

# Synthesis of Supervisors Enforcing General Linear Vector Constraints in Petri Nets

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## Abstract

This paper considers the problem of enforcing linear constraints containing marking terms, firing vector terms, and Parikh vector terms. Such constraints increase the expressivity power of the linear marking constraints. We show how this new type of constraints can be enforced in Petri nets. In the case of fully controllable and observable Petri nets, we give the construction of a supervisor enforcing such constraints. In the case of Petri nets with uncontrollable and/or unobservable transitions, we reduce the supervisor synthesis problem to enforcing linear marking constraints on a transformed Petri net.

## 1 Introduction

In this paper we consider a supervisory control problem for discrete event systems modeled as Petri nets, in which we desire to enforce a certain type of specifications. Thus we have a plant which is abstracted as a Petri net (PN), and a specification on the behavior of the PN plant. We desire to find a supervisor such that the closed-loop of the plant and the supervisor satisfies the specification. We restrict our attention to supervisors which can be represented as PNs, and to specifications in the form of conjunctions of linear inequalities involving the marking, the firing vector and the Parikh vector of the plant PN. We describe such specifications next.

Efficient methods have been proposed in [1, 5, 4, 7] for the synthesis of supervisors enforcing that the marking  $\mu$  of a PN satisfies constraints of the form

$$L\mu \leq b \quad (1)$$

The methods address both the fully controllable and observable PNs and the PNs which may have uncontrollable and unobservable transitions. The constraints (1) have been extended in [4, 7] to the form

$$L\mu + Hq \leq b \quad (2)$$

which adds a firing vector term. In such constraints an element  $q_i$  of the firing vector  $q$  is set to 1 if the transition  $t_i$  is to be fired next; else  $q_i = 0$ . Without loss of generality,  $H$  has been assumed to have nonnegative elements. In this paper we consider constraints which add to (2) a Parikh vector term:

$$L\mu + Hq + Cv \leq b \quad (3)$$

In (3)  $v$  is the Parikh vector, that is  $v_i$  counts how often the transition  $t_i$  has fired since the initial marking  $\mu_0$ . As an example, Parikh vector constraints can be used to describe fairness requirements, such as the constraint that the difference between the number of firings of two transitions is limited by one. Adding the Parikh vector term in (3) increases the expressivity power of linear constraints. In fact, any supervisor implemented as additional places connected to the transitions of a plant PN can be represented by constraints of the form

$$Hq + Cv \leq b \quad (4)$$

The contribution of this paper is as follows. In sections 2 and 3 we show that any place of a PN can be seen as a supervisor place enforcing a constraint of the form (4). Previously this property was known for constraints of the form  $Cv \leq b$  and PNs without self-loops [3]. Then we show how to obtain supervisors enforcing constraints (3) in PNs. We first give the solution for the case of fully controllable and observable PNs in section 4. Then, in section 5 we turn our attention to PNs which may have uncontrollable and unobservable transitions. There we first define admissible constraints as the constraints for which the method for fully controllable and observable PNs can still be used. Then, by using net transformations, we reduce our problem to the supervisory synthesis problem for constraints of the form (1), for which effective methods exist. Our approach also extends the indirect method of [4] on enforcing constraints (2), as both coupled and uncoupled constraints can be considered. Finally, an example is given in section 6.

In the literature, Parikh vector constraints and marking constraints have been separately considered for vector DES (VDES) in [3]. The VDES considered in [3] correspond to PNs without self-loops. It has been shown there how to construct the optimal controller via integer programming. A less computationally burdensome approach, however not always optimal, has been

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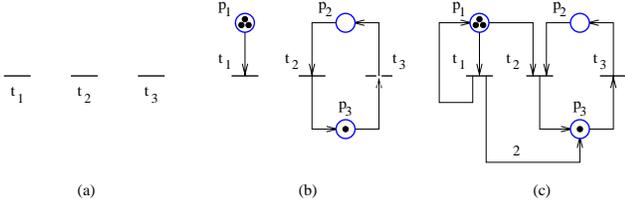


Figure 1: Petri nets for Example 2.1

given in [5, 4], which considers marking constraints and firing vector constraints. This paper extends some of the approaches of [5, 4] by including the Parikh vector constraints of [3].

