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# Index theorems on manifolds with boundary

Recall:  $D: \Gamma(E) \rightarrow \Gamma(E)$  elliptic partial diff. operator

$$\text{ind}(D) = \text{t-ind}(D)$$

if  $E$  is a cliff. bundle and  $D$  its Dirac operator

$$D: \Gamma(E) \xrightarrow{\nabla_E} \Gamma(T^*M \otimes E) \xrightarrow{\text{cl}} \Gamma(E)$$

$\uparrow$  connection                       $\uparrow$  cliff. multiplication

$$(*) \text{ind}(D_+) = \int_M \hat{A}(M) \text{ch}(E/S)$$

$\nwarrow \text{Str } E/S \left( \frac{-F^{E/S}}{2\pi i} \right)$

if  $M$  is Spin and  $E = \Delta$  spinor bundle,

$$\text{ind}(D_+) = \int_M \hat{A}(M)$$

These thus hold for closed mfd's

Q1: What happens if  $M$  has bdry?

studied by Atiyah, Patodi, Singer

"Spectral Asymmetry & Riemannian Geometry, I"

for  $M$  closed, things don't depend on a choice of metric;  
 for  $\partial M \neq \emptyset$ , metric-dependence is very nontrivial!

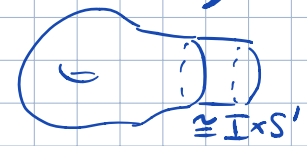
Simplest example: Gauss-Bonnet

$$\chi(X) = \frac{1}{2\pi} \int_X K + \frac{1}{2\pi} \int_{\partial X} \omega$$

$\uparrow$  surface                       $\uparrow$  Gaussian curvature                       $\uparrow$  Geodesic curvature  
 boundary correction

Take strong assumption: metric looks like a product near the boundary,  $\partial X \cong [0, \epsilon]$

- in this case the bdry correction vanishes!  
(Per Gauss-Bonnet)



Q2 <sup>Theorem</sup> Does (\*) hold for "product metric" assumption

A: NO! (\*) implies

the Signature Theorem:  $\text{sign}(M) = \int_M \hat{L}(M)$  (\*\*)

think about this when  $M$  has a boundary...

$\text{sign}(M) =$  signature of the bilinear form

$$\sigma: H^{2k}(M) \otimes H^{2k}(M) \rightarrow \mathbb{R}$$

$$\sigma([\omega_1], [\omega_2]) = \int_M \omega_1 \wedge \omega_2$$

does not descend to  $\sigma_{\text{bnd}}$  on manifolds with bdry

but  $\cong \int_{H^{2k}(M, \partial M) \rightarrow H^{2k}(M)}$  descends - call its signature the signature of a manifold with bdry.

(\*\*) is also violated for product metric assumption

reason: Stokes' theorem.

e.g. cylinder  $M = [0, 1] \times Y$   
some 3-fold

$$\text{sign} = 0$$

but  $\int_M \hat{L}(M)$  depends on the metric on  $Y$ .

In general, the difference

$$\text{sign}(M) - \int_M \hat{L}(M) = f(Y), \quad Y = \partial M$$

$f(Y)$  depends only on  $Y$  and the metric on  $Y$ . (under product metric assumption)

Thought 1:  $f(Y) = \int_Y \Theta$ ,  $\Theta \in \Omega^2$   
- canonically constructed from metric

$\Rightarrow f(\tilde{Y}) = p \cdot f(Y)$  - this is not true! (e.g. for  $S^3 \rightarrow L_{p,q}$ )  
for  $\tilde{Y}$  a  $p$ -fold covering of  $Y$

$\Rightarrow f(Y)$  is a global invariant!

APS: Consider the operator  $B = d^* \pm *d$  on  $Y$   
 $\uparrow$  sign s.t. it squares to  $\Delta$

$\sigma(B) \subseteq \mathbb{R}$  can have positive and negative eigenvalues

set  $\zeta(s) = \sum_{\lambda \in \sigma(B) \setminus \{0\}} \text{sign } \lambda \cdot |\lambda|^{-s}$  converges for  $\text{Re } s \gg 1$   
 $\uparrow$  eta function of  $B$   $\rightarrow$  can do meromorphic extension across  $\mathbb{C}$ .

Thm  $\cdot \zeta(0)$  is finite ( $\zeta$  invariant of  $Y$ )

$$\cdot \text{sign } M = \int_M \hat{L}(M) - \zeta_Y(0)$$

General index theorem:  $M$  spin manifold w/ bdry  $Y$ ,  $D$  its Dirac operator

$$\text{ind}(D_+)_{\text{APS}} = \int_M \hat{A}(M) - \frac{n + \zeta_Y(0)}{2} \quad (n = \dim \ker A)$$

$$D|_{Y \times \mathbb{I}_u} = \frac{\partial}{\partial u} + A$$

self-adj. on  $Y$   
ell. operator

Looking for a bdry condition for  $D_+$  which makes the index well-defined

$\{\varphi_\lambda \mid \lambda \in \sigma(A)\}$  system of eigenvectors  
 $\uparrow$  spectrum

$$P: \Gamma(E|_Y) \rightarrow \Gamma(E|_Y)$$

$$P \left( \sum_{\lambda \in \sigma(A)} \mu_\lambda \varphi_\lambda \right) = P \left( \sum_{\lambda \geq 0} \mu_\lambda \varphi_\lambda \right) \quad \text{projector}$$

APS bdy conditions:  $D_+$  acts on sections whose restriction to bdy is in  $\text{im}(P)$  (i.e. is in the positive spectrum of  $A$ )

$$(D_+)_{\text{APS}} = D: \Gamma(E, P) \rightarrow \Gamma(E)$$

$$\Gamma(E, P) = \{s \in \Gamma(E) \mid s|_Y \in \text{im}(P)\}$$

Application in physics

Consider

$$Q(\alpha) = \int_{\gamma} \alpha \times d\alpha \quad \text{on } \Omega^{2k-1}(\gamma)$$

$$\dim M = 2k-1$$

sign  $Q$  ill defined

(sign  $Q$ )<sub>reg</sub> = "  $\int_{\gamma} \alpha$  " - depends on a metric