

## Solutions to Homework # 1

**Hatcher, Chap. 0, Problem 4.** Denote by  $i_A$  the inclusion map  $A \hookrightarrow X$ . Consider a homotopy  $F : X \times I \rightarrow X$  such that

$$F_0 := \mathbb{1}_X, \quad F_1(X) \subset A, \quad F_t(A) \subset A.$$

We claim that  $g := F_1$  is a homotopy inverse of  $i_A$ , i.e.

$$g \circ i_A \simeq \mathbb{1}_A, \quad i_A \circ g \simeq \mathbb{1}_X.$$

To prove the first part consider the homotopy  $g_t = F_{1-t}|_A$ . Observe that

$$g_0 = g \circ i_A, \quad g_1 = F_0 \circ i_A = \mathbb{1}_A.$$

To prove the second part we consider the homotopy  $H_t = F_{1-t} : X \rightarrow X$ . Observe that  $F_1 = i_A \circ F_1$  since  $F_1(X) \subset A$ . On the other hand,  $F_0 = \mathbb{1}_X$ . □

**Hatcher, Chap. 0, Problem 5.** Suppose  $F : X \times I \rightarrow X$  is a deformation retraction of  $X$  onto a point  $x_0$ . This means

$$F_t(x_0) = x_0, \quad \forall t, \quad F_0 = \mathbb{1}_X, \quad F_1(X) = \{x_0\}.$$

We want to prove a slightly stronger statement, namely, that for any neighborhood  $U$  of  $x_0$  there exists a smaller neighborhood  $V \subset U$  of  $x_0$  such that  $F_t(V) \subset U, \forall t \in I$ .

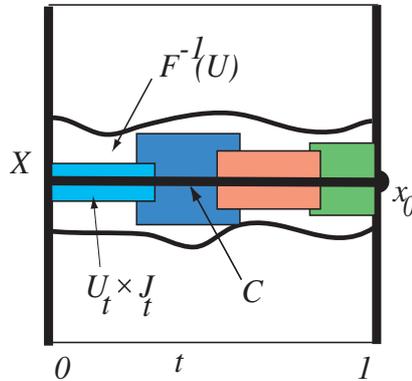


Figure 1: *Constructing contractible neighborhoods of  $x_0$ .*

Consider the pre-image of  $U$  via  $F$ ,

$$F^{-1}(U) = \left\{ (x, t) \in X \times I; \quad F_t(x) \in U \right\}.$$

Note that  $C := \{x_0\} \times I \subset F^{-1}(U)$  (see Figure 1).

For every  $t \in I$  we can find a neighborhood  $U_t$  of  $x_0 \in X$ , and a neighborhood  $J_t$  of  $t \in I$  such that (see Figure 1)

$$U_t \times J_t \subset F^{-1}(U).$$

The set  $C$  is covered by the family of open sets  $\{U_t \times J_t\}_{t \in I}$ , and since  $C$  is compact, we can find  $t_1, \dots, t_n \in I$  such that

$$C \subset \bigcup_k U_{t_k} \times J_{t_k}.$$

In particular, the set

$$V := \bigcap_k U_{t_k}$$

is an open neighborhood of  $x_0$ , and  $V \times I \subset F^{-1}(U)$ . This means  $F_t(V) \subset U, \forall t$ , i.e. we can regard  $F_t$  as a map from  $V$  to  $U$ , for any  $t$ .

If we denote by  $i_V$  the inclusion  $V \hookrightarrow U$  we deduce that the composition  $F_t \circ i_V$  defines a homotopy

$$F : V \times I \rightarrow U$$

between  $F_0 = i_V$  and  $F_1 =$  the constant map. In other words  $i_V$  is null-homotopic.  $\square$

**Hatcher, Chap. 0, Problem 9.** Suppose  $X$  is contractible and  $A \hookrightarrow X$  is a retract of  $X$ . Choose a retraction  $r : X \rightarrow A$ , and a contraction of  $X$  to a point which we can assume lies in  $A$

$$F : X \times I \rightarrow X, \quad F_0 = \mathbb{1}_X, \quad F_1(x) = a_0, \quad \forall x.$$

Consider the composition

$$G : A \times I \xrightarrow{i_A \times \mathbb{1}_I} X \times I \xrightarrow{F} X \xrightarrow{r} A.$$

This is a homotopy between the identity map  $\mathbb{1}_A$  and the constant map  $A \rightarrow \{a_0\}$ .  $\square$

**Hatcher, Chap. 0, Problem 14.** We denote by  $c_i$  the number of  $i$ -cells. In Figure 2 we have depicted three cell decompositions of the 2-sphere. The first one has

$$c_0 = 1 = c_2, \quad c_1 = 0.$$

The second one has

$$c_0 = n + 1, \quad c_1 = n, \quad c_2 = 1, \quad n > 0.$$

The last one has

$$c_0 = n + 1, \quad c_1 = n + k, \quad c_2 = k + 1, \quad k \geq 0.$$

Any combination of nonnegative integers  $c_0, c_1, c_2$  such that

$$c_0 - c_1 + c_2 = 2, \quad c_0, c_2 > 0$$

belongs to one of the three cases depicted in Figure 2.  $\square$

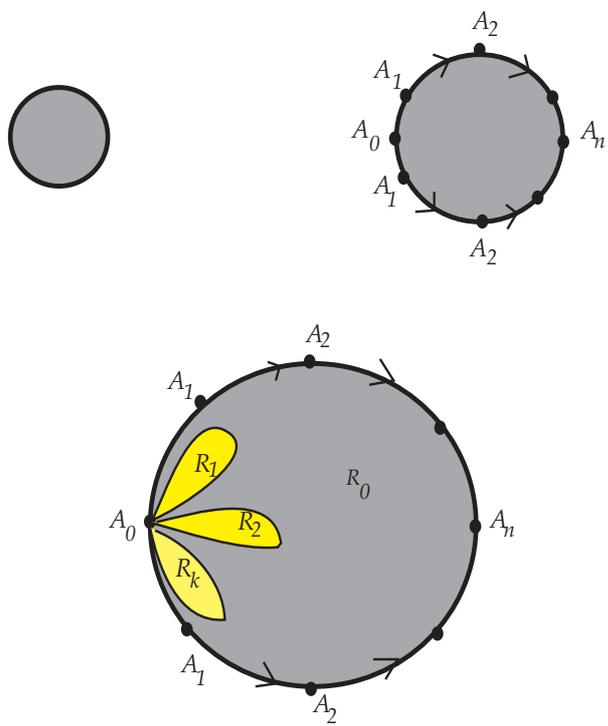


Figure 2: *Cell decompositions of the 2-sphere.*

## Solutions to Homework # 2

**Hatcher, Chap. 0, Problem 16.**<sup>1</sup> Let

$$\mathbb{R}^\infty := \bigoplus_{n \geq 1} \mathbb{R} = \left\{ \vec{x} = (x_k)_{k \geq 1}; \exists N : x_n = 0, \forall n \geq N \right\}.$$

We define a topology on  $\mathbb{R}^\infty$  by declaring a set  $S \subset \mathbb{R}^\infty$  closed if and only if,  $\forall n \geq 0$ , the intersection  $S$  of with the finite dimensional subspace

$$\mathbb{R}^n = \{(x_k)_{k \geq 1}; x_k = 0, \forall k > n\},$$

is closed in the Euclidean topology of  $\mathbb{R}^n$ . For each  $\vec{x} \in \mathbb{R}^\infty$  set

$$|\vec{x}| := \left( \sum_{k=0}^{\infty} x_k^2 \right)^{1/2}.$$

$S^\infty$  is homeomorphic to the “unit sphere” in  $\mathbb{R}^\infty$ ,  $S^\infty \cong \{\vec{x} \in \mathbb{R}^\infty; |\vec{x}| = 1\}$ .

Observe that  $S^\infty$  is a deformation retract of  $\mathbb{R}^\infty \setminus \{0\}$  so it suffices to show that  $\mathbb{R}^\infty \setminus \{0\}$  is contractible. Define  $F : \mathbb{R}^\infty \times [0, 1] \rightarrow \mathbb{R}^\infty$  by

$$(\vec{x}, t) \mapsto F_t(\vec{x}) = \left( (1-t)x_0, tx_0 + (1-t)x_1, tx_1 + (1-t)x_2, \dots \right)$$

Observe that  $F_t(\mathbb{R}^\infty \setminus \{0\}) \subset \mathbb{R}^\infty \setminus \{0\}$ ,  $\forall t \in [0, 1]$ .

Indeed, this is obviously the case for  $F_0$  and  $F_1$ . Suppose  $t \in (0, 1)$ , and  $F_t(\vec{x}) = 0$ . This means

$$x_0 = 0, \quad x_{k+1} = \frac{t}{t-1} x_k, \quad \forall k = 0, 1, 2, \dots,$$

so that  $\vec{x} = 0$ .

We have thus constructed a homotopy  $F : \mathbb{R}^\infty \setminus \{0\} \times I \rightarrow \mathbb{R}^\infty \setminus \{0\}$  between  $F_0 = \mathbb{1}$  and  $F_1 = S$ , the shift map,  $(x_0, x_1, x_2, \dots) \xrightarrow{S} (0, x_0, x_1, x_2, \dots)$ . It is convenient to write this map as  $\vec{x} \mapsto (0, \vec{x})$ .

Consider now the homotopy  $G : (0 \oplus \mathbb{R}^\infty \setminus \{0\}) \times I \rightarrow \mathbb{R}^\infty \setminus \{0\}$  given by

$$G_t(0, \vec{x}) = (t, (1-t) \cdot \vec{x}).$$

If we first deform  $\mathbb{R}^\infty \setminus \{0\}$  to  $0 \oplus \mathbb{R}^\infty \setminus \{0\}$  following  $F_t$ , and then to  $(1, 0) \in \mathbb{R}^\infty$  following  $G_t$ , we obtain the desired contraction of  $\mathbb{R}^\infty \setminus \{0\}$  to a point.  $\square$

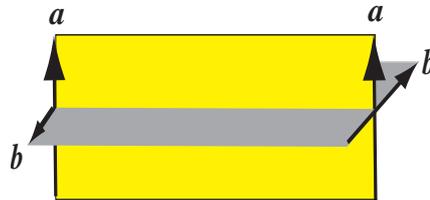


FIGURE 1. This CW-complex deformation retracts to both the cylinder (yellow) and the Möbius band (grey).

**Hatcher, Chap. 0, Problem 17.** (b) Such a CW complex is depicted in Figure 1. For part (a) consider a continuous map  $f : S^1 \rightarrow S^1$ . Fix a point  $a$  in  $S^1$ . A cell decomposition

<sup>1</sup>See Example 1.B.3 in Hatcher’s book.

is depicted in Figure 2. It consists of two vertices  $a, f(a)$ , three 1-cells  $e_0, e_1, t$ , and a single 2-cell  $C$ . The attaching map of  $C$  maps the right vertical side of  $C$  onto  $S^1 = e_1/\partial e_1$  via  $f$ .

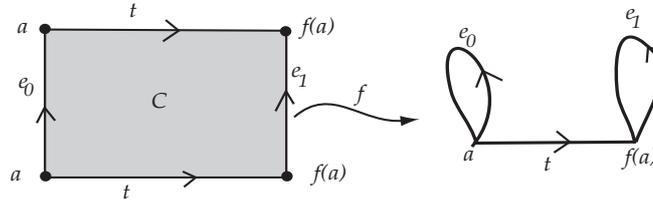


FIGURE 2. A cell decomposition of a map  $f : S^1 \rightarrow S^1$ .

□

**Hatcher, Chap. 0, Problem 22.** We investigate each connected component of the graph separately so we may as well assume that the graph is connected. We distinguish two cases.

**Case 1.** *The graph has vertices on the boundary of the half plane.* We can deform the graph inside the half-plane so that all its vertices lie on the boundary of the half-plane (see Figure 3). More precisely, we achieve this by collapsing the edges which connect *two* different vertices, and one of them is in the interior of the half-plane.

Rotating this collapsed graph we obtain a closed subset  $X$  of  $\mathbb{R}^3$  which is a finite union of sets of the type  $R$  or  $S$  as illustrated in Figure 3. More precisely, when an edge connecting different vertices is rotated, we obtain a region of type  $S$  which is a 2-sphere. When a loop is rotated, we obtain a region of type  $R$ , which is a 2-sphere with a pair of points identified.

Two regions obtained by rotating two different edges will intersect in as many points as the two edges. Thus, two regions of  $X$  can intersect in 0, 1 or 2 points. Using the arguments in Example 0.8 and 0.9 in **Hatcher** we deduce that  $X$  is a wedge of  $S^1$ 's and  $S^2$ 's.

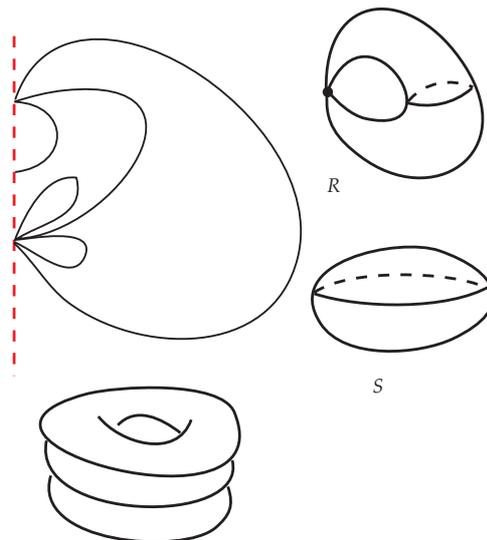


FIGURE 3. Rotating a planar graph.

**Case 2.** *There are no vertices on the boundary.* In this case the graph can be deformed inside the half plane to a wedge of circles. By rotating this wedge we obtain a space homotopic to collection of tori piled one on top another (see Figure 3).  $\square$

**Hatcher, Chap. 0, Problem 23.** Suppose  $A, B$  are contractible subcomplexes of  $X$  such that  $X = A \cup B$ , and  $A \cap B$  is also contractible. Since  $B$  is contractible we deduce  $X/B \simeq X$ . The inclusion  $A \hookrightarrow X$  maps  $A \cap B$  into  $B$ , and thus defines an injective continuous map

$$j : A/A \cap B \hookrightarrow X/B \simeq X.$$

Since  $X = A \cup B$ , the above map is a *bijection*. Note also that  $j$  maps closed sets to closed sets. From the properties of quotient topology we deduce that  $j$  is a *homeomorphism*.

Now observe that since  $A \cap B$  is contractible we deduce

$$A \simeq A/A \cap B$$

so that  $A/A \cap B$  is contractible.  $\square$

**Sec. 1.1, Problem 5.** (a)  $\implies$  (b) Suppose we are given a map  $f : S^1 \rightarrow X$ . We want to prove that it extends to a map  $\tilde{f} : D^2 \rightarrow X$ , given that  $f$  is homotopic to a constant. Consider a homotopy

$$F : S^1 \times I \rightarrow X, \quad F(e^{i\theta}, 0) = x_0 \in X, \quad F(e^{i\theta}, 1) = f(e^{i\theta}), \quad \forall \theta \in [0, 2\pi].$$

Identify  $D^2$  with the set of complex numbers of norm  $\leq 1$  and set

$$\tilde{f}(re^{i\theta}) = F(e^{i\theta}, r).$$

(b)  $\implies$  (c) Suppose  $f : (S^1, 1) \rightarrow (X, x_0)$  is a loop at  $x_0 \in X$  we want to show that  $[f] = 1 \in \pi_1(X, x_0)$ . From (b) we deduce that there exists  $\tilde{f} : (D^2, 1) \rightarrow (X, x_0)$  such that the diagram below is commutative.

$$\begin{array}{ccc} (S^1, 1) & \xleftarrow{i} & (D^2, 1) \\ & \searrow f & \downarrow \tilde{f} \\ & & (X, x_0) \end{array} .$$

We obtain the following commutative diagram of group morphisms.

$$\begin{array}{ccc} \pi_1(S^1, 1) & \xrightarrow{i_*} & \pi_1(D^2, 1) \\ & \searrow f_* & \downarrow \tilde{f}_* \\ & & \pi_1(X, x_0) \end{array} .$$

Since  $\pi_1(D^2, 1) = \{1\}$  we deduce that  $i_*$  is the trivial morphism so that  $f_* = \tilde{f}_* \circ i_*$  must be the trivial morphism as well.

The identity map  $\mathbf{1}_{S^1} : (S^1, 1) \rightarrow (S^1, 1)$  defines a loop on  $S^1$  whose homotopy class is a generator of  $\pi_1(S^1, 1)$ , and we have  $f_*([\mathbf{1}_{S^1}])$  is trivial in  $\pi_1(X, x_0)$ . This homotopy class is precisely the homotopy class represented by the loop  $f$ .

(c)  $\implies$  (a). Obvious. □

**Sec. 1.1, Problem 9.** Assume the sets  $A_i$  are *open, bounded and connected*.

Set

$$A := A_1 \cup A_2 \cup A_3, \quad V_i := \text{vol}(A_i).$$

For every unit vector  $\vec{n} \in S^2$  and every  $t$  we denote by  $H_{\vec{n}, t}^+$  the half space determined by the plane through  $t\vec{n}$ , of normal vector  $\vec{n}$ , and situated on the same side of this plane as  $\vec{n}$ . More precisely, if  $(\bullet, \bullet)$  denotes the Euclidean inner product in  $\mathbb{R}^3$ , then

$$H_{\vec{n}, t}^+ := \left\{ \vec{x} \in \mathbb{R}^3; \quad (\vec{x}, \vec{n}) \geq t \right\}.$$

Set

$$V_3^+(\vec{n}, t) := \text{vol}(A_3 \cap H_{\vec{n}, t}^+).$$

Observe that  $t \mapsto V_3^+(\vec{n}, t)$  is a continuous, non-increasing function such that

$$\lim_{t \rightarrow \infty} V_3^+(\vec{n}, t) = 0, \quad \lim_{t \rightarrow -\infty} V_3^+(\vec{n}, t) = V_3.$$

The intermediate value theorem implies that the level set

$$S_{\vec{n}} = \left\{ t \in \mathbb{R}; \quad V_3^+(\vec{n}, t) = \frac{1}{2}V_3 \right\}$$

is closed and bounded so it must be compact.  $t \mapsto V^+(\vec{n}, t)$  is non-increasing we deduce that  $S_{\vec{n}}$  must be a closed, bounded interval of the real line. Set

$$t_{\min}(\vec{n}) := \min S_{\vec{n}}, \quad T_{\max}(\vec{n}) := \max S_{\vec{n}}, \quad s(\vec{n}) = \frac{1}{2}(t_{\min}(\vec{n}) + T_{\max}(\vec{n})).$$

The numbers  $t(\vec{n})$ , and  $T(\vec{n})$  have very intuitive meanings. Think of the family of hyperplanes

$$H_t := \{\vec{x} \in \mathbb{R}^3; \quad (\vec{x}, \vec{n}) = t\}$$

as a hyperplane depending on time  $t$ , which moves while staying perpendicular to  $\vec{n}$ . For  $t \ll 0$  the entire region  $A_3$  will be on the side of  $H_t$  determined by  $\vec{n}$ , while for very large  $t$  the region  $A_3$  will be on the other side of  $H_t$ , determined by  $-\vec{n}$ . Thus there must exist moments of time when  $H_t$  divides  $A$  into regions of equal volume.  $t_{\min}(\vec{n})$  is the first such moment, and  $T_{\max}(\vec{n})$  is the last such moment. Observe that

$$T_{\max}(-\vec{n}) = -t_{\min}(\vec{n}), \quad t_{\min}(-\vec{n}) = -T_{\max}(\vec{n}), \quad s(-\vec{n}) = -s(\vec{n}).$$

Set

$$H_{\vec{n}}^+ := H_{\vec{n}, s(\vec{n})}^+.$$

Observe that  $H_{\vec{n}}^+$  and  $H_{-\vec{n}}^+$  are complementary half-spaces.

**Lemma 1.**  $S_{\vec{n}}$  consists of a single point so that  $t_{\min}(\vec{n}) = T_{\max}(\vec{n}) = s(\vec{n})$ .

**Lemma 2.** The map  $S^2 \ni \vec{n} \rightarrow s(\vec{n}) \in \mathbb{R}$  is continuous

We will present the proofs of these lemmata after we have completed the proof of the claim in problem 9.

Set

$$V_i^+(\vec{n}) = \text{vol}(A_i \cap H_{\vec{n}}^+), \quad i = 1, 2, 3.$$

We need to prove that there exists  $\vec{n} \in S^2$  such that

$$V_i^+(\vec{n}) = \frac{1}{2}V_i, \quad i = 1, 2, 3.$$

Note that  $V_3^+(\vec{n}) = \frac{1}{2}V_3$  so we only need to find  $\vec{n}$  such that

$$V_i^+(\vec{n}) = \frac{1}{2}V_i, \quad i = 1, 2.$$

Define

$$f : S^2 \rightarrow \mathbb{R}^2, \quad f(\vec{n}) := \left( V_1^+(\vec{n}) + V_2^+(\vec{n}), V_1^+(\vec{n}) \right).$$

$H_{\vec{n}}^+$  and  $H_{-\vec{n}}^+ = \mathbb{R}^3$  are complementary half spaces so that

$$V_i^+(\vec{n}) + V_i^+(-\vec{n}) = \text{vol}(A_i), \quad i = 1, 2, 3. \quad (1)$$

Lemma 2 implies that  $f$  is continuous, and using the Borsuk-Ulam theorem we deduce that there exists  $\vec{n}_0$  such that

$$f(\vec{n}_0) = f(-\vec{n}_0).$$

The equality (1) now implies that

$$V_1^+(\vec{n}_0) + V_2^+(\vec{n}_0) = \frac{1}{2} \left( \text{vol}(A_1) + \text{vol}(A_2) \right),$$

and

$$V_1^+(\vec{n}_0) = \frac{1}{2} \text{vol}(A_1).$$

These equalities imply that  $V_2^+(\vec{n}_0) = \frac{1}{2} \text{vol}(A_2)$ . □

**Proof of Lemma 1.** Observe that since the set  $A_3$  is compact we can find a sufficiently large  $R > 0$  such that

$$A_3 \subset B_R(0).$$

Set for brevity

$$G_{\vec{n}}(t) = V_3^+(\vec{n}, t).$$

Observe that for each  $\vec{n}$  we have

$$G_{\vec{n}}(t) = 0, \quad \forall t \geq R, \quad G_{\vec{n}}(t) = V_3, \quad \forall t \leq -R.$$

We claim that for every  $t \in S_{\vec{n}}$  there exists  $\varepsilon_t > 0$  such that  $\forall h \in (0, \varepsilon_t)$  we have

$$G_{\vec{n}}(t - h) > G_{\vec{n}}(t) > G_{\vec{n}}(t + h),$$

which shows that if  $S_{\vec{n}}$  were an interval then  $G_{\vec{n}}$  could not have a constant value ( $V_3/2$ ) along it.

