

AMENABILITY, DEFINABLE GROUPS, AND AUTOMORPHISM GROUPS

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ABSTRACT. We prove several theorems relating *amenability* of groups in various categories (discrete, definable, topological, automorphism group) to *model-theoretic invariants* (quotients by connected components, Lascar Galois group, G-compactness, ...). Among the main tools, which is possibly of independent interest, is the adaptation and generalization of Theorem 12 of [27] to other settings including amenable topological groups whose topology is generated by a family of subgroups.

On the side of definable groups, we prove that if G is definable in a model M and G is definably amenable, then the connected components G_M^{*00} and G_M^{*000} coincide, answering positively a question from [22]. We also prove some natural counterparts for topological groups, using our generalizations of [27]. By finding a dictionary relating quotients by connected components and Galois groups of ω -categorical theories, we conclude that if M is countable and ω -categorical, and $\text{Aut}(M)$ is amenable as a topological group, then $T := \text{Th}(M)$ is *G-compact*, i.e. the Lascar Galois group $\text{Gal}_L(T)$ is compact, Hausdorff (equivalently, the natural epimorphism from $\text{Gal}_L(T)$ to $\text{Gal}_{KP}(T)$ is an isomorphism).

We also take the opportunity to further develop the model-theoretic approach to topological dynamics, obtaining for example some new invariants for topological groups, as well as allowing a uniform approach to the theorems above and the various categories.

0. INTRODUCTION

Topological dynamics from the model-theoretic point of view has been mainly developed in the following three contexts:

- (1) for a group G definable in a first order structure acting on some spaces of types (e.g. in [28, 29, 22, 5, 21]),
- (2) for the group $\text{Aut}(\mathfrak{C})$ of automorphisms of a monster model \mathfrak{C} of a given theory acting on a certain space of types [23],
- (3) for groups of automorphisms of countable, ω -categorical structures (e.g. in [4]).

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The main motivation is the fact that notions and ideas from topological dynamics lead to new interesting phenomena in model theory (a generalization of the theory of stable groups) and sometimes can be used as tools to solve open problems in model theory (e.g. in [23], the topological dynamics of $\text{Aut}(\mathfrak{C})$ was used to prove very general theorems on the complexity of bounded, invariant equivalence relations). On the other hand, one can hope to get some new insight into purely topological dynamical problems by an application of some knowledge from model theory (e.g. in [4], using non-trivial model theory, the authors found an example of an oligomorphic group whose various compactifications have some desirable properties, which had been an open problem).

Our general goal and motivation in this and in forthcoming papers is: in each of the following three contexts

- (i) G is a group definable in a first order structure,
- (ii) $G = \text{Aut}(M)$, where M is a countable, ω -categorical structure,
- (iii) $G = \text{Aut}(\mathfrak{C})$, where \mathfrak{C} is a monster model of a given theory,

describe model-theoretic consequences of various dynamical properties of G , or even try to express such properties in purely model-theoretic terms. This can lead to new interactions and mutual applications between model theory and topological dynamics. Recall that an analogous approach initiated in [18] concerning mutual translations of dynamical properties of the groups of automorphisms of Fraïssé structures and Ramsey-theoretic properties of the corresponding Fraïssé systems turned out to be very fruitful.

Among our motivations for this paper was to find model-theoretic consequences of the assumption of amenability of G in appropriate senses. We focus on contexts (i) and (ii). As to (i), we consider a significantly more general situation when G is a topological group; some issues in this context have been already investigated in [11], [31] and [8]; in other papers concerning the dynamics of G in model theory, the topology on G was not considered, or, in other words, G was treated as a discrete group.

Amenable groups play a major role in mathematics, and our interest in this class does not require a justification. Recall that for a definable group G there is also a more general notion of *definable amenability* (which is just the existence of a left G -invariant, finitely additive probability measure on the algebra of definable subsets of G); see Subsection 3.1 for more notions of amenability used in this paper. On the model-theoretic side, we will focus on the notions of *G -compactness* and *G -triviality*, which we briefly discuss now (more details can be found in Subsection 4.1).

Recall that with an arbitrary theory T we can associate Galois groups $\text{Gal}_L(T)$ (the Lascar Galois group) and $\text{Gal}_{KP}(T)$ (the Kim-Pillay Galois group) which are invariants of T (i.e. they do not depend on the choice of the monster model in which they are computed). There is a natural epimorphism from $\text{Gal}_L(T)$ to

$\text{Gal}_{KP}(T)$ (whose kernel is the closure of the identity in the so-called logic topology) and it is interesting to understand when it is an isomorphism (which means that these two invariants of T coincide), in which case we say that T is *G-compact*; this is equivalent to saying that $\text{Gal}_L(T)$ is Hausdorff with the logic topology. For example, for the theory $T := ACF_p$ of algebraically closed fields of characteristic p , $\text{Gal}_L(T) = \text{Gal}_{KP}(T)$ coincides with the absolute Galois group of the prime field, so T is G-compact. G-compactness was introduced in [24], where the Lascar Galois group of a complete first order theory also makes its first appearance. In fact, in [24], a stronger definition was given, namely that after naming any finite set of parameters the natural map from Gal_L to Gal_{KP} is an isomorphism. (For some reason in [25] the original definition was weakened.) It appears that the original motivation for introducing these notions in [24] was connected with Michael Makkai's program or project of trying to recover an ω -categorical theory T , or rather its classifying topos $E(T)$, from the category $\text{Mod}(T)$ of models of T , at least in special cases. In [24], Lascar proves, modulo results of Makkai, that when T is *G-finite* (and T is ω -categorical) then the program succeeds: one can recover $E(T)$ (so T) from $\text{Mod}(T)$. Here, G-finite means G-compact in the strong sense together with $\text{Gal}_L(T)$ being finite, even after adding parameters for finite sets. An account of the category-theoretic aspect of this result when T is *G-trivial* (which means that $\text{Gal}_L(T)$ is trivial after naming any finitely many parameters) appears in [26]. In any case, via these results of Lascar and Makkai, Theorem 0.8 below will deduce from extreme amenability of the topological group $\text{Aut}(M)$ that $\text{Th}(M)$ can be recovered from its category of models (when M is countable, ω -categorical). Lascar strong types were also introduced in [24]: they are classes of the finest bounded, invariant equivalence relation on a product of sorts of \mathfrak{C} . In [25], Kim-Pillay strong types were introduced: they are classes of the finest bounded, \emptyset -type-definable equivalence relation on a product of sorts of \mathfrak{C} . These strong types (together with the well-known Shelah strong types) have played a fundamental role in model theory (particularly in stable, simple and NIP theories). It is well-known that G-compactness is equivalent to saying that Lascar strong types coincide with Kim-Pillay strong types. Since the space of Kim-Pillay strong types is in general much nicer than the space of Lascar strong types, it is highly desirable to understand when both classes of types coincide. This motivates the interest in G-compactness.

