4.1 A parameterized circle

Consider the rational parameterization  $(x(s), y(s)) = \left(\frac{1-s^2}{1+s^2}, \frac{2s}{1+s^2}\right)$  of an open dense subset the unit circle. Clearing denominators, this parameterization yields the system

$$f(s, x, y) = \begin{bmatrix} x(1+s^2) - (1-s^2) \\ y(1+s^2) - 2s \end{bmatrix}$$

with the accompanying projection  $\pi(s, x, y) = (x, y)$  defined by the matrix  $B = \begin{bmatrix} 0 & I_2 \end{bmatrix}$ where  $I_2$  is the 2 × 2 identity matrix. It is easy to verify that  $V = f^{-1}(0)$  is a irreducible curve of degree 3 that is generically reduced with respect to f. We will first use a witness set for V to construct a pseudo-witness set for  $\overline{\pi(V)}$  and then determine if  $z_j \in \pi(V)$ and  $z_j \in \overline{\pi(V)}$  where

$$z_1 = (0,1), \quad z_2 = (-1,0), \quad z_3 = (\sqrt{2},i), \text{ and } z_4 = (1+i,1/3-i/2)$$

with  $i = \sqrt{-1}$ . Finally, we will compute  $\overline{\overline{\pi(V)} \setminus \pi(V)}$ .

**Pseudo-witness set construction:** Let  $\{f, L, W\}$  be a witness set for V where  $L : \mathbb{C}^3 \to \mathbb{C}$  is a general linear polynomial and |W| = 3. Since, for any  $w \in W$ ,

$$\left[\begin{array}{c}Jf(w)\\B\end{array}\right]$$

is full rank, where Jf(w) is the Jacobian matrix of f evaluated at w, Lemma 3 of [7] yields that  $\dim \overline{\pi(V)} = 1$ . Let  $\mathcal{L}(s, x, y) = \alpha x + \beta y - 1$  where  $\alpha, \beta \in \mathbb{C}$  are random, which is a linear polynomial in the image of  $\pi$ . Consider the three paths defined by modifying the homotopy H from (1) to move from L to  $\mathcal{L}$  starting at the three points in W. Two paths converge with their endpoints mapping to distinct points under  $\pi$ . This implies that the degree of  $\overline{\pi(V)}$  is 2. If W is the set consisting of these two endpoints, then  $\{f, \pi, \mathcal{L}, W\}$  is a pseudo-witness set for  $\overline{\pi(V)}$ .

j	$ C_{z_j} $	$z_j \in C_{z_j}$ ?	$ P_{z_j} $	$z_j \in P_{z_j}$ ?	Result from Lemma 1
1	2	Yes	2	Yes	$z_1 \in \pi(V)$
2	1	No	2	Yes	$z_2 \in \overline{\pi(V)} \setminus \pi(V)$
3	2	Yes	2	Yes	$z_3 \in \pi(V)$
4	2	No	2	No	$z_4 \notin \overline{\pi(V)}$

Table 1: Summary of basic membership test for unit circle

**Basic membership test:** For each j = 1, ..., 4, let  $z_j = (z_j^x, z_j^y)$  and consider the linear polynomial  $\widehat{\mathcal{L}}_j(s, x, y) = \alpha(x - z_j^x) + \beta(y - z_j^y)$ . The basic membership test described in §2.1 uses a modification of the homotopy H from (1) to move from  $\mathcal{L}$  to  $\widehat{\mathcal{L}}_j$  starting with the two points in  $\mathcal{W}$ . Since dim  $\overline{\pi(V)} = 1$ , Lemma 1 provides membership tests for both  $\pi(V)$  and  $\overline{\pi(V)}$ . Table 1 summarizes the results. Here, the sets  $C_{z_j}$  and  $P_{z_j}$  are the sets as in §2.1 arising from this basic membership test.

