ISSUES TO ADDRESS...

• How are metal alloys classified and how are they used?
• What are some of the common fabrication techniques?
• How do properties vary throughout a piece of material that has been quenched, for example?
• How can properties be modified by post heat treatment?
Classifications of Metal Alloys

Metal Alloys

Ferrous

Steels

<1.4wt% C

Cast Irons

3-4.5tic

Nonferrous

Cu

Al

Mg

Ti

Some definitions:

- **Ferrous alloys**: iron is the prime constituent
- Ferrous alloys are relatively **inexpensive** and extremely **versatile**
- **Thus these alloys** are **wide spread engineering materials**
- Alloys that are so brittle that forming by deformation is not possible ordinary are **cast**
- Alloys that are amenable to mechanical deformation are termed **wrought**
- **Heat-treatable** - are alloys whose mechanical strength can be improved by heat-treatment (e.g. precipitation hardening or martensitic transformations).
## Classification of Steels

### Low Alloy
- **Low carbon** (<0.25wt% C)
- **Medium-carbon** (0.25-0.6wt% C)
- **High carbon** (0.6-1.4wt% C)

### High Alloy

<table>
<thead>
<tr>
<th>Name:</th>
<th>Plain</th>
<th>High strength</th>
<th>Plain</th>
<th>Heat treatable</th>
<th>Plain</th>
<th>Tool</th>
<th>Stainless</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Additions:</strong></td>
<td>none</td>
<td>Cu, V, Ni, Mo</td>
<td>none</td>
<td>Cr, Ni, Mo</td>
<td>none</td>
<td>Cr, V, Mo, W</td>
<td>Cr, Ni, Mo</td>
</tr>
<tr>
<td><strong>Example (ASTM#):</strong></td>
<td>1010</td>
<td>A633</td>
<td>1040</td>
<td>4340</td>
<td>1095</td>
<td>4190</td>
<td>304</td>
</tr>
<tr>
<td><strong>Hardenability</strong></td>
<td>0</td>
<td>+</td>
<td>+</td>
<td>++</td>
<td>++</td>
<td>+++</td>
<td>0</td>
</tr>
<tr>
<td><strong>TS</strong></td>
<td>-</td>
<td>0</td>
<td>+</td>
<td>++</td>
<td>+</td>
<td>++</td>
<td>0</td>
</tr>
<tr>
<td><strong>EL</strong></td>
<td>+</td>
<td>+</td>
<td>0</td>
<td>-</td>
<td>-</td>
<td>--</td>
<td>++</td>
</tr>
</tbody>
</table>

### Applications:
- **Low carbon**:
  - autostruc.
  - sheet bridges
  - towers
  - press.
  - vessels
- **Medium-carbon**:
  - crank shafts
  - bolts
  - hammers
- **High carbon**:
  - wear applications
  - drills
  - saws
  - dies

### Increasing strength, cost, decreasing ductility
CAST IRON

- The cast irons are the ferrous alloys with greater than 2.14 wt. % carbon, but typically contain 3-4.5 wt. % of C as well as other alloying elements, such as silicon (~3 wt.%) which controls kinetics of carbide formation.

3-4.5 wt.% C

- These alloys have relatively low melting points (1150-1300°C), do not form undesirable surface films when poured, and undergo moderate shrinkage during solidification. Thus can be easily melted and amenable to casting.

- There are four general types of cast irons:
  1. **White iron** has a characteristics white, crystalline fracture surface. Large amount of Fe₃C are formed during casting, giving hard brittle material.
  2. **Gray iron** has a gray fracture surface with finely faced structure. A large Si content (2-3 wt. %) promotes C flakes precipitation rather than carbide.
  3. **Ductile iron**: small addition (0.05 wt.%) of Mg to gray iron changes the flake C microstructure to spheroidal that increases (by factor ~20) steel ductility.
  4. **Malleable iron**: traditional form of cast iron with reasonable ductility. First cast to white iron and then heat-treated to produce nodular graphite precipitates.
Equilibrium and Metastable Phases

- Cementite (Fe₃C) is a **metastable** phase and after long term treatment decompose to form α-ferrite and carbon:
  \[ \text{Fe}_3\text{C} \rightarrow 3\text{Fe(S)} + \text{C(graphite)} \]
- Slow cooling and addition of some elements (e.g. Si) promote graphite formation.
- Properties of cast irons are defined by the **amount and microstructure** of existing carbon phase.