In the context of a definable group G (whose interpretation in the monster model is denoted by G^*), the counterparts of Galois groups are quotients of G^* by appropriate connected components. Let G be definable in M . By G_M^{*00} we denote the smallest M -type-definable subgroup of G^* of bounded index, and by G_M^{*000} – the smallest invariant over M subgroup of bounded index. These are normal subgroups of G^* . The quotients G^*/G_M^{*00} and G^*/G_M^{*000} are invariants of G (they do not depend on the choice of the monster model). In fact, they can be equipped with the logic topology, where a subset is closed if its preimage under the quotient

map is type-definable. Then both quotients become compact topological groups, except that the latter one is not necessarily Hausdorff. It is Hausdorff if and only if $G_M^{*000} = G_M^{*00}$, or, in other words, if the natural epimorphism from G^*/G_M^{*000} to G^*/G_M^{*00} is an isomorphism, which corresponds to G-compactness. It is worth emphasizing that with an arbitrary G we associate a classical mathematical object G^*/G_M^{*00} , namely a compact (Hausdorff) topological group, which is particularly nice in o-minimal structures due to Pillay's Conjecture; and this is not the case for G^*/G_M^{*000} when $G_M^{*000} \neq G_M^{*00}$. Recall also that after adding an affine copy of G as a new sort, the orbits of G_M^{*000} and G_M^{*00} are exactly Lascar strong types and Kim-Pillay strong types on this sort, respectively. All of this shows that it is desirable to understand when $G_M^{*000} = G_M^{*00}$.

Very roughly speaking, our main results are of the form: an appropriate amenability assumption implies an appropriate version of G-compactness.

Section 2, having partly a preliminary character, contains many new notions and observations which are essential for the rest of the paper. In Subsection 2.1, we develop the topological dynamics of a topological group G treated as a first order structure where predicates for all open subsets of G are in the language. As was noted in [8, 11], there is a type-definable over M (even over \emptyset) subgroup H of G^* such that the quotient map from G to G^*/H is the Bohr compactification of G . We denote this subgroup by G_{top}^{*00} , and we give some descriptions of it; this is a topological variant of G_M^{*00} . We also define a topological version of G_M^{*000} , which we denote by G_{top}^{*000} and whose description as a universal object in a certain category we provide. We also recall from [11, 31] a model-theoretic description of the universal G -ambit as a quotient of G^* by a certain type-definable equivalence relation and we describe model-theoretically the semigroup operation on it. Then we notice that the main results from [22] have their counterparts for topological groups. We also give some basic examples illustrating the differences between the definable and topological categories. In Subsection 2.2, working with an arbitrary language (without predicates for all open subgroups of G), we introduce definable topological connected components $G_{\text{def,top}}^{*00}$ and $G_{\text{def,top}}^{*000}$, and we give their descriptions; and similarly for the definable topological G -ambit.

The main body of work is contained in Sections 3 and 4. Our first goal is Question 5.1 from [22] which we recall as a conjecture.

Conjecture 0.1. *Let G be a group definable in a structure M . If G is definably amenable, then $G_M^{*00} = G_M^{*000}$.*

We should recall here that in [22] this conclusion was obtained for definably strongly amenable groups (in particular, for all nilpotent groups) in the sense of Glasner, assuming additionally that all types in $S_G(M)$ are definable (which is the case e.g. when predicates for all subsets of G are in the language). On the other hand, in [9], it was proved by completely different (algebraic) methods that when G is a non-abelian free group or a surface group of genus at least 2, equipped

with predicates for all subsets, then the two connected components in question are distinct. Question 4.28 from [9] asks for which groups equipped with predicates for all subsets are these two connected components the same. The result from [22] mentioned above implies that it is the case for all nilpotent groups, and Conjecture 0.1 (which we will prove) implies that it is the case also for all solvable groups. Recall also that Conjecture 0.1 was proved in [16] under the assumption that $\text{Th}(M)$ has NIP, using the machinery of f -generic types which is not available for arbitrary structures. Hrushovski informed us that the case of Conjecture 0.1 when predicates for all subsets of G are in the language can be easily seen to follow from Theorem 3.5 of [14].

We can also formulate an obvious analogue of the above conjecture in the topological context.

Conjecture 0.2. *Let G be a topological group. If G is amenable, then $G_{\text{top}}^{*00} = G_{\text{top}}^{*000}$.*

To deal with this conjecture, we expand the group G by predicates for all open subsets. This context extends the particular case of Conjecture 0.1 when predicates for all subsets of G are in the language (namely, in such a situation, treating G as a discrete group, we observe in Section 2 that $G_M^{*00} = G_{\text{top}}^{*00}$ and $G_M^{*000} = G_{\text{top}}^{*000}$).

As we will see in Subsection 3.1, a common generalization of Conjectures 0.1 and 0.2 is the following

Conjecture 0.3. *Let G be a topological group definable in an arbitrary structure M . If G is weakly definably topologically amenable, then $G_{\text{def,top}}^{*00} = G_{\text{def,top}}^{*000}$.*

Even the following restriction of the above conjecture generalizes the previous conjectures.

Conjecture 0.4. *Let G be a topological group definable in a structure M in which the members of a basis of open neighborhoods of e are definable. If G is definably topologically amenable, then $G_{\text{def,top}}^{*00} = G_{\text{def,top}}^{*000}$.*

In Subsection 3.3, we prove Conjecture 0.4 under the assumption that there is a basis of open neighborhoods of e consisting of open subgroups which are definable.

Theorem 0.5. *Let G be a topological group definable in a structure M . Assume that G has a basis of open neighborhoods of e consisting of definable, open subgroups. If G is definably topologically amenable, then $G_{\text{def,top}}^{*00} = G_{\text{def,top}}^{*000}$.*

This implies Conjecture 0.1 in its full generality and Conjecture 0.2 for groups possessing a basis of open neighborhoods of e consisting of open subgroups (which is strong enough for an application in Section 4, namely to prove Theorem 0.7). The main tool in our proof is a technique from [27] for understanding “approximate subgroups”. In the context of a definable, definably amenable group G in an ω^+ -saturated structure, and a definable subset X of G with positive measure,

they construct a chain of symmetric, generic, definable subsets $Y_1 \supseteq Y_2 \supseteq \dots$ of $(XX^{-1})^4$ such that $Y_{i+1}^2 \subseteq Y_i$ for all i . With additional properties this is sometimes called a (definable) Bourgain system. This is motivated by Theorem 3.5 of [14], and uses ideas of Sanders [32]. We will adapt the technique to more general contexts, in particular to topological groups, dropping the ω^+ -saturation requirement. We first prove Conjecture 0.1 assuming that predicates for all subsets of G are in the language, and then extend this argument to show Conjecture 0.2 under the assumption that there is a basis of open neighborhoods of e consisting of open subgroups. We consider these special cases of Theorem 0.5, because they can be obtained by a simplification of the argument from [27] and make the main ideas more transparent. Then we use the full power of the argument from [27] together with some new arguments to prove Theorem 0.5.

In Subsection 3.2, we give a quick proof of the the following counterpart of Conjectures 0.4, 0.2 and 0.1 for extremely amenable groups, which is used to prove Corollary 0.8.

Proposition 0.6. *Let G be a topological group definable in a structure M such that there is a basis of open neighborhoods of e consisting of definable sets. Then, if G is definably topologically extremely amenable, then $G^* = G_{\text{def,top}}^{*000} = G_{\text{def,top}}^{*00}$. In particular: if G is extremely amenable as a topological group, then $G^* = G_{\text{top}}^{*000} = G_{\text{top}}^{*00}$; if G is definably extremely amenable, then $G^* = G_M^{*000} = G_M^{*00}$.*

Section 4 contains the main result of this paper.