Now observe that

$$G_{\vec{n}}(t - h) - G_{\vec{n}}(t) = \text{vol} \left( A_3 \cap \{ \vec{x}; t - h < (\vec{x}, \vec{n}) < t \} \right)$$

Now observe that the region  $A_3 \cap \{\vec{x}; t - h < (\vec{x}, \vec{n}) < t\}$  is open. Since  $A_3$  is connected we deduce that for every  $h$  sufficiently small it must be *nonempty* and thus it has *positive* volume. The inequality  $G_{\vec{n}}(t) > G_{\vec{n}}(t + h)$  is proved in a similar fashion.  $\square$

**Proof of Lemma 2.** We continue to use the same notations as above.

Suppose  $\vec{n}_k \rightarrow \vec{n}_0$  as  $k \rightarrow \infty$ . Set  $G_k := G_{\vec{n}_k}$ ,  $G_0 := G_{\vec{n}_0}$ . Note that

$$\lim_{k \rightarrow \infty} G_k(t) = G_0(t), \quad \forall t \in [-R, R] \quad (2)$$

On the other hand

$$\begin{aligned} |G_k(t + h) - G_k(t)| &= \text{vol} \left( A_3 \cap \{\vec{x}; t \leq (\vec{x}, \vec{n}_k) \leq t + h\} \right) \\ &\leq \text{vol} \left( B_R(0) \cap \{\vec{x}; t \leq (\vec{x}, \vec{n}_k) \leq t + h\} \right) \leq \pi R^2 h \end{aligned} \quad (3)$$

so that the family of functions  $(G_k)$  is equicontinuous. Using (2) we deduce from the Arzela-Ascoli theorem that the sequence of function  $G_k$  converges *uniformly* to  $G_0$  on  $[-R, R]$ .

Observe that the sequence  $t_{\min}(\vec{n}_k)$  lies  $[-R, R]$  so it has a convergent subsequence. Choose such a subsequence  $\tau_j := t_{\min}(\vec{n}_{k_j}) \rightarrow t_0 \in [-R, R]$ . Since the sequence  $G_{k_j}$  converges uniformly to  $G_0$  and

$$G_{k_j}(\tau_j) = V_3/2$$

we deduce<sup>1</sup>

$$G_0(t_0) = V_3/2,$$

so that  $t_0 \in S_{\vec{n}_0}$ . Since  $S_{\vec{n}}$  consists of a single point we deduce that for every convergent subsequence of  $t_{\min}(\vec{n}_k)$  we have

$$\lim_{j \rightarrow \infty} t_{\min}(\vec{n}_{k_j}) = t_{\min}(\vec{n}_0).$$

This proves the continuity of  $\vec{n} \mapsto s(\vec{n}) = t_{\min}(\vec{n})$ .  $\square$

**Sec. 1.1, Problem 16.** We argue by contradiction in each of the situations (a)-(f). Suppose there exists a retraction  $r : X \rightarrow A$ .

(a) In this case  $r_*$  would induce a surjection from the trivial group  $\pi_1(\mathbb{R}^3, p)$  to the integers  $\pi_1(S^1, p)$ .

(b) In this case  $r_*$  would induce a surjection from the infinite cyclic group  $\pi_1(S^1 \times D^2)$  to the direct product of infinite cyclic groups  $\pi_1(S^1 \times S^1)$ . This is not possible since

$$\text{rank } \pi_1(S^1 \times S^1) = 2 > 1 = \text{rank } \pi_1(S^1 \times D^2).$$

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<sup>1</sup>This also follows directly from (3) without invoking the Arzela-Ascoli theorem.

- (c) The inclusion  $i : A \hookrightarrow X$  induces the trivial morphism  $i_* : \pi_1(A) \rightarrow \pi_1(X)$ . Hence  $\mathbf{1}_{\pi_1(A)} = r_* \circ i_*$  is trivial. This is a contradiction since  $\pi_1(A)$  is not trivial.
- (d) Observe first that  $S^1$  is a retract of  $S^1 \vee S^1$  so that there exist surjections

$$\pi_1(S^1 \vee S^1) \twoheadrightarrow \pi_1(S^1).$$

In particular  $\pi_1(S^1 \vee S^1)$  is nontrivial so that there cannot exist surjections  $\pi_1(D^2 \vee D^2) \twoheadrightarrow \pi_1(S^1 \vee S^1)$ .

- (e) Let  $p, q$  be two distinct points on  $\partial D^2$ , and  $X = D^2 / \{p, q\}$ . Denote by  $x_0$  the point in  $X$  obtained by identifying  $p$  and  $q$ . The chord  $\tilde{C}$  connecting  $p$  and  $q$  defines a circle  $C$  on  $X$ .  $C$  is a deformation retract of  $X$  so that

$$\pi_1(X) \cong \pi_1(C) \cong \mathbb{Z}.$$

To prove that  $A$  is not a retract of  $X$  it suffices to show that  $\pi_1(S^1 \vee S^1)$  is not a quotient of  $\mathbb{Z}$ . We argue<sup>2</sup> by contradiction.

Suppose  $\pi_1(S^1 \vee S^1)$  is a quotient of  $\mathbb{Z}$ . Since there are surjections  $\pi_1(S^1 \wedge S^1) \rightarrow \mathbb{Z}$  we deduce that  $\pi_1(S^1 \vee S^1)$  must be isomorphic to  $\mathbb{Z}$ . In particular there exists *exactly two* surjections

$$\pi_1(S^1 \wedge S^1) \twoheadrightarrow \mathbb{Z}.$$

We now show that in fact there are infinitely many thus yielding a contradiction. We denote the two circles entering into  $S^1 \vee S^1$  by  $C_1$  and  $C_2$ . Since  $C_i$  is a deformation retract of  $C_1 \wedge C_2$  we deduce that  $[C_i]$  is an element of infinite order in  $\pi_1(C_1 \vee C_2)$ .

Denote by  $e_n : S^1 \rightarrow S^1$  the map  $\theta \mapsto e^{i\theta}$ . Fix homeomorphisms  $g_i : C_i \rightarrow S^1$  and define  $f_n : C_1 \rightarrow C_2$  by the composition

$$\begin{array}{ccc} C_1 & \xrightarrow{g_1} & S^1 \\ & \searrow f_n & \downarrow e_n \\ & & S^1 \end{array} \quad f_n := e_n \circ g_1.$$

Define  $r_n : C_1 \vee C_2 \rightarrow S^1$  by

$$r_n|_{C_1} = f_n, \quad r_n|_{C_2} = g_2 \tag{4}$$

Observe that

$$r_{n*}([C_1]) = [e_n] \in \pi_1(S^1), \quad r_{n*}([C_2]) = [e_1] \in \pi_1(S^1)$$

Using the isomorphism  $\mathbb{Z} \rightarrow \pi_1(S^1)$ ,  $n \mapsto [e_n]$  we deduce that  $r_{n*} \neq r_{m*}$  if  $n \neq m$ .

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<sup>2</sup>We can achieve this much faster invoking Seifert-vanKampen theorem.

(f) Observe first that  $\pi_1(X) \cong \mathbb{Z}$ , where the generator is the core circle  $C$  of the M3bus band.  $A$  is a circle so that  $\pi_1(A) \cong \mathbb{Z}$ . In terms of these isomorphisms the morphism  $i_* : \pi_1(A) \rightarrow \pi_1(X)$  induced by  $i : A \hookrightarrow X$  has the description

$$i_*(n[A]) = 2n[C].$$

Clearly there cannot exist any surjection  $f : \pi_1(X) \rightarrow \pi_1(A)$  such that

$$[A] = f \circ i_*([A]) = 2k[A], \quad k[A] := f_*([C]).$$

□

**Sec. 1.1, Problem 17.** We have already constructed these retraction in (4). Using the notations there we define

$$R_n : C_1 \vee C_2 \rightarrow C_2$$

by  $R_n := g_2^{-1} \circ r_n$ . Since

$$R_{n_*} \neq R_{m_*}, \quad \forall m \neq n$$

we deduce that these retractions are pairwise non-homotopic.

□

**Sec. 1.1, Problem 20.** Fix a homotopy

$$F : X \times I \rightarrow X, \quad f_s(\bullet) = F(\bullet, s)$$

such that  $f_0 = f_1 = \mathbf{1}_X$ . Denote by  $g : I \rightarrow X$  the loop

$$g(t) = f_t(x_0).$$

Consider another loop at  $x_0$ ,  $h : (I, \partial I) \rightarrow (X, x_0)$  and form the map (see Figure 1).

$$H : I_t \times I_s \rightarrow X, \quad H(s, t) = F(h(s), t).$$

Set  $u_0 = g \cdot h$ ,  $u_1 = h \cdot g$ . A homotopy  $(u_t)$  rel  $x_0$  connecting  $u_0$  to  $u_1$  is depicted at the bottom of Figure 1.

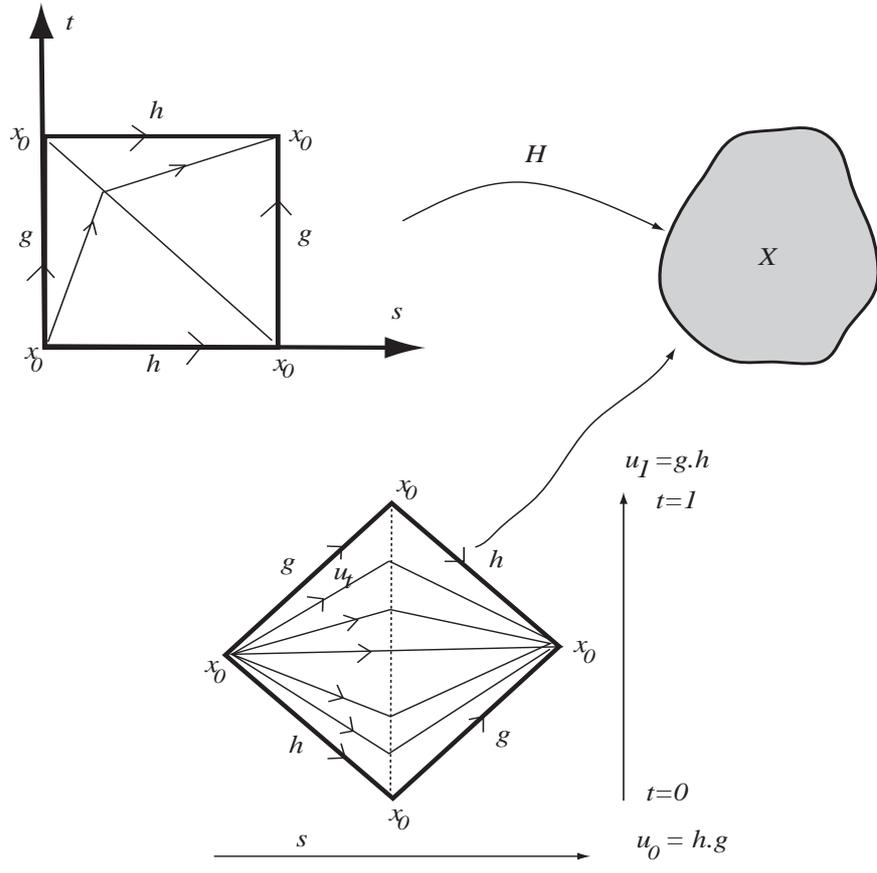


Figure 1:  $g \cdot h \simeq h \cdot g$ .

Sec. 1.2, Problem 8.

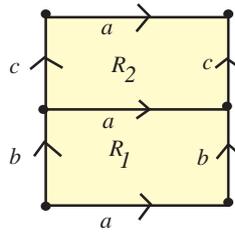


Figure 1: A cell decomposition

The space in question has the cell decomposition depicted in Figure 1. It consists of one 0-cell  $\bullet$ , three 1-cells  $a, b, c$  and two 2-cells,  $R_1$  and  $R_2$ . We deduce that the fundamental group has the presentation

*generators:*  $a, b, c$

*relations*  $R_1 = aba^{-1}b^{-1} = 1$ ,  $R_2 = aca^{-1}c^{-1} = 1$ .

□

**Sec. 1.2, Problem 10.** We will first compute the fundamental group of the complement of  $a \cup b$  in the cylinder  $D^2 \times I$  (see Figure 2), and then show that the loop defined by  $c$  defines a nontrivial element in this group.

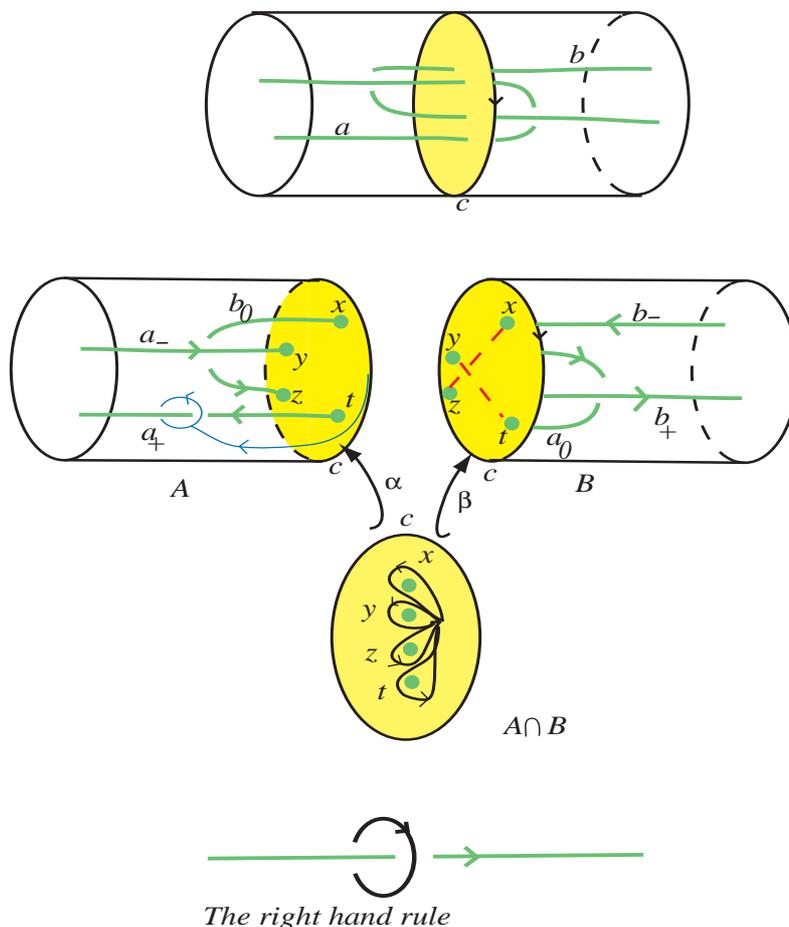


Figure 2: *If you cannot untie it, cut it.*

Cut the solid torus along the “slice”  $D^2 \times \{1/2\}$  into two parts  $A$  and  $B$  as in Figure 2. We will use the Seifert-vanKampen theorem for this decomposition of  $D^2 \times I$ . We compute the fundamental groups  $\pi_1(A, pt)$ ,  $\pi_1(B, pt)$ ,  $\pi_1(A \cap B, pt)$ , where  $pt$  is a point situated on the boundary  $c$  of the slice.

- $A \cap B$  is homotopically equivalent to the wedge of four circles (see Figure 2), and thus  $\pi_1(A \cap B, pt)$  is a free group with four generators  $x, y, z, t$  depicted<sup>1</sup> in Figure 2.

<sup>1</sup>**Warning:** The order in which the elements  $x, y, z, t$  are depicted is rather subtle. You should keep in mind that since the two arcs  $a$  and  $b$  link then the segment which connects the entrance and exit points of  $b$  ( $x$  and  $z$ ) must intersect the segment which connects the entrance and exit points of  $a$  ( $y$  and  $t$ ); see Figure 2.

The intersection of  $a \cup b$  with  $A$  consists of three oriented arcs  $a_{\pm}, b_0$ . Suppose  $g$  is one of these arcs. We will denote by  $\ell_g$  the loop oriented by the right hand rule going once around the arc  $g$ . (The loop  $\ell_{a_+}$  is depicted in Figure 2.)

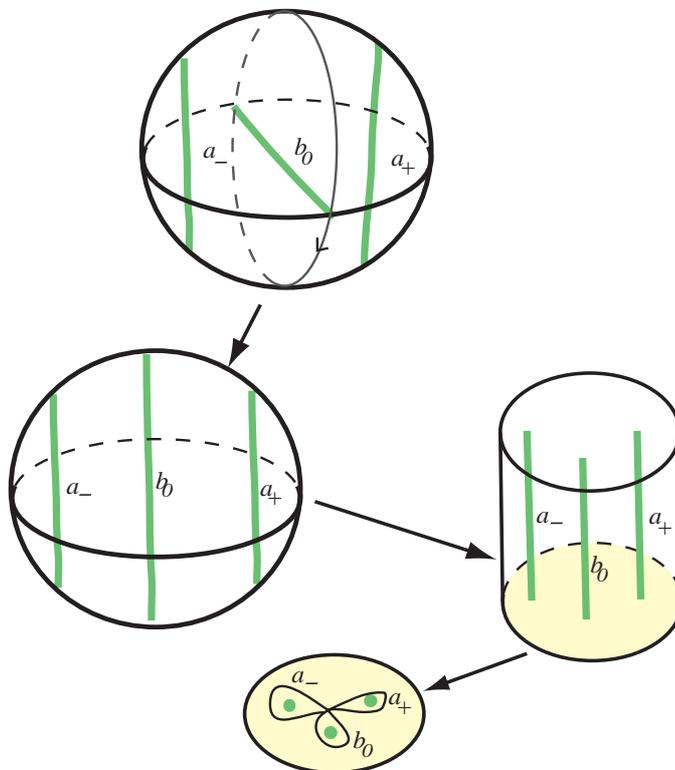


Figure 3: *Pancaking a sphere with three solid tori deleted*

As shown in Figure 3 the complement of these arcs in  $A$  is homotopically equivalent to a disk with three holes bounding the loops  $\ell_{a_{\pm}}$  and  $\ell_{a_0}$ . This three-hole disk is homotopically equivalent to a wedge of three circles and we deduce that  $\pi_1(A, pt)$  is the free group with generators  $\ell_{a_{\pm}}, \ell_{b_0}$ . We deduce similarly that  $\pi_1(B, pt)$  is the free group with generators  $\ell_{b_{\pm}}$  and  $\ell_{a_0}$ .

Denote by  $\alpha$  the natural inclusion  $A \cap B \hookrightarrow A$  and by  $\beta$  the natural inclusion  $A \cap B \hookrightarrow B$  (see Figure 2). We want to compute the induced morphisms  $\alpha_*$  and  $\beta_*$ . Upon inspecting

Figure 2 we deduce<sup>2</sup> the following equalities.

$$\left\{ \begin{array}{l} \alpha_*(x) = \ell_{b_0} \\ \alpha_*(y) = \ell_{a_-}^{-1} \\ \alpha_*(z) = \ell_{b_0}^{-1} \\ \alpha_*(t) = \ell_{a_+} \end{array} \right\}, \left\{ \begin{array}{l} \beta_*(x) = \ell_{b_-} \\ \beta_*(y) = \ell_{a_0}^{-1} \\ \beta_*(z) = \ell_{b_+}^{-1} \\ \beta_*(t) = \ell_{a_0} \end{array} \right\}. \quad (\dagger)$$

Thus the fundamental group of the complement of  $a \cup b$  in  $D^2 \times I$  is the group  $G$  defined by

*generators:*  $\ell_{a_\pm}, \ell_{a_0}, \ell_{b_\pm}, \ell_{b_0}$ ,

*relations:*  $\ell_{b_0} = \ell_{b_-}, \ell_{a_-}^{-1} = \ell_{a_0}^{-1}, \ell_{b_0}^{-1} = \ell_{b_+}^{-1}, \ell_{a_+} = \ell_{a_0}$ .

It follows that  $G$  is the free group with two generators  $\ell_b$  ( $= \ell_{b_\pm} = \ell_{b_0}$ ) and  $\ell_a$  ( $= \ell_{a_\pm} = \ell_{a_0}$ ). Inspecting Figure 2 we deduce that the loop  $c$  defines the element

$$\begin{aligned} \alpha_*(xyzt)^{-1} &= (\ell_{b_0} \ell_{a_-}^{-1} \ell_{b_0}^{-1} \ell_{a_+})^{-1} \\ &= (\ell_b \ell_a^{-1} \ell_b^{-1} \ell_a^{-1}) = [\ell_b, \ell_a^{-1}]^{-1} \neq 1. \end{aligned}$$

□

---

<sup>2</sup>Be very cautious with the right hand rule.

**Sec. 1.2, Problem 11.** Consider the wedge of two circles

$$(X, x_0) = (C_1, x_1) \vee (C_2, x_2), \quad x_i \in C_i,$$

and a continuous map  $f : (X, x_0) \rightarrow (X, x_0)$ . Consider the mapping torus of  $f$

$$T_f := X \times I / \{(x, 0) \sim (f(x), 1)\},$$

and the loop  $\gamma : (I, \partial I) \rightarrow (T_f, (x_0, 0))$ ,  $\gamma(s) = (x_0, s)$ . We denote by  $C$  its image in  $T_f$ . Observe that  $C$  is homeomorphic to a circle and the closed set  $A = X \times \{0\} \cup C \subset T_f$  is homeomorphic to  $X \vee C \cong X \vee S^1$ . The complement  $T_f \setminus A$  is homeomorphic to

$$X \setminus \{x_0\} \times (0, 1) \cong \underbrace{(C_1 \setminus x_1) \times (0, 1)}_{R_1} \cup \underbrace{(C_2 \setminus x_2) \times (0, 1)}_{R_2}.$$

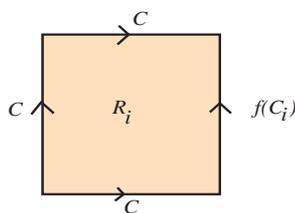


Figure 4: *Attaching maps*

In other words, the complement is the union of two open 2-cells  $R_1, R_2$ , and thus  $T_f$  is obtained from  $A$  by attaching two 2-cells. The attaching maps are depicted in Figure 4. Thus the fundamental group of  $T_f$  has the presentation

*generators:*  $C_1, C_2, C$

*relations*  $R_i = C f_*(C_i) C^{-1} C_i^{-1} = 1, i = 1, 2.$

□

**Sec. 1.2, Problem 14.** We define a counterclockwise on each face using the outer normal convention as in Milnor's little book. For each face  $R$  of the cube we denote by  $R_*$  the opposite face, and by  $R^\circ$  the counterclockwise rotation by  $90^\circ$  of the face  $R$ . We denote by  $F, T, S$  the front, top, and respectively side face of the cube as in Figure 5.

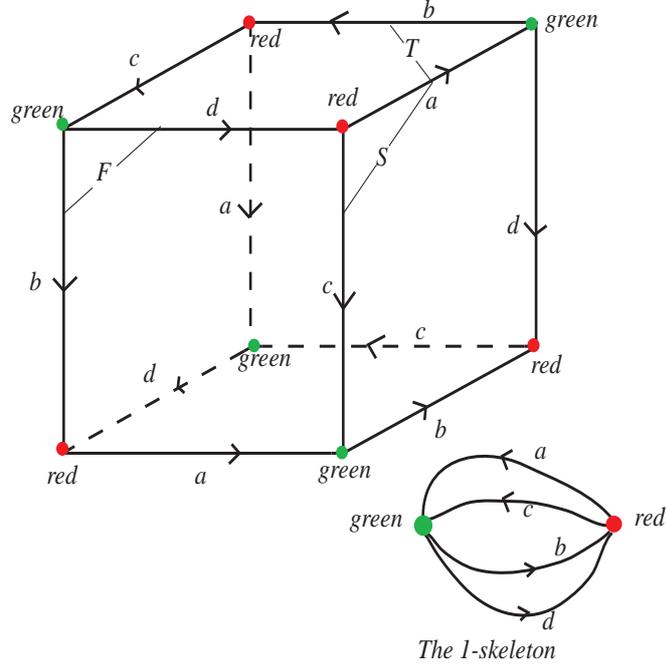


Figure 5: A 3-dimensional CW-complex

We make the identifications

$$F \longleftrightarrow F_*^\circ, \quad T \longleftrightarrow T_*^\circ, \quad S \longleftrightarrow S_*^\circ.$$

In Figure 5 we labelled the objects to be identified by identical symbols or colors. We get a CW complex with two 0-cells (the green and red points), four 1-cells,  $a, b, c, d$ , three 2-cells,  $F, T, S$ , and one 3-cell, the cube itself. For fundamental group computations the 3-cell is irrelevant.