## 2 Algebraic Representations of PNs

We denote a PN structure by  $\mathcal{N} = (P, T, F, W)$ , where  $P$  is the set of places,  $T$  the set of transitions,  $F$  the set of transition arcs, and  $W$  the weight function. We also denote by  $D$  the incidence matrix, and by  $D^+$  and  $D^-$  its components corresponding to weights of arcs from transitions to places, and weights of arcs from places to transitions, respectively. The common algebraic PN representation is via the following state equation:

$$\mu = \mu_0 + Dv \quad (5)$$

where  $\mu_0$  is the initial marking. The operation of a PN can also be described through inequalities of the form (4). Indeed, from (5) we derive:

$$(-D)v \leq \mu_0 \quad (6)$$

Let  $C = -D$ . The inequality  $Cv \leq \mu_0$  determines the operation of a PN only if the net has no self-loops. To deal with self-loops, an additional term is introduced:

$$Hq + Cv \leq \mu_0 \quad (7)$$

where

$$H_{i,j} = \begin{cases} D_{i,j}^+ & \text{if } D_{i,j}^- \neq 0 \\ 0 & \text{otherwise} \end{cases} \quad (8)$$

Note that  $H_{i,j} \geq 0$  for all  $i$  and  $j$ . The vector  $q$  has the following meaning. After we fire from  $\mu_0$  a sequence  $\sigma$  of Parikh vector  $v$ , the transition  $t_i$  is enabled iff  $Hq^{(i)} + C(v + q^{(i)}) \leq \mu_0$ , where  $q^{(i)}$  is a vector  $q$  with zero elements except for the  $i$ 'th one, which is one.

**Example 2.1** Consider the PNs of Figure 1. The PN (a) is not restricted: the firings of  $t_1$ ,  $t_2$  and  $t_3$  are free. Therefore  $H$  and  $C$  are empty matrices. However, by adding the places  $p_1$ ,  $p_2$  and  $p_3$  as in the PN (b), the following inequalities appear in (7):

$$v_1 \leq 3 \quad (9)$$

$$v_2 - v_3 \leq 0 \quad (10)$$

$$-v_2 + v_3 \leq 1 \quad (11)$$

Figure 2: Illustrative example.

where the inequalities are generated by  $p_1$ ,  $p_2$ , and  $p_3$ , respectively. The inequalities of the PN (c) are:

$$q_1 + v_2 \leq 3 \quad (12)$$

$$v_2 - v_3 \leq 0 \quad (13)$$

$$-2v_1 - v_2 + v_3 \leq 1 \quad (14)$$

Note that both  $\mu$  and  $v$  can describe the state of a PN. We choose to denote by  $\mathcal{R}(\mathcal{N}, \mu_0)$  all pairs  $(\mu, v)$  such that  $\mu_0 \xrightarrow{\sigma} \mu$ , and the Parikh vector of the firing sequence  $\sigma$  is  $v$ .

## 3 Enforcing Generalized Linear Constraints

In this paper, a **supervisor** of a PN  $\mathcal{N} = (P, T, F, W)$  is the PN implementation of a map  $\Xi : \mathcal{M} \rightarrow 2^T$  for some<sup>1</sup>  $\mathcal{M} \subseteq \mathbb{N}^{|P|} \times \mathbb{N}^{|T|}$ . For simplicity, the supervisor is also denoted by  $\Xi$ . A supervisor  $\Xi$  restricts the operation of a Petri net  $\mathcal{N}$  by forbidding all transitions  $t \notin \Xi(\mu, v)$  to fire, where  $(\mu, v)$  is the PN state. A PN  $(\mathcal{N}, \mu_0)$  and a supervisor  $\Xi$  are in **closed-loop** if  $\Xi$  supervises  $(\mathcal{N}, \mu_0)$ ; the closed-loop is denoted by  $(\mathcal{N}, \mu_0, \Xi)$ . Given  $(\mathcal{N}, \mu_0, \Xi)$ , we denote the set of all reachable states  $(\mu, v)$  by  $\mathcal{R}(\mathcal{N}, \mu_0, \Xi)$ .