**Codimension one components:** The codimension one components of  $\overline{\pi(V)} \setminus \pi(V)$ correspond to the points in  $\pi_{\mathcal{P}}(V_{\mathcal{P}} \cap \mathcal{E})$  (as defined in §3.1). By working on a random patch in  $\mathbb{P}^1$ , this reduces to tracking paths in  $\mathbb{C}^4$ . We homogenize f and L with respect to s, namely

$$F(s_0, s_1, x, y) = s_0^2 \cdot f\left(\frac{s_1}{s_0}, x, y\right)$$
 and  $M(s_0, s_1, x, y) = s_0 \cdot L\left(\frac{s_1}{s_0}, x, y\right)$ ,

and fix an affine patch in  $\mathbb{P}^1$  defined by the equation  $P(s_0, s_1, x, y) = p_0 s_0 + p_1 s_1 - 1$ where  $p_0, p_1 \in \mathbb{C}$  are random. Let  $\widehat{M}$  be a general linear form and  $M_0(s_0, s_1, x, y) = s_0$ . Starting with the points

$$S = \left\{ \left( \frac{1}{p_1 + p_2 s}, \frac{s}{p_1 + p_2 s}, x, y \right) \ \middle| \ (s, x, y) \in W \right\},\$$

we first compute the finite endpoints of the homotopy H from (1) modified to deform from  $[M, P]^T$  to  $[\widehat{M}, P]^T$  and then use those as start points as we deform from  $[\widehat{M}, P]^T$  to  $[M_0, P]^T$ . The resulting finite endpoints correspond to the points in  $V_{\mathcal{P}} \cap \mathcal{E}$ . In particular, the first had three finite endpoints while only one of the three paths converged for the second. This endpoint corresponds to the point  $(0, 1, -1, 0) \in \mathbb{P}^1 \times \mathbb{C}^2$ . Since this point projects to (-1, 0) under  $\pi_{\mathcal{P}}$  (as defined in §3.1), we know that  $\overline{\pi(V)} \setminus \pi(V) = \{(-1, 0)\}$ .

#### 4.2 A two-dimensional constructible set

Consider the example from §1.4, namely the image of  $V = f^{-1}(0)$  under the projection  $\pi(s, x, y) = (x, y)$  where f(s, x, y) = x - sy. The projection  $\pi$  is defined by the matrix  $B = \begin{bmatrix} 0 & I_2 \end{bmatrix}$  where  $I_2$  is the 2 × 2 identity matrix. Clearly, V is an irreducible surface of degree 2 that is generically reduced with respect to f. After constructing a pseudo-witness set for  $\overline{\pi(V)}$ , we will use the membership tests to determine if  $p_j \in \pi(V)$  where

$$p_1 = (1,1), p_2 = (0,0), \text{ and } p_3 = (1,0),$$

j	$ C_{p_j} $	$p_j \in C_{p_j}$ ?	$ P_{p_j} $	$p_j \in P_{p_j}$ ?	Result from Lemma 1
1	1	Yes	1	Yes	$p_1 \in \pi(V)$
2	1	Yes	1	Yes	$p_2 \in \pi(V)$
3	0	No	1	Yes	$p_3 \in \overline{\pi(V)}$ , inconclusive on $\pi(V)$

Table 2: Summary of basic membership test

and then compute a decomposition of the codimension one components of  $\overline{\pi(V)} \setminus \pi(V)$ .

**Pseudo-witness set construction:** Let  $\{f, L, W\}$  be a witness set for V where  $L : \mathbb{C}^3 \to \mathbb{C}^2$  is a system of general linear polynomials and |W| = 2. Since, for any  $w \in W$ ,

$$\left[\begin{array}{c} \nabla f(w)^T \\ B \end{array}\right]$$

is full rank, where  $\nabla f(w)$  is the gradient of f evaluated at w, Lemma 3 of [7] yields that  $\dim \overline{\pi(V)} = 2$ . Therefore,  $\overline{\pi(V)} = \mathbb{C}^2$  and  $\deg \overline{\pi(V)} = 1$ . For random  $\alpha, \beta \in \mathbb{C}$ , let

$$\mathcal{L}(s, x, y) = \left[ \begin{array}{c} x - \alpha \\ y - \beta \end{array} \right].$$

A pseudo-witness set for  $\overline{\pi(V)}$  is the quadruple  $\{f, \pi, \mathcal{L}, \mathcal{W}\}$  where  $\mathcal{W} = \{(\alpha/\beta, \alpha, \beta)\}$ .

**Basic membership test:** Even though  $\overline{\pi(V)} = \mathbb{C}^2$  and hence  $p_j \in \overline{\pi(V)}$ , we can still use the basic membership test of §2.1 to determine which points to further investigate using the advanced membership test of §2.2. For each j = 1, 2, 3, we used the system of linear polynomials  $\widehat{\mathcal{L}}_j(s, x, y) = (x, y) - p_j$ . Table 2 summarizes the results. Here, the sets  $C_{p_j}$  and  $P_{p_j}$  are the sets as in §2.1 arising from this basic membership test.

