## Math 162b Problem Set 1

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These exercises require two inputs from class field theory.

- The *p*-adic cyclotomic character  $\chi_p : G_{\mathbb{Q}_p} \to \mathbb{Z}_p^{\times}$  defined as the exponent such that for every  $p^k$ -th root of unity  $\zeta$  and  $g \in G_{\mathbb{Q}_p}$  one has  $g\zeta = \zeta^{\chi_p(g)}$ . Alternatively, one may use the inverse Artin map  $\operatorname{rec}^{-1} : I_{\mathbb{Q}_p}^{\operatorname{ab}} \cong \mathbb{Z}_p^{\times}$  and define  $\chi_p(\operatorname{Frob}_p^m \sigma) = \operatorname{rec}^{-1}(\sigma)$  where  $\sigma \in I_{\mathbb{Q}_p}$ .
- One has an isomorphism

$$G^{\rm ab}_{\mathbb Q}\cong \mathbb A^\times_{\mathbb Q}/\overline{\mathbb Q^\times(0,\infty)}$$

**Exercise 1** (Results needed for Ax-Sen). In this exercise you will study powers of p in binomial coefficients.

- 1. Show that  $v_p((p^k n)!) = \frac{n(p^k 1)}{p 1} + v_p(n!).$
- 2. Show that  $v_p\left(\binom{p^{k+1}}{p^k}\right) = 1.$
- 3. Show that if  $p \nmid n$  then  $v_p\left(\binom{p^k n}{p^k}\right) = 0$ .

**Exercise 2** (Stable lattices). Let G be a profinite group and let  $\rho : G \to \operatorname{GL}(n, \overline{\mathbb{Q}}_{\ell})$  be a continuous Galois representation. The purpose of this exercise is to show that a conjugate of  $\rho$  takes values in  $\operatorname{GL}(n, \mathcal{O}_L)$  for some finite extension  $L/\mathbb{Q}_{\ell}$  (thus one can reduce modulo  $\ell$ ).

- 1. Show that  $\operatorname{Im} \rho$  is compact Hausdorff.
- 2. Show that there exist finite extensions  $L_k/\mathbb{Q}_\ell$  such that  $\operatorname{Im} \rho = \bigcup_k (\operatorname{Im} \rho \cap \operatorname{GL}(n, L_k)).$
- 3. Use the Baire category theorem to deduce that for some k, the interior U of  $\text{Im } \rho \cap \text{GL}(n, L_k)$  is not empty.
- 4. Show that  $\text{Im }\rho/U$  is a finite coset space and show that  $L = L_k$  may be chosen such that these cosets are defined over L.
- 5. Take the average of  $\mathcal{O}_L^n$  over these cosets to deduce the existence of a full rank lattice stable under G, i.e., that a conjugate of  $\rho$  lands in  $\mathrm{GL}(n, \mathcal{O}_L)$ .

**Exercise 3** (Weil-Deligne representations). Let  $K/\mathbb{Q}_p$  be a finite extension and  $\ell \neq p$ . Recall that an  $\ell$ -adic Weil-Deligne representation of  $W_K$  is a pair (r, N) of a continuous Galois representation  $r: W_K \to \operatorname{GL}(n, \overline{\mathbb{Q}}_\ell)$  (recall that continuity is with respect to the topology on the Weil group  $W_K$ , i.e., r is a homomorphism with open kernel) and a linear map  $N \in \operatorname{End}(r)$  such that  $r(g)Nr(g)^{-1} = |\operatorname{rec}_K^{-1}(g)|N$ , where  $\operatorname{rec}_K: K^{\times} \cong W_K^{\mathrm{ab}}$  is the Artin reciprocity map. The Weil-Deligne representation (r, N) is said to be bounded if the eigenvalues of all r(g) are  $\ell$ -adic units. The point of this exercise is to show that if  $\ell \neq p$  then continuous  $\ell$ -adic representations of the full Galois group  $G_K$  are the same as bounded Weil-Deligne representations of  $W_K$ .

- 1. Show that N is a nilpotent matrix.
- 2. Let  $t : I_K \to I_K/P_K \cong \operatorname{Gal}(K^t/K^{\operatorname{ur}}) \cong \prod_{q \neq p} \mathbb{Z}_q(1) \to \mathbb{Z}_\ell(1) \approx \mathbb{Z}_\ell$  given by  $\sigma(\varpi_K^{1/n}) \equiv t(\sigma) \varpi_K^{1/n}$ (mod *n*). Show that *t* is well-defined up to  $\mathbb{Z}_\ell^{\times}$ .
- 3. Let  $\phi$  be a lift to  $G_K$  of the geometric Frobenius  $\operatorname{Frob}_K \in G_{K^{\mathrm{ur}}/K} \cong G_{k_K}$  (well-defined up to conjugacy); you may assume (from 160b) that  $t(\phi^n \sigma \psi^{-n}) = q_K^{-n} t(\sigma)$ , and that  $\operatorname{rec}_K^{-1}(\phi)$  is a uniformizer. Given a bounded Weil-Deligne representation (r, N) define

$$\rho(\phi^n \sigma) = r(\phi^n \sigma) \exp(t(\sigma)N)$$

for  $n \in \mathbb{Z}$  and  $\sigma \in I_K$ .