We desire to enforce constraints of the general form (3). Form (3) is more expressive than form (2). Indeed, consider the closed-loop PN of Figure 2. There is no place invariant involving the *control place*  $C$ , so  $C$  cannot be obtained by enforcing (2) [4]. However the following constraint of the form (3) describes  $C$ :

$$-v_1 + v_2 + v_3 \leq 1$$

In fact, as shown in the previous section, every place of a PN can be seen as a control place restricting the firings of the net transitions.

We say that a supervisor  $\Xi$  **enforces** (3) on a PN  $(\mathcal{N}, \mu_0)$  if  $\forall (\mu, v) \in \mathcal{R}(\mathcal{N}, \mu_0, \Xi)$ : (3) is satisfied. We say that  $\Xi$  **optimally enforces** (3) if  $\forall (\mu, v) \in \mathcal{R}(\mathcal{N}, \mu_0, \Xi)$ : (a)  $\Xi$  is defined at  $(\mu, v)$ , and (b) a transition  $t_i$  enabled in the plant  $(\mathcal{N}, \mu)$  is disabled by  $\Xi$  at  $(\mu, v)$  (i.e.  $t_i \notin \Xi(\mu, v)$ ) iff firing  $t_i$  leads to a state  $(\mu', v')$  such that  $L\mu' + Cv' \not\leq b$  or  $L\mu + Hq^{(i)} + Cv \not\leq b$ , where  $q^{(i)}$  is the vector  $q$  corresponding to firing  $t_i$ .

<sup>1</sup> $|X|$  denotes the number of elements of  $X$ .

## 4 Supervisor synthesis in the fully controllable and observable case

This section describes the synthesis of the optimal supervisor enforcing constraints (3) in PNs in which all transitions are controllable and observable. The optimal supervisor is obtained by extending the formulas given in [6] for constraints of the form (2). Let

$$D_{lc}^+ = \max(0, -LD - C) \quad (15)$$

$$D_{lc}^- = \max(0, LD + C) \quad (16)$$

The supervisor is given by the incidence matrices:

$$D_c^+ = D_{lc}^+ + \max(0, H - D_{lc}^-) \quad (17)$$

$$D_c^- = \max(D_{lc}^-, H) \quad (18)$$

The initial marking of the supervisor is:

$$\mu_{c0} = b - L\mu_0 \quad (19)$$

where  $\mu_0$  is the initial marking of the plant. Note that in equations (15–18) the operator  $\max$  is defined as follows. If  $A$  is a matrix,  $B = \max(0, A)$  is the matrix of elements  $B_{ij} = 0$  for  $A_{ij} < 0$ , and  $B_{ij} = A_{ij}$  for  $A_{ij} \geq 0$ . Furthermore, for two matrices  $A$  and  $B$  of the same size,  $C = \max(A, B)$  is the matrix of elements  $C_{ij} = \max(A_{ij}, B_{ij})$ .

Note that equations (17), (18) and (19) define a supervisor which can be represented as a PN of incidence matrices  $D_c^+$  and  $D_c^-$ , and with initial marking  $\mu_{c0}$ . We call the places of the supervisor **control places**.

**Theorem 4.1** *The supervisor defined by the incidence matrices  $D_c^+$  and  $D_c^-$  of (17) and (18) and of initial marking given by (19), optimally enforces (3).*

The theorem can be proved by verifying that in the closed-loop net (which has the incidence matrices  $[D^{+T}, D_c^{+T}]^T$ ,  $[D^{-T}, D_c^{-T}]^T$  and the initial marking  $[\mu_0^T, \mu_{c0}^T]^T$ ), a control place prevents a transition  $t$  to fire iff firing  $t$  violates (3). To this end it can be proven by induction that

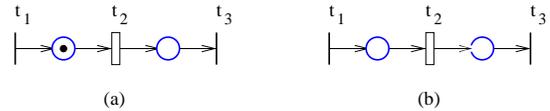
$$\mu_c = b - Cv - L\mu \quad (20)$$

Note that the supervisors we build for (3) may not create a place invariant in the closed-loop net.