The 1-skeleton is depicted in Figure 5 and by collapsing the contractible subcomplex  $d$  to a point we deduce that it is homotopically equivalent to a wedge of three circles. In other words the fundamental group of the 1-skeleton (with base point the red 0-cell) is the free group with three generators

$$\alpha = a \cdot d, \quad \beta = b^{-1} \cdot d, \quad \gamma = c \cdot d.$$

Attaching the three 2-cells has the effect of adding three relations

$$F = ac^{-1}d^{-1}b = \alpha\gamma^{-1}\beta^{-1} = 1, \quad T = abcd = \alpha\beta^{-1}\gamma = 1, \quad S = adb^{-1}c^{-1} = \alpha\beta\gamma^{-1} = 1. \quad (1)$$

Thus the fundamental group is isomorphic to the group  $G$  with generators  $\alpha, \beta, \gamma$  and relations (1).

We deduce from the first relation

$$\beta = \alpha\gamma^{-1} \implies \alpha(\alpha\gamma^{-1})\gamma^{-1} = 1 \implies \alpha^2 = \gamma^2.$$

Using the third relation we deduce

$$\gamma = \alpha\beta \implies \alpha^2 = \gamma^2 = \alpha\beta\gamma.$$

Using the second and third relation we deduce that

$$\alpha = \gamma^{-1}\beta = \gamma\beta^{-1} \implies \gamma^2 = \beta^2.$$

Hence

$$\alpha^2 = \beta^2 = \gamma^2 = \alpha\beta\gamma \tag{2}$$

Observe that

$$\alpha^2\beta = \beta^2\beta = \beta\beta^2 = \beta\alpha^2, \text{ and similarly } \alpha^2\gamma = \gamma\alpha^2$$

so that the  $\alpha^2$  lies in the center of  $G$ .  $\alpha^2$  is an element of order 2, and the cyclic subgroup  $\langle \alpha^2 \rangle$  it generates is a normal subgroup. Consider the quotient  $H := G/\langle \alpha^2 \rangle$ . We deduce that  $H$  has the presentation

$$H = \langle \alpha, \beta, \gamma \mid \alpha^2 = \beta^2 = \gamma^2 = \alpha\beta\gamma = 1 \rangle,$$

which shows that  $H \cong \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ . It follows that  $\text{ord } G = 8$ .

Denote by  $Q$  the subgroup of nonzero quaternions generated by  $\mathbf{i}, \mathbf{j}, \mathbf{k}$ . We have a surjective morphism  $G \rightarrow Q$  given by

$$\alpha \mapsto \mathbf{i}, \quad \beta \mapsto \mathbf{j}, \quad \gamma \mapsto \mathbf{k}.$$

Since  $\text{ord}(G) = \text{ord}(Q)$  we deduce that this must be an isomorphism. □

**Sec. 1.3, Problem 9.** Suppose  $f : X \rightarrow S^1$  is a continuous map, and  $x_0 \in X$ . Then  $f_*\pi_1(X, x_0)$  is a finite subgroup of  $\pi_1(S^1, f(x_0)) \cong \mathbb{Z}$  and thus it must be the trivial subgroup. It follows that  $f$  has a lift  $\tilde{f}$  to the universal cover

$$\begin{array}{ccc} & & \mathbb{R} \\ & \nearrow \tilde{f} & \downarrow \text{exp} \\ X & \xrightarrow{f} & S^1 \end{array}$$

Since  $\mathbb{R}$  is contractible we deduce that  $\tilde{f}$  is nullhomotopic. Thus  $f = \text{exp} \circ \tilde{f}$  must be nullhomotopic as well. □

**Sec. 1.3, Problem 18.** Every normal cover of  $X$  has the form

$$Y := \tilde{X}/G \xrightarrow{p} X$$

where  $G \trianglelefteq \pi_1(X)$ . In this case  $\text{Aut}(Y/X) \cong \pi_1(X)/G$ . We deduce that the cover  $X/G \rightarrow X$  is Abelian iff  $G$  contains all the commutators in  $\pi_1(X)$ , i.e.

$$G_0 := [\pi_1(X), \pi_1(X)] \leq G.$$

Consider the cover.

$$X_{ab} := X/G_0 \xrightarrow{p_{ab}} X.$$

Note that  $\text{Aut}(X_{ab}/X) \cong \text{Ab}(\pi_1(X))$  acts freely and transitively on  $X_{ab}$ . We deduce that for any Abelian cover of the form  $X/G$  we have an isomorphism of covers

$$X/G \cong X_{ab}/(G/G_0)$$

so that  $X_{ab}$  is a normal covering of  $X/G$ .

For example, when  $X = S^1 \vee S^1$  we have  $\pi_1(S^1 \vee S^1) \cong \mathbb{Z} * \mathbb{Z}$ ,  $\text{Ab}(\mathbb{Z} * \mathbb{Z}) \cong \mathbb{Z} \times \mathbb{Z}$ . The universal Abelian cover of  $S^1 \vee S^1$  is homomorphic to the closed set in  $\mathbb{R}^2$

$$X_{ab} \cong \left\{ (x, y) \in \mathbb{R}^2 \mid x \in \mathbb{Z} \text{ or } y \in \mathbb{Z} \right\}.$$

The group  $\mathbb{Z}^2$  acts on this set by

$$(x, y) \cdot (m, n) := (x + m, y + n)$$

This action is even and the quotient is  $X$ . The case  $S^1 \vee S^1 \vee S^1$  can be analyzed in a similar fashion. □

**Sec. 1.3, Problem 24.** Suppose we are given a based  $G$ -covering

$$(X_0, x_0) \xrightarrow{p^0} (X_1, x_1) := (X_0, x_0)/G.$$

We want to classify the coverings  $(X, x) \xrightarrow{p^0} (X_1, x_1)$  which interpolate between  $X_0$  and  $X_1$ , i.e. there exists a covering map  $(X_0, x_0) \xrightarrow{q} (X, x)$  such that the diagram below is commutative.

$$\begin{array}{ccc} (X_0, x_0) & \xrightarrow{q} & (X, x) \\ & \searrow p^0 & \downarrow p \\ & & (X_1, x_1) \end{array}$$

We will denote such coverings by  $(X, x; q, p)$ . A morphism between two such covers  $(X', x'; q', p')$  and  $(X, x; q, p)$  is a pair of continuous maps  $f : (X, x) \rightarrow (X', x')$ , such that the diagram below is commutative

$$\begin{array}{ccc} (X_0, x_0) & \xrightarrow{q} & (X, x) \\ \downarrow q' & \nearrow f & \downarrow p \\ (X', x') & \xrightarrow{p'} & (X_1, x_1) \end{array}$$

Suppose  $(X, x; q, p)$  is such an intermediate cover. Set  $F_i := \pi_1(X_i, x_i)$ ,  $F := \pi_1(X, x)$ . Since  $X_0 \xrightarrow{p^0} X_1$  is a  $G$ -covering we obtain a short exact sequence

$$1 \hookrightarrow F_0 \xrightarrow{p_*^0} F_1 \xrightarrow{\mu} G \rightarrow 1$$

Note that we also have a commutative diagram

$$\begin{array}{ccc} & & F_1 \\ & \nearrow p_*^0 & \uparrow p_* \\ F_0 & \xrightarrow{q_*} & F \end{array}$$

which can be completed to a commutative diagram

$$\begin{array}{ccccccc} 1 & \hookrightarrow & F_0 & \xrightarrow{p_*^0} & F_1 & \xrightarrow{\mu} & G & \twoheadrightarrow & 1 \\ & & \uparrow \mathbf{1}_{F_0} & & \uparrow p_* & & \uparrow \mu \circ p_* & & \\ 1 & \hookrightarrow & F_0 & \xrightarrow{q_*} & F & \twoheadrightarrow & H := F_0/q_*F & \twoheadrightarrow & 1 \end{array} \quad (F; q_*, p_*)$$

Consider another such commutative diagram,

$$\begin{array}{ccccccc}
1 & \longleftarrow & F_0 & \xleftarrow{p_*^0} & F_1 & \xrightarrow{\mu} & G & \longrightarrow & 1 \\
& & \uparrow \mathbf{1}_{F_0} & & \uparrow p'_* & & \uparrow \mu \circ p'_* & & \\
1 & \longleftarrow & F_0 & \xleftarrow{q'_*} & F' & \longrightarrow & H' := F_0/q_*F' & \longrightarrow & 1
\end{array} \quad (F'; q'_*, p'_*)$$

We define a morphism  $(F; q_*, p_*) \rightarrow (F'; q'_*, p'_*)$  to be a group morphism  $\varphi : F \rightarrow F'$  such that the diagrams below are commutative

$$\begin{array}{ccc}
F & \xrightarrow{\varphi} & F' \\
p_* \searrow & & \swarrow p'_* \\
& F_1 &
\end{array}, \quad
\begin{array}{ccc}
F & \xrightarrow{\varphi} & F' \\
q_* \searrow & & \swarrow q'_* \\
& F_1 &
\end{array}$$

We denote by  $\mathcal{J}$  the collection of intermediate coverings  $(X_0, x_0) \xrightarrow{q} (X, x) \xrightarrow{p} (X_1, x_1)$ , and by  $\mathcal{D}$  the collection of the diagrams of the type  $(F; q_*, p_*)$ .

We have constructed a map  $\Xi : \mathcal{J} \rightarrow \mathcal{D}$  which associates to a covering  $(X, x; q, p)$  the diagram  $\Xi(X, x; q, p) := (F; q_*, p_*) \in \mathcal{D}$ . Moreover if  $(X', x'; q', p') \in \mathcal{J}$ , with associated diagram  $(F'; q'_*, p'_*)$ , and  $f : (X, x; q, p) \rightarrow (X', x'; q', p')$  is morphism of intermediate coverings, then the group morphism  $f_* : F \rightarrow F'$  induces a morphism of diagrams

$$\Xi(f) : \Xi(X, x; q, p) \rightarrow \Xi(X', x'; q', p').$$

Note that for every coverings  $C, C', C'' \in \mathcal{J}$ , and every morphisms  $C \xrightarrow{g} C' \xrightarrow{f} C''$  we have

$$\Xi(\mathbf{1}_C) = \mathbf{1}_{\Xi(C)}, \quad \Xi(f \circ g) = \Xi(f) \circ \Xi(g).$$

Thus two coverings  $C, C' \in \mathcal{J}$  are isomorphic iff the corresponding diagrams are isomorphic,  $\Xi(C) \cong \Xi(C')$ .

This shows that we have an injective correspondence  $[\Xi]$  between the collection  $[\mathcal{J}]$  of isomorphism classes of intermediate coverings and the collection  $[\mathcal{D}]$  of isomorphism classes of diagrams.

Conversely, given a diagram  $D \in \mathcal{D}$

$$\begin{array}{ccccccc}
1 & \longleftarrow & F_0 & \xleftarrow{p_*^0} & F_1 & \xrightarrow{\mu} & G & \longrightarrow & 1 \\
& & \uparrow \mathbf{1}_{F_0} & & \uparrow \beta & & \uparrow \mu \circ \beta & & \\
1 & \longleftarrow & F_0 & \xleftarrow{\alpha} & F & \longrightarrow & H := F_0/\alpha F & \longrightarrow & 1
\end{array} \quad (F; \alpha, \beta)$$

we can form  $(Y, y; a, b) \in \mathcal{J}$  where

$$(Y, y) := (X_0, x_0)/\mu \circ \beta(H),$$

$a : (X_0, x_0) \rightarrow (Y, y)$  is the natural projection, and  $b : (Y, y) \in (X_1, x_1)$  is the map

$$(Y, y) \ni z \cdot H \mapsto z \cdot G \in (X_1, x_1),$$

where for  $z \in X_0$  we have denoted by  $z \cdot H$  (resp.  $z \cdot G$ ) the  $H$ -orbit (resp the  $G$ -orbit) of  $z$ . Observe that the diagram  $\Xi(Y, y; a, b)$  associated to  $(Y, y; a, b)$  is isomorphic to the initial diagram  $(F; \alpha, \beta)$ . We thus have a bijection<sup>1</sup>

$$[\Xi] : [\mathcal{J}] \rightarrow [\mathcal{D}].$$

To complete the solution of the problem it suffices to notice that the isomorphism class of the diagram  $(F; \alpha, \beta)$  is uniquely determined by the subgroup  $\mu \circ \beta(F) \leq G$ . Conversely, to every subgroup  $H \hookrightarrow G$  we can associate the diagram

$$\begin{array}{ccccccc}
 1 & \hookrightarrow & F_0 & \xleftarrow{p_*^0} & F_1 & \xrightarrow{\mu} & G & \twoheadrightarrow & 1 \\
 & & \uparrow & & \uparrow & & \uparrow & & \\
 & & \mathbf{1}_{F_0} & & & & & & \\
 & & \downarrow & & \downarrow & & \downarrow & & \\
 1 & \hookrightarrow & F_0 & \xleftarrow{p_*^0} & \mu^{-1}(H) & \xrightarrow{\mu} & H & \twoheadrightarrow & 1
 \end{array}
 \quad (\mu^{-1}(H); p_*^0, \text{inclusion})$$

□

---

<sup>1</sup>In more modern language, we have constructed two categories  $\mathcal{J}$  and  $\mathcal{D}$ , and an equivalence of categories  $\Xi : \mathcal{J} \rightarrow \mathcal{D}$ .

**Solutions to Homework # 3**

**Sec. 2.1, Problem 1.** It is The Möbius band; see Figure 1.

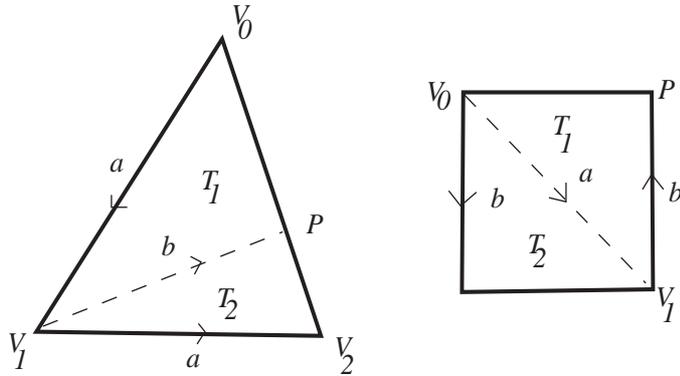


FIGURE 1. *The Möbius band*

□

**Sec. 2.1, Problem 2.** For the problem with the Klein bottle the proof is contained in Figure 2, where we view the tetrahedron as the upper half-ball in  $\mathbb{R}^3$  by rotating the face  $[V_0V_1V_2]$  about  $[V_1V_2]$  so that the angle between the two faces with common edge  $[V_1V_2]$  increases until it becomes  $180^\circ$ . We now see the Klein bottle sitting at the bottom of this upper half-ball. All the other situations (the torus and  $\mathbb{RP}^2$ ) are dealt with similarly.

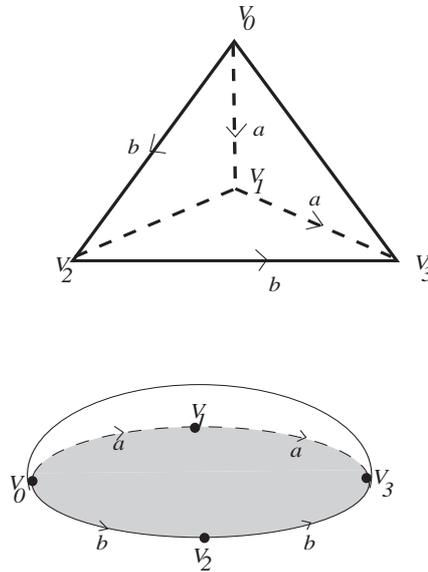


FIGURE 2. *A 3-dimensional  $\Delta$ -complex which deformation retracts to the Klein bottle.*

**Sec. 2.1, Problem 4.**

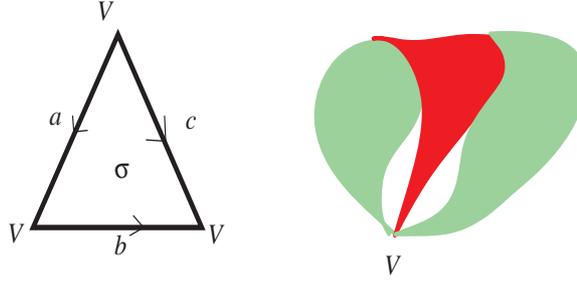


FIGURE 3. *The homology of a parachute.*

In this case we have

$C_n(K) = 0$  if  $n \geq 3$  or  $n \leq 0$ , and

$$C_2(K) = \mathbb{Z}\langle \sigma \rangle, \quad C_1(K) = \mathbb{Z}\langle a, b, c \rangle, \quad C_0(K) = \mathbb{Z}\langle V \rangle$$

and the boundary operator is determined by the equalities

$$\partial\sigma = a + b - c, \quad \partial a = \partial b = \partial c = \partial V = 0.$$

Then  $Z_2(C_*(K)) = 0$ ,  $Z_1(C_*(K)) = C_1(K) = \mathbb{Z}\langle a, b, c \rangle$ ,  $Z_0(C_*(K)) = C_0(K)$ . Hence  $H_2^\Delta(|K|) = (0)$ . Moreover

$$B_1(C_*(K)) = \text{span}_{\mathbb{Z}}(a + b - c) \subset \mathbb{Z}\langle a, b, c \rangle$$

so that

$$H_1^\Delta(|K|) \cong \mathbb{Z}\langle a, b, c \rangle / \text{span}_{\mathbb{Z}}(a + b - c).$$

The images of  $a$  and  $b$  in  $H_1^\Delta(|K|)$  define a basis of  $H_1^\Delta(|K|)$ . It is clear that  $H_0^\Delta(|K|) \cong \mathbb{Z}$ .  $\square$

**Sec. 2.1, Problem 5.**

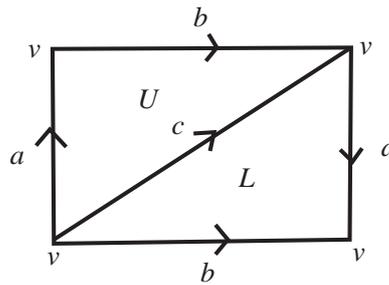


FIGURE 4. *The homology of the Klein bottle.*

We have

$$C_2 = \mathbb{Z}\langle U, L \rangle, \quad C_1 = \mathbb{Z}\langle a, b, c \rangle, \quad C_0 = \mathbb{Z}\langle v \rangle.$$

and

$$\partial U = a + b - c, \quad \partial L = c + a - b, \quad \partial a = \partial b = \partial c = \partial v = 0.$$

It follows that  $Z_2 = 0 \cong H_2^\Delta(|K|) = 0$ , and  $H_0^\Delta \cong \mathbb{Z}$ . The first homology group has the presentation

$$\mathbb{Z}\langle U, L \rangle \xrightarrow{P} \mathbb{Z}\langle a, b, c \rangle \rightarrow H_1^\Delta \rightarrow 0$$

where  $P$  is the  $3 \times 2$  matrix

$$P = \begin{bmatrix} 1 & 1 \\ 1 & -1 \\ -1 & 1 \end{bmatrix}.$$

Using the Maple procedure `ismith` we can diagonalize  $P$  over the integers

$$D_0 := \begin{bmatrix} 1 & 0 \\ 0 & 2 \\ 0 & 0 \end{bmatrix} = APB,$$

where

$$A = \begin{bmatrix} 1 & 0 & 0 \\ 1 & -1 & 0 \\ 0 & 1 & 1 \end{bmatrix}, \quad B = \begin{bmatrix} 1 & -1 \\ 0 & 1 \end{bmatrix}$$

This means that by choosing the  $\mathbb{Z}$ -basis  $\mu_1 := A^{-1}a$ ,  $\mu_2 := A^{-1}b$ ,  $\mu_3 = A^{-1}c$  in  $\mathbb{Z}\langle a, b, c \rangle$ , and the  $\mathbb{Z}$ -basis  $e := BU$ ,  $f := BL$  in  $\mathbb{Z}\langle U, L \rangle$  we can represent the linear operator  $P$  as the diagonal matrix  $D_0$ . We deduce that  $H_1^\Delta$  has an equivalent presentation with three generators  $\mu_1, \mu_2, \mu_3$  and two relations

$$\mu_1 = 0, \quad 2\mu_2 = 0.$$

Thus

$$H_1^\Delta \cong \mathbb{Z}_2\langle \mu_2 \rangle \oplus \mathbb{Z}\langle \mu_3 \rangle.$$

Using the MAPLE procedure `inverse` we find that

$$A^{-1} = \begin{bmatrix} 1 & 0 & 0 \\ 1 & -1 & 0 \\ -1 & 1 & 1 \end{bmatrix}$$

so that  $\mu_2$  is given by the 2nd column of  $A^{-1}$  and  $\mu_3$  is given by the third column of  $A^{-1}$

$$\mu_2 = c - b, \quad \mu_3 = c.$$

□

### Solutions to Homework # 4

**Problem 6, §2.1** We begin by describing the equivalence classes of  $k$ -faces,  $k = 0, 1, 2$ . Let  $\Delta_i[v_0^i v_1^i v_2^i]$ .

- The 0-faces. We have

$$[v_0^0 v_1^0] \sim [v_1^0 v_2^0] \sim [v_0^0 v_2^0]$$

so that

$$v_0^0 \sim v_1^0 \sim v_2^0.$$

Denote by  $v^0$  the equivalence class containing these vertices. Note that

$$[v_0^1 v_2^1] \sim [v_0^0 v_1^0] \implies v_0^1 \sim v^0, \quad v_2^1 \sim v^0$$

$$[v_0^1 v_1^1] \sim [v_1^1 v_2^1] \implies v_1^1 \sim v^0.$$

Iterating this procedure we deduce that there exists a single equivalence class of vertices.