Theorem 0.7. *Let M be a countable, ω -categorical structure. If $\text{Aut}(M)$ is amenable (as a topological group), then the theory of M is G -compact.*

Let T be the theory of M . In order to prove this theorem, we treat $G := \text{Aut}(M)$ as a group definable in the structure \mathcal{M} consisting of the structure M together with the group G acting on M , expanded by predicates for all open subsets of G . Then we find group isomorphisms $\rho: \text{Gal}_L(T) \rightarrow G^*/G_{\text{top}}^{*000}$ and $\theta: \text{Gal}_{KP}(T) \rightarrow G^*/G_{\text{top}}^{*00}$ such that the following diagram commutes

$$\begin{array}{ccc} \text{Gal}_L(T) & \xrightarrow{h} & \text{Gal}_{KP}(T) \\ \downarrow \rho & & \downarrow \theta \\ G^*/G_{\text{top}}^{*000} & \longrightarrow & G^*/G_{\text{top}}^{*00}, \end{array}$$

where the horizontal maps are the obvious epimorphisms. Note that $G = \text{Aut}(M)$ has a basis of open neighborhoods of the identity consisting of open subgroups. Thus, using the above diagram together with Theorem 0.5 (more precisely, we use Conjecture 0.2 for groups possessing a basis of open neighborhoods of the identity consisting of open subgroups), we get that h is an isomorphism, i.e. T

is G -compact. We find this method interesting in its own right, as it provides a dictionary between Galois groups and quotients by connected components in the ω -categorical world. Udi Hrushovski has suggested to us a proof of Theorem 0.7 using alternative methods.

At the very end of this paper, using Proposition 0.6 and the existence of the isomorphism ρ , we obtain the following corollary, although it can be easily seen directly, as Hrushovski mentioned to us, and is even implicit in [16].

Corollary 0.8. *Let M be a countable, ω -categorical structure. If $\text{Aut}(M)$ is extremely amenable (as a topological group), then the theory of M is G -trivial.*

Theorem 0.7 and Corollary 0.8 together with the Kechris, Pestov, Todorćević machinery [18] (proving that groups of automorphisms of various Fraissé structures are [extremely] amenable) can be used to show that some ω -categorical structures are G -compact or even G -trivial. For example, by [18, Theorem 6.14], we know that the automorphism group of the *countable atomless Boolean algebra with the canonical ordering* is extremely amenable, so the theory of this algebra is G -trivial by Corollary 0.8.

1. A FEW PRELIMINARIES

For a detailed exposition of preliminaries concerning the topological dynamics of definable groups the reader is referred to Section 1 in [22]. Here, we only recall a few basic things. Some other definitions are recalled in the appropriate places of this paper.

In the whole paper, for a group [or a set] G definable in a structure M , by G^* we denote the interpretation of G in the monster model in which we are working (i.e. a κ -saturated and κ -strongly homogeneous elementary extension of M for a “sufficiently large” strong cardinal κ). The monster model will usually be denoted by \mathfrak{C} . Partial types (over parameters) will often be identified with sets of their realizations in \mathfrak{C} which are called type-definable sets. An invariant (i.e. invariant under $\text{Aut}(\mathfrak{C})$) equivalence relation on a type-definable subset of a product of a small number λ (i.e. $\lambda < \kappa$) of sorts of \mathfrak{C} is said to be *bounded* if it has less than κ many classes (equivalently, at most $2^{|\mathcal{T}|+\lambda}$ classes).

Definition 1.1. Let E be a bounded, invariant equivalence relation on a type-definable subset P of some product of sorts of \mathfrak{C} . We define the *logic topology* on P/E by saying that a subset $D \subseteq P/E$ is closed if its preimage in P is type-definable.

We follow the convention that compact spaces are Hausdorff by definition; “compact” spaces which are not necessarily Hausdorff will be called *quasi-compact*. It is folklore that P/E is quasi-compact, and P/E is Hausdorff if and only if E is type-definable. In the case when P is a definable group and H is an invariant, bounded index, normal subgroup, the quotient P/H is a quasi-compact (so not necessarily

Hausdorff) topological group; it is Hausdorff if and only if H is type-definable. If \mathfrak{C} is a monster model in languages $\mathcal{L} \subseteq \mathcal{L}'$ and E is bounded and type-definable in \mathcal{L} , then the logic topologies on P/E computed in \mathcal{L} and \mathcal{L}' coincide, because they are compact and the latter one is stronger than the former. In fact, this is true even if E is only invariant in \mathcal{L} (and bounded), because if $D \subseteq P/E$ is closed in the logic topology computed in \mathcal{L}' , then the preimage of D , being type-definable in \mathcal{L}' and invariant in the sense of \mathcal{L} over any given model M (which follows from the assumption that E is bounded and invariant in \mathcal{L}), must be M -type-definable in \mathcal{L} , so D is closed in the logic topology computed in \mathcal{L} .

Recall from [11] or [22] that for a group G definable in a structure M , a map $f: G \rightarrow C$, where C is a compact (Hausdorff) space, is said to be *definable* if for any disjoint, closed subsets C_1 and C_2 of C , the preimages $f^{-1}[C_1]$ and $f^{-1}[C_2]$ can be separated by a definable set. By [11, Lemma 3.2], we know that this happens if and only if f is the restriction of a map $f^*: G^* \rightarrow C$ which is *M -definable* in the sense that the preimage under f^* of any closed subset of C is type-definable over M . If such a map f^* exists, it is unique and it is given by the formula

$$f^*(a) = \bigcap_{\varphi \in \text{tp}(a/M)} \text{cl}(f[\varphi(M)]).$$

Let G be a topological group. Recall that a (topological) G -flow is a pair (G, X) , where X is a compact (Hausdorff) space on which G acts continuously. A G -ambit is a G -flow with a distinguished point whose G -orbit is dense. Suppose G is a definable group (in some structure). In the so-called “definable category”, the topology on G is irrelevant (i.e. G is treated as a discrete group), and a G -flow (G, X) is said to be *definable* if for any $x \in X$ the map $f_x: G \rightarrow X$ given by $f_x(g) = gx$ is definable.

2. TOPOLOGICAL DYNAMICS FOR TOPOLOGICAL GROUPS VIA MODEL THEORY

The goal of this section is to recall and extend the model-theoretic approach to the topological dynamics of a topological group G from [11] and [31]. Facts 2.4, 2.10 and 2.11 come from [11] and [31]; Proposition 2.5, Corollary 2.6 and Proposition 2.14 are new. In the rest of this section, we introduce new notions (mainly connected components in various categories) and we obtain some results about them. (As an application, in Corollary 2.30, we get new information about certain classical compactifications of the universal cover of $\text{SL}_2(\mathbb{R})$.) The material developed in this section is essential to formulate the main results of Section 3 and to prove the main results of this paper in Section 4.

2.1. The topological category. Throughout this subsection, let G be a topological (so Hausdorff) group which is \emptyset -definable in a first order structure M , and assume that predicates for all open subsets of G are in the language.

Remark 2.1. Each continuous function $f: G \rightarrow C$ from G to a compact space C is definable. In particular, each (topological) G -flow is definable.

Proof. This follows from the fact that the preimage of every closed set is closed and so definable. \square

Because of this remark, in this subsection we just work in the category of (topological) G -flows. The next lemma is an improvement of [11, Lemma 3.2(i)] for topological groups.

