

Free boson with values in  $S^1$  (Free boson "compactified" on a circle)

Classically:  $S[\varphi] = \frac{1}{2} \int_{\Sigma} dt d\sigma (\partial_t \varphi)^2 + (\partial_\sigma \varphi)^2$

$\varphi: \Sigma \rightarrow S^1$   
 $\mathbb{R}/2\pi\mathbb{Z}$

$\varphi(\sigma+2\pi, \tau) = \varphi(\sigma, \tau) + 2\pi r \cdot m$   
 $m \in \mathbb{Z}$  - winding number

Space of fields  $F = \text{Map}(\Sigma, S^1) = \coprod_{m \in \mathbb{Z}} \text{Map}_m(\Sigma, S^1)$   
maps with winding number  $m$ .

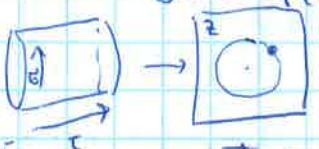
Configuration space:  $X = \coprod_{m \in \mathbb{Z}} \text{Map}_m(S^1, S^1)$   
 $X_m$

$\varphi(\sigma) = \varphi_0 + m \cdot \sigma + \sum_{n \neq 0} \varphi_n e^{in\sigma}$  in  $X_m$  sector

Hamiltonian:  $H = \pi_0^2 + \left(\frac{m r}{2}\right)^2 + \sum_{n \neq 0} \left( \pi_n \pi_{-n} + \frac{1}{4} n^2 \varphi_n \varphi_{-n} \right)$   
due to  $\sigma$ -dependence of 0-mode in  $\varphi$

Canonical quantization  $\mathcal{H} = \bigoplus_{m \in \mathbb{Z}} \mathcal{H}_m$  states with winding number  $m$

Heisenberg field:  $\hat{\varphi}(z, \bar{z}) = \hat{\varphi}_0 - i \frac{\hat{m} r}{2} \log \frac{z}{\bar{z}} - i \hat{\pi}_0 \log(z\bar{z}) + \sum_{n \neq 0} \frac{i}{n} (\hat{a}_n z^{-n} + \hat{\bar{a}}_n \bar{z}^{-n})$



since  $\hat{\varphi}_0$  is defined mod  $2\pi r \mathbb{Z}$ ,  $\hat{\pi}_0$  has spectrum  $\frac{1}{r} \mathbb{Z}$ .

Indeed: in Schrödinger rep.,

$\mathcal{H} = L^2(S^1) = \{ \Psi(\varphi_0) \mid \Psi(\varphi_0 + 2\pi r) = \Psi(\varphi_0) \}$

$\hat{\varphi}_0: \Psi(\varphi_0) \mapsto \varphi_0 \Psi(\varphi_0)$

$\hat{\pi}_0: \Psi(\varphi_0) \mapsto -i \frac{\partial}{\partial \varphi_0} \Psi(\varphi_0)$

in the basis  $\{ \psi_e = e^{\frac{i e \varphi_0}{r}} \}_{e \in \mathbb{Z}}$ ,  $\hat{\pi}_0$  is diagonal, with eigenvalues  $\frac{e}{r}$ ,  $e \in \mathbb{Z}$ .

Introduce  $\hat{e} := r \hat{\pi}_0$ . Then  $\hat{e}$  has spectrum  $\mathbb{Z}$ .

Then:  $\hat{\varphi}(z, \bar{z}) = \hat{\varphi}_0 - i \frac{\hat{m} r}{2} \log \frac{z}{\bar{z}} - i \frac{\hat{e}}{r} \log z \bar{z} + \sum_{n \neq 0} \frac{i}{n} (\hat{a}_n z^{-n} + \hat{\bar{a}}_n \bar{z}^{-n})$

$i \partial \hat{\varphi}(z) = \sum_{n \in \mathbb{Z}} \hat{a}_n z^{-n-1}$ , setting  $\hat{a}_0 := \frac{\hat{e}}{r} + \frac{\hat{m} r}{2}$

$i \bar{\partial} \hat{\varphi}(\bar{z}) = \sum_{n \in \mathbb{Z}} \hat{\bar{a}}_n \bar{z}^{-n-1}$ , setting  $\hat{\bar{a}}_0 := \frac{\hat{e}}{r} - \frac{\hat{m} r}{2}$

total Hamiltonian  $\hat{H} = \hat{L}_0 + \hat{\bar{L}}_0 = \frac{1}{2} \sum_{n \in \mathbb{Z}} (:\hat{a}_n \hat{a}_{-n}: + : \hat{\bar{a}}_n \hat{\bar{a}}_{-n} :)$

total momentum  $\hat{P} = \hat{L}_0 - \hat{\bar{L}}_0 = \frac{1}{2} \sum_{n \in \mathbb{Z}} (:\hat{a}_n \hat{a}_{-n}: - : \hat{\bar{a}}_n \hat{\bar{a}}_{-n} :)$

$e^{\frac{i \hat{\varphi}_0}{r}}$  - single-valued operator (well-defined)  
 $[\hat{\pi}_0, e^{\frac{i \hat{\varphi}_0}{r}}] = \frac{i \hat{\pi}_0}{r} e^{\frac{i \hat{\varphi}_0}{r}}$   
 $\frac{i}{r} e^{\frac{i \hat{\varphi}_0}{r}} \hat{\pi}_0 = \hat{\pi}_0 e^{\frac{i \hat{\varphi}_0}{r}} + \frac{i \hat{\pi}_0}{r} e^{\frac{i \hat{\varphi}_0}{r}}$   
 $\rightarrow [\hat{e}, e^{\frac{i \hat{\varphi}_0}{r}}] = e^{\frac{i \hat{\varphi}_0}{r}}$   
 from  $[\hat{\pi}_0, \varphi] = -i$  - mnemonic

Space of states

$\mathcal{H} = \bigoplus_{(e,m) \in \mathbb{Z}^2} \mathcal{H}_{e,m}$

$\mathcal{H}_{e,m} = \text{Span} \left\{ \hat{a}_{-k_1} \dots \hat{a}_{-k_s} \hat{a}_{l_1} \dots \hat{a}_{l_r} |e,m\rangle \right\}$

$|e,m\rangle$  is the pseudo-vacuum state.

$\hat{H} |e,m\rangle = \left( \frac{1}{2} \left( \frac{e}{r} + \frac{m\rho}{2} \right)^2 + \frac{1}{2} \left( \frac{e}{r} - \frac{m\rho}{2} \right)^2 \right) |e,m\rangle = \left( \left( \frac{e}{r} \right)^2 + \left( \frac{m\rho}{2} \right)^2 \right) |e,m\rangle$

$\hat{P} |e,m\rangle = \left( - \dots - \dots \right) |e,m\rangle = e \cdot m |e,m\rangle$

also:  $\hat{L}_0 |e,m\rangle = \frac{1}{2} \left( \frac{e}{r} + \frac{m\rho}{2} \right)^2 |e,m\rangle$   
 $\hat{\bar{L}}_0 |e,m\rangle = \frac{1}{2} \left( \frac{e}{r} - \frac{m\rho}{2} \right)^2 |e,m\rangle$

Vertex operators

Introduce the operator  $\hat{\mu}$  s.t.  $[\hat{\mu}, \hat{H}] = i$ ; then  $[\hat{m}, e^{ik\hat{\mu}}] = k e^{ik\hat{\mu}}$  for  $k \in \mathbb{Z}$  and commuting with everything else.

thus  $e^{ik\hat{\mu}} : \mathcal{H}_{e,m} \rightarrow \mathcal{H}_{e,m+k}$  - increases  $m$  by  $+k$ .

likewise  $e^{i\ell\hat{\rho}} : \mathcal{H}_{e,m} \rightarrow \mathcal{H}_{e+\ell,m}$ .

Define the "chiral parts" of  $\hat{\phi}$ :

$\hat{\chi}(z) = \frac{1}{2} \hat{\phi}_0 + \frac{i}{r} \left( \frac{e}{r} + \frac{m\rho}{2} \right) \log z + \sum_{n \neq 0} \frac{i}{n} \hat{a}_n z^{-n}$

$\hat{\bar{\chi}}(\bar{z}) = \frac{1}{2} \hat{\phi}_0 - \frac{i}{r} \left( \frac{e}{r} - \frac{m\rho}{2} \right) \log \bar{z} + \sum_{n \neq 0} \frac{i}{n} \hat{a}_n \bar{z}^{-n}$

so that  $\hat{\phi}(z, \bar{z}) = \hat{\chi}(z) + \hat{\bar{\chi}}(\bar{z})$ .