- The 1-faces. Denote by  $e_0$  the equivalence class containing the edges of  $\Delta_0$ . Then all the edges  $[v_1^i v_2^i]$  belong to this equivalence class. We also have another  $n$ -equivalence classes  $e_i$  containing the pair  $[v_0^i v_1^i], [v_1^i v_2^i]$ . Observe that

$$[v_0^i v_2^i] \sim e_{i-1}, \quad i = 1, \dots, n.$$

- The 2-faces. We have  $n + 1$  equivalence classes of 2-faces,  $\Delta_0, \Delta_1, \dots, \Delta_n$ .
- $\partial : C_2 \rightarrow C_1$ . We have

$$C_2 = \mathbb{Z}\langle \Delta_0, \dots, \Delta_n \rangle, \quad C_1 = \mathbb{Z}\langle e_0, e_1, \dots, e_n \rangle$$

$$\partial \Delta_0 = e^0, \quad \partial \Delta_i = [v_0^i v_1^i] + [v_1^i v_2^i] - [v_0^i v_2^i] = 2e_i - e_{i-1}.$$

- $\partial : C_1 \rightarrow C_0$ . We have

$$C_0 = \mathbb{Z}\langle v^0 \rangle$$

and

$$\partial e_i = 0, \quad \forall i = 0, 1, \dots, n.$$

- $Z_2$  and  $H_2$ . We have  $B_2 = 0$  and

$$Z_2 = \left\{ \sum_{i=0}^n x_i \Delta_i; \sum_{i=0}^n x_i \partial \Delta_i = 0 \right\}$$

Thus

$$\sum_{i=0}^n x_i \Delta_i \in Z_2 \iff \begin{cases} x_n & = & 0 \\ -x_n + 2x_{n-1} & = & 0 \\ \vdots & \vdots & \vdots \\ -x_2 + 2x_1 & = & 0 \\ -x_1 + x_0 & = & 0 \end{cases}$$

We deduce  $Z_2 = 0$  so that  $H_2 = 0$ .

- $Z_1$  and  $H_1$ . We have  $Z_1 = C_1$  and  $H_1$  has the presentation

$$\langle e_0, e_1, \dots, e_n \mid 0 = 2e_n - e_{n-1} = \dots = 2e_1 - e_0 = e_0 \rangle.$$

Hence

$$e_{n-1} = 2e_n, \quad e_{n-2} = 2e_{n-1}, \dots, e_0 = 2e_1 = 0$$

so that  $H_1$  is the cyclic group of order  $2^n$  generated by  $e_n$ . By general arguments we have  $H_0 = \mathbb{Z}$ . □

**Sec. 2.1, Problem 7.** Consider a regular tetrahedron  $\Delta_3 = [P_0P_1P_2P_3]$ , and fix two opposite edges  $a = [P_0P_1]$ ,  $b = [P_2P_3]$ . Now glue the faces of this tetrahedron according to the prescriptions

- Type (a) gluing:  $[P_0P_1P_2] \sim [P_0P_1P_3]$ .
- Type (b) gluing:  $[P_0P_2P_3] \sim [P_1P_2P_3]$ .

To see that the space obtained by these identifications is homeomorphic to  $S^3$  we cut the tetrahedron with the plane passing through the midpoints of the edges of  $\Delta_3$  different from  $a$  and  $b$  (see Figure 2).

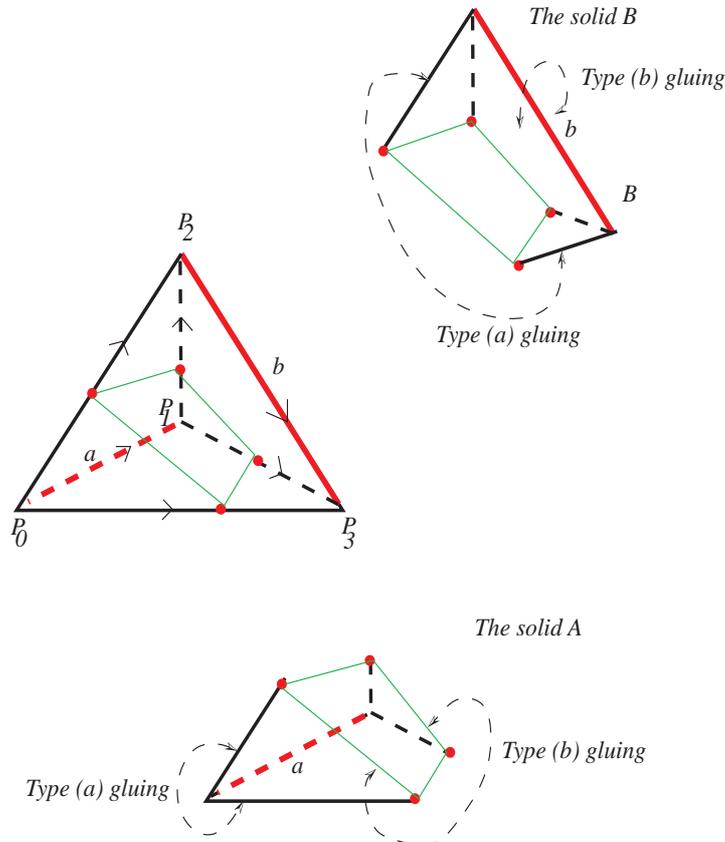


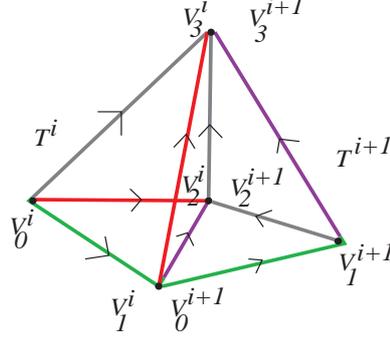
FIGURE 1. *Gluing the faces of a tetrahedron to get a 3-sphere.*

We get a solid  $A$  containing the edge  $a$  and a solid  $B$  containing the edge  $B$ . By performing first the type (b) gluing and then the type (a) gluing on the solid  $B$  we obtain a solid torus. Then performing first the type (a) gluing and next the type (b) gluing on the solid  $A$  we obtain another solid torus. We obtain in this fashion the standard decomposition of  $S^3$  as a union of two solid tori

$$S^3 \cong \partial D^4 \cong \partial(D^2 \times D^2) = (\partial D^2 \times D^2) \cup (D^2 \times \partial D^2).$$

□

**Problem 8, §2.1 Hatcher.** Denote by  $[V_0^i V_1^i V_2^i V_3^i]$  the  $i$ -th 3-simplex.



$$V_0^i V_1^i V_2^i \sim V_0^{i+1} V_1^{i+1} V_3^{i+1}$$

$$V_1^i V_2^i V_3^i \sim V_0^{i+1} V_2^{i+1} V_3^{i+1}$$

FIGURE 2. Cyclic identifications of simplices

To describe the associated chain complex we need to understand the equivalence classes of  $k$ -faces,  $k = 0, 1, 2, 3$ .

• 0-faces. We deduce  $V_0^i \sim V_0^{i+1} \forall i \pmod n$  and we denote by  $U_0$  the equivalence class containing  $V_0^i$ .

Similarly  $V_1^i \sim V_1^{i+1}$  and we denote by  $U_1$  the corresponding equivalence class. Since  $V_1^i \sim V_0^{i+1}$  we deduce  $U_0 = U_1$ .

Now observe that  $V_2^i \sim V_2^{i+1}$  and we denote by  $U_2$  the corresponding equivalence class. Similarly the vertices  $V_3^i$  determine a homology class  $U_3$  and we deduce from  $V_2^i \sim V_3^{i+1}$  that  $U_2 = U_3$ . Thus we have only two equivalence classes of vertices,  $U_0$  and  $U_2$ . The vertices  $V_0^i, V_1^i$  belong to  $U_0$  while the vertices  $V_2^i, V_3^i$  belong to  $U_2$ .

• 1-faces. The simplex  $T^i$  has six 1-faces (edges) (see Figure 2).

A vertical edge  $v_i = [V_2^i V_3^i]$ .

A horizontal edge  $h_i = [V_0^i V_1^i]$ .

Two bottom edges: bottom-right  $br_i = [V_1^i V_2^i]$  and bottom-left  $bl_i = [V_0^i V_2^i]$ .

Two top edges: top-right  $tr_i = [V_1^i V_3^i]$  and top-left  $tl_i = [V_0^i V_3^i]$ .

Inspecting Figure 2 we deduce the following equivalence relations.

$$br_i \sim bl_{i+1}, \quad tr_i \sim tl_{i+1}, \quad v_i \sim v_{i+1}, \quad (0.1)$$

$$h_i \sim h_{i+1}, \quad bl_i \sim tl_{i+1}, \quad br_i \sim tr_{i+1}. \quad (0.2)$$

We denote by  $v$  the equivalence class containing the vertical edges and by  $h$  the equivalence class containing the horizontal edges.

Observe next that

$$bl_i \sim tl_{i+1} \sim tr_i, \quad \forall i$$

so that  $bl_i \sim tr_i$  for all  $i$ . Denote by  $e_i$  the equivalence class containing  $bl_i$ . Observe that

$$bl_i \sim tr_i \sim e_i, \quad tl_i \sim e_{i-1}, \quad br_i \sim e_{i+1}.$$

We thus have  $(n+2)$  equivalence classes of edges  $v, h$  and  $e_i, i = 1, \dots, n$ .

- 2-faces. Each simplex  $T^i$  has four 2-faces

A bottom face  $B_i = [V_0^i V_1^i V_2^i]$ .

A top face  $\tau_i = [V_0^i V_1^i V_3^i]$ .

A left face  $L_i = [V_0^i V_2^i V_3^i]$ .

A right face  $R_i = [V_1^i V_2^i V_3^i]$ .

We have the identifications

$$R_i \sim L_{i+1}, \quad B_i \sim \tau_{i+1}.$$

We denote by  $B_i$  the equivalence class of  $B_i$ , by  $L_i$  the equivalence class of  $L_i$  and by  $R_i$  the equivalence class of  $R_i$ . Observe that

$$R_i = L_{i+1}, \quad \forall i \pmod n.$$

There are exactly  $2n$  equivalence classes of 2-faces.

- 3-faces. There are exactly  $n$  three dimensional simplices  $T^1, \dots, T^n$ .
- The associated chain complex.

$$C_0 = \mathbb{Z}\langle U_0, U_2 \rangle, \quad C_1 = \mathbb{Z}\langle v, h, e_i; \quad 1 \leq i \leq n \rangle$$

$$C_2 = \mathbb{Z}\langle B_i, R_j; \quad 1 \leq i, j, k \leq n \rangle, \quad C_3 = \mathbb{Z}\langle T^i; \quad 1 \leq i \leq n \rangle.$$

The boundary operators are defined as follows.

- $\partial : C_3 \rightarrow C_2$

$$\partial T^i = R_i - L_i + \tau_i - B_i = R_i - R_{i-1} + B_{i-1} - B_i.$$

- $\partial : C_2 \rightarrow C_1$

$$\partial B_i = h + br_i - bl_i = h + e_{i+1} - e_i, \quad \partial R_i = v - tr_i + br_i = v + e_{i+1} - e_i,$$

- $\partial : C_1 \rightarrow C_0$

$$\partial e_i = U_2 - U_0, \quad \partial h = 0, \quad \partial v = 0.$$

For every sequence of elements  $x = (x_i)_{i \in \mathbb{Z}}$  we define its "derivative" to be the sequence

$$\Delta_i x = (x_{i+1} - x_i), \quad i \in \mathbb{Z}.$$

Using this notation we can rewrite

$$\partial T^i = \Delta_{i-1} R - \Delta_{i-1} B, \quad \partial B_i = h + \Delta_i e, \quad \partial R_i = v + \Delta_i e.$$

- The groups of cycles.

$$Z_0 = C_0,$$

$$Z_1 = \left\{ ah + bv + \sum_i k_i e_i \in C_1; \quad a, b, k_i \in \mathbb{Z}, \quad \sum_i k_i = 0 \right\}$$

$$= \text{span}_{\mathbb{Z}} \left\{ v, h, \Delta_i e; \quad 1 \leq i \leq n \right\}^1.$$

<sup>1</sup>Here we use the elementary fact that the subgroup of  $\mathbb{Z}^n$  described by the condition  $x_1 + \dots + x_n = 0$  is a free Abelian group with basis  $e_2 - e_1, e_3 - e_2, \dots, e_n - e_{n-1}$ , where  $(e_i)$  is the canonical basis of  $\mathbb{Z}^n$

Suppose

$$c = \sum_i x_i B_i + \sum_j y_j R_j \in Z_2.$$

Then

$$0 = \partial C = \left( \sum_i x_i \right) h + \left( \sum_j y_j \right) v + \sum_i (x_i + y_i) \Delta_i e$$

(use Abel's trick<sup>2</sup>)

$$= \left( \sum_i x_i \right) h + \left( \sum_j y_j \right) v - \sum_i \Delta_i (x + y) e_{i+1}.$$

We deduce

$$\sum_i x_i = \sum_j y_j = 0, \quad \Delta_i (x + y) = \Delta_i x + \Delta_i y = 0, \quad \forall y.$$

The last condition implies that  $(x_i + y_i)$  is a constant  $\alpha$  independent of  $i$ . Using the first two conditions we deduce

$$0 = \sum_i (x_i + y_i) = n\alpha$$

so that  $x_i = -y_i$ , for all  $i$ . This shows

$$Z_2 = \left\{ \sum_i x_i (B_i - R_i); \quad x_i \in \mathbb{Z}, \quad \sum_i x_i = 0 \right\}.$$

To find  $Z_3$  we proceed similarly. Suppose

$$c = \sum_i x_i T^i \in Z_3.$$

Then

$$0 = \partial c = \sum_i x_i \Delta_{i-1} (R - B) = - \sum_i (R_i - B_i) \Delta_i x = - \sum (\Delta_i x) R_i + \sum_i (\Delta_i x) B_i.$$

We deduce  $\Delta_i x = 0$  for all  $i$ , i.e.  $x_i$  is independent of  $i$ . We conclude that

$$Z_3 = \left\{ xT; \quad x \in \mathbb{Z}; \quad T = \sum_i T^i \right\}$$

In particular we conclude  $H_3 \cong \mathbb{Z}$ .

• The groups of boundaries and the homology. We have

$$B_0 = \text{span}_{\mathbb{Z}}(U_2 - U_0) \subset \mathbb{Z}\langle U_0, U_2 \rangle.$$

We deduce

$$H_0 = Z_0/B_0 = C_0/B_0 = \mathbb{Z}\langle U_0, U_2 \rangle / \text{span}_{\mathbb{Z}}(U_2 - U_0) \cong \mathbb{Z}.$$

$$B_1 = \text{span}_{\mathbb{Z}}(\partial B_i, \partial R_j; \quad 1 \leq i, j \leq n) \subset \mathbb{Z}\langle h, v, e_i; \quad 1 \leq i \leq n \rangle.$$

Thus  $H_1$  admits the presentation

$$H_1 = Z_1/B_1 = \left\langle h, v, \Delta_i e; \quad h = v = -\Delta_i e, \quad \sum_i \Delta_i e = 0 \quad 1 \leq i \leq n \right\rangle$$

<sup>2</sup>Abel's trick is a discrete version of the integration-by-parts formula. More precisely if  $R$  is a commutative ring,  $M$  is an  $R$ -module,  $(x_i)_{i \in \mathbb{Z}}$  is a sequence in  $R$ ,  $(y_i)_{i \in \mathbb{Z}}$  is a sequence in  $M$  then we have

$$\sum_{i=1}^n (\Delta_i x) \cdot y_i = x_{n+1} y_n - x_1 y_0 - \sum_{j=1}^n x_j \cdot (\Delta_{j-1} y).$$

Using the equality

$$\sum_{i=1}^n \Delta_i e = 0$$

we deduce  $nh = nv = 0$ . This shows  $H_1 \cong \mathbb{Z}/n\mathbb{Z}$ .

Using the fact that for every sequence  $x_i \in \mathbb{Z}$   $i \in \mathbb{Z}/n\mathbb{Z}$  such that  $\sum_i x_i = 0$  there exists a sequence  $y_i \in \mathbb{Z}$ ,  $i \in \mathbb{Z}/n\mathbb{Z}$  such that

$$x_i = \Delta_i y, \quad \forall i.$$

Any element  $c \in Z_2$  has the form

$$c = \sum_i x_i (R_i - B_i),$$

where  $\sum_i x_i = 0$ . Choose  $y_i$  as above such that  $x_i = -\Delta_i y, \forall i \pmod n$ . Then

$$c = \partial \sum_i y_i T^i$$

so that  $Z_2 = B_2$ , i.e.  $H_2 = 0$ .

□

**Problem 11, §2.1, Hatcher.** Denote by  $i$  the canonical map  $A \hookrightarrow X$ . Suppose  $r : A \rightarrow X$  is a retraction, i.e.  $r \circ i = \mathbb{1}_A$ . Then the morphisms induced in homology satisfy

$$r_* \circ i_* = \mathbb{1}_{H_n(A)}.$$

This shows that  $i_*$  is one-to-one since  $i_*(u) = i_*(v)$  implies

$$u = r_* \circ i_*(u) = r_*(i_*(u)) = r_*(i_*(v)) = r_* \circ i_*(v) = v.$$

□

### Solutions to Homework # 5

**Problem 17, §2.1, Hatcher.** Denote by  $A_n$  a set consisting of  $n$  distinct points in  $X$ . The long exact sequence of the triple  $(X, A_n, A_{n-1})$  is

$$\cdots \rightarrow H_k(A_n, A_{n-1}) \rightarrow H_k(X, A_{n-1}) \rightarrow H_k(X, A_n) \rightarrow H_{k-1}(A_n, A_{n-1}) \rightarrow \cdots$$

We deduce that for  $k \geq 2$  we have isomorphisms

$$H_k(X, A_{n-1}) \rightarrow H_k(X, A_n).$$

Thus for every  $k \geq 2$  and every  $n \geq 1$  we have an isomorphism

$$H_k(X) \cong \tilde{H}_k(X) \cong H_k(X, A_1) \rightarrow H_k(X, A_n). \quad (5.1)$$

For  $k = 1$  we have an exact sequence

$$0 \rightarrow H_1(X, A_{n-1}) \rightarrow H_1(X, A_n) \rightarrow H_0(A_n, A_{n-1}) \xrightarrow{j_n} H_0(X, A_{n-1})$$

Since  $H_0(A_n, A_{n-1})$  is a *free* Abelian group  $\ker j_n$  is free Abelian and we have

$$H_1(X, A_n) \cong H_1(X, A_{n-1}) \oplus \ker j_n.$$

Assume  $X$  is a path connected CW-complex. Then  $X/A_{n-1}$  is path connected so that  $H_0(X, A_{n-1}) \cong 0$ . Hence

$$\begin{aligned} H_1(X, A_n) &\cong H_1(X, A_{n-1}) \oplus H_0(A_n, A_{n-1}) \\ &\cong H_1(X, A_{n-1}) \oplus \tilde{H}_0(A_n/A_{n-1}) \cong H_1(X, A_{n-1}) \oplus \mathbb{Z}. \end{aligned}$$

Hence<sup>1</sup>

$$H_1(X, A_n) \cong H_1(X, A_1) \oplus \mathbb{Z}^{n-1} \cong H_1(X) \oplus \mathbb{Z}^{n-1}. \quad (5.2)$$

Finally assuming the path connectivity of  $X$  as above we deduce

$$H_0(X, A_n) \cong \tilde{H}_0(X/A_n) \cong 0. \quad (5.3)$$

Now apply (5.1)-(5.3) using the information

$$\begin{aligned} H_0(S^2) &\cong H_0(S^1 \times S^1) \cong \mathbb{Z}, \quad H_1(S^2) = 0, \\ H_1(S^1 \times S^1) &\cong \mathbb{Z} \times \mathbb{Z}, \quad H_2(S^2) \cong H_2(S^1 \times S^1) \cong \mathbb{Z}. \end{aligned}$$

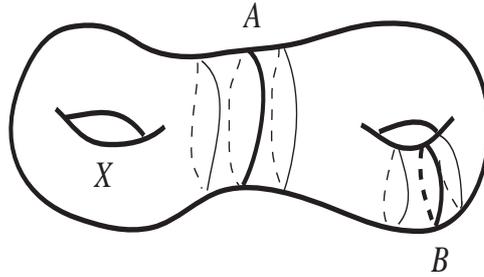


FIGURE 1. The cycle  $A$  is separating while  $B$  is non-separating

(b) Denote by  $\tilde{A}$  a collar around  $A$  and by  $\tilde{B}$  a collar around  $B$ . Then  $\tilde{A}$  deformation retracts onto  $A$  while  $\tilde{B}$  deformation retracts onto  $B$ . Then

$$H_*(X, A) \cong H_*(X, \tilde{A}) \xrightarrow{\text{excision}} H_*(X - A, \tilde{A} - A).$$

<sup>1</sup>Can you visualize the isomorphisms in (5.2)?

The space  $X - A$  has two connected components  $Y_1, Y_2$  both homeomorphic to a torus with a disk removed. Then  $\tilde{A} - A$  consists of two collars around the boundaries of  $Y_j$  so that

$$H_*(X - A, \tilde{A} - A) \cong H_*(Y_1, \partial Y_1) \oplus H_*(Y_2, \partial Y_2).$$

We now use the following simple observation. Suppose  $\Sigma$  is a surface,  $S$  is a finite set of points in  $\Sigma$ , and  $DS$  is a set of disjoint disks centered at the points in  $S$ . By homotopy invariance we have

$$H_*(\Sigma, S) \cong H_*(\Sigma, DS).$$

Denote by  $\Sigma_S$  the manifold with boundary obtained by removing the disks  $DS$ . Using excision again we deduce

$$H_*(\Sigma, DS) \cong H_*(\Sigma_S, \partial \Sigma_S)$$

so that

$$H_*(\Sigma_S, \partial \Sigma_S) \cong H_*(\Sigma, S) \tag{5.4}$$

Note that the groups on the right hand side were computed in part (a).

We deduce that

$$H_*(X, A) \cong H_*(\text{torus, pt}) \oplus H_*(\text{torus, pt}).$$

Observe that  $X - B$  is a torus with two disks removed so that

$$H_*(X, B) \cong H_*(\text{torus, } \{\text{pt}_1, \text{pt}_2\}).$$

□

**Problem 20, §2.1** (a) Consider the cone over  $X$

$$CX = I \times X / \{0\} \times X.$$

We will regard  $X$  as a subspace of  $CX$  via the inclusion

$$X \cong \{1\} \times X \hookrightarrow CX.$$

Then  $CX$  is contractible and we deduce

$$\tilde{H}_*(CX) = 0.$$

$(CX, X)$  is a good pair, and  $SX = CX/X$  so that

$$\tilde{H}_*(SX) \cong H_*(CX, X).$$

From the long exact sequence of the pair  $(CX, X)$  we deduce

$$\cdots \rightarrow H_{k+1}(CX) \rightarrow H_{k+1}(CX, X) \rightarrow H_k(X) \rightarrow H_k(CX) \rightarrow \cdots \tag{5.5}$$

Thus for  $k \geq 1$  we have

$$H_k(CX) = H_{k+1}(CX) = 0$$

so that

$$H_{k+1}(SX) \cong H_{k+1}(CX, X) \cong H_k(X).$$

Using  $k = 0$  in (5.5) we deduce

$$0 \rightarrow H_1(CX, X) \rightarrow H_0(X) \rightarrow H_0(CX)$$

The inclusion induced morphism  $H_0(X) \rightarrow H_0(CX)$  is onto so that

$$\tilde{H}_1(SX) \cong H_1(CX, X) \cong \ker(H_0(X) \rightarrow H_0(CX)) \cong \tilde{H}_0(X).$$

(b) Denote by  $S_n X$  the space obtained by attaching  $n$ -cones over  $X$  along their bases using the tautological maps (see Figure 2).

