

# Introduction to Algebraic Number Theory

## Lecture 2

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2014-01-17

Today: overview of fields. Textbook here is <http://wstein.org/books/ant/ant.pdf>

### 1 Fields

**(1.1)** A field  $K$  is a ring such that  $K - \{0\} = K^\times$  is the group of invertible elements. If  $L/K$  is a finite extension of fields (i.e.,  $L \supset K$ ) then  $[L : K] = \dim_K L$ . If  $M/L/K$  are finite extensions then  $[M : K] = [M : L][L : K]$ .

**(1.2)** An element  $\alpha$  is said to be algebraic over  $K$  if  $P(\alpha) = 0$  for some monic  $P \in K[X]$ . For  $\alpha$  algebraic the field  $K(\alpha)$  is the minimal field containing both  $K$  and  $\alpha$ . Every algebraic  $\alpha$  has a minimal polynomial, monic in  $K[X]$  obtained as the generator of the (proper) principal ideal in the PID  $K[X]$  consisting of all polynomials which vanish at  $\alpha$ , in which case  $[K(\alpha) : K]$  equals the degree of this minimal polynomial.

**Definition 1.** A number field is defined to be a finite extension of  $\mathbb{Q}$ .

For any finite extension  $L/K$  of fields of characteristic 0 or of finite fields there exists a so-called primitive element  $\alpha \in L$  such that  $L = K(\alpha)$ .

E.g., every quadratic extension  $L/K$ , by the quadratic formula, is of the form  $L = K(\sqrt{\alpha})$  for some  $\alpha \in K$ .

**(1.3)** An extension  $L/K$  is said to be algebraic if every element of  $L$  is algebraic over  $K$ .

**Fact 2.** An element  $\alpha$  is algebraic over  $K$  if and only if  $K(\alpha)/K$  is an algebraic extension if and only if  $K(\alpha)/K$  is a finite extension.

As an application we present:

**Corollary 3.** If  $\alpha$  is algebraic of degree  $d$  then

$$K(\alpha) = K[\alpha] = \{a_0 + a_1\alpha + \cdots + a_{d-1}\alpha^{d-1} \mid a_i \in K\}$$

*Proof.* Every element of  $K(\alpha)$  is of the form  $P(\alpha)/Q(\alpha)$ . Write  $\beta = Q(\alpha)$ . Since  $\alpha$  is algebraic it follows that  $K(\beta) \subset K(\alpha)$  is finite over  $K$  and so  $\beta$  is algebraic over  $K$ . Let  $b_0 + b_1X + \cdots + b_mX^m$  be its minimal polynomial in which case  $b_0 \neq 0$ . Then

$$1/Q(\alpha) = \beta^{-1} = -b_0^{-1}(b_1 + b_2\beta + \cdots + b_m\beta^{m-1}) \in K[\beta] \subset K[\alpha]$$

Thus  $K(\alpha) = K[\alpha]$  and every polynomial of  $\alpha$  can be reduced to a polynomial of degree at most  $d - 1$  of  $\alpha$  using the minimal polynomial of  $\alpha$  over  $K$ .  $\square$

Every field  $K$  has an algebraic closure  $\overline{K}$  which is algebraically closed. If  $L$  is any algebraically closed field (such as  $\mathbb{C}$ ) containing  $K$  then there is a unique algebraic closure  $\overline{K} \subset L$  consisting of all the elements of  $L$  which are algebraic over  $K$ . This is how we will think of  $\overline{\mathbb{Q}}$  as the closure of  $\mathbb{Q}$  in  $\mathbb{C}$ .

(1.4) Embeddings. A number field  $K/\mathbb{Q}$  can sit inside  $\overline{\mathbb{Q}} \subset \mathbb{C}$  in more than one way. For example,  $\mathbb{Q}(i) \rightarrow \mathbb{C}$  given by  $a + bi \mapsto a \pm bi$  provides two distinct embeddings (i.e., injective homomorphisms) of fields which invary  $\mathbb{Q}$ .

**Fact 4.** If  $\alpha$  is algebraic with minimal polynomial  $f(X)$  over  $K$  then the embeddings of  $K(\alpha)$  into  $\overline{K}$  which fix  $K$  are parametrized by the roots of  $f(X)$ . If  $\beta$  is any root the associated embedding fixes  $K$  and takes  $\alpha$  to  $\beta$ . This produces a unique isomorphism  $K(\alpha) \cong K(\beta)$ .

**Theorem 5.** If  $L/K$  is finite there are exactly  $[L : K]$  embeddings  $L \rightarrow \overline{K}$  fixing  $K$ .

If  $M/L/K$  are finite extensions and  $\alpha_i$  are the embeddings of  $L$  into  $\overline{K}$  fixing  $K$  and  $\tau_j$  are the embeddings of  $M$  into  $\overline{L} = \overline{K}$  fixing  $L$  then the embeddings of  $M$  into  $\overline{K}$  fixing  $K$  are  $\sigma_i\tau_j$ .

## 2 Number Rings

(2.1)

**Definition 6.** An algebraic integer is an element  $\alpha$  satisfying  $P(\alpha) = 0$  for some monic  $P \in \mathbb{Z}[X]$ . For a number field  $K$  we write  $\mathcal{O}_K$  for the set of algebraic integers in  $K$ .

Recall Gauss' lemma that if  $P \in \mathbb{Z}[X]$  is monic and irreducible in  $\mathbb{Z}[X]$  then  $P$  is irreducible in  $\mathbb{Q}[X]$ .

(2.2)

**Proposition 7.** An element  $\alpha$  is an algebraic integer if and only if  $\mathbb{Z}[\alpha]$  is a finite  $\mathbb{Z}$ -module.

*Proof.* Done in class. See textbook Proposition 2.3.4 □

**Corollary 8.** If  $\alpha, \beta$  are algebraic integers then  $\alpha \pm \beta, \alpha \cdot \beta$  are algebraic integers.

*Proof.* Done in class. See textbook Proposition 2.3.5 □

The conclusion is that the set  $\mathcal{O}_K$  of algebraic integers in the number field  $K$  is in fact a ring.