Lemma 2.2. *Suppose $f: G \rightarrow C$ is a continuous map from G to a compact space C . Then f extends uniquely to an M -definable map $f^*: G^* \rightarrow C$. Moreover, f^* is given by the formula $f^*(a) = \bigcap \{\text{cl}(f[U]) : U \in \text{tp}(a/M) \text{ is open}\}$. Furthermore, f^* is M -definable in a strong sense, namely, for any closed $F \subseteq C$ the preimage $f^{*-1}[F]$ is the intersection of sets of the form U^* for some open subsets U of G ; more precisely, $f^{*-1}[F] = \bigcap \{f^{-1}[V]^* : V \supseteq F \text{ is open}\}$.*

Proof. The existence and uniqueness of f^* follows from [11, Lemma 3.2(i)] and Remark 2.1. By the same lemma, we also know that $f^*(a) = \bigcap \{\text{cl}(f[\varphi(M)]) : \varphi(x) \in \text{tp}(a/M)\}$. (By the way, in the comment between parenthesis in [11] on the proof that f^* is definable over M , $\Sigma(y)$ should be the collection of formulas $\varphi(y)$ over M such that $f^{-1}[D'] \subseteq \varphi(M)$ for all closed $D' \supseteq D$ for which there is an open set U such that $D \subseteq U \subseteq D'$.)

So, for the “moreover” part, it is enough to show that the set $\bigcap \{\text{cl}(f[U]) : U \in \text{tp}(a/M) \text{ is open}\}$ is a singleton. Assume for a contradiction that there are distinct elements $x, y \in \bigcap \{\text{cl}(f[U]) : U \in \text{tp}(a/M) \text{ is open}\}$. One can find open sets U_1, V_1, U_2, V_2 and closed sets C_1, C_2 such that $x \in U_1 \subseteq C_1 \subseteq V_1$, $y \in U_2 \subseteq C_2 \subseteq V_2$ and $V_1 \cap V_2 = \emptyset$. Then the sets $f^{-1}[V_1]$ and $f^{-1}[V_2]$ are open (so definable) and disjoint. Thus, one of them does not belong to $\text{tp}(a/M)$. Without loss $f^{-1}[V_1] \notin \text{tp}(a/M)$. Then $f^{-1}[V_1]^c \in \text{tp}(a/M)$. Put $V_1' = f^{-1}[C_1]^c$. Then V_1' is open and $f^{-1}[V_1]^c \subseteq V_1'$, so $V_1' \in \text{tp}(a/M)$, and hence $x \in \text{cl}(f[V_1']) = \text{cl}(f[f^{-1}[C_1]^c]) \subseteq \text{cl}(C_1^c) \subseteq \text{cl}(U_1^c) = U_1^c$, a contradiction.

It remains to prove the “furthermore” part, i.e. the equality

$$f^{*-1}[F] = \bigcap \{f^{-1}[V]^* : V \supseteq F \text{ is open}\}.$$

(\subseteq) Suppose for a contradiction that for some $a \in G^*$ we have $f^*(a) \in F$ but $a \notin \bigcap \{f^{-1}[V]^* : V \supseteq F \text{ is open}\}$. Then $a \in f^{-1}[V]^c$ for some open $V \supseteq F$. Choose an open set U_1 and a closed set C_1 such that $F \subseteq U_1 \subseteq C_1 \subseteq V$. Then $f^{-1}[V]^c \subseteq f^{-1}[C_1]^c$ and the last set is open, so $a \in (f^{-1}[C_1]^c)^*$, and hence $f^*(a) \in \text{cl}(f[f^{-1}[C_1]^c]) \subseteq \text{cl}(C_1^c) \subseteq \text{cl}(U_1^c) = U_1^c$. Therefore $f^*(a) \notin F$, a contradiction.

(\supseteq) Suppose for a contradiction that $a \in \bigcap \{f^{-1}[V]^* : V \supseteq F \text{ is open}\}$, but $f^*(a) \notin F$. Take open and disjoint sets V_1 and V_2 such that $F \subseteq V_1$ and $f^*(a) \in V_2$. Then $a \in f^{-1}[V_1]^*$, so $f^*(a) \in \text{cl}(f[f^{-1}[V_1]]) \subseteq \text{cl}(V_1) \subseteq V_2^c$, a contradiction. \square

Recall that for a set of parameters A , G_A^{*00} denotes the smallest A -type-definable, bounded index subgroup of G^* .

Definition 2.3. We define G_{top}^{*00} to be the smallest bounded index subgroup of G^* which is an intersection of some sets of the form U^* for U open in G . Let μ denote the intersection of all U^* 's for U ranging over all open neighborhoods of e .

We see that G_{top}^{*00} is type-definable over \emptyset , and so $G_{\text{top}}^{*00} \geq G_{\emptyset}^{*00}$. μ is also a subgroup which is type-definable over \emptyset , but it may be of unbounded index. Clearly, $\mu \leq G_{\text{top}}^{*00}$. It is also easy to see directly from the definition that both μ and G_{top}^{*00} are normalized by G .

Recall that a *group compactification* of G is a continuous homomorphism from G to a compact (Hausdorff) group K with dense image (or just this compact group K). (For convenience we will write “compactification” instead of “group compactification”.) There is always a unique up to isomorphism universal compactification of G , and it is called the (*topological*) *Bohr compactification* of G .

The next fact is Proposition 2.1 from [11] which gave [8] as a reference for the proof. We give a direct proof for the reader's convenience (as we refer to this proof later several times) and to show that it can be obtained by the methods from Section 3 of [11].

Fact 2.4. *i) G_{top}^{*00} is a normal subgroup of G^* .*

ii) The quotient mapping $\pi: G \rightarrow G^/G_{\text{top}}^{*00}$ is the Bohr compactification of G .*

Proof. (i) We have that $G_{\text{top}}^{*00} = \bigcap \{U^* : U \in \mathcal{U}\}$, where \mathcal{U} is a family of some open neighborhoods of e in G . We can assume that \mathcal{U} is maximal with this property. Let R be the set of representatives of right cosets of G_{top}^{*00} in G^* . Then

$$H := \bigcap_{g \in G^*} (G_{\text{top}}^{*00})^g = \bigcap_{g \in R} (G_{\text{top}}^{*00})^g,$$

so H is invariant (over \emptyset) and type-definable, and hence it is \emptyset -type-definable.

Take any $\varphi(x) \in H(x)$. Then, by compactness, there are $U_1, \dots, U_n \in \mathcal{U}$ and $a_1, \dots, a_n \in R$ such that $U_1^{*a_1} \cap \dots \cap U_n^{*a_n} \subseteq \varphi(G^*)$. So there are $g_1, \dots, g_n \in G$ for which $U_1^{g_1} \cap \dots \cap U_n^{g_n} \subseteq \varphi(G)$; then $(U_1^{g_1})^* \cap \dots \cap (U_n^{g_n})^* \subseteq \varphi(G^*)$. On the other hand, since G_{top}^{*00} is normalized by G , we see that \mathcal{U} is closed under conjugation by the elements of G . Therefore, $G_{\text{top}}^{*00} \subseteq \varphi(G^*)$. Thus, we have proved that $G_{\text{top}}^{*00} = H$, which means that G_{top}^{*00} is normal.

(ii) The density of $\pi[G]$ in G^*/G_{top}^{*00} equipped with the logic topology is folklore (the preimage under the quotient map of a non-empty open set is a \bigvee -definable over M subset of G^* , so it has a point in G).