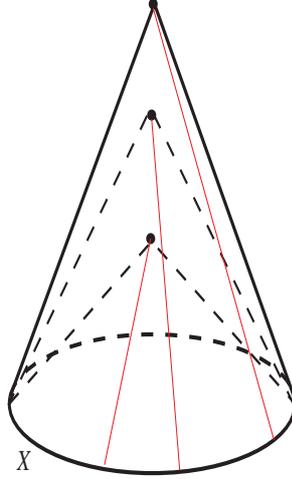


FIGURE 2. *Stacking-up several cones*

We see a copy of  $X$  inside  $S_n X$ . It has an open neighborhood  $U$  which deformation retracts onto this copy of  $X$  and such that its complement is homeomorphic to a disjoint union of  $n$  cones on  $X$ . The Mayer-Vietoris sequence of the decomposition

$$S_n X = S_{n-1} X \cup_X C X$$

is

$$\cdots \rightarrow H_k(X) \rightarrow H_k(S_{n-1} X) \oplus H_k(C X) \rightarrow H_k(S_n X) \rightarrow H_{k-1}(X) \rightarrow \cdots .$$

For  $k > 0$  we have  $H_k(C X) = 0$ . Moreover, the inclusion induced morphism  $H_k(X) \rightarrow H_k(S_{n-1} X)$  is trivial since any cycle in  $X$  bounds inside<sup>2</sup>  $S_{n-1} X$ . Hence we get a short exact sequence

$$0 \rightarrow H_k(S_{n-1} X) \rightarrow H_k(S_n X) \rightarrow H_{k-1}(X) \rightarrow H_{k-1}(S_{n-1} X).$$

For  $k > 1$  we have

$$H_{k-1}(X) \cong \ker \left( H_{k-1}(X) \rightarrow H_{k-1}(S_{n-1} X) \right)$$

while for  $k = 1$  we have

$$\tilde{H}_{k-1}(X) \cong \ker \left( H_{k-1}(X) \rightarrow H_{k-1}(S_{n-1} X) \right).$$

Thus, for every  $k \geq 1$  we have the short exact sequence

$$0 \rightarrow H_k(S_{n-1} X) \rightarrow H_k(S_n X) \rightarrow \tilde{H}_{k-1}(X) \rightarrow 0. \quad (5.6)$$

Now observe that there exists a natural retraction

$$r : S_n X \rightarrow S_{n-1} X.$$

To describe it consider first the obvious retraction from the disjoint union of  $n$  cones to the disjoint union of  $(n - 1)$  cones

$$\tilde{r} : \{1, \dots, n\} \times C X \rightarrow \{1, \dots, n - 1\} \times C X, \quad \tilde{r}(j, p) = \begin{cases} (j, p) & \text{if } j < n \\ (1, p) & \text{if } j = n \end{cases}$$

Now observe that

$$\tilde{r}(\{1, \dots, n\} \times X) = \{1, \dots, n - 1\} \times X$$

<sup>2</sup>The cone on  $z$  bounds  $z$ .

and

$S_n X = \{1, \dots, n\} \times CX / \{1, \dots, n\} \times X$ ,  $S_{n-1} X = \{1, \dots, n-1\} \times CX / \{1, \dots, n-1\} \times X$  so that  $\tilde{r}$  descends to a retraction

$$r : S_n X \rightarrow S_{n-1} X.$$

This shows that the sequence (5.6) splits so that

$$H_k(S_n X) \cong H_k(S_{n-1} X) \oplus \tilde{H}_{k-1}(X) \cong \dots \cong \bigoplus_{j=1}^{n-1} \tilde{H}_{k-1}(X).$$

□

**Problem 27, §2.1** (a) We have the following commutative diagram

$$\begin{array}{ccccccccc} H_{n+1}(A) & \longrightarrow & H_{n+1}(X) & \longrightarrow & H_{n+1}(X, A) & \longrightarrow & H_n(A) & \longrightarrow & H_n(X) \\ f_* \downarrow & & f_* \downarrow & & f_* \downarrow & & f_* \downarrow & & f_* \downarrow \\ H_{n+1}(B) & \longrightarrow & H_{n+1}(Y) & \longrightarrow & H_{n+1}(Y, B) & \longrightarrow & H_n(B) & \longrightarrow & H_n(Y) \end{array}$$

The rows are exact. The morphisms induced on absolute homology are isomorphisms so the five lemma implies that the middle vertical morphism between relative homology groups is an isomorphism as well.

(b) We argue by contradiction. Suppose there exists a map  $g : (D^n, D^n \setminus 0) \rightarrow (D^n, \partial D^n)$  such that  $g \circ f$  is homotopic as maps of pairs with  $\mathbb{1}_{(D^n, \partial D^n)}$ . If  $x \in D^n \setminus 0$  then,  $g(tx) \in \partial D^n$ ,  $\forall t \in (0, 1]$ . We deduce that

$$g(0) = \lim_{t \searrow 0} g(tx) \in \partial D^n.$$

Hence  $g(D^n) \subset \partial D^n$  so we can regard  $g$  as a map  $D^n \rightarrow \partial D^n$ . Note that  $g|_{\partial D^n} \simeq \mathbb{1}_{\partial D^n}$ . Equivalently, if we denote by  $i$  the natural inclusion  $\partial D^n \hookrightarrow D^n$  then we have

$$g \circ i \simeq \mathbb{1}_{\partial D^n},$$

so that for every  $k \geq 0$  we get a commutative diagram

$$\begin{array}{ccc} \tilde{H}_k(\partial D^n) & \xrightarrow{i_*} & \tilde{H}_k(D^n) = 0 \\ & \searrow & \downarrow g_* = 0 \\ & \mathbb{1}_{H_k(\partial D^n)} & \tilde{H}_k(\partial D^n) \end{array}$$

In particular for  $k = n - 1$  we have  $\tilde{H}_{n-1}(\partial D^n) \cong \mathbb{Z}$  and we reached a contradiction.

□

**Problem 28, §2.1** The cone on the 1-skeleton of  $\Delta_3$  is depicted in Figure 3.

Before we proceed with the proof let us introduce a bit of terminology. The cone  $X$  is linearly embedded in  $\mathbb{R}^3$  so that it is equipped with a metric induced by the Euclidean metric. For every point  $x_0 \in X$  we set

$$B_r(x_0) := \{x \in X; |x - x_0| \leq r\}.$$

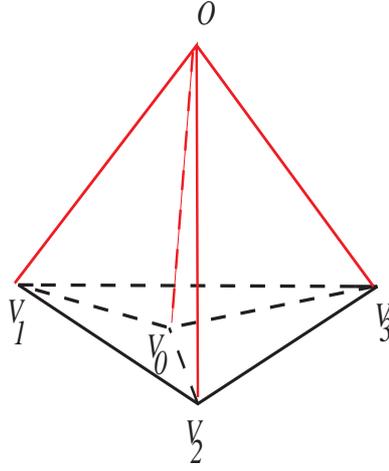


FIGURE 3. A cone over the 1-skeleton of a tetrahedron.

By excising  $X - B_r(x_0)$ ,  $0 < r \ll 1$  we deduce

$$H_*(X, X - x_0) \cong H_*(B_r(x_0), B_r(x_0) - x_0).$$

Now observe that  $B_r(x_0)$  deformation retracts onto  $L_r(x_0)$ , the *link* of  $x_0$  in  $X$ ,

$$L_r(x_0) = \{x \in X; |x - x_0| = r\}.$$

Hence

$$H_*(X, X - x_0) \cong H_*(B_r(x_0), L_r(x_0)) \cong \tilde{H}_*(B_r(x_0)/L_r(x_0)).$$

We now discuss separately various cases (see Figure 4).

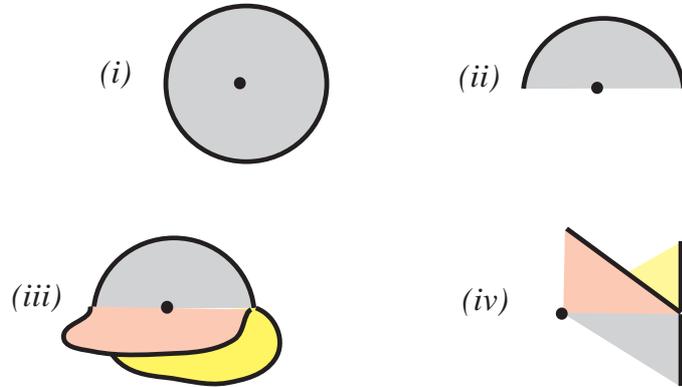


FIGURE 4. The links of various points on  $X$ .

(i)  $x_0$  is in the interior of a 2-face. In this case  $B_r(x_0)/L_r(x_0) \cong S^2$  for all  $r \ll 1$  so that

$$H_*(X, X - x_0) \cong \tilde{H}_*(S^2).$$

(ii)  $x_0$  is inside one of the edges  $[V_i V_j]$ . In this case  $B_r(x_0)$  is the upper half-disk, and the link is the upper half-circle.

$$H_*(X, X - x_0) \cong 0.$$

(iii)  $x_0$  is inside one of the edges  $[OV_i]$ . In this case  $B_r$  consists of three half-disks glued along their diameters. The link consists of three arcs with identical initial points and final points. Then  $B_r(x_0)/L_r(x_0) \simeq S^2 \vee S^2$  so that

$$H_*(X, X - x_0) \cong \tilde{H}_*(S^2 \vee S^2) \cong \tilde{H}_*(S^2) \oplus \tilde{H}_*(S^2).$$

(iv)  $x_0$  is one of the vertices  $V_i$ . In this case  $B_r$  consists of three circular sectors with a common edge. The link is the wedge of three arcs. In this case  $B_r/L_r$  is contractible so that

$$H_*(X, X - x_0) \cong 0$$

(v)  $x_0 = O$ . In this case  $B_r \cong X$  and the link coincides with the 1-skeleton of  $\Delta_3$ . We denote this 1-skeleton by  $Y$ . Using the long exact sequence of the pair  $(X, Y)$  and the contractibility of  $X$  we obtain isomorphisms

$$H_n(X, Y) \cong \tilde{H}_{n-1}(Y) \cong \begin{cases} 0 & \text{if } n \neq 2 \\ \mathbb{Z}^3 & \text{if } n = 2 \end{cases}.$$

We deduce that the boundary points are the points in (ii) and (iv). These are precisely the points situated on  $Y$ .

To understand the invariant sets of a homeomorphism  $f$  of  $X$  note first that

$$H_*(X, X - x) \cong H_*(X, X - f(x)).$$

In particular any homeomorphism of  $X$  induces by restriction a homeomorphism of  $Y$ . By analyzing in a similar fashion the various local homology groups  $H_*(Y, Y - y)$  we deduce that any homeomorphism of  $Y$  maps vertices to vertices so it must permute them.

Any homeomorphism  $f$  of  $X$  maps the vertex  $O$  to itself. Also, it maps any point on one of the edges  $[OV_i]$  to a point on an edge  $[OV_j]$ . Thus any homeomorphism permutes the edges  $[OV_i]$ . We deduce that the nonempty subsets of  $X$  left invariant by all the homeomorphisms of  $X$  are obtained from the following sets

$$\{O\}, \{V_0, V_1, V_2, V_3\}, Y, [OV_0] \cup \dots \cup [OV_3], X.$$

via the basic set theoretic operations  $\cup, \cap, \setminus$ .

□

## Homework

1. We denote by  $\mathbb{Z}[t]$  the ring of polynomials with integer coefficients in one variable  $t$ . If  $A, B \in \mathbb{Z}[t]$ , we say that  $A$  *dominates*  $B$ , and we write this  $A \succeq B$ , if there exists a polynomial  $Q \in \mathbb{Z}[t]$ , with *nonnegative coefficients* such that

$$A(t) = B(t) + (1+t)Q(t).$$

(a) Show that if  $A_0 \succeq B_0$ ,  $A_1 \succeq B_1$  and  $C \succeq 0$  then

$$A_0 + A_1 \succeq B_0 + B_1 \quad \text{and} \quad CA_0 \succeq CB_0.$$

(b) Suppose  $A(t) = a_0 + a_1t + \cdots + a_nt^n \in \mathbb{Z}[t]$ ,  $B = b_0 + b_1t + \cdots + b_mt^m$ . Show that  $A \succeq B$  if and only if, for every  $k \geq 0$  we have

$$\sum_{i+j=k} (-1)^i a_j \geq \sum_{i+j=k} (-1)^i b_j, \quad (M_{\geq})$$

$$\sum_{j \geq 0} (-1)^j a_j = \sum_{k \geq 0} (-1)^j b_j. \quad (M_{=})$$

(c) We define a graded Abelian group to be a sequence of Abelian groups  $C_{\bullet} := (C_n)_{n \geq 0}$ . We say that  $C_{\bullet}$  is of *finite type* if

$$\sum_{n \geq 0} \text{rank } C_n < \infty.$$

The *Poincaré polynomial* of a graded group  $C_{\bullet}$  of finite type is defined as

$$P_C(t) = \sum_{n \geq 0} (\text{rank } C_n) t^n.$$

The *Euler characteristic* of  $C_{\bullet}$  is the integer

$$\chi(C_{\bullet}) = P_C(-1) = \sum_{n \geq 0} (-1)^n \text{rank } C_n.$$

A short exact sequence of graded groups  $(A_{\bullet})$ ,  $(B_{\bullet})$ ,  $(C_{\bullet})$  is a sequence of short exact sequences

$$0 \rightarrow A_n \rightarrow B_n \rightarrow C_n \rightarrow 0, \quad n \geq 0.$$

Prove that if  $0 \rightarrow A_{\bullet} \rightarrow B_{\bullet} \rightarrow C_{\bullet} \rightarrow 0$  is a short exact sequence of graded Abelian groups of finite type, then

$$P_B(t) = P_A(t) + P_C(t). \quad (2)$$

(d) (*Morse inequalities. Part 1*) Suppose

$$\cdots \rightarrow C_n \xrightarrow{\partial} C_{n-1} \xrightarrow{\partial} \cdots \xrightarrow{\partial} C_1 \xrightarrow{\partial} C_0 \rightarrow 0$$

is a chain complex such that the grade group  $C_{\bullet}$  is of finite type. We denote by  $H_n$  the  $n$ -th homology group of this complex and we form the corresponding graded group  $H_{\bullet} = (H_n)_{n \geq 0}$ . Show that  $H_{\bullet}$  is of finite type and

$$P_C(t) \succeq P_H(t) \quad \text{and} \quad \chi(C_{\bullet}) = \chi(H_{\bullet}).$$

(e) (*Morse inequalities. Part 2*) Suppose we are given three finite type graded groups  $A_{\bullet}$ ,  $B_{\bullet}$  and  $C_{\bullet}$  which are part of a long exact sequence

$$\cdots \rightarrow A_k \xrightarrow{i_k} B_k \xrightarrow{j_k} C_k \xrightarrow{\partial_k} A_{k-1} \rightarrow \cdots \rightarrow A_0 \rightarrow B_0 \rightarrow C_0 \rightarrow 0.$$

Show that

$$P_A(t) + P_C(t) \succeq P_B(t),$$

and

$$\chi(B_\bullet) = \chi(A_\bullet) + \chi(C_\bullet).$$

*Proof.* (a) We have

$$A_0(t) = B_0(t) + (1+t)Q_0(t), \quad A_1(t) = B_1(t) + (1+t)Q_1(t)$$

so that

$$A_0(t) + A_1(t) = B_0(t) + B_1(t) + (1+t)(Q_0(t) + Q_1(t)).$$

Note that if  $Q_0$  and  $Q_1$  have nonnegative integral coefficients, so does  $Q_0 + Q_1$ . Next observe that

$$CA_0 = CB_0 + (1+t)CQ.$$

If  $C$  and  $Q$  have nonnegative integral coefficients, so does  $CQ$ .

(b) Use the identity

$$(1+t)^{-1} = \sum_{k \geq 0} (-1)^k t^k.$$

Then

$$\begin{aligned} A - B = (1+t)Q &\iff Q(t) = (1+t)^{-1}(A(t) - B(t)) \\ &\iff q_n = \sum_{i+j=n} (-1)^i (a_j - b_j), \text{ where } Q = \sum_n q_n t^n. \end{aligned}$$

Hence

$$q_n \geq 0, \quad \forall n \iff \sum_{i+j=n} (-1)^i a_j \geq \sum_{i+j=n} (-1)^i b_j.$$

This proves  $(M_{\geq})$ . The equality  $(M_{=})$  is another way of writing the equality

$$A(-1) = B(-1).$$

(c) Set  $a_n = \text{rank } A_n$ ,  $b_n = \text{rank } B_n$ ,  $c_n = \text{rank } C_n$ . If

$$0 \rightarrow A_n \rightarrow B_n \rightarrow C_n \rightarrow 0, \quad n \geq 0.$$

is a short exact sequence then

$$b_n = a_n + c_n \implies \sum_{n \geq 0} b_n t^n = \sum_{n \geq 0} a_n t^n + \sum_{n \geq 0} c_n t^n,$$

which is exactly (2).

(d) Observe that we have short exact sequences

$$0 \rightarrow Z_n(C) \rightarrow C_n \xrightarrow{\partial} B_{n-1}(C) \rightarrow 0, \quad (3)$$

$$0 \rightarrow B_n(C) \rightarrow Z_n(C) \rightarrow H_n(C) \rightarrow 0. \quad (4)$$

We set

$$z_n := \text{rank } Z_n(C), \quad b_n = \text{rank } B_n(C), \quad h_n = \text{rank } H_n(C), \quad c_n = \text{rank } C_n.$$

From (3) we deduce

$$c_n = z_n + b_{n-1}, \quad \forall n \geq 0,$$

where we have  $B_{-1}(C) = 0$ . Hence

$$P_C(t) = P_Z(t) + tP_B(t).$$

On the other hand, the sequence (4) implies

$$P_Z = P_B + P_H.$$

Hence

$$P_C = P_H + (1+t)P_B \implies P_C \succeq P_H.$$

The equality  $\chi(C) = \chi(H)$  follows from  $(M_-)$ .

(e) Set

$$\begin{aligned} a_k &:= \text{rank } A_k, & b_k &:= \text{rank } B_k, & c_k &= \text{rank } C_k, \\ \alpha_k &= \text{rank ker } i_k, & \beta_k &= \text{rank ker } j_k, & \gamma_k &= \text{rank ker } \partial_k. \end{aligned}$$

Then

$$\begin{aligned} &\begin{cases} a_k = \alpha_k + \beta_k \\ b_k = \beta_k + \gamma_k \\ c_k = \gamma_k + \alpha_{k-1} \end{cases} \implies a_k - b_k + c_k = \alpha_k + \alpha_{k-1} \\ &\implies \sum_k (a_k - b_k + c_k)t^k = \sum_k t^k (\alpha_k + \alpha_{k-1}) \\ &\implies P_{A_\bullet}(t) - P_{B_\bullet}(t) + P_{C_\bullet}(t) = (1+t)Q(t), \quad Q(t) = \sum_k \alpha_k t^{k-1}. \end{aligned}$$

□

**Hatcher, §2.1, Problem 14.** We will use the identification

$$\mathbb{Z}_n = \left\{ i/n \in \mathbb{Q}/\mathbb{Z}; i \in \mathbb{Z} \right\}.$$

(a) Consider the injection

$$j : \mathbb{Z}_4 \hookrightarrow \mathbb{Z}_8 \oplus \mathbb{Z}_2, \quad 1/4 \mapsto (1/4, 1/2).$$

Then  $(1/8, 0)$  is an element of order 4 in  $(\mathbb{Z}_8 \oplus \mathbb{Z}_2)/j(\mathbb{Z}_4)$  so that we have a short exact sequence

$$0 \rightarrow \mathbb{Z}_4 \rightarrow \mathbb{Z}_8 \oplus \mathbb{Z}_2 \rightarrow \mathbb{Z}_4 \rightarrow 0.$$

(b) Suppose we have a short exact sequence

$$0 \rightarrow \mathbb{Z}_{p^m} \xrightarrow{j} A \xrightarrow{\pi} \mathbb{Z}_{p^n} \rightarrow 0. \quad (5)$$

Then  $A$  is an Abelian group of order  $p^{m+n}$  so that it has a direct sum decomposition

$$A \cong \bigoplus_{i=1}^k \mathbb{Z}_{p^{\nu_i}}, \quad \nu_1 \geq \nu_2 \geq \cdots \geq \nu_k, \quad \sum_i \nu_i = m+n. \quad (6)$$

On the other hand  $A$  must have an element of order  $p^m$ , and an element of order  $\geq p^n$  so that  $\nu_1 \geq \max(m, n)$ .

Fix an element  $a_1 \in A$  which projects onto a generator of  $\mathbb{Z}_{p^n}$ , and denote by  $a_0 \in A$  the image of a generator in  $\mathbb{Z}_{p^m}$ . Then  $A$  is generated by  $a_0$  and  $a_1$  so the number  $k$  of summands in (6) is at most 2. Hence

$$A \cong A_{\alpha, \beta} := \mathbb{Z}_{p^\alpha} \oplus \mathbb{Z}_{p^\beta}, \quad \alpha \geq \max(m, n, \beta), \quad \alpha + \beta = m+n. \quad (7)$$

We claim that any group  $A_{\alpha, \beta}$  as in (7) fits in an exact sequence of the type (5). To prove this we need to find an inclusion  $j : \mathbb{Z}_{p^n} \hookrightarrow A_{\alpha, \beta}$  such that the group  $A_{\alpha, \beta}/j(\mathbb{Z}_{p^m})$  has an element of order  $p^n$ .

Observe first that  $\beta \leq \min(m, n)$  because

$$\beta = (m+n) - \alpha = \min(m, n) + \underbrace{(\max(m, n) - \alpha)}_{\leq 0} \leq \min(m, n).$$

Consider the inclusion

$$\mathbb{Z}_{p^m} \rightarrow A_{\alpha,\beta} = \mathbb{Z}_{p^\alpha} \oplus \mathbb{Z}_{p^\beta}, \quad 1/p^m \mapsto (1/p^m, 1/p^\beta).$$

Then the element  $g = (1/p^\alpha, 0)$  has order  $p^n$  in the quotient  $A_{\alpha,\beta}/j(\mathbb{Z}_{p^m})$ .

To prove this observe first that the order of  $g$  is a power  $p^\nu$  of  $p$ ,  $\nu \leq n$ . Since  $p^\nu g \in j(\mathbb{Z}_{p^m})$ , there exists  $x \in \mathbb{Z}$ ,  $0 < x < p^m$ , such that

$$p^\nu g = (1/p^{\alpha-\nu}, 0) = x \cdot (1/p^m, 1/p^\beta) \pmod{\mathbb{Z}}.$$

Hence

$$p^\beta | x, \quad p^{\alpha+m} | (p^{m+\nu} - xp^\alpha).$$

We can now write  $x = x_1 p^\beta$ , so that

$$p^{n+m} | (x_1 p^{\alpha+\beta} - p^{m+\nu}).$$

Since  $\alpha + \beta = m + n$  we deduce  $p^{n+m} | p^{m+\nu}$  so that  $n \leq \nu$ .