## 5 Supervisor synthesis in the case of PNs with uncontrollable and/or unobservable transitions

### 5.1 Admissibility

A transition is uncontrollable if the supervisors are not given the ability to directly inhibit it. A transition is unobservable if the supervisors are not given the ability



**Figure 3:** Uncontrollability/unobservability illustration.

to directly detect its firing. In our paradigm the supervisors observe transition firings, not markings. For instance, consider the PN of Figure 3. Assume first that  $t_1$  is controllable and  $t_2$  is uncontrollable. Then, in case (a)  $t_2$  cannot be directly inhibited; it will eventually fire. However, in case (b)  $t_2$  can be indirectly prevented to fire by inhibiting  $t_1$ . Now assume that  $t_2$  is unobservable and  $t_3$  is observable. This means that we cannot detect when  $t_2$  fires. In other words, the state of a supervisor is not changed by firing  $t_2$ . However, we can indirectly detect that  $t_2$  has fired by detecting the firing of  $t_3$ .

We are interested in *admissible* constraints, that is constraints which can be optimally enforced as in section 4, in spite of our inability to detect or control certain transitions. We formally define admissibility as follows.

**Definition 5.1** *Let  $(\mathcal{N}, \mu_0)$  be a PN. Assume that we desire to enforce a set of constraints (3). Consider the supervisor defined by (17), (18), and (19). We say that the constraints (3) are **admissible** if for all reachable states  $(\mu, v)$  of the closed-loop net it is true that:*

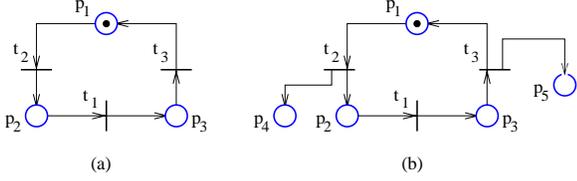
1. *If  $t$  is uncontrollable and  $t$  is enabled by<sup>2</sup>  $\mu|_{\mathcal{N}}$  in  $\mathcal{N}$ , then  $t$  is enabled by  $\mu$  in the closed-loop net.*
2. *If  $t$  is unobservable and  $t$  is enabled by  $\mu$ , firing  $t$  does not change the marking of the control places.*

Note that condition 2 in the definition corresponds to the requirement that the unobservable transitions which are not dead at the initial marking of the closed-loop net, have null columns in  $D_c = D_c^+ - D_c^-$  (where  $D_c^+$  and  $D_c^-$  are defined in (17) and (18)). For general PNs it may not be easy to check whether a constraint is admissible. A computationally simple test is given in the following sufficient condition. Let  $D_{c,uc}^-$  be the restriction of  $D_c^-$  to the columns of the uncontrollable transitions. Let  $D_{c,uo}$  be the restriction of  $D_c$  to the columns of the unobservable transitions.

**Proposition 5.1** *The constraints (3) are admissible at all initial markings if  $D_{c,uo}$  and  $D_{c,uc}^-$  are null matrices.*

The condition  $D_{c,uo} = 0$  ensures that for any uncontrollable transition, a control place is either not connected to it, or is connected to it with input and output arcs of equal weight. The condition  $D_{c,uc}^- = 0$  ensures that no control place is in the preset of an uncontrollable transition.

<sup>2</sup>We denote by  $\mu|_{\mathcal{N}}$  the restriction of  $\mu$  to the places of  $\mathcal{N}$ .



**Figure 4:** Illustration of the C-transformation.

## 5.2 Transformations to admissible constraints

When a constraint is admissible, it can be enforced as in section 4. However, when a constraint is not admissible or we cannot discern whether it is admissible, we are interested to transform it to a form which we know is admissible. Thus we have the following problem. Given a set of constraints (3) on a PN  $(\mathcal{N}, \mu_0)$ , find a set of admissible constraints

$$L_a \mu + H_a q + C_a v \leq b_a \quad (21)$$

so that if  $\Xi$  is a supervisor optimally enforcing (21) on  $(\mathcal{N}, \mu_0)$ , then  $\forall (\mu, v) \in \mathcal{R}(\mathcal{N}, \mu_0, \Xi)$ : (3) is satisfied.

In section 5.5 we consider a transformation approach in which we transform the PN such that the constraints (3) are mapped into marking constraints. Then the marking constraints can be transformed to admissible constraints by using any of the approaches in [5]. First we define the PN transformations we use.