Vertex operators:  $\hat{V}_{e,m}(z, \bar{z}) := e^{i \left( \frac{e}{r} + \frac{m\rho}{2} \right) \hat{\chi}(z)} e^{i \left( \frac{e}{r} - \frac{m\rho}{2} \right) \hat{\bar{\chi}}(\bar{z})}$ .

:  $\hat{\phi}_0, \hat{\mu}$  to the left;  $\hat{e}, \hat{a}$  to the right.

$\hat{V}_{e,m}$  is primary, with  $(h = \frac{1}{2} \left( \frac{e}{r} + \frac{m\rho}{2} \right)^2, \bar{h} = \frac{1}{2} \left( \frac{e}{r} - \frac{m\rho}{2} \right)^2)$  conformal dimension.

$\lim_{z \rightarrow 0} \hat{V}_{e,m}(z, \bar{z}) |vac\rangle = |e,m\rangle$  (with  $|e=0, m=0\rangle$ )

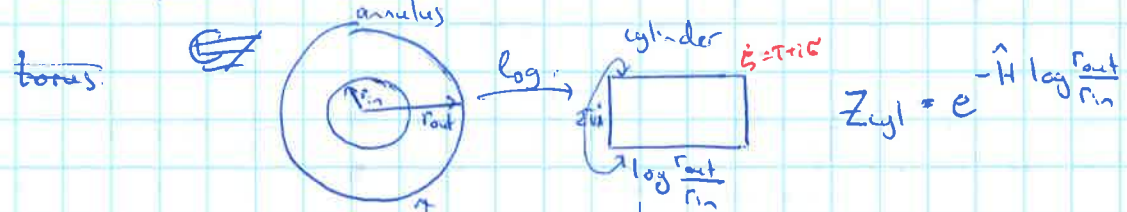
Correlator:  $\langle \prod_{k=1}^n \hat{V}_{e_k, m_k}(z_k, \bar{z}_k) \rangle = \prod_{1 \leq i < j \leq n} (z_i - z_j)^{\alpha_{e_i, m_i} \alpha_{e_j, m_j}} (\bar{z}_i - \bar{z}_j)^{\bar{\alpha}_{e_i, m_i} \bar{\alpha}_{e_j, m_j}}$ , if  $\sum e_i = 0 = \sum m_i$ ; otherwise 0.

This is a single-valued expression, despite real powers  $\frac{1}{2}$  since  $\alpha_{e_i, m_i} \alpha_{e_j, m_j} - \bar{\alpha}_{e_i, m_i} \bar{\alpha}_{e_j, m_j} = e_i m_j + m_i e_j \in \mathbb{Z}$ .

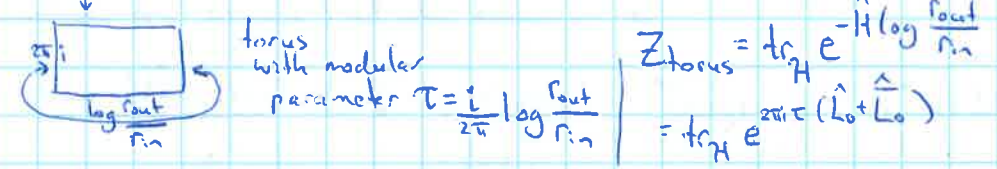
Ex:  $n=2$   $\langle \hat{V}_{e,m}(z, \bar{z}) \hat{V}_{-e,-m}(w, \bar{w}) \rangle = |z-w|^{-2 \left( \left( \frac{e}{r} \right)^2 + \left( \frac{m\rho}{2} \right)^2 \right)} \left( \frac{z-w}{\bar{z}-\bar{w}} \right)^{-em}$

Field-state correspondence:  $\lim_{z \rightarrow 0} \prod_{j=1}^s \frac{i \partial_{\bar{z}}^j \hat{\phi}(z)}{(j!)^{p_j}} \prod_{k=1}^s \frac{i \bar{\partial}_k^q \hat{\phi}(z)}{(q!)^{r_k}} \hat{V}_{e,m}(z, \bar{z}) |vac\rangle = \hat{a}_{-p_1} \dots \hat{a}_{-p_s} \hat{a}_{-q_1} \dots \hat{a}_{-q_s} |e,m\rangle$

# Torus partition function



$$Z_{cyl} = e^{-\hat{H} \log \frac{r_{out}}{r_{in}}}$$



$$Z_{torus} = \text{tr}_{\mathcal{H}} e^{-\hat{H} \log \frac{r_{out}}{r_{in}}} = \text{tr}_{\mathcal{H}} e^{2\pi i \tau (\hat{L}_0 + \hat{\bar{L}}_0)}$$

• can allow relative rotation of the two circles by angle  $\theta$

$$Z(\tau, \theta) = e^{-\hat{H} \log \frac{r_{out}}{r_{in}} - i \hat{P} \theta} = e^{2\pi i \tau \hat{L}_0 - 2\pi i \bar{\tau} \hat{\bar{L}}_0}$$

$$\tau = \frac{i}{2\pi} \left( \log \frac{r_{out}}{r_{in}} + i\theta \right) \quad \left. \begin{aligned} &= q \hat{L}_0 \bar{q} \hat{\bar{L}}_0 \\ &\text{where } q := e^{2\pi i \tau} \end{aligned} \right\}$$

$$\rightarrow Z(\text{torus with modular parameter } \tau) = \text{tr}_{\mathcal{H}} q \hat{L}_0 \bar{q} \hat{\bar{L}}_0$$

## Correction

$$Z\left(\frac{\tau}{24}\right) = \text{tr}_{\mathcal{H}} q \hat{L}_0 \bar{q} \hat{\bar{L}}_0$$

- due to Schwarzian derivative correction in the transformation of  $\frac{\tau}{24}$  (under  $\log$ )  $T(z) dz^2 = \left( \frac{dz}{dz} \right)^2 dz^2 + \frac{c}{24} dz^2$
- equivalently: this is the part fun. relative to flat metric on the torus, not the pushforward of flat metric on annulus by  $\log$ -map.
- So, it incorporates the "Liouville correction" action.
- pragmatically: with this correction, the answer is a modular invariant under  $\tau \rightarrow -1/\tau$ , otherwise - not.

In our case (compactified free boson):

$$c = \bar{c} = 1, \quad Z(\tau) = \text{tr}_{\mathcal{H}} q \hat{L}_0^{-1/24} \bar{q} \hat{\bar{L}}_0^{-1/24}$$

$$= \sum_{(e,m) \in \mathbb{Z}^2} q^{\frac{1}{2} \left( \frac{e}{r} + \frac{m\tau}{2} \right)^2} \bar{q}^{\frac{1}{2} \left( \frac{e}{r} - \frac{m\tau}{2} \right)^2} (q\bar{q})^{-1/24} \sum_{k,l \geq 0} P(k) P(l) q^k \bar{q}^l$$

no. of partitions, e.g.

- $4 = 1+1+1+1$
  - $= 2+1+1$
  - $= 2+2$
  - $= 3+1$
  - $= 4$
- $\rightarrow P(4) = 5$

Aside:  $\sum_{k \geq 0} P(k) q^k = \prod_{n=1}^{\infty} \frac{1}{1-q^n} = \frac{q^{1/24}}{h(\tau)}$

where  $h(\tau) = q^{1/24} \prod_{n=1}^{\infty} (1-q^n)$  Dedekind eta-function } - it satisfies modular equiv. invariance properties

$$h(\tau+1) = h(\tau) e^{i\pi/12}$$

$$h\left(-\frac{1}{\tau}\right) = h(\tau) (-i\tau)^{1/2}$$

Thus:  $Z(\tau) = \frac{1}{h(\tau) h(\bar{\tau})} \sum_{(e,m) \in \mathbb{Z}^2} q^{\frac{1}{2} \left( \frac{e}{r} + \frac{m\tau}{2} \right)^2} \bar{q}^{\frac{1}{2} \left( \frac{e}{r} - \frac{m\tau}{2} \right)^2}$

(from Euler's formula  $\prod_{n=1}^{\infty} (1-q^n) = \sum_{j=-\infty}^{\infty} (-1)^j q^{\frac{3j^2-j}{2}}$  and Poisson summation in  $j$ )

Properties \* modular invariance:  $Z(\tau+1) = Z(\tau)$   
 $Z(-1/\tau) = Z(\tau)$  - from Poisson summation in  $e, m$ . Relies on  $q^{1/24}$  factor!