- Equilibrium iron-carbon phase diagram
White and Malleable Cast Irons

- The low-silicon cast irons (<1.0wt.%), produced under rapid cooling conditions
- Microstructure: most of cementite
- Properties: extremely hard very but brittle
- White iron is an intermediate for the production of malleable iron

White iron:
light Fe₃C regions surrounded by pearlite

Malleable iron:
dark graphite rosettes in α-Fe matrix
Gray and Ductile Cast Irons

- **The gray irons** contain 1-31.0 wt.% of Si
- Microstructure: *flake –shape* graphite in ferrite matrix
- Properties: relatively weak and brittle in tension BUT very effective in *damping vibrational* energy and high resistant to wear!!

**Gray iron:**
Dark graphite flakes
In α-Fe matrix

**Ductile iron:**
Dark graphite nodules
In α-Fe matrix

- **Ductile (or Nodular) iron:**
  small addition of Mg or/and Ce to the gray iron composition before casting
  - Microstructure: Nodular or spherical-like graphite structure in pearlite or ferric matrix
  - Properties: Significant increase in material ductility!!
  - Applications: valves, pump bodies, gears and other auto and machine components.
RAPIDLY SOLIDIFIED FERROUS ALLOYS

- Eutectic compositions that permit cooling to a *glass transition temperature* at practically reachable quench rate (10^5 and 10^6 °C/s) – rapidly solidified alloys
- *Boron*, B, rather than carbon is a primary alloying element for amorphous ferrous alloys
- Properties:
  (a) absence of grain boundaries – easy magnetized materials
  (b) extremely fine structure – exceptional strength and toughness

<table>
<thead>
<tr>
<th>Compositions (wt. %)</th>
<th>B</th>
<th>Si</th>
<th>Cr</th>
<th>Ni</th>
<th>Mo</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>20</td>
<td>20</td>
<td>10</td>
<td>10</td>
<td>6</td>
<td>40</td>
<td>14</td>
</tr>
<tr>
<td>10</td>
<td>10</td>
<td>10</td>
<td>10</td>
<td>6</td>
<td>40</td>
<td>14</td>
</tr>
<tr>
<td>28</td>
<td>28</td>
<td>10</td>
<td>10</td>
<td>6</td>
<td>40</td>
<td>14</td>
</tr>
<tr>
<td>6</td>
<td>6</td>
<td>6</td>
<td>6</td>
<td>14</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

- Some Amorphous Ferrous Alloys
NONFERROUS ALLOYS

• **Cu Alloys**
  
  **Brass**: Zn is prime impurity (costume jewelry, coins, corrosion resistant)
  
  **Bronze**: Sn, Al, Si, Ni are prime impurities (bushings, landing gear)
  
  **Cu-Be**: precipitation-hardened for strength

• **Al Alloys**
  
  -lower \( \rho: 2.7 \text{g/cm}^3 \)
  
  -Cu, Mg, Si, Mn, Zn additions
  
  -solid solutions or precipitation strengthened (structural aircraft parts & packaging)

• **Mg Alloys**
  
  -very low \( \rho: 1.7 \text{g/cm}^3 \)
  