### 5.3 The C-Transformation

We illustrate the idea of the transformation on an example. Assume that we desire to enforce the constraint below on the PN of Figure 4(a)

$$\mu_1 + q_1 + v_2 - v_3 \leq 3 \quad (22)$$

By transforming the net as in Figure 4(b), (22) can be written without referring to  $v$ :

$$\mu_1 + q_1 + \mu_4 - \mu_5 \leq 3 \quad (23)$$

We say that the PN of Figure 4(b) and the constraint (23) are the C-transformation of the PN of Figure 4(a) and of (22).

The inverse C-transformation is also possible. Given the constraint

$$\mu_1 - 3\mu_4 + 2\mu_5 + q_1 \leq 5 \quad (24)$$

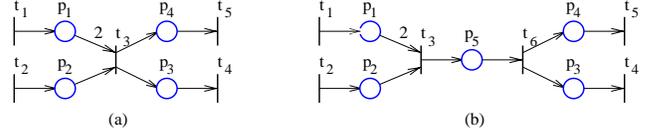
on the PN of Figure 4(b), we can map it to

$$\mu_1 + q_1 - 3v_2 + 2v_3 \leq 5 \quad (25)$$

in the original PN. We proceed next to formally define the direct and inverse transformations.

### The C-Transformation

**Input:** The PN  $\mathcal{N} = (P, T, F, W)$ , the constraints  $L\mu + Hq + Cv \leq b$ , and optionally the initial marking  $\mu_0$ .



**Figure 5:** Example for the H-transformation.

**Output:** The C-transformed PN  $\mathcal{N}_C = (P_C, T, F_C, W_C)$ , the C-transformed constraint  $L_C \mu_C + Hq \leq b$ , and the initial marking  $\mu_{0C}$  of  $\mathcal{N}_C$ .

1. Initialize  $\mathcal{N}_C$  to equal  $\mathcal{N}$ ,  $L_C$  to  $L$ , and let  $k = |P|$ .
2. For  $i = 1$  to  $|T|$ 
  - 2.a. If  $C_i$ , the  $i$ 'th column of  $C$ , is not zero
    - 2.a.i. Set  $k = k + 1$
    - 2.a.ii. Add a new place  $p_k$  to  $\mathcal{N}_C$  such that  $p_k \bullet = \emptyset$  and  $\bullet p_k = \{t_i\}$ .
    - 2.a.iii. Set  $L_C = [L_C, C_i]$  and  $\mu_{0C} = [\mu_{0C}^T, 0]^T$ .

### The $C^{-1}$ -Transformation

**Input:** The PN  $\mathcal{N} = (P, T, F, W)$ , the C-transformed net  $\mathcal{N}_C = (P_C, T, F_C, W_C)$ , and a set of constraints  $L_C \mu_C + Hq \leq b$  on  $\mathcal{N}_C$ .

**Output:** The constraints  $L\mu + Hq + Cv \leq b$ .

1. Set  $L$  to  $L_C$  restricted to the first  $|P|$  columns and  $C$  to be a null matrix.
2. For  $i = |P| + 1$  to  $|P_C|$ 
  - 2.a. Let  $j$  be the transition index such that  $\bullet p_i = \{t_j\}$ .
  - 2.b. Set  $C_j = L_{C,i}$ .<sup>3</sup>

### 5.4 The H-transformation

This transformation is a modification of the indirect method for enforcing firing vector constraints in [5]. We illustrate it on an example. Consider the PN of Figure 5(a). Assume that we desire to enforce

$$\mu_1 + \mu_2 + 2\mu_3 + q_3 \leq 5 \quad (26)$$

Then we transform the PN as shown in Figure 5(b). The transformation adds a place and a transition which correspond to the factor  $q_3$ . The transformed constraint is

$$\mu_1 + \mu_2 + 2\mu_3 + 4\mu_5 \leq 5 \quad (27)$$

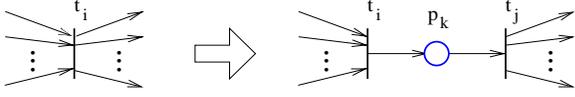
where the term  $4\mu_5$  is obtained as follows. Consider firing  $t_3$  in the transformed net. If  $\mu \xrightarrow{t_3} \mu'$  and  $a$  is the coefficient of  $\mu_5$ , we desire

$$a + \mu'_1 + \mu'_2 + 2\mu'_3 = 1 + \mu_1 + \mu_2 + 2\mu_3$$

where the factor 1 is the coefficient of  $q_3$  in (26). Thus we obtain  $a = 4$ .