(c)\* Consider a short exact sequence

$$0 \rightarrow \mathbb{Z} \xrightarrow{f} A \xrightarrow{g} \mathbb{Z}_n \rightarrow 0.$$

We will construct a group morphism  $\chi : \mathbb{Z}_n \rightarrow \mathbb{Q}/\mathbb{Z}$  as follows.<sup>1</sup>

For every  $x \in \mathbb{Z}_n$  there exists  $\hat{x} \in A$  such that  $g(\hat{x}) = x$ . Then  $g(n \cdot \hat{x}) = nx = 0$  so that

$$n \cdot \hat{x} \in \ker g = f(\mathbb{Z}).$$

Hence there exists  $k \in \mathbb{Z}$  such that

$$f(k) = n \cdot \hat{x}.$$

Set<sup>2</sup>

$$\chi(x) := \frac{k}{n} \pmod{\mathbb{Z}}.$$

The definition of  $\chi(x)$  is independent of the choice  $\hat{x}$ . Indeed if  $\hat{x}' \in A$  is a different element of  $A$  such that  $g(\hat{x}') = x$  then  $\hat{x} - \hat{x}' \in \ker g$  so there exists  $s \in \mathbb{Z}$  such that

$$\hat{x} - \hat{x}' = f(s).$$

Then

$$n\hat{x}' = n\hat{x} - f(ns) = f(k - ns)$$

so that  $\frac{k}{n} = \frac{k - ns}{n} \pmod{\mathbb{Z}}$ .

Now define a map

$$h : A \rightarrow \mathbb{Q} \oplus \mathbb{Z}_n, \quad a \mapsto \left( \frac{f^{-1}(na)}{n}, g(a) \right).$$

Observe that  $h$  is injective. Its image consists of pairs  $(q, x) \in \mathbb{Q} \oplus \mathbb{Z}_n$  such that

$$q = \chi(x) \pmod{\mathbb{Z}}.$$

We deduce that  $A$  is isomorphic to  $\mathbb{Z} \oplus \text{Im}(\chi)$ . The image of  $\chi$  is a cyclic group whose order is a divisor of  $n$ .

Conversely, given a group morphism  $\lambda : \mathbb{Z}_n \rightarrow \mathbb{Q}/\mathbb{Z}$ , we denote by  $C_\lambda \subset \mathbb{Q}/\mathbb{Z}$  its image, and we form the group

$$A_\lambda := \{(q, c) \in \mathbb{Q} \times \mathbb{Z}_n; \quad q = \lambda(c) \pmod{\mathbb{Z}}\}.$$

Observe that  $A \cong \mathbb{Z} \oplus C_\lambda$ , and  $C_\lambda$  is a finite cyclic group whose order is a divisor of  $n$ .

<sup>1</sup>A group morphism  $G \rightarrow \mathbb{Q}/\mathbb{Z}$  is called a *character* of the group.

<sup>2</sup>Less rigorously  $\chi(x) = \frac{f^{-1}(ng^{-1}(x))}{n} \pmod{\mathbb{Z}}$ .

We have a natural injection

$$f : \mathbb{Z} \hookrightarrow \mathbb{Q} \oplus 0 \hookrightarrow A_\lambda,$$

a natural surjection

$$A_\lambda \twoheadrightarrow \mathbb{Q} \times \mathbb{Z}_n \twoheadrightarrow \mathbb{Z}_n,$$

and the sequence

$$0 \rightarrow \mathbb{Z} \rightarrow A_\lambda \rightarrow \mathbb{Z}_n \rightarrow 0$$

is exact.

Given any divisor  $m$  of  $n$ , we consider

$$\lambda_m : \mathbb{Z}_n \rightarrow \mathbb{Q}/\mathbb{Z}, \quad \frac{k}{n} \pmod{\mathbb{Z}} \mapsto \frac{k}{m} \pmod{\mathbb{Z}}.$$

Its image is a cyclic group of order  $m$ . We have thus shown that there exists a short exact sequences

$$0 \rightarrow \mathbb{Z} \rightarrow A \rightarrow \mathbb{Z}_n \rightarrow 0$$

if and only if  $A \cong \mathbb{Z} \oplus \mathbb{Z}_m$ ,  $m|n$ .

□

## Homework # 7

**Definition 7.1.** A space  $X$  is said to be of *finite type* if it satisfies the following conditions.

(a)  $\exists N > 0$  such that  $H_n(X) = 0, \forall n > N$ .

(b)  $\text{rank } H_k(X) < \infty, \forall k \geq 0$ . □

1. (a) Suppose  $A, B$  are open subsets of the space  $X$  such that  $X = A \cup B$ . Assume  $A, B$  and  $A \cap B$  are of finite type. Prove that  $X$  is of finite type and

$$\chi(X) = \chi(A) + \chi(B) - \chi(A \cap B).$$

(b) Suppose  $X$  is a space of finite type. Prove that

$$\chi(S^1 \times X) = 0.$$

(c) Suppose we are given a structure of finite  $\Delta$ -complex on a space  $X$ . We denote by  $c_k$  the number of equivalence classes of  $k$ -faces. Prove that

$$\chi(X) = c_0 - c_1 + c_2 - \dots$$

(d) Let us define a graph to be a connected, 1-dimensional, finite  $\Delta$ -complex. (A graph is allowed to have loops, i.e., edges originating and ending at the same vertex, see Figure 1.)

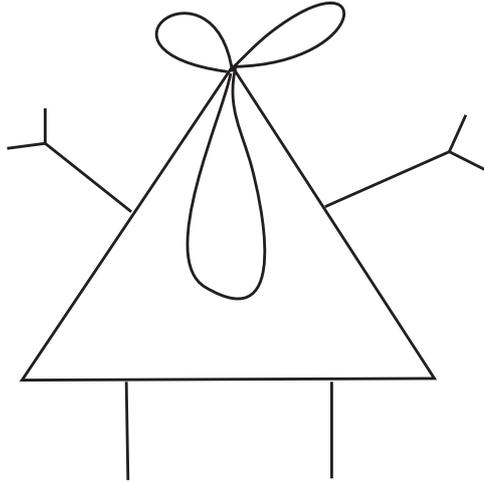


FIGURE 1. A graph with loops.

Suppose  $G$  is a graph with vertex set  $V$ . For simplicity, we assume that it is embedded in the Euclidean space  $\mathbb{R}^3$ . We denote by  $c_0(G)$  the number of vertices, and by  $c_1(G)$  the number of edges, and by  $\chi(G)$  the Euler characteristic of  $G$ . We set

$$\ell(v) := \text{rank } H_1(G, G \setminus \{v\}), \quad d(v) = 1 + \ell(v).$$

Prove that

$$c_1(G) = \frac{1}{2} \sum_{v \in V} d(v), \quad \chi(G) = \frac{1}{2} \sum_{v \in V} (1 - \ell(v)).$$

*Proof.* (a) From the Mayer-Vietoris sequence

$$\dots \rightarrow H_n(A \cap B) \rightarrow H_n(A) \oplus H_n(B) \rightarrow H_n(X) \xrightarrow{\partial} H_{n-1}(A \cap B) \rightarrow \dots$$

that  $X$  is of finite type. Using part (e) of Problem 1 in Homework # 6 for the above long exact sequence we deduce

$$\chi(A) + \chi(B) = \chi(A \cap B) + \chi(X).$$

(b) View  $S^1$  as the round circle in the plane

$$S^1 = \{ (x, y) \in \mathbb{R}^2; x^2 + y^2 = 1 \}.$$

Denote by  $p_+$  the North pole  $p_+ = (0, 1)$ , and by  $p_-$  the South pole,  $p_- = (0, -1)$ . We set

$$A_{\pm} = (S^1 \setminus \{p_{\pm}\}) \times X.$$

Then  $A_{\pm}$  are open subsets of  $S^1 \times X$  and  $S^1 \times A_+ \cup A_-$ . Each of them is homeomorphic to  $(0, 1) \times X$ , and thus homotopic with  $X$  and therefore

$$\chi(A_{\pm}) = \chi(X).$$

The overlap

$$A_0 = A_+ \cap A_- = (S^1 \setminus \{p_+, p_-\}) \times X,$$

has two connected components, each homeomorphic to  $(0, 1) \times X$ , and thus homotopic with  $X$  so that

$$\chi(A_0) = 2\chi(X).$$

From part (a) we deduce that

$$\chi(X) = \chi(A_+) + \chi(A_-) - \chi(A_0) = 0.$$

(c) The homology of  $X$  can be computed using the  $\Delta$ -complex structure. Thus, the homology groups  $H_k(X)$  are the homology groups of a chain complex

$$\cdots \rightarrow \Delta_n(X) \xrightarrow{\partial} \Delta_{n-1}(X) \xrightarrow{\partial} \cdots,$$

where  $\text{rank } \Delta_n(X) = c_n$ . The desired conclusion now follows from part (d) of Problem 1 in Homework # 6.

(d) For every  $v \in V$  we denote by  $B_r(v)$  the closed ball of radius  $r$  centered at  $x$ , and we set

$$G_r(v) := B_r(v) \cap G.$$

For  $r$  sufficiently small  $G_r(x)$  is contractible. We assume  $r$  is such. Using excision, we deduce

$$H_{\bullet}(G, G \setminus \{v\}) \cong H_{\bullet}(G_r(v), G_r(x) \setminus \{v\}).$$

We set  $G'_r(x) := G_r(v) \setminus \{x\}$ . Using the long exact sequence of the pair  $(G_r(v), G'_r(v))$  we obtain the exact sequence

$$0 = H_1(G_r(x)) \rightarrow H_1(G_r(v), G'_r(x)) \rightarrow H_0(G'_r(v)) \xrightarrow{i_0} H_0(G_r(v)) \cong \mathbb{Z}.$$

Hence

$$\ell(x) = \text{rank ker } i_0 = \text{rank } H_0(G'_r(v)) - 1 \implies d(v) = \text{rank } H_0(G'_r(x)).$$

In other words,  $d(v)$  is the number of components of  $G'_r(v)$ , when  $r$  is very small. Equivalently,  $d(v)$  is the number of edges originating /and/or ending at  $v$ , where each loop is to be counted twice. This is called the *degree* of the vertex  $x$ . For example, the degree of the top vertex of the graph depicted in Figure 1 is 8, because there are 3 loops and 2 regular edges at that vertex. The equality

$$\sum_{v \in V} d(v) = 2c_1(G),$$

is now clear, because in the above sum each edge is counted twice. From part (c) we deduce

$$\chi(G) = c_0(G) - c_1(G)$$

so that

$$\begin{aligned} \chi(G) &= \sum_{v \in V} 1 - \frac{1}{2} \sum_{v \in V} d(v) = \sum_{v \in V} 1 - \frac{1}{2} \sum_{v \in V} (1 + \ell(v)) \\ &= \frac{1}{2} \sum_{v \in V} (1 + \ell(v)). \end{aligned}$$

□

**2.** Consider a connected planar graph  $G$  situated in a half plane  $H$ , such that the boundary of the half plane intersects  $G$  in a nonempty set of vertices. Denote by  $\nu$  the number of such vertices, and by  $\chi_G$  the Euler characteristic of  $G$ . Let  $S$  be the space obtained by rotating  $G$  about the  $y$  axis.

(a) Compute the Betti numbers of  $S$ .

(b) Determine these Betti numbers in the special case when  $G$  is the graph depicted in Figure 2, where the red dotted line is the boundary of the half plane.

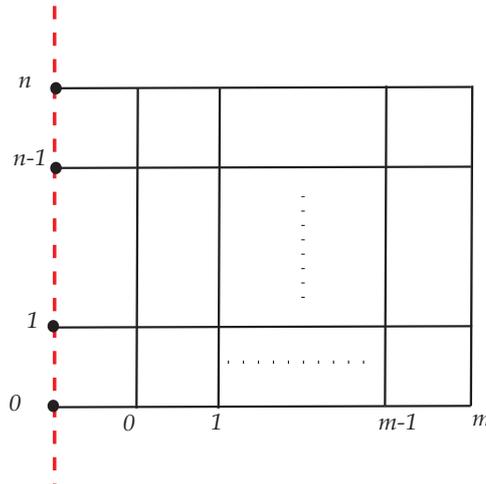


FIGURE 2. Rotating a planar graph.

*Proof.* For every graph  $\Gamma$ , we denote by  $c_0(\Gamma)$  (respectively  $c_1(\Gamma)$ ) the number of vertices (respectively edges) of  $\Gamma$ .

As in Homework # 2, we can deform the graph  $G$  inside the halfplane, by collapsing one by one the edges which have at least one vertex not situated on the  $y$ -axis. We obtain a new planar graph  $G_0$ , that is homotopic to  $G$ , and has exactly  $\nu$  vertices, all situated on the axis of rotation. From the equality

$$\chi_G = \chi(G_0),$$

we deduce

$$\chi_G = c_0(G_0) - c_1(G_0) = \nu - c_1(G_0) \implies c_1(G_0) = \nu - \chi_G.$$

Denote by  $S_0$  the space obtained by rotating  $G_0$  about the  $y$ -axis. Then  $S_0$  is homotopic with  $S$ , and the result you proved in Homework 2 shows that  $S_0$  is a wedge of a number  $n_1$  circles, and a number  $n_2$  of spheres. Using Corollary 2.25 of your textbook we deduce

$$\tilde{H}_k(S_0) = \underbrace{\tilde{H}_k(S^1) \oplus \cdots \oplus \tilde{H}_k(S^1)}_{n_1} \oplus \underbrace{\tilde{H}_k(S^2) \oplus \cdots \oplus \tilde{H}_k(S^2)}_{n_2}.$$

so that

$$b_0(S_0) = 1, \quad b_1(S_0) = n_1, \quad b_2(S_0) = n_2, \quad b_k(S_0) = 0, \quad \forall k > 2,$$

and its Euler characteristic satisfies

$$\chi(S) = \chi(S_0) = 1 - n_1 + n_2.$$

The 2-spheres which appear in the above wedge decomposition of  $S_0$  are in a bijective correspondence with the edges of  $G_0$  so that

$$b_2(S_0) = n_2 = c_1(G_0) = \nu - \chi_G.$$

For every vertex  $v$  of  $G_0$  we denote by  $S_0^v$  the intersection of  $S_0$  with a tiny *open* ball centered at  $v$ . Note that  $S_0^v$  is contractible. Define

$$A := \bigcup_{v \in V} S_0^v, \quad B = S_0 \setminus V.$$

Then  $A, B$  are open subsets of  $S_0$  and

$$S_0 = A \cup B.$$

From part (a) of Problem 1 we deduce

$$\chi(S_0) = \chi(A) + \chi(B) - \chi(A \cap B),$$

provided that the spaces  $A, B$  and  $A \cap B$  are of finite type.  $A$  is the disjoint union of  $\nu$  contractible sets so that  $A$  is of finite type and  $\chi(A) = \nu$ .  $B$  is the disjoint union of  $c_1(G_0)$  cylinders, one cylinder for each edge of  $G_0$ . In particular,  $B$  is of finite type and  $\chi(B) = 0$ . The overlap is the disjoint union of punctured disks, and each of them has finite type and trivial Euler characteristic. Hence

$$\chi(S_0) = \nu.$$

We deduce

$$\nu = 1 - n_1 + n_2 = 1 - n_1 + \nu - \chi_G \implies b_1(S_0) = n_1 = 1 - \chi_G = b_1(G).$$

(b) Observe that the graph in Figure 1 has  $(m+2)(n+1)$  vertices because there are  $n+1$  horizontal lines and  $m+2$  vertices on each of them.

To count the edges, observe that there are  $(m+1)(n+1)$  horizontal edges and  $n(m+1)$  vertical ones. Hence

$$\chi_G = (m+2)(n+1) - (m+1)(n+1) - n(m+1) = n+1 - n(m+1) = 1 - mn.$$

Since  $b_0(G) = 1$ , we deduce  $b_1(G) = mn$ . By rotating  $G$  about the vertical axis we obtain a space which is a wedge of  $mn$  copies of  $S^1$  and  $n+mn$  copies of  $S^2$ .  $\square$

### Solutions to Homework # 8

**Problem 3, §2.2.** Since  $\deg f = 0 \neq (-1)^{n+1}$  we deduce that  $f$  must have a fixed point, i.e. there exists  $x \in S^n$  such that  $f(x) = x$ .

Let  $g = (-1) \circ f$ . Then  $\deg g = \deg(-1) \cdot \deg f = 0$  so that  $g$  must have a fixed point  $y$ . Thus  $f(y) = -y$ . □

**Problem 4, §2.2.** Consider a continuous function  $f : [0, 1] \rightarrow \mathbb{R}$  such that

$$f(0) = f(1) = 0, \quad f(1/2) = 2\pi.$$

The map

$$I := [0, 1] \rightarrow S^1, \quad t \mapsto \exp(if(t))$$

induces a continuous surjective map  $g : I/\partial I = S^1 \rightarrow S^1$ . The map  $f$  is a lift at  $0 \in \mathbb{R}$  of  $g$  in the universal cover  $\mathbb{R} \xrightarrow{\exp} S^1$ . Since  $f$  starts and ends at the same point we deduce that  $g$  is homotopically trivial so that  $\deg g = 0$ . We have thus constructed a surjection  $g : S^1 \rightarrow S^1$  of degree zero. Suppose inductively that  $f : S^n \rightarrow S^n$  is a degree 0 surjection. Then the suspension of  $f$  is a degree 0 surjection

$$Sf : S^{n+1} \rightarrow S^{n+1}.$$

□

**Problem 7, §2.2.** Assume  $E$  is an  $n$ -dimensional real Euclidean space with inner product  $\langle \bullet, \bullet \rangle$ . Suppose  $T : E \rightarrow E$  is a linear automorphism, and set

$$S := TT^*.$$

$S$  is selfadjoint, and thus we can find an orthonormal basis  $(e_1, \dots, e_n)$  of  $E$  which diagonalizes it,

$$S = \text{diag}(\lambda_1, \dots, \lambda_n), \quad \lambda_i > 0.$$

Let

$$D(t) = \text{diag}(\lambda_1^{-t/2}, \dots, \lambda_n^{-t/2}),$$

so that  $D(0) = \mathbb{1}$  and  $D(1)^2 = S^{-1}$ . Now define

$$T_t = D(t)T, \quad S_t = T_t T_t^* = D_t S D_t.$$

Observe that  $\text{sign det } T_t = \text{sign det } T, \forall t$ , and

$$S_0 = S, \quad S_1 = \mathbb{1},$$

so that  $T_1$  is homotopic through automorphisms with an orthogonal operator. Thus, we can assume from the very beginning that  $T$  is orthogonal.

For each  $\theta \in [0, 2\pi]$  denote by  $R_\theta : \mathbb{C} \rightarrow \mathbb{C}$  the counterclockwise rotation by  $\theta$ . Using the Jordan normal form of an orthogonal matrix we can find an orthogonal decomposition

$$E \cong U \oplus V \oplus \mathbb{C}^m,$$

such that  $T$  has the form

$$T = \mathbb{1}_U \oplus (-\mathbb{1}_V) \oplus \bigoplus_{i=1}^m R_{\theta_i}.$$

There exists a homotopy

$$T_s = \mathbb{1}_U \oplus (-\mathbb{1}_V) \oplus \bigoplus_{i=1}^m R_{s\theta_i},$$

such that

$$T_0 = \mathbb{1}_U \oplus (-\mathbb{1}_V) \oplus \mathbb{1}_{\mathbb{C}^m}, \quad T_1 = T, \quad \det T_0 = \det T_1.$$

Thus  $T$  is homotopic to a product of reflections and the claim in the problem is true for such automorphisms.  $\square$

**Problem 8, §2.2.** It is convenient to identify  $S^2$  with  $\mathbb{C}\mathbb{P}^1$ . As such, its covered by two coordinate charts,

$$U_s = S^2 \setminus \{\text{South Pole}\} \cong \mathbb{C}, \quad U_n = S^2 \setminus \{\text{North Pole}\} \cong \mathbb{C}.$$

We denote by  $x : U_s \rightarrow \mathbb{C}$  the complex coordinate on  $U_s$  and by  $y : U_n \rightarrow \mathbb{C}$  the complex coordinate on  $U_n$ . On the overlap  $U_s \cap U_n$  we have the equality  $x = \frac{1}{y}$ .

We think of a polynomial as a function  $f : U_s \rightarrow \mathbb{C}$ ,

$$f(p) = \sum_{j=0}^d a_j x^j, \quad x^j = x(p)^j.$$

Here we think of  $U_s$  as a coordinate chart in a copy of  $\mathbb{C}\mathbb{P}^1$  which we denote by  $\mathbb{C}\mathbb{P}_{source}^1$ .

We think of the target space  $\mathbb{C}$  of  $f$  as the coordinate chart  $V_s$  of another copy of  $\mathbb{C}\mathbb{P}^1$  which we denote by  $\mathbb{C}\mathbb{P}_{target}^1$ . We denote the local coordinates on  $\mathbb{C}\mathbb{P}_{target}^1$  by  $u$  on  $V_s$ , and  $v$  on  $V_n$ . Thus we regard  $f : U_s \rightarrow V_s$  as a function

$$u = \sum_j a_j x^j. \tag{0.1}$$

We identify the South Pole on  $\mathbb{C}\mathbb{P}_{source}^1$  with the point at  $\infty$  on  $U_s$ ,  $x \rightarrow \infty$ . Using the equality  $y = \frac{1}{x}$  we see that the point at  $\infty$  has coordinate  $y = 0$ . Similarly, the point at infinity on  $\mathbb{C}\mathbb{P}_{target}^1$  ( $u \rightarrow \infty$ ) has coordinate  $v = 0$ .

Using (0.1) we deduce that  $\lim_{x \rightarrow \infty} u(x) = \infty$ . Now change the coordinates in both the source and target space,  $x = 1/y$ ,  $v = 1/u$ . Hence

$$v(y) = \frac{1}{u(x)} = \frac{1}{u(1/y)} = \frac{1}{\sum_{j=0}^n a_j y^{-j}} = \frac{y^d}{\sum_{j=0}^d a_j y^{d-j}}.$$

This shows that the polynomial  $f$  extends as a smooth map  $\mathbb{C}\mathbb{P}_{source}^1 \rightarrow \mathbb{C}\mathbb{P}_{target}^1$ .

Suppose  $r_1, \dots, r_m$  are the roots of  $f$  with multiplicities  $\mu_1, \dots, \mu_m$ ,  $\sum_k \mu_k = d$ .