  -ignites easily
  
  -aircraft, missiles

• **Refractory metals**
  
  -high melting T
  
  -Nb, Mo, W, Ta

• **Noble metals**
  
  -Ag, Au, Pt
  
  -oxidation/corrosion resistant

• **Ti Alloys**
  
  -lower \( \rho: 4.5 \text{g/cm}^3 \)
  
  vs 7.9 for steel
  
  -reactive at high T
  
  -space applications
Cooper and its Alloys

- Cooper: soft and ductile; unlimited cold-work capacity, but difficult to machine.
- Cold-working and solid solution alloying
- Main types of Copper Alloys:
  - **Brasses**: zinc (Zn) is main substitutional impurity; applications: cartridges, auto-radiator. Musical instruments, coins
  - **Bronzes**: tin (Sn), aluminum (Al), Silicon (Si) and nickel (Ni); stronger than brasses with high degree of corrosion resistance
  - **Heat-treated** (precipitation hardening) **Cu-alloys**: beryllium cooper; relatively high strength, excellent electrical and corrosion properties BUT expensive; applications: jet aircraft landing gear bearing, surgical and dental instruments.

- Copper’s advantages as primary metal and recycled metal, for brazed, long-life radiators and radiator parts for cars and trucks:
Aluminum and its Alloys

- Low density (~2.7 g/cm³), high ductility (even at room temperature), high electrical and thermal conductivity and resistance to corrosion
  BUT low melting point (~660°C)
- Main types of Aluminum Alloys:
  - *Wrought* Alloys
  - *Cast* Alloys
  - Others: e.g. *Aluminum-Lithium* Alloys
- Applications: form food/chemical handling to aircraft structural parts

### Typical alloying elements and alloy designation systems for Aluminum Alloys

<table>
<thead>
<tr>
<th>Numerals</th>
<th>Major alloying Element(s)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1XXX</td>
<td>None (&gt;99.00 %Al)</td>
</tr>
<tr>
<td>2XXX</td>
<td>Cu</td>
</tr>
<tr>
<td>3XXX</td>
<td>Mn</td>
</tr>
<tr>
<td>4XXX</td>
<td>Si</td>
</tr>
<tr>
<td>5XXX</td>
<td>Mg</td>
</tr>
<tr>
<td>6XXX</td>
<td>Mg an Si</td>
</tr>
<tr>
<td>7XXX</td>
<td>Zn</td>
</tr>
<tr>
<td>8XXX</td>
<td>Other elements (e.g. Li)</td>
</tr>
</tbody>
</table>

### Temper designation systems for Aluminum Alloys

<table>
<thead>
<tr>
<th>Temper</th>
<th>Definition</th>
</tr>
</thead>
<tbody>
<tr>
<td>F</td>
<td>As fabricated</td>
</tr>
<tr>
<td>O</td>
<td>Annealed</td>
</tr>
<tr>
<td>H1</td>
<td>Strain-hardened only</td>
</tr>
<tr>
<td>H2</td>
<td>Strain-hardened and partially annealed</td>
</tr>
<tr>
<td>H3</td>
<td>Strain-hardened and stabilized</td>
</tr>
<tr>
<td>T1</td>
<td>Cooled from elevated-T shaping and aged</td>
</tr>
<tr>
<td>T2</td>
<td>Cooled from elevated-T shaping, cold-work, aged</td>
</tr>
<tr>
<td>T3</td>
<td>Solution heat-treat., cold-work, naturally aged</td>
</tr>
<tr>
<td>T4</td>
<td>Solution heat-treat and naturally aged</td>
</tr>
<tr>
<td>T5</td>
<td>Cooled from elevated-T shaping, artificially aged</td>
</tr>
<tr>
<td>T6</td>
<td>Solution heat-treat. and artificially aged</td>
</tr>
<tr>
<td>T7</td>
<td>Solution heat-treat and stabilized</td>
</tr>
<tr>
<td>T8</td>
<td>Solution heat-treat, cold-work, artificially aged</td>
</tr>
<tr>
<td>T9</td>
<td>Solution heat-treat, artificially aged, cold-work</td>
</tr>
</tbody>
</table>
Magnesium and its Alloys

- **Key Properties:**
  - Light weight
  - Low density (1.74 g/cm³ two thirds that of aluminium)
  - Good high temperature mechanical properties
  - Good to excellent corrosion resistance
  - Very high strength-to-density ratios (*specific strength*)
  - In contrast with Al alloys that have fcc structure with (12) slip systems and thus high ductility, hcp structure of Mg with only three slip systems leads to its brittleness.