Next we formally define the H-transformation.

<sup>3</sup> $C_j/L_{C,i}$  is the column  $j/i$  of  $C/L_C$ .



**Figure 6:** Illustration of the transition split operation.

### The H-Transformation

**Input:** The PN  $\mathcal{N} = (P, T, F, W)$ , the constraints  $L\mu + Hq \leq b$ , and optionally the initial marking  $\mu_0$ .

**Output:** The H-transformed PN  $\mathcal{N}_H = (P_H, T_H, F_H, W_H)$ , the H-transformed constraint  $L_H\mu_H \leq b$ , and the initial marking  $\mu_{0H}$  of  $\mathcal{N}_H$ .

1. Initialize  $\mathcal{N}_H$  to equal  $\mathcal{N}$ ,  $L_H$  to  $L$ , and let  $j = |T|$  and  $k = |P|$ .
2. For  $i = 1$  to  $|T|$ 
  - 2.a. If  $H_i$ , the  $i$ 'th column of  $H$ , is not zero
    - 2.a.i. Set  $j = j + 1$  and  $k = k + 1$ .
    - 2.a.ii. Add a new place  $p_k$  and a new transition  $t_j$  to  $\mathcal{N}_H$  as in Figure 6, where  $t_j$  has the same controllability and observability attributes as  $t_i$ .
    - 2.a.iii. Set  $L_H = [L_H, H_i + LD_i^-]$  and  $\mu_{0H} = [\mu_{0H}^T, 0]^T$ , where  $D_i^-$  is the  $i$ 'th column of  $D^-$ , and  $D^-$  corresponds to  $\mathcal{N}$ .

### The $H^{-1}$ -Transformation

**Input:** The PN  $\mathcal{N} = (P, T, F, W)$ , the H-transformed net  $\mathcal{N}_H = (P_H, T_H, F_H, W_H)$ , and a set of constraints  $L_H\mu_H \leq b$  on  $\mathcal{N}_H$ .

**Output:** The constraints  $L\mu + Hq \leq b$ .

1. Set  $L$  to  $L_H$  restricted to the first  $|P|$  columns and  $H$  to be a null matrix.
2. For  $k = |P| + 1$  to  $|P_H|$ 
  - 2.a. Let  $i$  be the transition index such that  $\bullet p_k = \{t_i\}$ .
  - 2.b. Set  $H_i = L_{H,k} - L_H D_{H,i}^-$ .<sup>4</sup>

### 5.5 Algorithm for the transformation to admissible constraints

We can use the C- and H-transformations to obtain admissible constraints as follows.

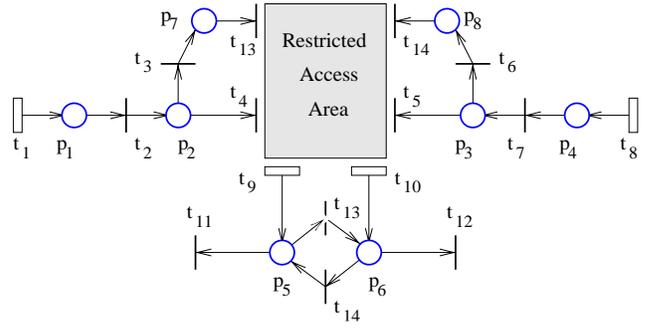
**Input:** A PN  $\mathcal{N}$ , constraints  $L\mu + Hq + Cv \leq b$ , and optionally<sup>5</sup> an initial marking  $\mu_0$ .