Fix a small disk  $\Delta = \{|u| < \varepsilon\}$  centered at the point  $u = 0 \in V_s \subset \mathbb{C}\mathbb{P}_{target}^1$ . We can find small pairwise disjoint disks  $D_1, \dots, D_m$  centered at  $r_1, \dots, r_k \in U_s \subset \mathbb{C}\mathbb{P}_{source}^1$  such that

$$f(D_k) \subset \Delta, \quad \forall 1 \leq k \leq m.$$

More explicitly  $D_k := \{|x - r_k| < \delta_k\}$ , where  $\delta_k$  is a very small positive number. On  $D_k$  the polynomial  $f$  has the description

$$u(x) = (x - r_k)^{\mu_k} Q_k(x), \quad Q_k(x) \neq 0, \quad \forall x \in D_k.$$

Since  $Q_k \leq 0$  on  $D_k$  we can find a holomorphic function  $L_k : D_k \rightarrow \mathbb{C}$  such that

$$Q_k = \exp(L_k). \quad \left( \text{Explicitly, } L_k(x) = \log(Q_k(r_k)) + \int_{r_k}^x (dQ_k/Q_k) \right).$$

For  $t \in [0, 1]$  we set

$$Q_k^t := \exp(tL_k), \quad f_k^t = (x - r_k)^{\mu_k} Q_k^t.$$

Observe that

$$|Q_k^t| = |Q_k|^t$$

Set

$$M_k := \sup\{|Q_k(x)|; |x - r_k| \leq \delta_k\}.$$

If we choose  $\delta_k$  sufficiently small then

$$|(x - r_k)^{\mu_k} Q_k^t(x)| \leq M_k^t |x - r_k|^{\mu_k} \leq M_k^t \delta_k^{\mu_k} < \varepsilon, \quad \forall |x - r_k| < \delta_k.$$

Equivalently, this means that if  $\delta_k$  is sufficiently small then

$$f_k^t(D_k, D_k \setminus \{r_k\}) \subset (\Delta, \Delta \setminus \{0\}).$$

This implies that  $f = f^1 : (D_k, D_k \setminus r_k) \rightarrow (\Delta, \Delta \setminus 0)$  is homotopic to

$$f^0 : (D_k, D_k \setminus r_k) \rightarrow (\Delta, \Delta \setminus 0), \quad f^0(x) = (x - r_k)^{\mu_k},$$

as maps of pairs. The degree of induced map

$$f^0 : \{|x| = \delta_k\} \rightarrow \{|u| = \delta_k^{\mu_k}\} \subset \Delta \setminus 0$$

is  $\mu_k$  so that  $\deg(f, r_k) = \mu_k$ . We conclude that

$$\deg f = \sum_k \deg(f, r_k) = \sum_k \mu_k = d.$$

□

### Solutions to Homework # 9

**Problem 10, §2.2** (a)  $X$  has a cell structure with a single vertex  $v$ , a single 1-cell  $e$ , and two 2-cells  $D_{\pm}$  (the upper and lower hemispheres of  $S^2$ .) The cellular complex has the form

$$0 \rightarrow \mathbb{Z}\langle D_1, D_2 \rangle \xrightarrow{\partial_2} \mathbb{Z}\langle e \rangle \xrightarrow{\partial_1} \mathbb{Z}\langle v \rangle \rightarrow 0.$$

Denote by  $\alpha_n : S^n \rightarrow S^n$  the antipodal map. Then

$$\partial_2 D_{\pm} = (1 + \deg \alpha_1)e = 2e, \quad \partial_1 e = 0.$$

We conclude that

$$H_2(X) \cong \mathbb{Z}\langle (D_+ - D_-) \rangle \cong \mathbb{Z}, \quad H_1(X) \cong \mathbb{Z}_2, \quad H_0(X) \cong \mathbb{Z}.$$

(b) For the space  $Y$  obtained by identifying the antipodal points of the equator we obtain a cell complex

$$0 \rightarrow \mathbb{Z}\langle D_+, D_- \rangle \xrightarrow{\partial_3} \underbrace{\mathbb{Z}\langle e_2 \rangle \xrightarrow{\partial_2} \mathbb{Z}\langle e_1 \rangle \xrightarrow{\partial_1} \mathbb{Z}\langle v \rangle}_{\text{cellular chain complex of } \mathbb{RP}^2} \rightarrow 0,$$

$$\partial D_{\pm} = (1 + \deg \alpha_2)e_2 = 0.$$

Hence

$$H_3(Y) \cong \mathbb{Z} \oplus \mathbb{Z}, \quad H_2(Y) \cong H_2(\mathbb{RP}^2) \cong 0, \quad H_1(Y) \cong \mathbb{Z}/2\mathbb{Z}, \quad H_0(Y) \cong \mathbb{Z}.$$

□

**Problem 14, §2.2.** Denote by  $\alpha_n : S^n \rightarrow S^n$  the antipodal map. Then the map  $f$  is even if and only if

$$f \circ \alpha_n = f.$$

Hence

$$\deg f = \deg(f) \deg \alpha_n \implies \deg f = (\deg f) \cdot \deg \alpha_n = (-1)^{n+1} \deg f.$$

Hence if  $n$  is even then  $\deg f = 0$ . Assume next that  $n$  is odd.

Since  $\mathbb{RP}^n = S^n/(x \sim -x)$  there exists a continuous map  $g : \mathbb{RP}^n \rightarrow S^n$  such that the diagram below is commutative

$$\begin{array}{ccc} S^n & \xrightarrow{f} & S^n \\ \pi \downarrow & \nearrow g & \\ \mathbb{RP}^n & & \end{array} \quad (\dagger)$$

Consider the collapse maps

$$q : \mathbb{RP}^n \rightarrow \mathbb{RP}^n / \mathbb{RP}^{n-1} \cong S^n,$$

Arguing as in the proof of the *Cellular Boundary Formula* (page 140 of the textbook) we deduce that the degree of the map

$$q \circ \pi : S^n \cong \partial \mathbb{RP}^n / \mathbb{RP}^{n-1} \cong S^n,$$

is  $1 + (-1)^{n+1} = 2$ .

From the long exact sequence of the pair  $(\mathbb{RP}^n, \mathbb{RP}^{n-1})$  we deduce that the natural map

$$H_n(\mathbb{RP}^n) \xrightarrow{j_n} H_n(\mathbb{RP}^n, \mathbb{RP}^{n-1}) \cong H_n(\mathbb{RP}^n / \mathbb{RP}^{n-1})$$

is an isomorphism.

By consulting the commutative diagram

$$\begin{array}{ccc} H_n(S^n) \cong \mathbb{Z} & & \\ \pi_* \downarrow & \searrow^{(q \circ \pi)_* = \times 2} & \\ H_n(\mathbb{RP}^n) \cong \mathbb{Z} & \xrightarrow{\cong_{j_n}} & H_n(\mathbb{RP}^n / \mathbb{RP}^{n-1}) \cong \mathbb{Z} \end{array}$$

we deduce that the induced  $\pi_* : H_n(S^n) \cong \mathbb{Z} \rightarrow H_n(\mathbb{RP}^n) \cong \mathbb{Z}$  is described by multiplication by  $\pm 2$ . Using this information in the diagram ( $\dagger$ ) we deduce that  $\deg f = \pm \deg g$ , so that  $\deg f$  must be even.

To show that there exist even maps  $S^{2n-1} \rightarrow S^{2n-1}$  of arbitrary even degrees we use the identification

$$S^{2n-1} := \{(z_1, \dots, z_n) \in \mathbb{C}^n; \sum_k |z_k|^2 = n\}.$$

We write  $z_k = r_k \exp(i\theta_k)$ . For every vector  $\vec{\nu} = (\nu_1, \nu_2, \dots, \nu_n) \in (\mathbb{Z}^*)^n$  define

$$F_{\vec{\nu}} : S^{2n-1} \rightarrow S^{2n-1}, \quad F_{\vec{\nu}}(r_1 e^{i\theta_1}, \dots, r_n e^{i\theta_n}) = (r_1 e^{\nu_1 i\theta_1}, \dots, r_n e^{\nu_n i\theta_n}).$$

Observe that

$$F_{\vec{\nu}}(-\vec{z}) = F_{\vec{\nu}}(e^{i\pi} \cdot \vec{z}).$$

Hence, if all the integers  $\nu_i$  are odd, the map  $F_{\vec{\nu}}$  is odd, i.e.,  $F_{\vec{\nu}}(-\vec{z}) = -F_{\vec{\nu}}(\vec{z})$ .

Now observe that  $p_0 := (1, 1, \dots, 1) \in S^{2n-1}$  and

$$F_{\vec{\nu}}^{-1}(p_0) = \{\vec{\zeta} := (\zeta_1, \dots, \zeta_n); \zeta_k^{\nu_k} = 1\}.$$

Near  $\vec{\zeta}$  the map  $F_{\vec{\nu}}$  is homotopic to its linearization  $D_{\zeta} F_{\vec{\nu}}$  since for  $\vec{z}$  close to  $\vec{\zeta}$

$$F_{\vec{\nu}}(\vec{z}) \approx F_{\vec{\nu}}(\vec{\zeta}) + D_{\zeta} F_{\vec{\nu}} \cdot (\vec{z} - \vec{\zeta}) + O(|\vec{z} - \vec{\zeta}|^2).$$

Near  $\vec{\zeta}$  and  $p_0$  we can use the same coordinates  $(r_1, \dots, r_{n-1}; \theta_1, \dots, \theta_n)$  and the linearization is given by the matrix

$$D_{\zeta} F_{\vec{\nu}} = \mathbb{1}_{\mathbb{R}^{n-1}} \oplus \text{diag}(\nu_1, \dots, \nu_n).$$

We have

$$\deg(F_{\vec{\nu}}, \vec{\zeta}) = \det D_{\zeta} F_{\vec{\nu}} = \text{sign}(\nu_1 \cdots \nu_n).$$

We conclude that

$$\deg F_{\vec{\nu}} = \sum_{\vec{\zeta} \in F_{\vec{\nu}}^{-1}(p_0)} \deg(F_{\vec{\nu}}, \vec{\zeta}) = \nu_1 \nu_2 \cdots \nu_n$$

When  $\vec{\nu} = (m, 1, \dots, 1)$  we write  $F_m$  instead of  $F_{(m, \dots, 1)}$ . Note that  $F_m$  is odd if and only if  $m$  is odd.

Denote by  $G : S^{2n-1} \rightarrow S^{2n-1}$  the continuous map defined as the composition

$$S^{2n-1} \rightarrow \mathbb{RP}^{2n-1} / \mathbb{RP}^{2n-1} \cong S^{2n-1}.$$

The map  $G$  is even and has degree 2.

Suppose  $N$  is an even number. We can write  $N = 2^k m$ ,  $m$ , odd number. Define

$$G_N := \underbrace{G \circ \cdots \circ G}_k \circ F_m.$$

Then  $G_N$  is an even map of degree  $N$ . □

**Problem 29, §2.2** The standard embedding of a genus 2 Riemann surface in  $\mathbb{R}^3$  is depicted in Figure 1. Denote by  $j : \Sigma_g \rightarrow R$  the natural embedding. It induces a morphism

$$j_* : H_1(\Sigma_g) \rightarrow H_1(R).$$

whose kernel consists of cycles on  $\Sigma_g$  which bound on  $R$ .

More precisely,  $\ker j$  is a free Abelian group of rank  $g$  with a basis consisting of the cycles  $a_1, \dots, a_g$  (see Figure 1). We can complete  $a_1, \dots, a_g$  to a  $\mathbb{Z}$ -basis  $a_1, \dots, a_g; b_1, \dots, b_g$  of  $H_1(\Sigma_g)$  (see Figure 1).  $R$  is homotopic to the wedge of the circles  $b_1, \dots, b_g$ .

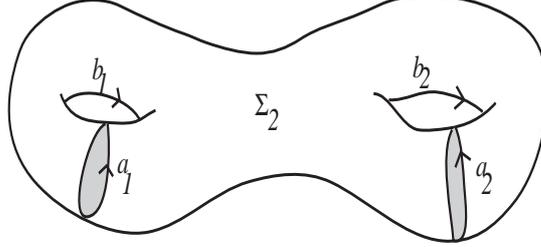


FIGURE 1.  $\Sigma_2$  is the “crust” of a double bagel  $R$ .

Consider now two copies  $R^0, R^1$  of the handlebody  $R$ . Correspondingly we get two inclusions

$$j^k : \Sigma \hookrightarrow R^k, \quad k = 0, 1.$$

Then  $X = R^0 \cup_{\Sigma} R^1$ . Denote by  $i^k$  the inclusion  $R^k \hookrightarrow X$ . The Mayer-Vietoris sequence has the form

$$\cdots \rightarrow H_k(R^0) \oplus H_k(R^1) \xrightarrow{s} H_k(X) \xrightarrow{\partial} H_{k-1}(\Sigma) \xrightarrow{\Delta_{k-1}} H_{k-1}(R^0) \oplus H_{k-1}(R^1) \rightarrow \cdots$$

where  $\Delta(c) = (j_*^0(c), -j_*^1(c))$ , and  $s(u, v) = i_*^0(u) + i_*^1(v)$ . Since  $R$  is homotopic to a wedge of circles we deduce  $H_k(R) = 0$  for  $k > 1$ .

Using the portion  $k = 3$  in the above sequence we obtain an isomorphism

$$\partial : H_3(X) \rightarrow H_2(\Sigma) \cong \mathbb{Z}.$$

For  $k = 2$  we obtain an isomorphism

$$\partial : H_2(X) \rightarrow \ker \Delta_1 \cong \mathbb{Z}\langle b_1, \dots, b_g \rangle.$$

Since  $\ker \Delta_0 = 0$  we obtain an isomorphism

$$H_1(X) \cong \operatorname{coker}(\Delta_1) \cong \frac{\mathbb{Z}^g \oplus \mathbb{Z}^g}{\{\vec{x} \oplus -\vec{x}; \vec{x} \in \mathbb{Z}^g\}} \cong \mathbb{Z}^g.$$

We use the long exact sequence of the pair  $(R, \Sigma)$

$$\cdots \rightarrow H_k(R) \rightarrow H_k(R, \Sigma) \xrightarrow{\partial} H_{k-1}(\Sigma) \xrightarrow{j_*} H_{k-1}(R) \rightarrow \cdots$$

For  $k = 3$  we obtain an isomorphism  $\partial : H_3(R, \Sigma) \rightarrow H_2(\Sigma)$ . For  $k = 2$  we obtain an isomorphism

$$\partial : H_2(R, \Sigma) \rightarrow \ker j_* \cong \mathbb{Z}\langle a_1, \dots, a_g \rangle$$

(The disks depicted in Figure 1 represent the generators of  $H_2(R, \Sigma)$  defined by the above isomorphism.)

For  $k = 1$  we have an exact sequence

$$H_1(\Sigma) \xrightarrow{j_*} H_1(R) \rightarrow H_1(R, \Sigma) \xrightarrow{\partial} \ker j_* = 0.$$

Since  $H_1(\Sigma) \xrightarrow{j_*} H_1(R)$  is onto we deduce  $H_1(R, \Sigma) = 0$ . Finally,  $H_0(R, \Sigma) = 0$ .  $\square$

**Problem 30, §2.2**

(a) Observe that  $H_k(T_f) \cong 0$  for  $k > 3$ . Since  $r$  is a reflection we deduce  $f_* = \deg f \cdot \mathbb{1} = -\mathbb{1}$  on  $H_2(S^2)$  and  $= \mathbb{1}$  on  $H_0(S^2)$ . We have the short exact sequence

$$0 \rightarrow H_3(T_f) \rightarrow H_2(S^2) \xrightarrow{2} H_2(S^2) \rightarrow H_2(T_f) \rightarrow 0.$$

Hence  $H_3(T_f) = 0$  and  $H_2(T_f) \cong \mathbb{Z}_2$ . We also have a short exact sequence

$$0 \rightarrow H_1(T_f) \rightarrow H_0(S^2) \xrightarrow{0} H_0(S^2)$$

so that  $H_1(T_f) \cong \mathbb{Z}$ .

(b) In this case  $1 - f_* = -1$  on  $H_2(S^2)$ , and we deduce as above  $H_3(T_f) \cong H_2(T_f) \cong 0$ . We conclude similarly that  $H_1(T_f) \cong \mathbb{Z}$ .

The maps  $f : S^1 \rightarrow S^1$  are described by matrices  $A : \mathbb{Z}^2 \rightarrow \mathbb{Z}^2$ . More precisely such a map defines a continuous map  $\mathbb{R}^2 \rightarrow \mathbb{R}^2$  which descends to quotients

$$A : \mathbb{R}^2/\mathbb{Z}^2 \rightarrow \mathbb{R}^2/\mathbb{Z}^2.$$

Here are the matrices in the remaining three cases.

(c)

$$A := \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix}.$$

(d)

$$A := \begin{bmatrix} -1 & 0 \\ 0 & -1 \end{bmatrix}.$$

(e)

$$A := \begin{bmatrix} -1 & 0 \\ 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}.$$

Suppose  $f : S^1 \times S^1 \rightarrow S^1 \times S^1$  is given by a  $2 \times 2$  matrix  $A$  with integral entries. We need to compute the induced maps  $f_* : H_k(T^2) \rightarrow H_k(T^2)$ . For  $k = 0$  we always have  $f_* = \mathbb{1}$ .

For  $k = 1$  we have  $H_1(T^2) \cong H_1(S^1) \oplus H_1(S^1) \cong \mathbb{Z}^2$  and the induced map  $f_* : \mathbb{Z}^2 \rightarrow \mathbb{Z}^2$  coincides with the map induced by the matrix  $A$ . For  $k = 2$  the induced map  $f_* : \mathbb{Z} \rightarrow \mathbb{Z}$  can be identified with an integer, the degree of  $f$ . This can be computed using the computation in Problem 7, §2.2, and local degrees as in Proposition 2.30, page 136. We deduce that

$$\deg f = \det A.$$

The Wang long exact sequence then has the form

$$\begin{aligned} 0 \rightarrow H_3(T_A) \rightarrow H_2(T^2) \xrightarrow{1-\det A} H_2(T^2) \rightarrow H^2(T_A) \rightarrow \\ \rightarrow H_1(T^2) \xrightarrow{1-A} H_1(T^2) \rightarrow H_1(T_A) \rightarrow H_0(T^2) \xrightarrow{0} H_0(T^2) \rightarrow H_0(T_A). \end{aligned}$$

In our cases  $\det A = \pm 1$ . When  $\det A = 1$  (case (d) and (e)) we have

$$H_3(T_A) \cong H_2(T^2) \cong \mathbb{Z}.$$

In the case (c) we have  $1 - \det A = 2$  and we have

$$H_3(T_A) \cong 0.$$

In the cases (d) and (e) we have short exact sequences

$$0 \rightarrow H_2(T^2) \rightarrow H_2(T_A) \rightarrow \ker(1 - A) \rightarrow 0.$$

In both cases  $\ker(1 - A) = 0$  so that

$$H_2(T_A) \cong \mathbb{Z}.$$

Finally we deduce a short exact sequence

$$0 \rightarrow \operatorname{coker}(1 - A) \rightarrow H_1(T_A) \rightarrow H_0(T^2) \rightarrow 0$$

so that

$$H_1(T_A) \cong \mathbb{Z} \oplus \operatorname{coker}(1 - A).$$

In the case (d) we have  $1 - A = 2 \cdot \mathbb{1}_{\mathbb{Z}^2}$  so that  $\operatorname{coker} \cong \mathbb{Z}_2 \oplus \mathbb{Z}_2$ .

In the case (e) we have

$$1 - A = \begin{bmatrix} 1 & 1 \\ -1 & 1 \end{bmatrix}$$

$\operatorname{coker}(1 - A)$  is a group of order  $|\det(1 - A)| = 2$  so it can only be  $\mathbb{Z}_2$ .

In the case (c) we have  $1 - \det A = 2$  and we get an exact sequence

$$0 \rightarrow \mathbb{Z}_2 \rightarrow H_2(T_A) \rightarrow \ker(1 - A) \rightarrow 0 \implies H_2(T_A) \cong \mathbb{Z}_2 \oplus \ker(1 - A).$$

Note that

$$1 - A = \begin{bmatrix} 0 & 0 \\ 0 & 2 \end{bmatrix}$$

Hence

$$H_2(T_A) \cong \mathbb{Z}_2 \oplus \mathbb{Z}.$$

We get again

$$H_1(T_A) \cong \mathbb{Z} \oplus \operatorname{coker}(1 - A).$$

so that  $\operatorname{coker}(1 - A) \cong \mathbb{Z} \oplus \mathbb{Z}_2$ . We deduce

$$H_1(T_A) \cong \mathbb{Z}^2 \oplus \mathbb{Z}_2.$$

The following table summarizes the above conclusions.

$H_*(T_f)$	$H_0$	$H_1$	$H_2$	$H_3$
(a)	$\mathbb{Z}$	$\mathbb{Z}$	$\mathbb{Z}_2$	0
(b)	$\mathbb{Z}$	$\mathbb{Z}$	0	0
(c)	$\mathbb{Z}$	$\mathbb{Z}^2 \oplus \mathbb{Z}_2$	$\mathbb{Z} \oplus \mathbb{Z}_2$	0
(d)	$\mathbb{Z}$	$\mathbb{Z} \oplus \mathbb{Z}_2 \oplus \mathbb{Z}_2$	$\mathbb{Z}$	$\mathbb{Z}$
(e)	$\mathbb{Z}$	$\mathbb{Z} \oplus \mathbb{Z}_2$	$\mathbb{Z}$	$\mathbb{Z}$

□

### Homework # 10: The generalized Mayer-Vietoris principle.

Suppose  $X$  is a locally compact topological space, and  $\mathcal{U} = (U_\alpha)_{\alpha \in A}$  is an open cover of  $X$ . Assume for simplicity that the set  $A$  is finite. Fix a total ordering on  $A$ . For each finite subset  $S \subset A$  we set

$$U_S := \bigcap_{\alpha \in S} U_\alpha$$

The *nerve* of the cover  $\mathcal{U}$  is the combinatorial simplicial complex  $\mathbf{N}(\mathcal{U})$  defined as follows.

- The vertex set of  $\mathbf{N}(\mathcal{U})$  is  $A$ .
- A finite subset  $S \in A$  is a face of  $\mathbf{N}(\mathcal{U})$  if and only if  $U_S \neq \emptyset$ .

For example, this means that two vertices  $\alpha, \beta \in A$  are to be connected by an edge, i.e.,  $\{\alpha, \beta\}$  is a face of  $\mathbf{N}(\mathcal{U})$ , if and only if  $U_\alpha \cap U_\beta \neq \emptyset$ .

In Figure 1 we have depicted two special cases of the above construction

- (a) *The nerve of a cover consisting of two open sets  $U_1, U_2$  with nonempty overlap.*  
 (b) *The nerve of the open cover of the one-dimensional space  $X$  depicted in Figure 1.*

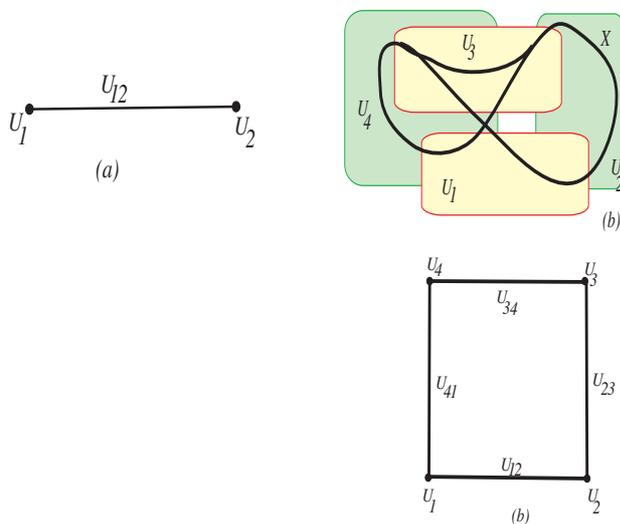


FIGURE 1. An open cover of a 1-dimensional cellular complex  $X$ .

In general, for any  $X$ , any open cover  $\mathcal{U}$  as above, and any  $p, q \geq 0$  we set

$$K_{p,q}(\mathcal{U}) := \bigoplus_{S \subset A, |S|=q+1} C_p(U_S),$$

where  $C_p(U_S)$  denotes the free Abelian group generated by singular simplices  $\sigma : \Delta_p \rightarrow U_S$ . Note that the above direct sum is parameterized by the  $q$ -dimensional faces of the nerve  $\mathbf{N}(\mathcal{U})$ .

The elements of  $K_{p,q}$  have the form

$$c = \bigoplus_{|S|=q+1} c_S, \quad c_S \in C_p(U_S).$$

The chain  $c$  assigns to each  $q$ -dimensional face  $S$  of the nerve  $\mathbf{N}(\mathcal{U})$  an element  $c_S$  in the group  $C_p(U_S)$ .