Advanced membership test: Since the basic membership test was inconclusive for deciding if  $p_3 = (1,0) \in \pi(V)$ , we now apply the advanced membership test of §2.2. Let  $L = [L_1, L_2]^T$  where L is the linear system in the witness set  $\{f, L, W\}$  for V. For i = 1, 2, let  $\hat{\mathcal{L}}_i(s, x, y) = r_{i1}(x - 1) + r_{i2}y$  for random  $r_{ij} \in \mathbb{C}$  and consider

$$\mathcal{M}_0 = \begin{bmatrix} L_1 \\ L_2 \end{bmatrix}, \quad \mathcal{M}_1 = \begin{bmatrix} \widehat{\mathcal{L}}_1 \\ L_2 \end{bmatrix}, \text{ and } \mathcal{M}_2 = \begin{bmatrix} \widehat{\mathcal{L}}_1 \\ \widehat{\mathcal{L}}_2 \end{bmatrix}.$$

Starting with  $S_0 = W$ , tracking the paths for the modified homotopy H from (1) that deforms from  $\mathcal{M}_0$  to  $\mathcal{M}_1$  produces two points, neither of which projects to  $p_3$ . Since we have already performed the basic membership test and found that  $p_3 \notin C_{p_3}$ , Lemma 4 provides that  $p_3 \notin \pi(V)$ . If the basic test was not already performed, one would need to track the two paths arising from moving  $\mathcal{M}_1$  to  $\mathcal{M}_2$ . Since both of these paths diverge, the same conclusion is reached.

Since the endpoint of the path for  $p_2 = (0,0)$  was singular when performing the basic membership test, it is instructive to perform the advanced membership test on this point as well. In this case, we take  $\hat{\mathcal{L}}_i(s, x, y) = r_{i1}x + r_{i2}y$ . The deformation from  $\mathcal{M}_0$  to  $\mathcal{M}_1$  also produces two points, one of which does project to  $p_2$ . Therefore, we know that  $V \cap \pi^{-1}(p_2)$  contains a line. Tracking from the other point as  $\mathcal{M}_1$  moves to  $\mathcal{M}_2$  produces another point on this line. Therefore,  $V \cap \pi^{-1}(p_2)$  is a line, namely  $\{(s, 0, 0) \mid s \in \mathbb{C}\}$ .

**Codimension one components:** We now turn to computing the curves in  $\mathbb{C}^2$  contained in  $\overline{\pi(V)} \setminus \pi(V)$  which correspond to the curves in  $\pi_{\mathcal{P}}(V_{\mathcal{P}} \cap \mathcal{E})$  (as defined in §3.1). As in §4.1, we perform this computation on a random patch in  $\mathbb{P}^1$  which reduces to tracking paths in  $\mathbb{C}^4$ . We homogenize f and L with respect to s, namely

$$F(s_0, s_1, x, y) = s_0 \cdot f\left(\frac{s_1}{s_0}, x, y, \right) = s_0 x - s_1 y \text{ and } M(s_0, s_1, x, y) = s_0 \cdot L\left(\frac{s_1}{s_0}, x, y\right),$$

and fix an affine patch in  $\mathbb{P}^1$  defined by the equation  $P(s_0, s_1, x, y) = p_0 s_0 + p_1 s_1 - 1$ where  $p_0, p_1 \in \mathbb{C}$  are random. Let  $\widehat{M} = [\widehat{M}_1, \widehat{M}_2]^T$  be a system of two general linear forms and  $M_0(s_0, s_1, x, y) = [s_0, \widehat{M}_2(s_0, s_1, x, y)]^T$ . Starting with the points

$$S = \left\{ \left( \frac{1}{p_1 + p_2 s}, \frac{s}{p_1 + p_2 s}, x, y \right) \ \middle| \ (s, x, y) \in W \right\},\$$

we first compute the finite endpoints of the homotopy H from (1) modified to deform from  $[M, P]^T$  to  $[\widehat{M}, P]^T$  and then use those as start points as we deform from  $[\widehat{M}, P]^T$ to  $[M_0, P]^T$ . We can use the resulting finite endpoints to produce a witness set for the curves in  $\mathcal{V}_{\mathcal{P}} \cap \mathcal{E}$ . In particular, the first had two finite endpoints while only one of the two paths converged for the second. This endpoint corresponds to the point  $(0, 1, x^*, 0) \in \mathbb{P}^1 \times \mathbb{C}^2$  where  $x^* \in \mathbb{C}$ . This point projects to  $(x^*, 0)$  under  $\pi_{\mathcal{P}}$  (as defined in §3.1) which forms a witness point set for the line in  $\overline{\pi(V)} \setminus \pi(V)$ , namely  $\mathcal{V}(y)$ .