**Output:** Admissible constraints  $L_a\mu + H_aq + C_av \leq b_a$

1. Initialize  $L_a$  to  $L$ ,  $H_a$  to  $H$ , and  $C_a$  to  $C$ .
2. Apply the C-transformation. Let  $\mathcal{N}_C, L_C\mu_C + Hq \leq b$ , and  $\mu_{0C}$  be the C-transformed net, the constraints,

<sup>4</sup> $H_i/L_{H,k}/D_{H,i}^-$  is the column  $i/k/i$  of  $H/L_H/D_H^-$ , and  $D_H^-$  corresponds to  $\mathcal{N}_H$ .

<sup>5</sup>It is possible to carry out the algorithm independently of the initial marking.



**Figure 7:** Plant Petri net in the example.

and the initial marking, respectively.

3. Apply the H-transformation to  $\mathcal{N}_C$ ,  $L_C\mu_C + Hq \leq b$ , and  $\mu_{0C}$ . Let  $\mathcal{N}_{HC}, L_{HC}\mu_{HC} \leq b$ , and  $\mu_{HC0}$  be the H-transformed net, the constraints, and the initial marking, respectively.
4. Test whether  $L_{HC}\mu_{HC} \leq b$  is admissible. If so, exit, and declare  $L\mu + Hq + Cv \leq b$  admissible.
5. Transform  $L_{HC}\mu_{HC} \leq b$  to admissible constraints  $L_{HCa}\mu_{HC} \leq b_a$ , such that a supervisor optimally enforcing  $L_{HCa}\mu_{HC} \leq b_a$  also enforces  $L_{HC}\mu_{HC} \leq b$ .<sup>6</sup> In case of failure, exit and declare failure to find admissible constraints.
6. Apply the  $H^{-1}$ -transformation to  $L_{HCa}\mu_{HC} \leq b_a$ . Let  $L_{Ca}\mu_C + H_aq \leq b_a$  be the transformed constraint.
7. Apply the  $C^{-1}$ -transformation to  $L_{Ca}\mu_C + H_aq \leq b_a$ . Set  $L_a\mu + H_aq + C_av \leq b_a$  to the  $C^{-1}$ -transformed constraints.

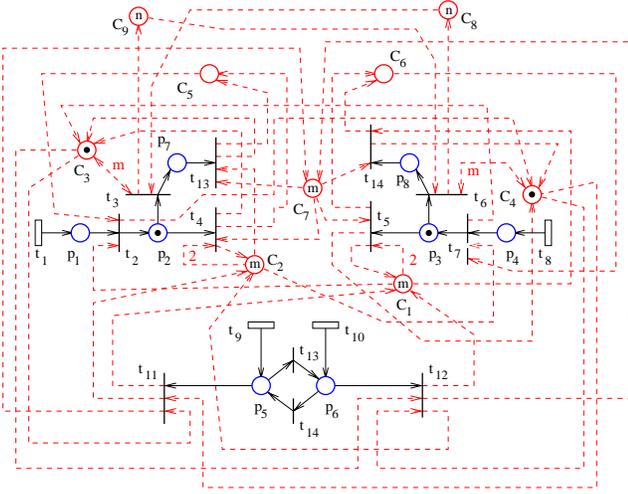
We prove the following result in [2].

**Theorem 5.1** *Assume that the algorithm does not fail at step 5. Then  $L_a\mu + H_aq + C_av \leq b_a$  is admissible, and a supervisor optimally enforcing it enforces also  $L\mu + Hq + Cv \leq b$ .*

## 6 Example

Consider the plant PN of Figure 7. It corresponds to a region of a factory cell in which autonomous vehicles (AV) access a restricted area (RA). The number of AVs which may be at the same time in the RA is limited. The AVs enter the RA from two directions: left and right; AVs coming on the left side enter via  $t_4$  or  $t_{13}$ , and AVs coming on the right side via  $t_5$  or  $t_{14}$ . The AVs exit the restricted area via  $t_9$  or  $t_{10}$ . The total marking of  $p_1, p_2$  and  $p_7$  corresponds to the number of left AVs waiting in line to enter the RA; only one AV should be in the states  $p_2$  and  $p_7$ , that is  $\mu_2 + \mu_7 \leq 1$ .

<sup>6</sup>Any of the approaches in [5, 4] can be used. Approaches generating disjunctive constraints can also be used by applying the steps 6 and 7 to each component of the disjunction.



**Figure 8:** Closed-loop Petri net.

The marking of  $p_3$ ,  $p_4$ , and  $p_8$  has a similar meaning.