We now form a double complex  $(K_{\bullet, \bullet}, \partial_I, \partial_{II})$  as follows.

$$\partial_I : K_{p,q} = \bigoplus_{S \subset A, |S|=q+1} C_p(U_S) \longrightarrow \bigoplus_{S \subset A, |S|=q+1} C_{p-1}(U_S) = K_{p-1,q}$$

$$\partial_I(\bigoplus_{|S|=q+1} c_S) = \bigoplus_{|S|=q+1} \partial c_S$$

To define  $\partial_{II}$ , note that for every inclusion  $S' \hookrightarrow S$  we have an inclusion  $U_S \hookrightarrow U_{S'}$ . In particular, for every

$$S = \{s_0 < s_1 < \cdots < s_q\} \subset A, \quad U_S \neq \emptyset$$

we have inclusions

$$\varphi_j : U_S \rightarrow U_{S \setminus s_j},$$

and thus we have morphisms  $\varphi_j : C_p(U_S) \rightarrow C_p(U_{S \setminus s_j})$ . Given a singular simplex

$$\sigma : \Delta_p \rightarrow U_S$$

so that  $\sigma$  determines an element in  $K_{p,q}$ , we define  $\delta\sigma \in K_{p,q-1}$  by

$$\delta\sigma = \sum_{j=0}^q (-1)^j \varphi_j(\sigma) \in \bigoplus_{j=0}^q C_p(U_{S \setminus s_j}) \subset K_{p,q-1}.$$

The map  $\delta$  extends by linearity to an morphism  $\delta : K_{p,q} \rightarrow K_{p,q-1}$  called the *Čech boundary operator*. Note that

$$K_{p,0} = \bigoplus_{\alpha \in A} C_p(U_\alpha).$$

**Exercise 10.1.** (a) Describe  $K_{\bullet, \bullet}$ ,  $d_I$  and  $\delta$  for the two situations in (a) and (b). Prove that in both these cases  $\delta^2 = 0$ .

(b) Prove in general that  $\delta^2 = 0$ , and define

$$d_{II} : K_{p,q} \rightarrow K_{p,q-1}, \quad d_{II} = (-1)^p \delta.$$

Show that  $d_I d_{II} = -d_{II} d_I$ . □

*Proof.* In both cases we have  $U_S = \emptyset$  for  $|S| > 2$  so that in both cases we have

$$K_{p,q} = 0, \quad \forall q \geq 2$$

so that in either case the double complex has the form in Figure 2 where the o's denote the places where  $K_{p,q} = 0$ .

In case (a) we have

$$K_{p,0} = C_p(U_1) \oplus C_p(U_2), \quad K_{p,1} = C_p(U_{12}), \quad U_{12} = U_1 \cap U_2$$

Denote by  $\varphi_\alpha$  the inclusion

$$C_p(U_{12}) \hookrightarrow C_p(U_\alpha).$$

We will identify  $\varphi_\alpha(C_p(U_\alpha))$  with  $C_p(U_\alpha)$ . Then for  $(c_1, c_2) \in K_{p,0}$  we have

$$d_I(c_1, c_2) = (\partial c_1, \partial c_2) \in K_{p-1,0}$$

and

$$\delta(c_1, c_2) = 0.$$

For  $c \in K_{p,1} = C_p(U_{12})$  we have

$$d_I c = \partial c \in K_{p-1,1}, \quad \delta c = (-\varphi_1(c), \varphi_2(c)) = (-c, c) \in K_{p,0}.$$

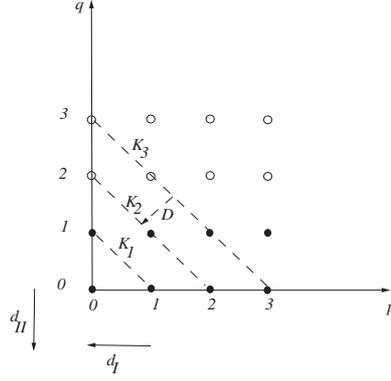


FIGURE 2. A highly degenerate double complex

In case (b) we have

$$K_{p,0} = C_p(U_1) \oplus C_p(U_2) \oplus C_p(U_3) \oplus C_p(U_3) \oplus C_p(U_4)$$

We describe the elements of  $K_{p,0}$  as quadruples  $(c_1, c_2, c_3, c_4)$  and we have

$$\delta(c_1, c_2, c_3, c_4) = 0.$$

$$K_{p,1} = C_p(U_{12}) \oplus C_p(U_{23}) \oplus C_p(U_{34}) \oplus C_p(U_{41}).$$

We describe the elements of  $K_{p,1}$  as quadruples  $(c_{12}, c_{23}, c_{34}, c_{14})$ . Then

$$\delta(c_{12}, c_{23}, c_{34}, c_{14}) = (-c_{14} - c_{12}, c_{12} - c_{23}, c_{23} - c_{34}, c_{34} + c_{14}).$$

The condition  $\delta^2 = 0$  is trivially satisfied in both cases.

Consider now the general situation, and let  $c \in K_{p,q} = \bigoplus_{|S|=q+1} C_p(U_S)$ . We can write

$$c = \bigoplus_{|S|=q+1} c_S$$

We will first show that

$$\delta^2 c_S = 0, \quad \forall S.$$

Fix one such  $S$ . Assume  $S = \{0, 1, 2, \dots, q\}$ . For every  $i, j \in S$  denote by  $\varphi_{ij}$  the inclusion

$$C_p(S) \hookrightarrow C_p(S \setminus \{i, j\}).$$

Then

$$\begin{aligned} \delta c_S &= \sum_{i=0}^q (-1)^i \varphi_i(c_S). \\ \delta(\delta c_S) &= \sum_{i=0}^q (-1)^i \delta(\varphi_i c_S) = \sum_{i=0}^q (-1)^i \left( \sum_{j=0}^{i-1} (-1)^j \varphi_j \varphi_i(c_S) + \sum_{j=i+1}^q (-1)^{j-1} \varphi_j \varphi_i(c_S) \right) \\ &= \sum_{0 \leq j < i} (-1)^{i+j} \varphi_{ij}(c_S) + \sum_{0 \leq i < j} (-1)^{i+j+1} \varphi_{ij}(c_S) = 0. \end{aligned}$$

This proves  $\delta^2 = 0$ . Form the definition of  $\delta$  it follows that

$$\delta d_I = d_I \delta.$$

For  $c \in K_{p,q}$  we have

$$d_I d_{II} c = (-1)^p d_I \delta c = (-1)^p \delta(d_I c) = (-1)^p \cdot (-1)^{p-1} d_{II} d_I c. \quad \square$$

**Exercise 10.2.** Denote by  $C_p(X, \mathcal{U})$  the free Abelian group spanned by singular simplices in  $X$  whose images lie in some  $U_\alpha$ . Note that we have a natural surjection

$$\varepsilon : K_{p,0} \rightarrow C_p(X, \mathcal{U}).$$

Prove that for every  $p \geq 0, q \geq 0$  we have

$$\text{Im}(K_{p,q+1} \xrightarrow{\partial_{II}} K_{p,q}) = \ker(K_{p,q} \xrightarrow{\partial_{II}} K_{p,q-1}),$$

and

$$\text{Im}(K_{p,1} \xrightarrow{\partial_{II}} K_{p,0}) = \ker(K_{p,0} \xrightarrow{\varepsilon} C_p).$$

(In other words, you have to show that the columns of the expanded double complex

$$(K_{\bullet,\bullet}, \partial_I, \partial_{II}) \xrightarrow{\varepsilon} (C_*(X, \mathcal{U}), \partial)$$

are exact. *Hint:* Workout some special cases first.

*Proof.* We have

$$C_p(X, \mathcal{U}) := \sum_{\alpha} C_p(U_\alpha) \subset C_p(X).$$

The natural map

$$\varepsilon : K_{p,0} = \bigoplus_{\alpha} C_p(U_\alpha) \rightarrow \sum_{\alpha} C_p(U_\alpha)$$

is given by

$$\bigoplus_{\alpha} C_p(U_\alpha) \ni \bigoplus_{\alpha} c_{\alpha} \mapsto \sum_{\alpha} c_{\alpha}$$

For every  $\bigoplus_{|S|=2} c_S \in K_{p,1}$  we have

$$\delta(c_S) = (-c_S) \oplus c_S \in C_p(U_{s_1}) \oplus C_p(U_{s_2}), \quad (S = \{s_1, s_2\}),$$

and clearly  $\varepsilon(\delta(c_S)) = 0$ . Set

$$K_{p,-1} := C_p(X, \mathcal{U}).$$

We denote by  $N(\mathcal{U})_q$  the set of  $q$ -faces of the simplicial complex  $N(\mathcal{U})$ . For  $S \in N(\mathcal{U})_q$  we set

$$\mathcal{S}_{p,q}(S) := \{ \sigma : \Delta^p \rightarrow X; \sigma(\Delta^p) \subset U_S \} = \{ \sigma : \Delta^p \rightarrow X; \sigma(\Delta^p) \in U_s, \forall s \in S \}.$$

For each singular simplex  $\sigma : \Delta^p \rightarrow X$  we set

$$\text{supp}_q(\sigma) := \{ S \in N(\mathcal{U})_q; \sigma(\Delta^p) \subset U_S \iff \sigma(\Delta^p) \in U_s, \forall s \in S \}.$$

Denote by  $\mathcal{S}_{p,q}$  the set of singular  $p$ -simplices  $\sigma : \Delta^p \rightarrow X$  such that  $\text{supp}_q(\sigma) \neq \emptyset$ . Then

$$K_{p,q} = \bigoplus_{S \in N(\mathcal{U})_q} \bigoplus_{\sigma \in \mathcal{S}_{p,q}(S)} \mathbb{Z}.$$

We denote by  $\{ \langle \sigma, S \rangle; S \in N(\mathcal{U})_q, \sigma \in \mathcal{S}_{p,q}(S) \}$  the canonical basis of  $K_{p,q}$  corresponding to the above direct sum decomposition. We will denote the elements in group by sums

$$c = \sum_{S \in N(\mathcal{U})_q} \sum_{\sigma \in \mathcal{S}_{p,q}(S)} n(\sigma, S) \langle \sigma, S \rangle = \sum_{\sigma \in \mathcal{S}_{p,q}} \sum_{S \in \text{supp}_q(\sigma)} n(\sigma, S) \langle \sigma, S \rangle.$$

Denote by  $(C_{\bullet}(N(\mathcal{U})), \partial)$  the simplicial chain complex associated to the nerve  $N(\mathcal{U})$ . Then

$$C_q(N(\mathcal{U})) = \bigoplus_{S \in N(\mathcal{U})_q} \mathbb{Z}$$

and we denote by  $\{\langle S \rangle; S \in \mathbf{N}(\mathcal{U})_q\}$  the canonical basis of  $C_q(\mathbf{N}(\mathcal{U}))$  determined by the above direct sum decomposition. Observe that for every  $\sigma_0 \in \mathcal{S}_{p,q}$  we have a canonical projection

$$\begin{aligned} \pi_q(\sigma_0) : K_{p,q} &\rightarrow C_q(\mathbf{N}(\mathcal{U})), \\ \sum_{\sigma \in \mathcal{S}_{p,q}} \sum_{S \in \text{supp}_q(\sigma)} n(\sigma, S) \langle \sigma, S \rangle &\mapsto \sum_{S \in \text{supp}_q(\sigma_0)} n(\sigma_0, S) \langle S \rangle. \end{aligned}$$

We see from the definition of  $\delta$  that the morphism

$$\pi_*(\sigma_0) : (K_{p,\bullet}, \delta) \rightarrow (C_\bullet(\mathbf{N}(\mathcal{U})), \partial)$$

is a chain map. In particular, if

$$c = \sum_{\sigma \in \mathcal{S}_{p,q}} \sum_{S \in \text{supp}_q(\sigma)} n(\sigma, S) \langle \sigma, S \rangle$$

is a  $\delta$ -cycle,  $\delta c = 0$ , then for every  $\tau \in \mathcal{S}_{p,q}$  we get a  $\partial$ -cycle in  $C_*(\mathbf{N}(\mathcal{U}))$ ,

$$\pi_q(\tau)c = \sum_{S \in \text{supp}_q(\tau)} n(\tau, S) \langle S \rangle \in C_q(\mathbf{N}(\mathcal{U})), \quad \partial \pi_q(\tau)c = 0.$$

Consider the set of vertices

$$V(\tau) := \bigcup_{S \in \text{supp}_q(\tau)} S$$

We deduce that the image of  $\tau$  lies in all of the open sets  $U_t$ ,  $t \in V(\tau)$ . In other words, the vertices in  $V(\tau)$  span a simplex of the nerve  $\mathbf{N}(\mathcal{U})$ . The  $\partial$ -cycle  $\pi_q(\tau)c$  is a cycle inside this simplex so it bounds a simplicial chain of this simplex. Hence

$$\pi_q(\tau)c = \partial \sum_{T \in \text{supp}_{q+1}(\tau)} m_\tau \langle T \rangle.$$

We conclude that

$$c = \delta \left( \sum_{\tau \in \mathcal{S}_{p,q+1}} \sum_{T \in \text{supp}_{q+1}(\tau)} m_\tau \langle \tau, T \rangle \right). \quad \square$$

**Exercise 10.3** (The generalized Mayer-Vietoris principle). Suppose that we have a double complex

$$\left( K_{\bullet,\bullet} = \bigoplus_{p,q \geq 0} K_{p,q}, \quad d_I, \quad d_{II} \right),$$

where

$$d_I : K_{p,q} \rightarrow K_{p-1,q}, \quad d_{II} : K_{p,q} \rightarrow K_{p,q-1},$$

satisfy the identities

$$d_I^2 = d_{II}^2 = d_I d_{II} + d_{II} d_I = 0.$$

(see Figure 3.)

Form the *total complex*

$$(K_\bullet, D), \quad K_m = \bigoplus_{p+q=m} K_{p,q}, \quad D = d_I + d_{II} : K_m \rightarrow K_{m-1}.$$

(a) Prove that  $D^2 = 0$ .

(b) Suppose we are given another chain complex  $(C_\bullet, \partial)$ , and a *surjective* morphism of chain complexes

$$\varepsilon : (K_{\bullet,0}, \partial_I) \rightarrow (C_\bullet, \partial),$$

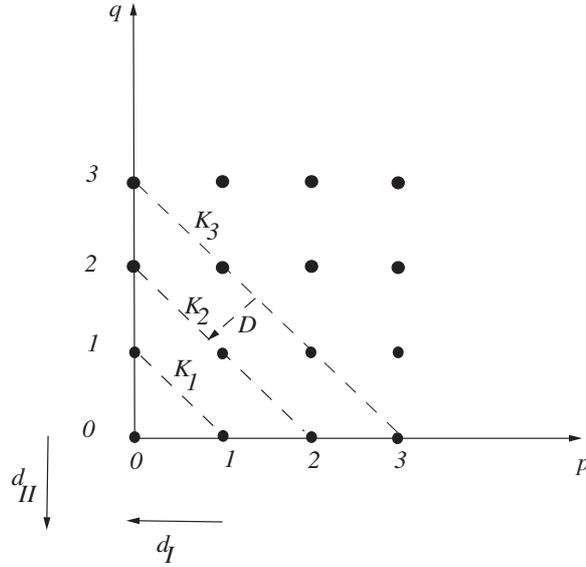


FIGURE 3. A double chain complex

such that

$$\varepsilon \circ d_{II} = 0.$$

Prove that  $\varepsilon$  induces a morphism of chain complexes

$$\varepsilon : (K_{\bullet}, D) \rightarrow (C_{\bullet}, \partial). \quad (10.1)$$

(c) Assume that for every  $p \geq 0$ ,  $q \geq 1$  we have

$$\text{Im} \left( K_{p,q+1} \xrightarrow{d_{II}} K_{p,q} \right) = \ker \left( K_{p,q} \xrightarrow{d_{II}} K_{p,q-1} \right),$$

and

$$\text{Im} \left( K_{p,1} \xrightarrow{d_{II}} K_{p,0} \right) = \ker \left( K_{p,0} \xrightarrow{\varepsilon} C_p \right).$$

Prove that the morphism (10.1) induces isomorphisms in homology.

*Proof.* (a) We have

$$D^2 = (d_I + d_{II})^2 = d_I^2 + d_{II}^2 + d_I d_{II} + d_{II} d_I = 0.$$

For part (b) we note that a chain  $c \in K_p$  is a sum

$$c_p = \sum_{i=0}^p c_{i,p-i}, \quad c_{i,p-i} \in K_{i,p-i}.$$

We define

$$\varepsilon(c_p) = \varepsilon(c_{p,0}),$$

and it is now obvious that the resulting map  $\varepsilon : K_{\bullet} \rightarrow C_{\bullet}$  is a morphism of chain complexes.

To prove that  $\varepsilon$  induces an isomorphism in homology we need to prove two things.

**A.** For any  $p \geq 0$ , and any  $c \in C_p$  such that  $\partial c = 0$ , there exists  $z = \sum_{j=0}^p z_{j,p-j} \in K_p$  such that  $Dz = 0$  and  $\varepsilon(z_{p,0}) = c$ . Observe that the condition  $Dz = 0$  is equivalent to the collection of equalities

$$d_I z_{p-j,j} + d_{II} z_{p-j-1,j+1} = 0, \quad \forall j = 0, \dots, p-1.$$

- B.** If  $z \in K_p$  is a  $D$ -cycle,  $Dz = 0$ , and  $\varepsilon(z) \in C_p$  is a  $\partial$ -boundary, i.e., exists  $c \in C_{p+1}$  such that  $\partial c = \varepsilon(z)$ , then there exists  $x \in K_{p+1}$  such that  $Dx = z$ .
- A.** We will construct by induction on  $0 \leq j \leq p$  elements  $z_j \in K_{p-j,j}$  such that (see Figure 4)

$$\varepsilon(z_{p,0}) = c, \quad d_I z_{i-1} + d_{II} z_i = 0, \quad \forall i = 1, \dots, j. \quad (Z_j)$$

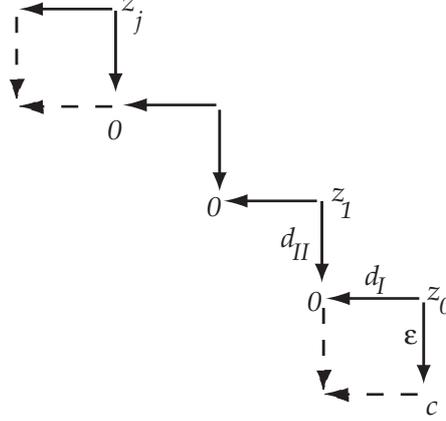


FIGURE 4. A zig-zag

Observe that since  $\varepsilon$  is surjective, there exists  $z_0 \in K_{p,0}$  such that

$$\varepsilon(z_0) = c.$$

Since  $\partial c = 0$  we deduce

$$\partial \varepsilon(z_0) = \varepsilon(d_I z_0) \implies -d_I z_0 \in \ker \varepsilon.$$

Hence, we can find  $z_1 \in K_1$  such that  $d_{II} z_1 = -d_I z_0$ .

Suppose that we have determined the elements  $z_0, \dots, z_j$  satisfying  $(Z_j)$ . We want to show that we can find  $z_{j+1} \in K_{p-j-1,j+1}$  such that the extended sequence  $z_0, \dots, z_{j+1}$  satisfies  $(Z_{j+1})$ .

From the equality  $d_{II} z_j = -d_I z_{j-1}$  we deduce

$$d_I d_{II} z_j = -d_I^2 z_{j-1} = 0 \implies d_{II} d_I z_j = 0.$$

Hence

$$-d_I z_j \in \ker d_{II} = \text{Im}(d_{II}) \implies \exists z_{j+1} \in K_{p-j-1,j+1} : d_{II} z_{j+1} = -d_I z_j.$$

This completes the proof of **A.**

**B.** Suppose we have

$$z = z_{p,0} + z_{p-1,1} + \dots + z_{0,p} \in K_p,$$

and  $c \in C_{p+1}$ , such that

$$\partial c = \varepsilon(Dz) = \varepsilon(z_{p,0}) \quad \text{and} \quad d_I z_{p-i,i} + d_{II} z_{p-i-1,i+1} = 0, \quad \forall i = 0, \dots, p-1.$$

For simplicity, we write  $z_j = z_{p-j,j}$ . Since  $\varepsilon$  is surjective we deduce that there exists  $b_0 \in K_{p+1,0}$  such that  $\varepsilon(b_0) = c$ . We deduce

$$\varepsilon(z_0) = \partial c = \partial \varepsilon(b_0) = \varepsilon(d_I b_0)$$

Hence

$$z_0 - d_I b_0 \in \ker \varepsilon = \text{Im}(d_{II}) \implies \exists b_1 \in K_{p,1} : z_0 - d_I b_0 = d_{II} b_1.$$

Suppose we have determined

$$b_i \in K_{p+1-i,i}, \quad 0 \leq i \leq j: \quad z_i = d_{II}b_{i+1} + d_I b_i, \quad \forall i = 0, \dots, j,$$

and we want to determine  $b_{j+1} \in K_{p-j,j+1}$  such that

$$z_j = d_{II}b_{j+1} + d_I b_j.$$

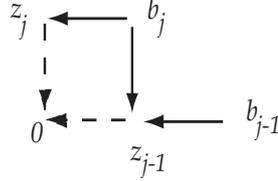


FIGURE 5. Another zig-zag

Observe that (see Figure 5)

$$0 = d_I z_{j-1} + d_{II} z_j \implies d_{II} z_j = -d_I z_{j-1} = -d_I (d_{II} b_j + d_I b_{j-1}) = -d_I d_{II} b_j = d_{II} d_I b_j.$$

Hence

$$z_j - d_I b_j \in \ker d_{II} = \text{Im}(d_{II})$$

so that there exists  $b_{j+1} \in K_{p-j,j+1}$  such that

$$d_{II} b_{j+1} = z_j - d_I b_j.$$

This completes the proof of **B.** □

**Exercise 10.4.** Obtain the usual Mayer-Vietoris theorem from the generalized Mayer-Vietoris principle.

*Proof.* Consider an open cover of  $X$  consisting of two open sets  $U_1, U_2$ . Denote by  $K_{\bullet, \bullet}$  the double complex constructed in Exercise 10.1 determined by this cover, and by  $K_{\bullet}$  the associated total complex constructed as in Exercise 10.3. We have the short exact sequence of complexes

$$0 \rightarrow (A_{\bullet}, d_I) \xrightarrow{i} (B_{\bullet}, D) \xrightarrow{\pi} (C_{\bullet}, d_I) \rightarrow 0,$$

where

$$A_m := K_{m,0}, \quad B_n := K_n, \quad C_p := K_{p-1,1}.$$

Observe that

$$H_m(A_{\bullet}) := H_m(U_1) \oplus H_m(U_2), \quad H_m(C_{\bullet}) = H_{m-1}(U_1 \cap U_2).$$

From Exercise 10.3 we deduce

$$H_m(B_{\bullet}) = H_m(X).$$

We get a long exact sequence

$$\dots \rightarrow H_m(U_1) \oplus H_m(U_2) \xrightarrow{i_*} H_m(X) \xrightarrow{\pi_*} H_{m-1}(U_1 \cap U_2) \xrightarrow{\partial_*} H_{m-1}(U_1) \oplus H_{m-1}(U_2) \rightarrow \dots$$

One can easily verify that  $\pi_*$  coincides with the connecting morphism in the Mayer-Vietoris long exact sequence. □