\* "T-duality":  $Z(\tau, r) = Z(\tau, \frac{r}{2})$   
 radius of target  $S^1$

\* large radius asymptotics:  $Z(\tau, r) \sim \frac{1}{\sqrt{\text{Im } \tau}} \frac{1}{\sqrt{\text{Im } \tau} h(\tau) h(\bar{\tau})}$   
 one might call it the regularized torus partition function of the non-compactified free boson. it is modular invariant

Aside Poisson summation formula:  $\sum_{n \in \mathbb{Z}} f(n) = \sum_{p \in \mathbb{Z}} \tilde{f}(p)$ , with  $\tilde{f}(p) = \int_{-\infty}^{\infty} dx e^{2\pi i p x} f(x)$  - Fourier transform

E.g.  $Z(\tau, r) = \frac{1}{\eta(\tau)\bar{\eta}(\bar{\tau})} \sum_{(p,m) \in \mathbb{Z}^2} q^{\frac{1}{2} \alpha_{p,m}^2} \bar{q}^{\frac{1}{2} \bar{\alpha}_{p,m}^2} = \frac{1}{\eta(\tau)\bar{\eta}(\bar{\tau})} \sum_{(p,m) \in \mathbb{Z}^2} \frac{r}{\sqrt{\text{Im} \tau}} e^{\frac{\pi}{2} p^2 \left( \frac{(p+m\text{Re} \tau)^2}{\text{Im} \tau} + m^2 \right)}$  (\*)

Poisson summation in  $\underline{e}$

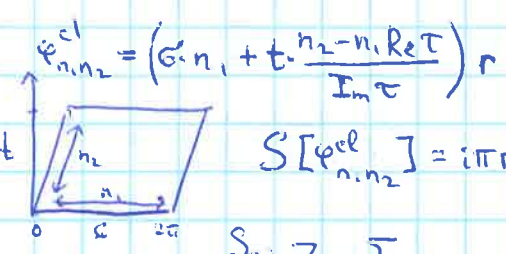
\* asymptotics  $r \rightarrow \infty$  is given by the ~~constant~~ term  $p=m=0$ ; all others behave as  $e^{-(\cdot) r^2}$

Torus partition function in the path integral formalism [ref: K. Gawedzki "Lectures on CFT" at IAS] [www.math.ias.edu/CFT/Pall/NewGawedzki.ps](http://www.math.ias.edu/CFT/Pall/NewGawedzki.ps)

$$Z = \int_{\text{Maps}(\Sigma, S^1)} D\varphi e^{-S[\varphi]} = \sum_{(n_1, n_2) \in \mathbb{Z}^2} \int_{\text{Maps}_{n_1, n_2}(\Sigma, S^1)} D\varphi e^{-S[\varphi]}$$

- winding numbers around two cycles in  $\Sigma$

$\varphi = \varphi_0 + \varphi_{n_1, n_2}^{cl} + \tilde{\varphi}$   
 $\mathbb{R}/2\pi\mathbb{Z}$  - constant  
 class. solution of EL eq. with given winding numbers  
 $\tilde{\varphi}$  2-periodic, with  $\int_{\Sigma} \tilde{\varphi} = 0$



$$S[\varphi_{n_1, n_2}^{cl}] = i\pi r^2 \frac{(n_2 - \tau n_1)(n_2 - \bar{\tau} n_1)}{\tau - \bar{\tau}}$$

$$Z = \sum_{(n_1, n_2) \in \mathbb{Z}^2} \int_{S^1} d\varphi_0 \int_{\text{Maps}'(\Sigma, \mathbb{R})} D\tilde{\varphi} e^{-S[\tilde{\varphi}]} \cdot e^{-S[\varphi_{n_1, n_2}^{cl}]} = \int_{S^1} d\varphi_0 \int_{\text{Maps}'(\Sigma, \mathbb{R})} D\tilde{\varphi} e^{-S[\tilde{\varphi}]} \cdot e^{-S[\varphi_{n_1, n_2}^{cl}]}$$

-  $\int_{S^1} d\varphi_0$  is the average over the space of solutions

\*  $\det'(-\Delta_{\Sigma}) \cong |\eta(\tau)|^4 \text{Im} \tau \cdot (\text{Im} \tau)^2$   
 - functions on  $\Sigma$  - Explained & deleted in Gawedzki.

$$= \sum_{n_1, n_2} 2\pi r \frac{1}{|\eta(\tau)\bar{\eta}(\bar{\tau})| \sqrt{\text{Im} \tau}} \cdot e^{-i\pi r^2 \frac{(n_2 - \tau n_1)(n_2 - \bar{\tau} n_1)}{\tau - \bar{\tau}}} = (*)$$

under identification  $m=n_1, p=n_2$



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Rem: Primary fields (in compactified free boson theory)

$$\mathcal{H} = \bigoplus_{(e,m) \in \mathbb{Z}^2} V_{e,m}^{\text{Heis} \oplus \overline{\text{Heis}}}$$

$V_{0,0}^{\text{Heis} \oplus \overline{\text{Heis}}}$  splits as a sum of 4  $\text{Vir} \otimes \overline{\text{Vir}}$  irred submodules, with highest vectors  $|vac\rangle, a_{-1}|vac\rangle, \bar{a}_{-1}|vac\rangle, a_{-1}\bar{a}_{-1}|vac\rangle$  splits into 4  $\text{Vir} \otimes \overline{\text{Vir}}$  irred submodules with highest weight vectors  $|e,m\rangle, a_{-1}|e,m\rangle, \bar{a}_{-1}|e,m\rangle$

$\mathbb{1} \quad i\partial\varphi \quad i\bar{\partial}\varphi \quad -\partial\varphi\bar{\partial}\varphi \leftarrow$  primary fields

$V_{e,m}^{\text{Heis} \oplus \overline{\text{Heis}}}$  if  $\alpha_{e,m} \neq 0, \bar{\alpha}_{e,m} \neq 0$  - two  $\text{Vir} \otimes \overline{\text{Vir}}$  irr. submod., with highest vectors  $|e,m\rangle, a_{-1}|e,m\rangle$

if  $\alpha_{e,m} \neq 0, \bar{\alpha}_{e,m} = 0$  - " "  $V_{e,m}^{\text{Heis}} \otimes \overline{\text{Vir}}$   $i\partial\varphi V_{e,m}$  - primary field

if  $\alpha_{e,m} = 0, \bar{\alpha}_{e,m} \neq 0$  - single  $\text{Vir} \otimes \overline{\text{Vir}}$  module, highest vector  $|e,m\rangle \sim V_{e,m}$

in a general CFT, correlators factorize depends on  $z_i - z_n$  holomorphically for  $z_i \neq z_j$  but can have monodromy

(\*)  $\langle \phi_1(z_1, \bar{z}_1) \dots \phi_n(z_n, \bar{z}_n) \rangle = \sum_p F_p(z_1, \dots, z_n) \bar{F}_p(\bar{z}_1, \dots, \bar{z}_n)$  similarly here

single-valued, smooth on open conf. space sum over an indexing set; finite in all cases  $F_p, \bar{F}_p$  - "conformal blocks" (for the correlation function (\*))

similarly, genus  $g$  partition function  $Z(\tau, \bar{\tau}) = \sum_p \chi_p(\tau) \bar{\chi}_p(\bar{\tau})$

conf. blocks for genus  $g$  part. fun.

chiral free boson (with values in  $S^1$ ):

$\mathcal{H} = \bigoplus_{e,m} V_{e,m}^{\text{Heis}} = \text{Span} \{ \hat{a}_{-k}^{\dots} \hat{a}_{-k}^{(e,m)} \}_{1 \leq k, e, m \leq \infty}$

only one Heis algebra!