To see that π is continuous, note that if $g \in \pi^{-1}[V]$ for an open $V \subseteq G^*/G_{\text{top}}^{*00}$, then, by the definition of G_{top}^{*00} and compactness, there is an open set $U \subseteq G$ such that $gU^*/G_{\text{top}}^{*00} \subseteq V$, so $gU \subseteq \pi^{-1}[V]$.

To get that π is universal, we will apply the proof of [11, Proposition 3.4]. Consider any compactification $f: G \rightarrow C$. By Lemma 2.2, there is a unique M -definable (even \emptyset -definable) $f^*: G^* \rightarrow C$ extending f . By the proof of [11, Proposition 3.4], we know that f^* is a group homomorphism. By the last part of Lemma 2.2, we conclude that $\ker(f^*)$ is a bounded index, normal subgroup which is an intersection of some sets of the form U^* for U open in G . Since G_{top}^{*00} is the smallest such a group, we finish as in the proof of [11, Proposition 3.4]. Namely, there is a natural continuous homomorphism from G^*/G_{top}^{*00} to $G^*/\ker(f^*)$, and $G^*/\ker(f^*)$ is naturally topologically isomorphic with C , so we get a continuous homomorphism from G^*/G_{top}^{*00} to C which commutes with π and f . \square

Now, we give an equivalent description of G_{top}^{*00} .

Proposition 2.5. *G_{top}^{*00} is the smallest M -type-definable, bounded index subgroup of G^* which contains μ .*

Proof. Denote this smallest subgroup by G_{top}^{*00-} . Clearly, $G_{\text{top}}^{*00-} \leq G_{\text{top}}^{*00}$. To show the opposite inclusion, we need to check that G_{top}^{*00-} is a normal subgroup of G^* and that the natural map $\pi^-: G \rightarrow G^*/G_{\text{top}}^{*00-}$ is a compactification of G^* . Indeed, then, by Fact 2.4, we have a continuous homomorphism $\sigma: G^*/G_{\text{top}}^{*00-} \rightarrow G^*/G_{\text{top}}^{*00-}$ commuting with the natural maps from G . But we also have a natural continuous homomorphism $\tau: G^*/G_{\text{top}}^{*00-} \rightarrow G^*/G_{\text{top}}^{*00}$ which clearly commutes with the maps from G . Thus, $\sigma \circ \tau$ is the identity on $\pi^-[G]$, so it is the identity on $G^*/G_{\text{top}}^{*00-}$. Hence, τ is injective, and so $G_{\text{top}}^{*00-} = G_{\text{top}}^{*00}$.

To see that G_{top}^{*00-} is a normal subgroup of G^* , one should apply a similar argument to the above proof that G_{top}^{*00} is normal (using compactness and the fact that μ is normalized by G).

The fact that π^- is a compactification of G means that $\pi^-[G]$ is dense and that π^- is continuous. The first part is folklore (the same arguments as for π). Continuity of π^- also follows as for π (using the assumption that $\mu \leq G_{\text{top}}^{*00-}$). \square

Corollary 2.6. *Recall that in this subsection we always assume that predicates for all open subsets of G are in the language.*

*i) G_{top}^{*00} and G^*/G_{top}^{*00} (as a topological group with the logic topology) do not depend on the choice of the language.*

*ii) $G_{\text{top}}^{*00} = \mu \cdot G_{\emptyset}^{*00} = \mu \cdot G_M^{*00}$.*

Proof. (i) is clear from the definition of G_{top}^{*00} .

(ii) follows from Proposition 2.5 and normality of G_{\emptyset}^{*00} and G_M^{*00} . \square

Working in the category of definable maps, we have the obvious notion of the *definable Bohr compactification* of G . In [11], it was proved that the quotient map from G to G^*/G_M^{*00} is the definable Bohr compactification of G . Now, we give an

example of a topological group G for which the Bohr compactification differs from the definable Bohr compactification.

Example 2.7. It is well-known (e.g. see [17, Chapter VII, Section 5]) that the Bohr compactification of a locally compact, abelian group A is the Pontryagin dual of A^* treated as a discrete group, where A^* is the Pontryagin dual of A treated as a topological group. Consider $A = (\mathbb{R}, +)$.

For A treated as a topological group, the Pontryagin dual A^* is isomorphic to A , and the Pontryagin dual of A^* treated as a discrete group is the group B of all (not necessarily continuous in the usual topology on A^*) homomorphisms from A to the circle group S^1 , which has cardinality $2^{\mathfrak{c}}$.

For A treated as discrete group, the Pontryagin dual is the above group B , and the Pontryagin dual of B treated as a discrete group has cardinality $2^{2^{\mathfrak{c}}}$.

Thus, the Bohr compactification of A as a topological group differs from the Bohr compactification of A as a discrete group. In particular, for $G := A$ and M being G expanded by predicates for all subsets of G , the latter compactification is the definable Bohr compactification of G , and we conclude that $G_M^{*00} \subsetneq G_{\text{top}}^{*00}$.

Now, we repeat and elaborate slightly on the description of the universal G -ambit from [11] and [31].

Define E_μ to be the finest bounded, M -type-definable equivalence relation on G^* containing the equivalence relation \sim defined by

$$a \sim b \iff ab^{-1} \in \mu.$$

Define $S_G^\mu(M)$ to be the quotient $S_G(M)/\sim_\mu$, where \sim_μ is the equivalence relation on $S_G(M)$ defined by

$$p \sim_\mu q \iff \mu \cdot p = \mu \cdot q$$

($\mu \cdot p$ denotes the type-definable set $\mu \cdot p(G^*)$, or, equivalently, the partial type defining this set). Let $h: G^* \rightarrow S_G^\mu(M)$ be given by $h(a) = \mu \cdot \text{tp}(a/M)$. We see that $h(a) = h(b)$ if and only if there is $b' \in G^*$ such that $b' \equiv_M b$ and $a \in \mu \cdot b'$; hence, $h(a) = h(b)$ is a type-definable equivalence relation, which implies that \sim_μ is a closed relation. Thus, $S_G^\mu(M)$ equipped with the quotient topology is a compact space. G^*/E_μ considered with the logic topology is also compact. We see that the equivalence relation of lying in the same fiber of h is exactly the composition $\sim \circ \equiv_M$. So, by the definition of E_μ , we get

Remark 2.8. $E_\mu = \sim \circ \equiv_M$.

Note that for any $r \in S_G(M)$ the equivalence class $r/\sim_\mu \in S_G^\mu(M)$ consists of all complete types over M extending the partial type $\mu \cdot r$; so we will freely identify r/\sim_μ with $\mu \cdot r$.

Now, it is easy to see that G acts on both G^*/E_μ and $S_G^\mu(M)$, respectively by

$$g(a/E_\mu) = (ga)/E_\mu \quad \text{and} \quad g(\mu \cdot p) = \mu \cdot (gp).$$

The next remark follows immediately from Remark 2.8, compactness of the spaces in question, and the above definitions of the actions of G .

Remark 2.9. The function $f: G^*/E_\mu \rightarrow S_G^\mu(M)$ given by $f(a/E_\mu) = \mu \cdot \text{tp}(a/M)$ is a well-defined homeomorphism preserving the actions of G .

The next fact is Claim A.5 from [31].

Fact 2.10. *The action of G on $S_G^\mu(M)$ is continuous.*

Thus, the action of G on G^*/E_μ is also continuous, and $(G, S_G^\mu(M), \mu \cdot \text{tp}(e/M))$ and $(G, G^*/E_\mu, e/E_\mu)$ are isomorphic G -ambits, which will be identified from now on.