Let  $m$  be the maximum number of AVs which can be at the same time in the RA; note that the number of AVs in the RA is  $v_{13} + v_{14} + v_4 + v_5 - v_9 - v_{10}$ . When the number of vehicles in the restricted area is  $m - 1$  and both a left and a right AV attempt to enter the restricted area (i.e. both  $\mu_2 + \mu_7 = 1$  and  $\mu_3 + \mu_8 = 1$ ), arbitration is required. When an AV is in  $p_2$  and no arbitration is required, it can enter the RA without stopping. When arbitration is required, it stops (enters the state  $p_7$ ) and waits the arbitration result. The same apply to  $p_3$  and  $p_8$ . We desire the following. When an AV enters the RA, if an arbitration was required to decide that it may enter, the AV should enter via  $t_{13}$  or  $t_{14}$ ; if no arbitration was required, it should enter via  $t_4$  or  $t_5$ . These constraints can be written as follows:

$$2q_5 + \mu_2 + \mu_7 \leq m - (v_{13} + v_{14} + v_4 + v_5 - v_9 - v_{10}) + 1 \quad (28)$$

$$2q_4 + \mu_3 + \mu_8 \leq m - (v_{13} + v_{14} + v_4 + v_5 - v_9 - v_{10}) + 1 \quad (29)$$

$$mq_3 \leq \mu_3 + \mu_8 + v_{13} + v_{14} + v_4 + v_5 - v_9 - v_{10} \quad (30)$$

$$mq_6 \leq \mu_2 + \mu_7 + v_{13} + v_{14} + v_4 + v_5 - v_9 - v_{10} \quad (31)$$

In addition we have the requirements that

$$\mu_2 + \mu_7 \leq 1 \quad (32)$$

$$\mu_3 + \mu_8 \leq 1 \quad (33)$$

The requirement on the maximum number of AVs in the RA is

$$v_{13} + v_{14} + v_4 + v_5 - v_9 - v_{10} \leq m \quad (34)$$

We add the fairness constraints

$$v_3 - v_6 \leq n \quad (35)$$

$$-v_3 + v_6 \leq n \quad (36)$$

As  $t_1, t_8, t_9, t_{10}$  are uncontrollable and  $t_9, t_{10}$  unobservable, the constraints (28–31) and (34) are inadmissible. They are transformed to<sup>7</sup>

<sup>7</sup>The constraints (30) and (31) cannot be transformed to (more restrictive) admissible constraints; (39) and (40) represent relaxed (and admissible) forms of (30) and (31).

$$2q_5 + \mu_2 + \mu_5 + \mu_6 + \mu_7 + v_{13} + v_{14} + v_4 + v_5 - v_9 - v_{10} \leq m + 1 \quad (37)$$

$$2q_4 + \mu_3 + \mu_5 + \mu_6 + \mu_8 + v_{13} + v_{14} + v_4 + v_5 - v_9 - v_{10} \leq m + 1 \quad (38)$$

$$mq_3 - \mu_3 - \mu_8 - \mu_5 - \mu_6 - (v_{13} + v_{14} + v_4 + v_5 - v_9 - v_{10}) \leq 0 \quad (39)$$

$$mq_6 - \mu_2 - \mu_7 - \mu_5 - \mu_6 - (v_{13} + v_{14} + v_4 + v_5 - v_9 - v_{10}) \leq 0 \quad (40)$$

$$v_{13} + v_{14} + v_4 + v_5 - v_9 - v_{10} + \mu_5 + \mu_6 \leq m \quad (41)$$

The closed-loop PN is shown next to the plant in Figure 8, where the control places  $C_1 \dots C_9$  correspond to the constraints (37), (38), (39), (40), (32), (33), (41), (35), and (36), in this order.

## 7 Conclusion

Enforcing linear marking and firing vector constraints can be done effectively in Petri nets. This paper has extended this class of constraints to include Parikh vector constraints. Then, we have shown how these more expressive constraints can be enforced as effectively as linear marking constraints. We have also enhanced the previous technique for enforcing firing vector constraints in the presence of uncontrollable and unobservable transitions. Our algorithms are software implemented.

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