$V_{e,m}^{\text{chiral}}(z) = :e^{i de_m \hat{\phi}(z)}:$  - chiral vertex operator

$\langle \prod_{i=1}^n V_{e_i, m_i}^{\text{chiral}}(z_i) \rangle$  is multivalued (has monodromies). If  $r^2 \in \mathbb{Q}$ , monodromies are rational

$\prod_{1 \leq i < j \leq n} (z_i - z_j)^{de_i de_j}$  if  $\sum e_i = 0 = \sum m_i$

$\langle \prod_{i=1}^n V_{e_i, m_i}^{\text{non-chiral}}(z_i, \bar{z}_i) \rangle = \langle \prod_{i=1}^n V_{e_i, m_i}^{\text{chiral}}(z_i) \rangle \cdot \langle \prod_{i=1}^n V_{e_i, m_i}^{\text{chiral}}(\bar{z}_i) \rangle$

↑ conf. block

For  $r^2 \in \mathbb{Q}$ ,  $Z(\tau, \bar{\tau}; r) = \sum_{p \in \text{finite set}} \chi_p(\tau) \bar{\chi}_p(\bar{\tau})$  (for some functions  $\chi, \bar{\chi}$ )

[For  $r^2 \notin \mathbb{Q}$  we cannot split  $Z$  as a finite sum of products of hol. anti-hol. functions]

E.g. for  $r = \sqrt{2}$ ,  $Z(\tau, \bar{\tau}) = \left( \sum_{k \in \mathbb{Z}} \frac{q^{k^2}}{\eta(\tau)} \right) \left( \sum_{l \in \mathbb{Z}} \frac{\bar{q}^{l^2}}{\eta(\bar{\tau})} \right) + \left( \sum_{k \in \mathbb{Z} + \frac{1}{2}} \frac{q^{k^2}}{\eta(\tau)} \right) \left( \sum_{l \in \mathbb{Z} + \frac{1}{2}} \frac{\bar{q}^{l^2}}{\eta(\bar{\tau})} \right)$

Free Fermion

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$$S = \frac{i}{8\pi} \int_{\Sigma} d^2z \psi \bar{\partial} \psi + \bar{\psi} \partial \bar{\psi}$$

field:  $\psi(dz)^{1/2} \in \Gamma(\Sigma, K^{\otimes 1/2})$   
 $\bar{\psi}(d\bar{z})^{1/2} \in \Gamma(\Sigma, \bar{K}^{\otimes 1/2})$

need a spin structure to determine the sign of the transition function  
 fields are treated as anti-commuting variables

EL eq:  $\bar{\partial} \psi = 0 = \partial \bar{\psi}$

As a Hamiltonian system on a cylinder: phase space  $\Phi = C^\infty(S^1) \otimes C^{0,1/2}$  sym form  $\omega = \frac{i}{4\pi} \int d\sigma (\psi \delta \bar{\psi} + \bar{\psi} \delta \psi)$

→ Poisson (anti)brackets  $\{\psi(\sigma), \bar{\psi}(\sigma')\} = 2\pi i \delta^{ab} \delta(\sigma - \sigma')$   
 $\psi^1 = \psi, \psi^2 = \bar{\psi}$

Hamiltonian:  $H = \frac{1}{4\pi} \int d\sigma (\psi \partial_\sigma \psi - \bar{\psi} \partial_\sigma \bar{\psi})$

Fourier modes:  $\psi(\sigma) = \sum_n e^{-in\sigma} b_n, \bar{\psi}(\sigma) = \sum_n e^{-in\sigma} \bar{b}_n$

$\{b_n, b_m\} = i \delta_{n,-m}$   
 $\{\bar{b}_n, \bar{b}_m\} = i \delta_{n,-m}$

Canonical quantization

Heisenberg field  $\hat{\psi}(\xi) = \sum e^{-n\xi} \hat{b}_n$   
 $\hat{\bar{\psi}}(\bar{\xi}) = \sum e^{-n\bar{\xi}} \hat{\bar{b}}_n$

with anti-commutation relations

$[\hat{b}_n, \hat{b}_m]_{\pm} = \delta_{n,-m} \mathbb{1}$  ← cliff  
 $[\hat{\bar{b}}_n, \hat{\bar{b}}_m]_{\pm} = \delta_{n,-m} \mathbb{1}$  ← cliff

cylinder → plane  $\xi \mapsto z = e^{\xi}$   
 $\psi_{cyl}(\xi) \mapsto \psi_{plane}(z) = \left(\frac{dz}{d\xi}\right)^{1/2} \psi_{cyl}(\xi)$   
 $\bar{\psi}_{cyl}(\bar{\xi}) \mapsto \bar{\psi}_{plane}(\bar{z}) = \bar{z}^{-1/2} \bar{\psi}_{cyl}(\bar{\xi})$

I.e. in terms of modes:  $\hat{\psi}(z) = \sum_n \hat{b}_n z^{-n-1/2}$

• periodic boundary condition (P) on the cylinder ↔ anti-periodic on the plane  
 $\psi_{cyl}(\sigma + 2\pi) = \psi_{cyl}(\sigma)$   
 $\psi_{plane}(e^{2\pi i} \cdot z) = -\psi_{plane}(z)$

• here  $\hat{\psi}_P(z) = \sum_{n \in \mathbb{Z}} \hat{b}_n z^{-n-1/2}$  - summation over integers n

• anti-periodic condition (A) on the cylinder ↔ periodic on the plane - "Neveu-Schwartz sector"  
 $\hat{\psi}_A(z) = \sum_{n \in \mathbb{Z} + 1/2} \hat{b}_n z^{-n-1/2}$

Space of states (for a chiral fermion)

$\mathcal{H} = \mathcal{H}_P \oplus \mathcal{H}_A$   
 $\hat{b}_{>0} |vac\rangle = 0, \hat{b}_{>0} |vac_A\rangle = 0$

$\mathcal{H}_P = \text{Span} \{ \dots \hat{b}_{-2}^{n_2} \hat{b}_{-1}^{n_1} \hat{b}_0^{n_0} |vac\rangle \}$   
 $\mathcal{H}_A = \text{Span} \{ \dots \hat{b}_{-3/2}^{n_{3/2}} \hat{b}_{-1/2}^{n_{1/2}} \hat{b}_0^{n_0} |vac_A\rangle \}$   
 fermionic occupation numbers (in {0,1} since  $\hat{b}_n^2 = 0, n \neq 0$ )

$\psi\psi$  propagator

P sector:  $\langle \psi(z) \psi(w) \rangle_P = \langle vac_P | \hat{\psi}(z) \hat{\psi}(w) | vac_P \rangle = \sum_{n,m \in \mathbb{Z}} \langle vac_P | \hat{b}_n \hat{b}_m | vac_P \rangle z^{-n-1/2} w^{-m-1/2} = \dots$   
 $= \langle vac_P | \hat{b}_0 \hat{b}_0 | vac_P \rangle z^{-1/2} w^{-1/2} + \sum_{n \neq 0} \langle vac_P | \hat{b}_n \hat{b}_{-n} | vac_P \rangle z^{-n-1/2} w^{-n-1/2} = \dots = \frac{1}{2} \left( \frac{z}{w} \right)^{1/2} + \sum_{n=1}^{\infty} \left( \frac{w}{z} \right)^n = \frac{1}{2} \frac{\left( \frac{z}{w} \right)^{1/2} + \left( \frac{w}{z} \right)^{1/2}}{z-w}$

A sector:  $\langle \psi(z) \psi(w) \rangle_A = \sum_{n \in \mathbb{Z} + 1/2, n > 0} \langle vac_A | \hat{b}_n \hat{b}_{-n} | vac_A \rangle z^{-n-1/2} w^{n-1/2} = \frac{1}{z-w}$

Note:  $\langle \psi\psi \rangle$  is translation-invariant in A-sector, suggesting that  $|vac_A\rangle$  is the true vacuum while P is not.

Stress-energy tensor

classically:  $T(z) = -\frac{1}{2} \psi(z) \partial \psi(z)$  ; for chiral fermion,  $\bar{T} \equiv 0$  from Wick's lemma (\*)

\* in A-sector:  $\hat{T}(z) = -\frac{1}{2} \hat{\psi}(z) \partial \hat{\psi}(z)$ : satisfies the standard OPE  $T(z)T(w) \sim \frac{c/2}{(z-w)^2} + \frac{2T(z)}{(z-w)} + \frac{\partial T(z)}{z-w} + \dots$  with  $c = \frac{1}{2}$  (and  $\bar{c} = 0$  as  $\bar{T} \equiv 0$ )

P-sector try  $\hat{T}^{naive}(z) = -\frac{1}{2} \hat{\psi}(z) \partial \hat{\psi}(z)$ : - has wrong  $T^{naive} T^{naive}$  OPE!!