The next fact is Proposition 2.2 from [11]. We give a proof to show that, as for G_{top}^{*00} above, the universality property can be also proved by the methods from Section 3 of [11] and because we will refer to this proof in the proof of Proposition 2.40. This is also done in a similar way in [31].

Fact 2.11. *$(G, G^*/E_\mu, e/E_\mu)$ is the universal (topological) G -ambit.*

Proof. Let (G, X, x_0) be a (topological) G -ambit. Then $f: G \rightarrow X$ given by $f(g) = gx_0$ is continuous (and so definable by Remark 2.1). By Lemma 2.2, f extends uniquely to an M -definable function $f^*: G^* \rightarrow X$. We want to show that f^* factors through E_μ . For this, by Remark 2.8, it is enough to show that each of the conditions $a \sim b$ and $a \equiv_M b$ implies $f^*(a) = f^*(b)$. That $a \equiv_M b$ implies $f^*(a) = f^*(b)$ follows from the fact that the fibers of f^* are invariant over M . Now, assume $a \sim b$. Suppose for a contradiction that $f^*(a) \neq f^*(b)$. By the explicit formula for f^* and compactness of X , we get formulas $\varphi \in \text{tp}(a/M)$ and $\psi \in \text{tp}(b/M)$ such that $\text{cl}(f[\varphi(M)]) \cap \text{cl}(f[\psi(M)]) = \emptyset$. By compactness of X and continuity of the action of G on X , we conclude that there is an open neighborhood U of e such that $U\varphi(M)x_0 \cap \psi(M)x_0 = \emptyset$. Hence, $U\varphi(M) \cap \psi(M) = \emptyset$, which implies that $\psi(M)\varphi(M)^{-1} \cap U = \emptyset$, but this contradicts the fact that $ab^{-1} \in \psi(M^*)\varphi(M^*)^{-1} \cap \mu$.

We have shown that f^* factors through E_μ , inducing a continuous map $\bar{f}^*: G^*/E_\mu \rightarrow X$ which commutes with the maps from G . Finally, the computation in the last sentence of the proof of [11, Proposition 3.8] yields $gf^*(a) = f^*(ga)$ for any $g \in G$ and $a \in G^*$, which immediately implies $gf^*(a/E_\mu) = f^*(ga/E_\mu)$. \square

The following corollary follows easily from Fact 2.11.

Corollary 2.12. *The relation E_μ (and so G^*/E_μ as well) does not depend on the choice of the language (assuming that predicates for all open subsets of G are in the language).*

Now, we give an example where the universal definable G -ambit is “strictly bigger” than the universal (topological) G -ambit.

Example 2.13. Let G be an infinite compact (Hausdorff) topological group. Then the universal G -ambit is just (G, G, e) . Consider G as a group definable in the structure M whose universe is G equipped with the group operation and predicates for all subsets of G . Then, by [11], the universal definable G -ambit is the space $(G, S_G(M), \text{tp}(e/M))$ which coincides with $(G, \beta G, e)$ (where βG is the Stone-Ćech compactification of G treated as a discrete group). Clearly, βG is “strictly bigger” than G , as it has more elements.

By Fact 2.11, we get a semigroup operation $*$ on G^*/E_μ given by

$$a/E_\mu * b/E_\mu = \lim_{g \rightarrow a/E_\mu} gb/E_\mu,$$

where the g 's in the limit are from G . Recall that $*$ is continuous on the left. We also get a natural action of $(G^*/E_\mu, *)$ on any G -ambit, and this action is also continuous on the left.

In order to use $*$ in model theory, we need to have a description of $*$ in terms of realizations of types (as in the discrete case). This is done in the next proposition.

Proposition 2.14 (Description of $*$ in $S_G^\mu(M)$). *For $p, q \in S_G(M)$,*

$$(\mu \cdot p) * (\mu \cdot q) = \mu \cdot \text{tp}(ab/M),$$

where $a \models p$, $b \models q$ and $\text{tp}(a/M, b)$ is finitely satisfiable in M .

Proof. Consider any basic open neighborhood of $\mu \cdot \text{tp}(ab/M)$, i.e. a set of the form $U_\varphi^\mu := \{\mu \cdot r \in S_G^\mu(M) : \mu \cdot r \vdash \varphi\}$ for some formula φ with parameters from M such that $\mu \cdot \text{tp}(ab/M) \in U_\varphi^\mu$. Then $\mu \cdot \text{tp}(ab/M) \vdash \varphi$, so there are formulas $\theta \in \mu$ and $\psi \in \text{tp}(ab/M)$ implying the formula defining G and such that $(\theta \cdot \psi)(x) \vdash \varphi(x)$. Therefore,

$$\models (\theta(v) \wedge \psi(wb)) \rightarrow \varphi(vwb).$$

Consider any formula $\delta(w) \in p$ implying the formula defining G . Since $\delta(w) \wedge \psi(wb) \in \text{tp}(a/bM)$, and the last type is finitely satisfiable in M , there is $g_{\varphi, \delta} \in G$ for which

$$\models \delta(g_{\varphi, \delta}) \wedge \psi(g_{\varphi, \delta}b).$$

We conclude that $\models \theta(v) \rightarrow \varphi(vg_{\varphi, \delta}b)$, so

$$g_{\varphi, \delta}(\mu \cdot q) = g_{\varphi, \delta}(\mu \cdot \text{tp}(b/M)) = \mu \cdot \text{tp}(g_{\varphi, \delta}b/M) \in U_\varphi^\mu.$$

Hence,

$$\lim_{\varphi, \delta} g_{\varphi, \delta}(\mu \cdot q) = \mu \cdot \text{tp}(ab/M),$$

where the limit is taken with respect to the obvious directed set consisting of pairs of formulas φ and δ as above (i.e. φ 's are such that $\mu \cdot \text{tp}(ab/M) \in U_\varphi^\mu$ and $\delta \in p$). On the other hand, since $\models \delta(g_{\varphi, \delta})$, we have that

$$\lim_{\varphi, \delta} \mu \cdot \text{tp}(g_{\varphi, \delta}/M) = \mu \cdot p,$$

so $\lim_{\varphi, \delta} g_{\varphi, \delta}(\mu \cdot q) = (\mu \cdot p) * (\mu \cdot q)$. Therefore, $(\mu \cdot p) * (\mu \cdot q) = \mu \cdot \text{tp}(ab/M)$. \square

Recall that for $A \subseteq \mathfrak{C}$, G_A^{*000} denotes the smallest A -invariant, bounded index subgroup of G^* . Now, we define a topological variant of this component.

Definition 2.15. We define G_{top}^{*000} to be the smallest normal, bounded index, invariant over M subgroup of G^* which contains μ .

This definition is in the spirit of Proposition 2.5. However, there is a delicate issue here. Namely, it is not clear to us whether we can drop the normality assumption in this definition.

Question 2.16. *Is the smallest bounded index, invariant over M subgroup of G^* which contains μ normal in G^* ?*

If we knew that the word “normal” can be removed from the definition, we would immediately get $G_{\text{top}}^{*000} = \mu \cdot G_M^{*000}$. From the current definition, we get the following, a bit more complicated description, which however is good enough for further applications.

Remark 2.17. $G_{\text{top}}^{*000} = \langle \mu^{G^*} \rangle \cdot G_M^{*000}$, where $\langle \mu^{G^*} \rangle$ denotes the normal closure of μ .