- **Applications:** from tennis rockets to aircraft and missiles

Example: Aerospace

RZ5 (Zn 3.5 - 5.0 SE 0.8 - 1.7 Zr 0.4 - 1.0 Mg remainder), MSR (AG 2.0 - 3.0 SE 1.8 - 2.5 Zr 0.4 - 1.0 Mg remainder) alloys are widely used for aircraft engine and gearbox casings. Very large magnesium castings can be made, such as intermediate compressor casings for turbine engines. These include the Rolls Royce Tay casing in MSR, which weighs 130kg and the BMW Rolls Royce BR710 casing in RZ5. Other aerospace applications include auxiliary gearboxes (F16, Euro-fighter 2000, Tornado) in MSR or RZ5, generator housings (A320 Airbus, Tornado and Concorde in MSR) and canopies, generally in RZ5.
Titanium and its Alloys (1)

- **Titanium and its alloys** have proven to be technically superior and cost-effective materials of construction for a wide variety of aerospace, industrial, marine and commercial applications.

- The **properties and characteristics** of titanium which are important to design engineers in a broad spectrum of industries are:

  - **Excellent Corrosion Resistance**: Titanium is immune to corrosive attack by salt water or marine atmospheres. It also exhibits exceptional resistance to a broad range of acids, alkalis, natural waters and industrial chemicals.

  - **Superior Erosion Resistance**: Titanium offers superior resistance to erosion, cavitation or impingement attack. Titanium is at least twenty times more erosion resistant than the copper-nickel alloys.

  - **High Heat Transfer Efficiency**: Under "in service" conditions, the heat transfer properties of titanium approximate those of admiralty brass and copper-nickel.
Other Alloys

- **The Refractory Metals**: Nb (m.p. = 2468°C); Mo (°C); W (°C); Ta (3410°C)
  - Also: large elastic modulus, strength, hardness in wide range of temperatures
  - Applications:

- **The Super alloys** – possess the superlative combination of properties
  - Examples:
  - Applications: aircraft turbines; nuclear reactors, petrochemical equipment

- **The Noble Metal Alloys**: Ru (44), Rh (45), Pd (46), Ag (47), Os (75), Ir (77), Pt (78), Au (79)
  - expensive are notable in properties: soft, ductile, oxidation resistant
  - Applications: jewelry (Ag, Au, Pt), catalyst (Pt, Pd, Ru), thermocouples (Pt, Ru), dental materials etc.

- **Miscellaneous Nonferrous Alloys**:
  - Nickel and its alloy: high corrosion resistant (Example: monel – 65Ni/28Cu/7wt%Fe – pumps valves in aggressive environment)
  - Lead, tin and their alloys: soft, low recrystallization temperature, corrosion resistant (Applications: solders, x-ray shields, protecting coatings)
Fabrication of Metals

- Fabrication methods chosen depend on:
  - properties of metal
  - size and shape of final piece
  - cost
METAL FABRICATION METHODS - I

**FORMING**
- **Forging**
  (wrenches, crankshafts)
  force
- **Drawing**
  (rods, wire, tubing)

**CASTING**
- **Rolling**
  (I-beams, rails)

**JOINING**
- **Extrusion**
  (rods, tubing)
FORMING TEMPERATURE

• Hot working: deformation at $T > T(\text{recrystallization})$
  + less energy to deform
  + large repeatable deform.
  - surface oxidation: poor finish