- (a) Show that if  $q_K = \#k_K$  then  $\exp(t(\sigma)N)r(\phi^n\sigma) = r(\phi^n\sigma)\exp(t(\sigma)q_K^nN)$ .
- (b) Show that  $\rho(\phi^n \sigma)\rho(\phi^m \tau) = \rho(\phi^n \sigma \phi^m \tau)$ .
- (c) Show that  $\rho$  extends to a continuous  $\ell$ -adic representation  $\rho: G_K \to \operatorname{GL}(n, \overline{\mathbb{Q}}_{\ell})$  (you may assume here that (r, N) being bounded is equivalent to  $\operatorname{Im} r$  having compact closure).
- (d) Show that the isomorphism class of  $\rho$  is independent of the choice of  $\phi$  or t.
- 4. Conversely, start with a continuous  $\ell$ -adic representation  $\rho : G_K \to \operatorname{GL}(n, \overline{\mathbb{Q}}_{\ell})$ . You may assume Grothendieck's  $\ell$ -adic monodromy theorem, that  $\rho$  is potentially unramified, i.e., there exists a finite extension L/K such that  $\rho|_{G_L}$  is unramified, or equivalently that  $\rho(I_L) = I_n$ .
  - (a) Let  $L/\mathbb{Q}_{\ell}$  be a finite extension such that (a suitably chosen conjugate of)  $\rho$  takes values in  $\operatorname{GL}(n, \mathcal{O}_L)$ . Consider the group  $H = \rho^{-1}(1 + \ell^2 M_{n \times N}(\mathcal{O}_L)) \cap I_K$ . Show that H is an open normal pro- $\ell$  group.
  - (b) Deduce that  $\rho: H \to 1 + \ell^2 M_{n \times N}(\mathcal{O}_L)$  extends to  $\tilde{\rho}: \mathbb{Z}_\ell \to 1 + \ell^2 M_{n \times N}(\mathcal{O}_L)$ .
  - (c) Show that  $t(H) = \ell^s \mathbb{Z}_{\ell}$  for some s ( $\ell$ -adic monodromy!) and write  $N = \log(\tilde{\rho}(\ell^s))\ell^{-s}$ .
  - (d) Show that for  $h \in H$ ,  $\rho(h) = \exp(t(h)N)$ .
  - (e) Show that  $r(\phi^n \sigma) = \rho(\phi^n \sigma) \exp(-t(\sigma)N)$  is a homomorphism with open kernel.
  - (f) Show that (r, N) is a Weil-Deligne representation.
  - (g) Show that  $\operatorname{Im} r \subset \operatorname{Im} \rho \exp(\mathbb{Z}_{\ell} N)$  and thus that (r, N) is bounded.

**Exercise 4** (Compatible systems for Hecke characters). Let  $\psi : \mathbb{A}_{\mathbb{Q}}^{\times} / \mathbb{Q}^{\times} \to \mathbb{C}^{\times}$  be a Hecke character such that the infinite component  $\psi_{\infty}$  in  $\psi = \psi_{\infty} \otimes \bigotimes_{p} \psi_{p}$  is the character  $\psi_{\infty}(x) = |x|^{n}$  or  $\operatorname{sign}(x)|x|^{n}$  for an integer n. (You can think of these as algebraic automorphic representations on  $\operatorname{GL}(1)$ .)

- 1. Show that for every prime  $\ell$  there exists a (continuous) character  $\eta_{\ell} : \mathbb{Q}_{\ell}^{\times} \to \overline{\mathbb{Q}}_{\ell}^{\times}$  such that  $\eta_{\ell}(x) = \psi_{\infty}(x)$  for  $x \in \mathbb{Q}^{\times}$ .
- 2. Fix an isomorphism  $\mathbb{C} \cong \overline{\mathbb{Q}}_{\ell}$ . Show that the character  $\Psi_{\ell} = \psi \psi_{\infty}^{-1} \eta_{\ell} : \mathbb{A}_{\mathbb{Q}}^{\times} \to \mathbb{C}^{\times}$  is continuous, vanishes on  $\mathbb{Q}^{\times}$  and  $(0, \infty)$  and deduce that it induces a continuous  $\ell$ -adic Galois representation  $\Psi_{\ell} : G_{\mathbb{Q}} \to \operatorname{GL}(1, \overline{\mathbb{Q}}_{\ell})$ .
- 3. Show that if p is a prime such that  $\psi_p$  is unramified (i.e.,  $\psi_p(\mathbb{Z}_p^{\times}) = 1$ ) and if  $\ell$  and  $\ell'$  are two primes different from p then  $\Psi_{\ell}|_{G_{\mathbb{Q}_p}}$  and  $\Psi_{\ell'}|_{G_{\mathbb{Q}_p}}$  are unramified and act identically on Frob<sub>p</sub>.
- 4. Show that if  $\psi_p$  is unramified then  $\Psi_p|_{G_{\mathbb{Q}_p}}$  is of the form  $\chi_p^n$  times an unramified character. (These are the prototypical "crystalline" representations and the integer n is the "Hodge-Tate weight"; note that it has no chance of being unramified, since the cyclotomic character is very ramified; in general, the local p-adic Galois representation attached to an automorphic representation which is unramified at p will be crystalline with Hodge-Tate weights dictated by the component at infinity.)