Let $\hat{f}: S_G^\mu(M) \rightarrow G^*/G_{\text{top}}^{*000}$ be defined by

$$\hat{f}(\mu \cdot \text{tp}(a/M)) = a/G_{\text{top}}^{*000}.$$

By the last remark and the fact that $a \equiv_M b$ implies $a^{-1}b \in G_M^{*000}$, this is a well-defined function. (We used the symbol \hat{f} , so as to be consistent with notation from [22].)

In the next proposition, we give a “universal” description of $G^*/G_{\text{top}}^{*000}$ in the spirit of [22, Proposition 1.20], which will be used in Section 4. To prove it, we will apply Remark 2.17 and the arguments from the proof of [22, Proposition 1.20].

Proposition 2.18. *\hat{f} is the (unique) initial object in the category of all maps $f: S_G^\mu(M) \rightarrow H$, where H is a group, such that for all $p, q \in S_G(M)$, $f(\mu \cdot p)f(\mu \cdot q)$ is equal to the common value $f(\mu \cdot r)$ for all $r \in (\mu \cdot p) \cdot (\mu \cdot q)$, where the morphisms between two such maps $f_1: S_G^\mu(M) \rightarrow H_1$ and $f_2: S_G^\mu(M) \rightarrow H_2$ are homomorphisms from H_1 to H_2 commuting with f_1 and f_2 .*

Proof. Uniqueness of an initial object is a general fact. To see that \hat{f} belongs to the described category, consider any $p, q \in S_G(M)$ and any $r \in (\mu \cdot p) \cdot (\mu \cdot q)$. Then there are $a \models p' \in \mu \cdot p$ and $b \models q' \in \mu \cdot q$ such that $r = \text{tp}(ab/M)$. Then

$$\hat{f}(\mu \cdot p)\hat{f}(\mu \cdot q) = \hat{f}(\mu \cdot p')\hat{f}(\mu \cdot q') = (a/G_{\text{top}}^{*000})(b/G_{\text{top}}^{*000}) = ab/G_{\text{top}}^{*000} = \hat{f}(\mu \cdot r).$$

Let us show now the universal property of \hat{f} . Consider any $f: S_G^\mu(M) \rightarrow H$ as in the proposition. Since $\text{tp}(e_G/M) \in (\mu \cdot \text{tp}(e_G/M)) \cdot (\mu \cdot \text{tp}(e_G/M))$, we have $f(\mu \cdot \text{tp}(e_G/M)) = f(\mu \cdot \text{tp}(e_G/M))f(\mu \cdot \text{tp}(e_G/M))$, and so $f(\mu) = f(\mu \cdot \text{tp}(e_G/M)) = e_H$. So, if for some $a, b \in G^*$ we have $\text{tp}(a/M) = \text{tp}(b/M)$, then $f(\mu \cdot \text{tp}(b^{-1}a/M)) = f(\mu \cdot \text{tp}(b^{-1}/M)) \cdot f(\mu \cdot \text{tp}(a/M)) = f(\mu \cdot \text{tp}(b^{-1}b/M)) = e_H$;

in particular, $f(\mu \cdot p^{-1}) = f(\mu \cdot p)^{-1}$ for all $p \in S_G(M)$, where $p^{-1} = \text{tp}(a^{-1}/M)$ for any $a \models p$. Hence, for any $a \in \mu^{G^*}$ we can write $a = g^{-1}a'g$ for some $g \in G^*$ and $a' \in \mu$ and we get $f(\mu \cdot \text{tp}(a/M)) = f(\mu \cdot \text{tp}(g^{-1}/M))f(\mu \cdot \text{tp}(a'/M))f(\mu \cdot \text{tp}(g/M)) = f(\mu \cdot \text{tp}(g/M))^{-1}f(\mu)f(\mu \cdot \text{tp}(g/M)) = e_H$.

In order to finish the proof, it is enough to show that $h: G^*/G_{\text{top}}^{*000} \rightarrow H$ given by $h(a/G_{\text{top}}^{*000}) = f(\mu \cdot \text{tp}(a/M))$ is a well-defined homomorphism (it is enough, because h clearly commutes with \hat{f} and f , and \hat{f} is surjective). So, take $a, b \in G^*$ such that $aG_{\text{top}}^{*000} = bG_{\text{top}}^{*000}$. By Remark 2.17 and the well-known description of G_M^{*000} as the collection of products of elements of the form $x^{-1}y$ for some $x \equiv_M y$, we get that $b^{-1}a = a_1 \cdot \dots \cdot a_k \cdot \beta_1^{-1} \alpha_1 \cdot \dots \cdot \beta_n^{-1} \alpha_n$ for some $a_i, \alpha_j, \beta_j \in G^*$ such that $a_i \in \mu^{G^*}$ and $\text{tp}(\alpha_j/M) = \text{tp}(\beta_j/M)$ for all i, j . Therefore, by the last paragraph and the property of f , it follows that $f(\mu \cdot \text{tp}(a/M)) = f(\mu \cdot \text{tp}(b/M))$, so we have proved that h is well-defined. The fact that it is a homomorphism follows from the property of f . \square

Note that equivalently one can say that the map from $S_G(M)$ to $G^*/G_{\text{top}}^{*000}$ given by $\text{tp}(a/M) \mapsto a/G_{\text{top}}^{*000}$ is an initial object in the category of all maps $f: S_G(M) \rightarrow H$, where H is a group, such that f is induced by a homomorphism from G^* to H which is trivial on μ .

Note also that, using Proposition 2.18, Corollary 2.12 and the natural identification of G^*/E_μ with $S_G^\mu(M)$, we get:

Corollary 2.19. G_{top}^{*000} and $G^*/G_{\text{top}}^{*000}$ (with the logic topology) do not depend on the choice of the language (assuming that predicates for all open subsets of G are in the language).

Using Proposition 2.5 and the definition of G_{top}^{*000} , one easily checks that the quotients G^*/G_{top}^{*00} and $G^*/G_{\text{top}}^{*000}$ do not depend as topological groups (with the logic topology) on the choice of the monster model.

In Example 2.28, using [22, Corollary 0.5], Corollary 2.27 and Example 2.7, we will see that for G and M from Example 2.7 we also have $G_M^{*000} \subsetneq G_{\text{top}}^{*000}$.

As in the case of G^*/G_M^{*00} and G^*/G_M^{*000} , while G^*/G_{top}^{*00} with the logic topology is compact (we have seen in Proposition 2.4 that it is even the Bohr compactification of G), $G^*/G_{\text{top}}^{*000}$ is only quasi-compact (so not necessarily Hausdorff). In fact, using Proposition 2.5 and the fact that $\text{cl}(e/G_{\text{top}}^{*000})$ is a subgroup of $G^*/G_{\text{top}}^{*000}$, we easily get

Remark 2.20. $\text{cl}(e/G_{\text{top}}^{*000}) = G_{\text{top}}^{*00}/G_{\text{top}}^{*000}$.

Hence, the logic topology on $G_{\text{top}}^{*00}/G_{\text{top}}^{*000}$ is trivial, and so rather useless. Thus, a question arises, how to treat $G^*/G_{\text{top}}^{*000}$ and $G_{\text{top}}^{*00}/G_{\text{top}}^{*000}$ as mathematical objects and how to measure their complexity. A possible answer in the context of G^*/G_M^{*000} was given in [22] (with further applications to Borel cardinalities in [23]), and below

we note that the arguments from [22] go through also in our topological context. We do not repeat the proofs, as they are almost the same as in [22]. The material contained in the rest of this subsection will not be used in further sections, so the reader may skip it with no harm, but we should mention here that Corollary 2.27 confirms Conjecture 0.2 under the stronger assumption of strong amenability.