#### 4.3 Lüroth hypersurface

Classically, a plane quartic is called a Lüroth quartic if it contains the ten vertices of a complete pentalateral [13]. The closure of the set of classical Lüroth quartics is a hypersurface  $\mathcal{H}$  in the space of plane quartics, called the Lüroth hypersurface, that was showed by Morley in 1919 to have degree 54 [15]. The degree 54 polynomial equation defining this hypersurface is called the Lüroth invariant and, according to Ottaviani [16], it is still unknown. Without the defining equation, deciding if a given quartic lies on the Lüroth hypersurface requires another approach. The approach in [16] provides a partial test which builds on classical results of White and Miller [24]. We will use a pseudo-witness set for  $\mathcal{H}$  and the membership test of §2.1 to provide a complete test for deciding if a given plane quartic lies on  $\mathcal{H}$  by tracking at most 54 homotopy paths.

**Pseudo-witness set construction:** We construct a pseudo-witness set for  $\mathcal{H}$  by first identifying the space of plane quartics with  $\mathbb{P}^{14}$  so that  $\mathcal{H} \subset \mathbb{P}^{14}$ . The set  $\mathcal{H}$  is the closure of the set of plane quartics Q for which there exists nonzero linear polynomials

j	$ C_{Q_j} $	$Q_j \in C_{Q_j}$ ?	$ P_{Q_j} $	$Q_j \in P_{Q_j}$ ?	Result from Lemma 1
1	54	No	54	No	$Q_1 \notin \mathcal{H}$
2	54	No	54	No	$Q_2 \notin \mathcal{H}$
3	54	No	54	No	$Q_3 \notin \mathcal{H}$
4	54	No	54	No	$Q_4 \notin \mathcal{H}$
5	54	Yes	54	Yes	$Q_5 \in \mathcal{H}$
6	38	No	39	Yes	$Q_6 \in \mathcal{H}$

Table 3: Summary of membership in the hypersurface of Lüroth quartics

 $\ell_j$  for  $j = 1, \ldots, 5$  such that

$$Q = \mathcal{V}\left(\sum_{\substack{j=1\\k\neq j}}^{5} \prod_{\substack{k=1\\k\neq j}}^{5} \ell_k\right).$$

This parameterization allows us to use Lemma 3 of [7] to confirm that  $\mathcal{H}$  is a hypersurface and compute a pseudo-witness set for  $\mathcal{H}$  using Bertini [2]. From this pseudo-witness set, we are able to verify Morley's result that the degree of  $\mathcal{H}$  is 54 and, following Remark 2, we chose 54 points from the pseudo-witness point set that correspond to distinct quartics to be used as the starting points for our basic membership test.

**Basic membership test:** We applied the basic membership test of §2.1 to the quartics  $Q_i = \mathcal{V}(q_i)$  defined by the following polynomials:

- $q_1 = (x^2 + y^2 + z^2)^2;$
- (Edge quartic [6, 17])  $q_2 = 25(x^4 + y^4 + z^4) 34(x^2y^2 + x^2z^2 + y^2z^2);$
- (Klein quartic [16, §5])  $q_3 = x^3y + y^3z + z^3x;$
- (Vinnikov curve [17, Ex. 4.1])  $q_4 = 2x^4 + y^4 + z^4 3x^2y^2 3x^2z^2 + y^2z^2;$
- ([16, §5])  $q_5 = xyz(x+y+z) + (x+2y+3z)(xyz+(xy+xz+yz)(x+y+z))$ ; and
- $q_6 = x^3y + x^2z^2 + xz^3$ .

Table 3 summarizes the results of this test. Here, the sets  $C_{Q_j}$  and  $P_{Q_j}$  are the sets as in §2.1 arising from this basic membership test. We note that since  $\mathcal{H} \subset \mathbb{P}^{14}$ , compactness yields that  $P_{Q_j}$ , as a list, must consist of 54 points. However, in the j = 6 case, 16 of these points coincided with  $Q_6$ .

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