The good definition is:  $\hat{T}(z) := \lim_{w \rightarrow z} \left( -\frac{1}{2} \hat{\psi}(z) \partial \hat{\psi}(w) + \frac{1}{2} \frac{1}{(z-w)^2} \right)$

then:  $\hat{T}(z) = \hat{T}^{naive}(z) + \frac{1}{16z^2}$  - has correct OPE (\*) with  $c = \frac{1}{2}$  ;  $\langle \hat{T}(z) \rangle_P = \frac{1}{16z^2}$  - nonzero vacuum energy in P sector

Virasoro generators

- can be obtained from  $\hat{T}(z) = \sum_{n \in \mathbb{Z}} z^{-n-1/2} \hat{L}_n$

A sector:  $\hat{L}_n = \sum_{m \in \mathbb{Z} + \frac{1}{2}} \left( \frac{m}{2} + \frac{1}{4} \right) : \hat{b}_{n-m} \hat{b}_m :$

P sector:  $\hat{L}_n = \sum_{m \in \mathbb{Z}} \left( \frac{m}{2} + \frac{1}{4} \right) : \hat{b}_{n-m} \hat{b}_m : + \delta_{n,0} \frac{1}{16}$

In particular,  $\hat{L}_0 |vac_A\rangle = 0$

$\hat{L}_0 |vac_P\rangle = \frac{1}{16} |vac_P\rangle$

$[\hat{L}_0, \hat{b}_n] = -n \hat{b}_n$  ( $\therefore$  A and P sectors)

A-states	
$L_0$ -eigenvalue	states
0	$ vac_A\rangle$
$\frac{1}{2}$	$b_{-1/2}  vac_A\rangle$
1	$\emptyset$
$\frac{3}{2}$	$b_{-3/2}  vac_A\rangle$
2	$b_{-5/2} b_{-1/2}  vac_A\rangle$
$\frac{5}{2}$	$b_{-5/2}  vac_A\rangle$
3	$b_{-5/2} b_{-1/2}  vac_A\rangle$

P-states	
$L_0$ -eigenvalue	states
$\frac{1}{16}$	$ vac_P\rangle$ $b_0  vac_P\rangle$
$1 + \frac{1}{16}$	$b_{-1}  vac_P\rangle$ $b_{-1} b_0  vac_P\rangle$
$2 + \frac{1}{16}$	$b_{-2}  vac_P\rangle$ $b_{-2} b_0  vac_P\rangle$
$3 + \frac{1}{16}$	$b_{-3}  vac_P\rangle$ $b_{-3} b_0  vac_P\rangle$
	$b_{-2} b_{-1}  vac_P\rangle$ $b_{-2} b_{-1} b_0  vac_P\rangle$

States  $|vac_A\rangle, b_{-1/2} |vac_A\rangle, |vac_P\rangle, b_0 |vac_P\rangle$  are Virasoro-primary (killed by  $\hat{L}_{>0}$ ) (pseudo-vacua)

$\begin{matrix} |0\rangle & |1/2\rangle & |1/16\rangle_+ & |1/16\rangle_- \end{matrix}$

$\mathbb{Z}_2$ -grading  $(-1)^F$  even odd even odd

space of states  $\mathcal{H} = \underbrace{V_0^{Vir} \oplus V_{1/2}^{Vir}}_{\mathcal{H}_A} \oplus \underbrace{V_{1/16}^{Vir} \oplus V_{1/16}^{Vir}}_{\mathcal{H}_P}$

- splitting of the space of states into highest-weight Virasoro modules (some quotients of Verma modules)

Corresponding primary fields  $\mathbb{1}$   $\psi(z)$   $\phi(z)$   $\mu(z)$

$(0,0)$ -primary  $(\frac{1}{2},0)$ -primary "first fields",  $(\frac{1}{16},0)$ -primary

$\psi(z) \phi(w) \sim (z-w)^{-1/2} \mu(w) + \dots$

Non-chiral fermion (pairing left- and right- chiral fermions)

- require that P/A boundary condition is the same for  $\psi$  and  $\bar{\psi}$
- impose  $\hat{b}_0 \equiv \hat{b}_0$

6 cond. families

$$H_{\text{non-chiral}} = \underbrace{V_{(0,0)} \oplus V_{(\frac{1}{2},0)} \oplus V_{(0,\frac{1}{2})} \oplus V_{(\frac{1}{2},\frac{1}{2})}}_{\Lambda \text{ sector}} \oplus \underbrace{V_{(\frac{1}{2},\frac{1}{2})} \oplus V_{(\frac{1}{2},\frac{1}{2})}}_{P \text{ sector}}$$

$\mathbb{Z}_2$ -parity	even	odd	odd	even	even	odd
highest vector	$ vac_A\rangle$	$b_{-\frac{1}{2}} vac_A\rangle$	$\bar{b}_{-\frac{1}{2}} vac_A\rangle$	$b_{-\frac{1}{2}}\bar{b}_{-\frac{1}{2}} vac_A\rangle$	$ vac_P\rangle$	$b_0 vac_P\rangle$
primary field	$\mathbb{1}_{(0,0)}$	$\psi(z)$ $(\frac{1}{2}, 0)$	$\bar{\psi}(z)$ $(0, \frac{1}{2})$	$\psi(z)\bar{\psi}(z)$ $(\frac{1}{2}, \frac{1}{2})$	$\sigma(z, \bar{z})$ $(\frac{1}{2}, \frac{1}{2})$	$\mu(z, \bar{z})$ $(\frac{1}{2}, \frac{1}{2})$

plays the role of a vertex operator

Examples of correlators

- $\langle \psi(z)\psi(w) \rangle = \frac{1}{z-w}$
- $\langle \psi(z_1) \dots \psi(z_n) \rangle = Pf(\frac{1}{z_i - z_j})$
- Ex:  $\langle \psi(z_1) \dots \psi(z_n) \rangle = \frac{1}{z_1 - z_2} \dots \frac{1}{z_{n-1} - z_n} + \dots$
- $\langle \sigma(z, \bar{z}) \sigma(w, \bar{w}) \rangle = \frac{1}{|z-w|^2}$  - spin-spin correlator in Ising model at  $T = T_{crit}$
- non global conformal invariance

$\langle \sigma(z_1, \bar{z}_1) \dots \sigma(z_n, \bar{z}_n) \rangle = \left( \frac{z_{12} z_{34}}{z_{13} z_{24} z_{31} z_{42}} \right)^{1/2} F(\lambda, \bar{\lambda})$  - non global conf. invariance

$(L_{-2} - \frac{3}{2(h+1)} L_{-1}) |vac_P\rangle = 0$  Exercise & check in terms of the Cliff-module.

vector of zero length in  $V_{h=\frac{1}{16}}$  diff. equation on  $\langle \sigma \dots \sigma \rangle$

$(L_{-2} - \frac{3}{2(h+1)} L_{-1}) \sigma(z, \bar{z}) = 0$  Ward identity correlator

$\rightarrow$  (hypergeometric) ODE on  $F(\lambda, \bar{\lambda})$

$$\left( \lambda(1-\lambda) \frac{\partial^2}{\partial \lambda^2} + \left( \frac{1}{2} - \lambda \right) \frac{\partial}{\partial \lambda} + \frac{1}{16} \right) F(\lambda, \bar{\lambda})$$

solutions:  $P_{1,2}(\lambda) = (1 \pm \sqrt{1-\lambda})^{1/2}$  - conf. blocks for  $\langle \sigma \sigma \sigma \sigma \rangle$  correlator

$F(\lambda, \bar{\lambda}) = a f_1(\lambda) f_1(\bar{\lambda}) + b f_2(\lambda) f_2(\bar{\lambda})$  - non invariance of  $\langle \sigma \dots \sigma \rangle$  under permutations of  $z_i, \bar{z}_i$

$a = \frac{1}{2}$  from  $\langle \sigma(z_1, \bar{z}_1) \sigma(z_2, \bar{z}_2) \rangle \sim \frac{1}{z_{12}^{1/2} \bar{z}_{12}^{1/2}} + \dots$  OPE

single-valuedness under  $z \leftrightarrow \bar{z}$  + no monodromy condition

So:  $\langle \sigma(z_1, \bar{z}_1) \dots \sigma(z_n, \bar{z}_n) \rangle = \frac{1}{2} \left( \frac{z_{12} z_{34}}{z_{13} z_{24} z_{31} z_{42}} \right)^{1/2} (|1 + \sqrt{1-\lambda}| + |1 - \sqrt{1-\lambda}|)$



Aside: Kac' determinant formula

Let  $V_{c,h}$  be the Virasoro Verma module with highest weight  $|h\rangle$ , central charge  $c$ .  
 = Span  $\{L_{-n_1} \dots L_{-n_r} |h\rangle\}_{n_1, \dots, n_r \in \mathbb{N}}$   
 • there is a (unique) inner product on  $V_{c,h}$  s.t.  $\langle h|h\rangle = 1$ ,  
 $(L_n)^\dagger = L_{-n}$ ,  $n \in \mathbb{Z}$  - not necessarily positive-definite!