• Cold working: deformation at $T < T(\text{recrystallization})$
  + higher quality surface
  + better mechanical properties
  + closer dimension control
  - expensive and inconvenient

• Cold worked microstructures
  --generally are very anisotropic!
  --Forged
  --Swaged
  --Fracture resistant!
Extrusion and Rolling

- The advantages of extrusion over rolling are as follows:
  - Pieces having more complicated cross-sectional geometries may be formed.
  - Seamless tubing may be produced.
- The disadvantages of extrusion over rolling are as follows:
  - Nonuniform deformation over the cross-section.
  - A variation in properties may result over the cross-section of an extruded piece.
METAL FABRICATION METHODS-II

FORMING

• Sand Casting
  (large parts, e.g., auto engine blocks)

  ![Sand Casting Diagram]

• Investment Casting
  (low volume, complex shapes e.g., jewelry, turbine blades)

  ![Investment Casting Diagram]

CASTING

• Die Casting
  (high volume, low T alloys)

  ![Die Casting Diagram]

• Continuous Casting
  (simple slab shapes)

  ![Continuous Casting Diagram]
Casting

• The situations in which casting is the preferred fabrication technique are:
  - For large pieces and/or complicated shapes.
  - When mechanical strength is not an important consideration.
  - For alloys having low ductility.
  - When it is the most economical fabrication technique.

Different casting techniques:

• Sand casting: a two-piece mold made of sand is used, the surface finish is not an important consideration, casting rates are low, and large pieces are usually cast.

• Die casting: a permanent two-piece mold is used, casting rates are high, the molten metal is forced into the mold under pressure, and small pieces are normally cast.

• Investment casting: a single-piece mold is used, which is not reusable; it results in high dimensional accuracy, good reproduction of detail, a fine surface finish; casting rates are low.

• Continuous casting: at the conclusion of the extraction process, the molten metal is cast into a continuous strand having either a rectangular or circular cross-section; these shapes are desirable for secondary metal-forming operations. The chemical composition and mechanical properties are uniform throughout the cross-section.
METAL FABRICATION METHODS-III

- **FORMING**
  - **Powder Processing**
    - pressure
    - heat
    - area contact
    - densification by diffusion at higher T
    - point contact at low T

- **CASTING**
  - **Joining: Welding, brazing, soldering**
    - filler metal (melted)
    - base metal (melted)
    - fused base metal
    - unaffected
    - heat affected zone
    - unaffected
    - piece 1
    - piece 2

- **Miscellaneous**
  - Heat affected zone:
    (region in which the microstructure has been changed).
Powder Processing

- Some of the advantages of powder metallurgy over casting are as follows:
  - It is used for materials having high melting temperatures.
  - Better dimensional tolerances result.
  - Porosity may be introduced, the degree of which may be controlled (which is desirable in some applications such as self-lubricating bearings).

- Some of the disadvantages of powder metallurgy over casting are as follows:
  - Production of the powder is expensive.
  - Heat treatment after compaction is necessary.
Annealing

**Process:** heat alloy to $T_{\text{Anneal}}$, for extended period of time then **cool slowly**.

**Goals:** (1) relieve stresses; (2) increase ductility and toughness; (3) produce specific microstructure

- **Stress Relief:**
  - To reduce stress caused by:
    - plastic deformation
    - non-uniform cooling
    - phase transform.

- **Process Anneal:**
  - To eliminate negate effect of cold working by recovery/recrystallization

- **Spheroidize** (steels):
  - Make very soft steels for good machining.
  - Heat just below $T_E$ & hold for 15-25h.

- **Full Anneal** (steels):
  - Make soft steels for good forming by heating to get $\gamma$, then cool in furnace to get coarse $P$.

- **Normalize** (steels):
  - Deformed steel with large grains heat-treated to make grains small.
Thermal Processing of Metals: Steels

- **Full annealing:**
  Heat to between 15 and 40°C above the A3 line (if the concentration of carbon is less than the eutectoid) or above the A1 line (if the concentration of carbon is greater than the eutectoid) until the alloy comes to equilibrium; then furnace cool to room temperature. The final microstructure is **coarse pearlite**.