Level  $N$  states  
 $V_{c,h} = \bigoplus_{N=0}^{\infty} V_{c,h}^{(N)}$   
 $N = n_1 + \dots + n_r = N$   
 id.  $L_0|h\rangle = h|h\rangle$

$|\chi\rangle \in V_{c,h}$  is a null-vector (or singular vector)  
 if  $L_0|\chi\rangle = 0$

$\Leftrightarrow$  if  $|\chi\rangle$  null-vector  $|\chi\rangle$  is orthogonal to the whole  $V_{c,h}$ :

$\langle h|L_{n_1} \dots L_{n_r}|\chi\rangle = 0$ ,  $n_i > 0$ ; in particular,  $\langle \chi|\chi\rangle = 0$

$|\chi\rangle$  generates its own Verma module  $\{L_{-n_1} \dots L_{-n_r}|\chi\rangle\} \subset V_{c,h}$  orthogonal to  $V_{c,h}$ .

• null-vector at level  $N=1$ :

$|\chi\rangle = L_{-1}|h\rangle$  is null iff  $\frac{L_0 L_{-1}|h\rangle}{2L_0 - L_{-1}L_1} = 2|h\rangle = 0 \Leftrightarrow h=0$

• null-vector at level  $N=2$ :

Let  $|\chi\rangle = (\alpha L_{-2} + \beta L_{-1}^2)|h\rangle$  is null if  $\begin{cases} 0 = L_1|\chi\rangle = \dots \\ 0 = L_2|\chi\rangle = \dots \end{cases}$  solvable for  $\alpha, \beta$  if non-trivial condition on  $h$ :  
 $\begin{vmatrix} \alpha & \beta \\ \alpha(h+\frac{c}{2}) & 6\alpha \end{vmatrix} = 0$

Let  $M^{(N)} = (\langle i|j\rangle)$ ,  $i, j$  run over basis in  $V_{c,h}^{(N)}$  -  $P(N) \times P(N)$  matrix  
 Gram matrix  
 - matrix of inner products

Kac det. formula:  $\det M^{(N)} = \alpha_N \prod_{p,q \geq 1} (h - h_{p,q})^{P(N-pq)}$

here:  $\alpha_N = \prod_{\substack{p,q \geq 1 \\ pq \leq N}} (2p)^q q!^{P(N-pq) - P(N-pq-1)}$   
 - numerical factor

$h_{p,q} = \frac{(m+1)p - m^2 q^2 - 1}{4m(m+1)}$

where  $m = -\frac{1}{2} \pm \frac{1}{2} \sqrt{\frac{25-c}{1-c}}$   
 $\Leftrightarrow c = 1 - \frac{6}{m(m+1)}$

•  $h = h_{p,q}$  implies that  $V_{c,h}$  contains a null-vector for some  $p, q \geq 1$  at the level  $pq$ .

•  $h_{c,1} = 0 \forall c$

• for  $c = \frac{1}{2}$ :  $\Leftrightarrow m=3$   
 $h_{1,1} = 0$   
 $h_{1,2} = \frac{1}{6}$   
 $h_{2,1} = \frac{1}{2}$

correlators satisfy a  $P$ 's order ODE - are indep. of  $z$   
 conformal dimensions of primary fields in the free fermion theory  
 correlators satisfy a hypergeom. equation!

$c = \frac{1}{2}$  ( $m=3$ )  
 Kac table

3	$\frac{1}{2}$	0
2	$\frac{1}{6}$	$\frac{1}{6}$
1	0	$\frac{1}{2}$
	1	2

Thm (Kac/Feigin-Fuchs)

- $V_{c,h}$  is reducible iff  $h = h_{p,q}$  for some  $p, q \geq 1$  (Kac)
- Quotient  $M_{c,h}$  of  $V_{c,h}$  by the max proper submodule is irreducible
- Any proper submodule of  $V_{c,h}$  is generated by null-vectors

•  $V_{c,h}$  is reducible iff  $h = \frac{h^2}{4}$ ,  $h \neq 0$ ,  $n \in \mathbb{Z}$ .

Thm  
 $M_{c,h}$  is unitary ( $\langle, \rangle$  is positive-definite) iff either  $c > 1, h \geq 0$

or  $c = 1 - \frac{6}{m(m+1)}$ ,  $m = 2, 3, \dots$   
 and  $h = h_{p,q}$  with  $1 \leq p \leq m-1$ ,  $1 \leq q \leq m$  /  $h_{p,q} = h_{m-p, m-q}$

"minimal model of CFT"  $M(m, m+1)$   
 with primary fields  $\Phi_{p,q}$  with  $(h = h_{p,q}, \bar{h} = h_{p,q})$   
 $c = 1 - \frac{6}{m(m+1)}$   
 $\Phi_{p,q}(z) \bar{\Phi}_{p,q}(\bar{z})$

$m=3$  minimal model:  $\Phi_{1,1} = 1$ ,  $\Phi_{1,2} = \psi$  ("energy field")  
 (= Ising model)  $\Phi_{2,1} = \sigma$  ("spin field")

$M(m, m+1) = \bigoplus_{\substack{1 \leq p \leq m \\ 1 \leq q \leq m}} M_{c,h_{p,q}} \oplus M_{c,h_{p,q}}$   
 non-trivial version

$$\langle L_n L_{-n} \rangle = 2nh + c \frac{n^3 - n}{12}$$

"fusion rules" in  $m=3$  min. model:

$$[\sigma][\sigma] = [\mathbb{1}] + [\varepsilon]$$

$$[\sigma][\varepsilon] = [\sigma]$$

$$[\varepsilon][\varepsilon] = [\mathbb{1}]$$

Affine Lie algebras [ref: Kohno "CFT and topology"]

$G$  compact simple Lie group  
 $\mathfrak{g}$  - assoc. Lie alg.

$LG = \text{Maps}(S^1, G)$  with pointwise multiplication  
 - loop group  
 $\mathfrak{C} \circledast$  assoc. Lie alg.

$L\mathfrak{g} = \text{Maps}(S^1, \mathfrak{g}) \cong \mathfrak{g} \otimes \mathbb{C}[[t, t^{-1}]]$  - loop (Lie) algebra  
 with pointwise bracket  $[X \otimes f, Y \otimes g] = [X, Y] \otimes fg$

$L\mathfrak{g}$  admits a unique  $(H^2(L\mathfrak{g}, \mathbb{C}) = \mathbb{C})$  central extension " $\hat{\mathfrak{g}}$ " - "affine Lie algebra"

$0 \rightarrow \mathbb{C} \rightarrow \hat{\mathfrak{g}} \rightarrow L\mathfrak{g} \rightarrow 0$  with  $[X \otimes f, Y \otimes g]_{\hat{\mathfrak{g}}} = [X, Y] \otimes fg + \mathbb{K} \langle X, Y \rangle \text{Res}(df \cdot g)$

more explicitly:  $[X \otimes t^m, Y \otimes t^n] = [X, Y] \otimes t^{m+n} + \mathbb{K} \langle X, Y \rangle m \delta_{m, -n}$

central elt    Killing form on  $\mathfrak{g}$     coeff of  $t^{-1} dt$

"specialization  $\mathbb{K} = k = 1, 2, 3, \dots$ " "level" corresponds to a central extension of the loop group

$1 \rightarrow \mathbb{C}^* \rightarrow \widehat{LG}_\mathbb{C}^k \rightarrow LG_\mathbb{C} \rightarrow 1$

Highest weight representations

$\hat{\mathfrak{g}} = (\underbrace{\mathfrak{g} \otimes \mathbb{C}[[t]]}_{N_+} \oplus \underbrace{(\mathbb{K} \oplus \mathfrak{h})}_{N_0} \oplus \underbrace{(\mathfrak{g} \otimes \mathbb{C}[[t^{-1}]]}_{N_-} \oplus \mathfrak{g}_-)$

Verma modules:  $V_{k, \lambda}^{\hat{\mathfrak{g}}} = \text{Ind}_{N_+ \oplus N_0}^{\hat{\mathfrak{g}}} \underbrace{\mathbb{C}_{k, \lambda}}_{1\text{-dim rep of } N_+ \oplus N_0} = U(\hat{\mathfrak{g}}) \otimes_{N_+ \oplus N_0} \mathbb{C}$   
 level  $\uparrow$  highest weight for  $\mathfrak{g}$   
 where  $N_{\pm}$  acts trivially,  $\mathbb{K}$  acts as mult. by  $k$ ,  $\mathfrak{h}$  as mult. by  $\lambda$  (or  $\lambda_i$  if rank  $\mathfrak{g} > 1$ )

Irreducible h.w. modules:

$M_{k, \lambda}^{\hat{\mathfrak{g}}} = V_{k, \lambda}^{\hat{\mathfrak{g}}} / \text{maximal proper submodule}$

A distinguished set of h.w. modules: integrable modules

...there are finitely many integrable reps at any given  $k$ .

(those that induce a fin. dim. rep. for each  $\mathfrak{su}(2) \subset \hat{\mathfrak{g}}$ )  
 those that satisfy  $(e_{\alpha} \otimes t^{-j})^N u = 0 \quad \forall u \in M \exists N; e_{\alpha}$  - root of  $\mathfrak{g}$   
 ("local nilpotency" condition)  $\int_{\mathbb{Z}} \dots$

Case  $G = SU(2)$

For  $k \in \mathbb{N}$ ,  $0 \leq \lambda \leq k$ , (integer), there is an integrable rep.  $M_{k, \lambda}$  of  $\widehat{\mathfrak{su}(2)}_k$

it induces a  $(\lambda+1)$ -dimensional rep. ("spin =  $\frac{\lambda}{2}$ ") irrep of  $\mathfrak{su}(2)$

$E = e_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$   
 $F = e_- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$   
 $H = e_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

$[H, E] = 2E, [H, F] = -2F$   
 $[E, F] = H$

$E_{-1}^{k-\lambda+1} v = 0$  - null-vector

$\psi$  (also  $E_0^{\lambda} v$ )

$M_{k, \lambda} = (V_{k, \lambda}^{\hat{\mathfrak{g}}} / U(N_+ \cdot \mathfrak{h}) / U(N_+ \cdot \mathfrak{h}))$

# Sugawara construction

5/1/19 ~~4/29/19~~  
1 2

One constructs a Virasoro rep. on  $H_{k,\lambda}$  with

$$L_n = \frac{1}{2} \sum_{j \in \mathbb{Z}} \sum_{a=1}^{\dim G} (T^a \otimes t^{nj}) (T^a \otimes t^{n-j})$$

$\uparrow$  dual Coxeter number for  $\mathfrak{g}$   
 e.g.  $c=2$  for  $\mathfrak{su}(2)$   
 $=N$  for  $\mathfrak{su}(N)$

$\uparrow$  representation  $H_{k,\lambda}$

$\{L_n\}$  satisfy Virasoro comm. rel. with  $c = \frac{k \cdot \dim G}{k+c}$ , e.g. for  $\mathfrak{g} = \mathfrak{su}(2)$ ,  $c = \frac{3k}{k+2}$

For the highest vector  $v \in H_{k,\lambda}$ ,  $L_0 v = \frac{C_\lambda}{k+c} v$  value of quadratic Casimir in  $M_{\mathfrak{g}}$   
 e.g. for  $\mathfrak{su}(2)$ :  $C_\lambda = j(j+1)$ ,  $j = \frac{\lambda}{2}$

$$[L_0, T^a \otimes t^j] = j T^a \otimes t^j$$

$$\Rightarrow H_{k,\lambda} = \bigoplus_{d=0}^{\infty} H_{k,\lambda}(d)$$

- spaces  $H_{k,\lambda}(d)$  are finite-dimensional
- $H_{k,\lambda}(0) \cong M_{\mathfrak{g}}$  - the "multiplet" of Virasoro-primary fields states

## Wess-Zumino-Witten model

Fix  $G = \text{SU}(2)$  [for simplicity; can extend to any compact simple Lie group]

$$\omega = \frac{1}{24\pi^2} \text{tr}((X^{-1}dX) \wedge (X^{-1}dX) \wedge (X^{-1}dX)) \in \Omega^3(\text{SU}(2))$$

- invariant (left & right) volume form on  $\text{SU}(2)$ , representing the generator of  $H^3(\text{SU}(2), \mathbb{Z})$

for  $\Sigma$  a closed surface,

$$S_\Sigma(g) = \frac{-i}{4\pi} \int_\Sigma \text{tr}(g^{-1} \partial g \wedge g^{-1} \bar{\partial} g) + \frac{i}{12\pi} \int_B \text{tr}(\tilde{g}^{-1} d\tilde{g})^3$$

Field  $g \in \text{Maps}(\Sigma, G)$

$B$  - cpt oriented 3-manifold with  $\partial B = \Sigma$   
 $\tilde{g}: B \rightarrow G$  - a smooth extension of  $g: \Sigma \rightarrow G$

$S_\Sigma(g) \text{ mod } 2\pi i \mathbb{Z}$  does not depend on  $B$  and choice of  $\tilde{g}$ :

$$S_\Sigma(g) - S_\Sigma(g)' = \frac{-i}{12\pi} \left( \int_B \text{tr}(\tilde{g}^{-1} d\tilde{g})^3 - \int_{B'} \text{tr}(\tilde{g}'^{-1} d\tilde{g}')^3 \right)$$

$$= \frac{-i}{12\pi} \int_M \text{tr}(\tilde{g}^{-1} d\tilde{g})^3 \in 2\pi i \mathbb{Z}$$

$M = B \cup B'$  - closed 3-manifold

$\Rightarrow e^{ik S_\Sigma(g)}$  does not depend on  $B, \tilde{g}$

Equation of motion:  $\partial(g^{-1} \bar{\partial} g) = 0$  solution:  $g(z, \bar{z}) = h(z) \cdot h(\bar{z})$

"Gauge invariance"  $S_\Sigma(g)$  is invariant under  $g(z, \bar{z}) \mapsto \Omega(z) g(z, \bar{z}) \bar{\Omega}(\bar{z})$

assoc. Noether currents:  $\bar{j} = g^{-1} \partial g$  conservation:  $\partial \bar{j} \sim 0$   
 $j = g^{-1} \bar{\partial} g$   $\partial j \sim 0$

Rem:  $WZ(g)$  term is non-local but its variation is local  
 $\delta WZ(g) = \int_\Sigma Sg(\dots)$   
 $\Sigma$  not  $B$

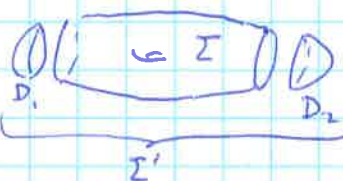
$\Omega \in \text{Maps}_{\text{holon}}(\Sigma, G)$   
 $\bar{\Omega} \in \text{Maps}_{\text{anti-holon}}(\Sigma, G)$

$$e^{-ik S_{\Sigma}(f \cdot g)} = e^{-ik S_{\Sigma}(f) + ik S_{\Sigma}(g) - \frac{ik}{2\pi} \int_{\Sigma} \text{tr}(f^{-1} \partial f \wedge g^{-1} \partial g)}$$

↑  
pathwise multiplication

2-cocycle for the group Maps( $\Sigma, G$ )

\* Case with boundary (not straightforward to generalize due to non-local WZ term in the action)  
to  $\Sigma$  with bdy



construct  $\Sigma'$  closed,  $\Sigma' \setminus \Sigma = \coprod_{i=1}^n D_i$  - disks

Idea: set  $e^{ik S_{\Sigma'}(g)} = e^{ik S_{\Sigma}(g)}$

same extension of  $g$  to  $\Sigma'$  ambiguity in the choice of extension  $g' \rightarrow$

$\rightarrow e^{-ik S_{\Sigma}(g)}$  takes values in the fiber of  $L_k \rightarrow LG$  over  $g|_{\partial \Sigma} \in \text{Maps}(\partial \Sigma, G)$

$L_k$  is a complex line bundle over  $LG$  constructed as:

$$\{ (f_D: D \rightarrow G, u \in \mathbb{C}) \} / \{ (f_D, u) \sim (g_D, v) \text{ iff } f_{D0} = g_{D0}, \text{ and } v = u \cdot e^{ik S_{\text{cap}}(h)} - \frac{ik}{2\pi} \int_D (f_D, h_D) \}$$

unit disk

where  $h_D$  is defined by  $g_D = f_D \cdot h_D$  and  $h$  is the extension by 1 to  $\mathbb{CP}^1 \setminus D$ .