- **Normalizing:**
  Heat to between 55 and 85°C above the upper critical temperature until the specimen has fully transformed to austenite, then cool in air. The final microstructure is **fine pearlite**.

- **Quenching:**
  Heat to a temperature within the austenite phase region and allow the specimen to fully austenite, then quench to room temperature in oil or water. The final microstructure is **martensite**.

- **Tempering:**
  Heat a quenched (martensitic) specimen, to a temperature between 450 and 650°C, for the time necessary to achieve the desired hardness. The final microstructure is **tempered martensite**.
HARDENABILITY: STEELS

- Full annealing and Spheroidizing: to produce *softer* steel for good machining and forming
- Normalization: to produce more uniform fine structure that tougher than coarse-grained one
- Quenching: to produce *harder* alloy by forming martensitic structure

### Jominy end-quenching test

<table>
<thead>
<tr>
<th>Specimen (heated to γ-phase field)</th>
<th>24°C water</th>
</tr>
</thead>
</table>

### Hardness versus distance from the quenched end

- **Distance from quenched end**
- **Hardness, HRC**
- **Flat ground**
- **4”**
- **1”**
- **Rockwell Hardness test**
WHY HARDNESS CHANGES W/POSITION?

Because the **cooling rate** varies with position!!

- Note: cooling rates before reaching Austenite – Martensite transformation are in the range 1 - 50 C/s

- Measuring cooling rates at every point (e.g. by thermocouples) and finding rates correlations with the hardness one may develop quenching rate – hardness diagram

- But how one can change quenching rate?
QUENCHING GEOMETRY

• Effect of geometry:

When surface-to-volume ratio increases:
  --cooling rate increases
  --hardness increases
**QUENCHING MEDIUM**

- **Effect of quenching medium:**

<table>
<thead>
<tr>
<th>Medium</th>
<th>Severity of Quench</th>
<th>Hardness</th>
</tr>
</thead>
<tbody>
<tr>
<td>air</td>
<td>small</td>
<td>small</td>
</tr>
<tr>
<td>oil</td>
<td>moderate</td>
<td>moderate</td>
</tr>
<tr>
<td>water</td>
<td>large</td>
<td>large</td>
</tr>
</tbody>
</table>

**QUENCHING MEDIUM**

- **Water**

  - Cooling rate at 700°C (1300°F)
  - Diameter of bar (mm)
  - Diameter of bar (in.)
  - Equivalent distance from quenched end

- **Oil**

  - Cooling rate at 700°C (1300°F)
  - Diameter of bar (mm)
  - Diameter of bar (in.)
  - Equivalent distance from quenched end
• Jominy end quench results, C = 0.4wt%C

• "Alloy Steels" (4140, 4340, 5140, 8640) --contain Ni, Cr, Mo (0.2 to 2wt%) --these elements shift the "nose". --martensite is easier to form.
PREDICTING HARDNESS PROFILES

- Ex: Round bar, 1040 steel, water quenched, 2" diam.

![Graph showing hardness profiles with annotations: R = 54HRC, R/2 = 30HRC, center = 27HRC.]

Effective distance from quenched end (in):
- R
- R/2
- Center

Hardness profile:
SUMMARY

• Steels: increase TS, Hardness (and cost) by adding
  --C (low alloy steels)
  --Cr, V, Ni, Mo, W (high alloy steels)
  --ductility usually decreases w/additions.

• Non-ferrous:
  --Cu, Al, Ti, Mg, Refractory, and noble metals.

• Fabrication techniques:
  --forming, casting, joining.

• Hardenability
  --increases with alloy content.

• Precipitation hardening
  --effective means to increase strength in
    Al, Cu, and Mg alloys.