Rem  $L_k = L^{\otimes k}$  where  $L$  is the Hermitian line bundle

with 1st Chern class  $c_1 = [\omega]$

$$\omega = \int_{S^1} \varphi^* \Omega \in H^2(LG, \mathbb{Z})$$

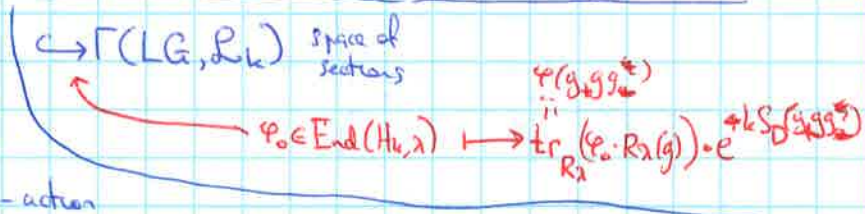
$$LG \times S^1 \xrightarrow{\varphi} LG$$

Quantization

Space of states  $\mathcal{H}_k = \bigoplus_{\lambda=0}^k H_{k,\lambda} \otimes H_{k,\lambda}^*$

- carries the action of  $\hat{J}_k \oplus \hat{J}_k$  and therefore (by Sugawara),  $Vir \oplus Vir$  - action

with  $c = \bar{c} = \frac{3k}{k+2}$



current:  $J(z) = g^{-1} \partial g$

$\hat{J}^a(z) = \sum_{n \in \mathbb{Z}} \hat{J}_n^a z^{-n-1}$

$(\hat{J}_n^a = T^a \otimes t^n)$

with  $[\hat{J}_m^a, \hat{J}_n^b] = f^{abc} \hat{J}_{n+m}^c + k n \delta_{n,-m}$  - comm. rel. of  $\hat{J}_k$

basic OPE:  $J^a(z) J^b(\bar{z}) \sim \frac{k \delta^{ab} \mathbb{1}}{(z-\bar{z})^2} + \frac{f^{abc}}{z-\bar{z}} J^c(z) + \text{reg.}$

$\hat{J}$ -primary field (or, rather, multiplet)  $= \varphi_{(a)}$  with values in  $M_{\mathfrak{g}}$

$J^a(z) \varphi_{(a)}(z, \bar{z}) \sim \frac{T^a}{z-\bar{z}} \varphi_{(a)}(z, \bar{z}) + \text{reg.}$

stress-energy tensor:  $T(z) = \frac{1}{k+c} \sum_{a=1}^{\dim \mathfrak{g}} : \hat{J}^a(z) \hat{J}^a(z) :$

- satisfies TT OPE with  $c = \frac{k \dim \mathfrak{g}}{k+c}$

Ward identity for  $\hat{g}$ -symmetry:

$$(vv) \langle J^a(z) \varphi_{(1)}(z_1, \bar{z}_1) \dots \varphi_{(n)}(z_n, \bar{z}_n) \rangle = \sum_{j=1}^n \frac{T^a(z_j)}{z-z_j} \langle \varphi_{(1)}(z_1, \bar{z}_1) \dots \varphi_{(n)}(z_n, \bar{z}_n) \rangle$$

↑  
 $\hat{g}$ -primary field

- follows from (\*)

Sugawara  $\rightarrow L_{-1} = \frac{1/2}{k+c\nu} \sum_{j \in \mathbb{Z}} J_{-1+j}^a J_{-j}^a \rightarrow$  for  $\varphi_{(1)}$   $\hat{g}$ -primary,  $L_{-1} \varphi_{(1)} = \frac{1}{k+c\nu} J_{-1}^a T^a \varphi_{(1)}$

↑ local Vir generator      ↑ local  $\hat{g}$ -generator

$$\Rightarrow 0 = \langle \varphi_{(1)}(z_1, \bar{z}_1) \dots \left( \frac{\partial}{\partial z_j} - \frac{1}{k+c\nu} \sum_{i \neq j} \frac{T^a(z_i) T^a(z_j)}{z_i - z_j} \right) \varphi_{(j)}(z_j, \bar{z}_j) \dots \varphi_{(n)}(z_n, \bar{z}_n) \rangle$$

using (\*\*)

$$\left( \frac{\partial}{\partial z_j} - \frac{1}{k+c\nu} \sum_{i \neq j} \frac{T^a(z_i) T^a(z_j)}{z_i - z_j} \right) \langle \varphi_{(1)}(z_1, \bar{z}_1) \dots \varphi_{(n)}(z_n, \bar{z}_n) \rangle$$

$\forall j=1, \dots, n$       - Knizhnik-Zamolodchikov equation

Space of conformal blocks

let  $\mathcal{H}(z_1, \dots, z_n) = \mathcal{H} \otimes \left\{ \begin{array}{l} \text{merom. functions on } \mathbb{CP}^1 \\ \text{with poles at } z_1, \dots, z_n \text{ allowed} \end{array} \right\}$

$\alpha \in \mathcal{H}(z_1, \dots, z_n)$

$\mathcal{H}(z_1, \dots, z_n)$  acts on  $H_{\lambda_1} \otimes \dots \otimes H_{\lambda_n}$

- expand in a Laurent series near  $z_j$ , use the embedding  $\mathcal{H} \otimes \mathbb{C}[t_j^{-1}, t_j] \hookrightarrow \hat{\mathcal{H}}$ , act with  $\hat{\mathcal{H}}$  on  $H_{\lambda_j}$ .

$\mathcal{H} \otimes \mathbb{C}$   
Space of conformal blocks:

$$\mathcal{HB}(z_1, \dots, z_n; \lambda_1, \dots, \lambda_n) := \text{Hom}_{\mathcal{H}(z_1, \dots, z_n)}(H_{\lambda_1} \otimes \dots \otimes H_{\lambda_n}, \mathbb{C})$$

idea:  $\Rightarrow \langle \varphi_1(z_1) \dots \varphi_n(z_n) \rangle$   
 $\underbrace{\varphi_1(z_1)}_{H_{\lambda_1}} \dots \underbrace{\varphi_n(z_n)}_{H_{\lambda_n}}$  - correlator of the chiral theory

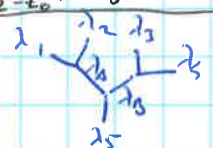
Ward identity:

$\alpha \circ \langle \varphi_1(z_1) \dots \varphi_n(z_n) \rangle = 0$  primary  
 Rem: applying to  $\langle \mathbb{1}_{z_0} \varphi_1(z_1) \dots \varphi_n(z_n) \rangle$  with  $\alpha = \frac{T^a}{z-z_0}$ , we get (\*\*)

\*  $\mathcal{H}$  is finite dimensional!

\* For  $n=3$ ,  $\dim \mathcal{H} = \begin{cases} 1 & \text{if } \begin{cases} \lambda_1 + \lambda_2 + \lambda_3 \in 2\mathbb{Z} \\ \lambda_1 - \lambda_2 \leq \lambda_3 \leq \lambda_1 + \lambda_2 \\ \lambda_1 + \lambda_2 + \lambda_3 \leq 2k \end{cases} \text{ ("fusion rules")} \\ 0 & \text{otherwise} \end{cases}$  = "quantum Knizhnik-Gordon condition"

\* For general  $n$ , can associate a basis in  $\mathcal{H}$  to a 3-valent tree with leaves decorated with  $\lambda_1, \dots, \lambda_n$   
 basis vectors  $\sim$  decorations of edges<sup>e</sup> by labels  $\lambda_e \in \{0, \dots, k\}$  s.t. fusion rules hold at each vertex.



vertex  $\bullet \mathbb{CP}^1 \rightarrow$  higher genus  $\Sigma$  of: replace trees with  $g$ -valent graphs with  $g$  loops.

• Verlinde formula:  $\dim \mathcal{HB}(z_1, \dots, z_n; \lambda_1, \dots, \lambda_n) = \sum_{0 \leq \lambda_k \leq k} \frac{S_{\lambda_1} \lambda_1 \dots S_{\lambda_n} \lambda_n}{(S_{0,1})^{n-2}}$  where  $S_{\lambda \mu} = \sqrt{\frac{2}{k+2}} \sin \frac{(\lambda+1)(\mu+1)}{k+2}$

$\mathcal{HB}(z_1, \dots, z_n; \lambda_1, \dots, \lambda_n) \rightarrow \mathcal{E}_{\lambda_1, \dots, \lambda_n}$  -  $\mathbb{C}$ -vector space of conformal blocks  
 $\downarrow$   
 $\text{Conf}_n(\mathbb{CP}^1)$

$\nabla_{\alpha} = \frac{\partial}{\partial z_j} - L_{-1}^{(j)}$  - a (holom.) flat connection on  $\mathcal{E}_{\lambda_1, \dots, \lambda_n}$   
 Sugawara operator on  $H_{\lambda_j}$

if  $\Psi \in \Gamma(\mathcal{E}_{\lambda_1, \dots, \lambda_n})$  is a horizontal section, then  $\Psi_0^a$  = restriction of  $\Psi$  to  $M_{\lambda_1}^a \otimes \dots \otimes M_{\lambda_n}^a$   
 satisfies KZ equation:  $\frac{\partial \Psi_0^a}{\partial z_i} = \frac{1}{k+2} \sum_{j \neq i} \frac{T^a(z_i) T^a(z_j)}{z_i - z_j} \Psi_0^a$