The next remark follows easily from Proposition 2.14.

Remark 2.21. The function \hat{f} defined after Remark 2.17 is a semigroup epimorphism.

Let \mathcal{M} be a minimal left ideal in the semigroup $S_G^\mu(M)$, and let $u \in \mathcal{M}$ be an idempotent. Then $u\mathcal{M}$ is a group called the *Ellis group* (of the universal ambit $S_G^\mu(M)$). Let $f = \hat{f}|_{u\mathcal{M}} : u\mathcal{M} \rightarrow G^*/G_{\text{top}}^{*000}$. The last remark implies

Remark 2.22. f is a group epimorphism.

In Chapter IX of [12] on τ -topologies and the description of the generalized Bohr compactification, it is assumed “for convenience” that the acting group is discrete. One can check that all the material works also in the case of topological groups, after noticing the following items:

- $2^{S_G^\mu(M)}$ is a G -flow (i.e. the action of G is continuous), which is easy,
- products of G -flows are G -flows (which is obvious),
- quotients of G -flows by closed, G -invariant equivalence relations are G -flows (this is easy, see e.g. the proof of [20, Proposition 3.7]).

So we have a topology, called the τ -topology, on $u\mathcal{M}$ which is quasi-compact, T_1 and such that the group operation is separately continuous. We define $H(u\mathcal{M})$ as the intersection of the τ -closures of the τ -neighborhoods of the identity in $u\mathcal{M}$. Then $u\mathcal{M}/H(u\mathcal{M})$ is a compact topological (Hausdorff) group (with the quotient topology induced from the τ -topology). Moreover, it is the *generalized Bohr compactification* of G in the sense of [12].

Let us emphasize that we claim that Chapter IX of Glasner’s book works in the topological context. In contrast, in Section 2 of our previous paper [22], we had to find some new arguments in the externally definable case, since we did not know whether the G -flow $2^{S_{G,\text{ext}}(M)}$ was externally definable (where $S_{G,\text{ext}}(M)$ is the space of external types over M). The argument from Section 2 of [22] also works in the topological case, because the only properties that we need for that are that products of G -flows are G -flows and quotients of G -flows by closed, G -invariant equivalence relations are G -flows.

Recall that G_M^{*000} can be written as the increasing union $\bigcup_{n \in \omega} F_n$, where F_n is the M -type-definable set consisting of products of n elements of the form $b^{-1}a$ where (a, b) extends to an M -indiscernible sequence. From Remark 2.17, we get the following description of G_{top}^{*000} .

Remark 2.23. G_{top}^{*000} can be written as the increasing union $\bigcup_{n \in \omega} E_n$, where E_n consists of products xy , where x is a product of n conjugates of elements of μ and

y is a product of n elements of the form $b^{-1}a$ where (a, b) extends to an infinite M -indiscernible sequence.

We clearly have $F_n \cdot F_m = F_{n+m}$ and $E_m \cdot E_n = E_{m+n}$. Thus, working with $S_G^\mu(M)$ in place of $S_{G,M}(N)$ (i.e. the space of external types over M) and using E_n in place of F_n , one can easily adapt the proof of Theorem 0.1 from [22] to get the following topological variant of this theorem.

Theorem 2.24. *Equip $u\mathcal{M}$ with the τ -topology and $u\mathcal{M}/H(u\mathcal{M})$ – with the induced quotient topology. Then:*

- (1) f is continuous,
- (2) $H(u\mathcal{M}) \leq \ker(f)$,
- (3) the formula $p/H(u\mathcal{M}) \mapsto f(p)$ yields a well-defined continuous epimorphism \bar{f} from $u\mathcal{M}/H(u\mathcal{M})$ to $G^*/G_{\text{top}}^{*000}$.

In particular, we get the following sequence of continuous epimorphisms:

$$(1) \quad u\mathcal{M} \rightarrow u\mathcal{M}/H(u\mathcal{M}) \xrightarrow{\bar{f}} G^*/G_{\text{top}}^{*000} \twoheadrightarrow G^*/G_{\text{top}}^{*00},$$

where $u\mathcal{M}/H(u\mathcal{M})$ is the generalized Bohr compactification of G .

The proof of Theorem 0.2 from [22] also goes through without much changes.

Theorem 2.25. *The group $G_{\text{top}}^{*00}/G_{\text{top}}^{*000}$ is isomorphic to the quotient of a compact (Hausdorff) group by a dense subgroup. More precisely, for $Y := \ker(\bar{f})$ let $\text{cl}_\tau(Y)$ be its closure inside $u\mathcal{M}/H(u\mathcal{M})$. Then \bar{f} restricted to $\text{cl}_\tau(Y)$ induces an isomorphism between $\text{cl}_\tau(Y)/Y$ (the quotient of a compact group by a dense subgroup) and $G_{\text{top}}^{*00}/G_{\text{top}}^{*000}$.*

Thus, Corollary 0.3 from [22] also holds in the topological context.

Corollary 2.26. *i) If the epimorphism $\bar{f}: u\mathcal{M}/H(u\mathcal{M}) \rightarrow G^*/G_{\text{top}}^{*000}$ is an isomorphism, then $G_{\text{top}}^{*000} = G_{\text{top}}^{*00}$.
ii) If the epimorphism $f: u\mathcal{M} \rightarrow G^*/G_{\text{top}}^{*000}$ is an isomorphism, then $H(u\mathcal{M})$ is trivial and $G_{\text{top}}^{*000} = G_{\text{top}}^{*00}$.*

Let $\xi: G^*/G_{\text{top}}^{*000} \rightarrow G^*/G_{\text{top}}^{*00}$ be the obvious map. The proof of Corollary 0.4 from [22] also goes through, and we get

Corollary 2.27. *Suppose G is strongly amenable. Then the epimorphism $\xi \circ \bar{f}: u\mathcal{M}/H(u\mathcal{M}) \rightarrow G^*/G_{\text{top}}^{*00}$ is an isomorphism, so $G_{\text{top}}^{*000} = G_{\text{top}}^{*00}$. In particular, this holds when G is nilpotent.*

Example 2.28. Let G be the additive group of the reals, and let M be the group G expanded by predicates for all subsets of G . By Corollary 0.5 from [22], we have $G_M^{*000} = G_M^{*00}$. By the previous corollary, we have $G_{\text{top}}^{*000} = G_{\text{top}}^{*00}$. By Example 2.7, we know that $G_M^{*00} \subsetneq G_{\text{top}}^{*00}$. Therefore, $G_M^{*000} \subsetneq G_{\text{top}}^{*000}$.

A natural question is to understand for which groups $G_{\text{top}}^{*000} = G_{\text{top}}^{*00}$. Conjecture 0.2 strengthens Corollary 2.27 and predicts that it is true for amenable (in particular, for solvable) groups. In Section 3, we prove it for topological groups possessing a basis of open neighborhoods of the identity consisting of open subgroups. Here, we give an example of a non-discrete topological group for which the two components are different.

Recall that the universal cover of $\text{SL}_2(\mathbb{R})$ can be written as the product $\mathbb{Z} \times \text{SL}_2(\mathbb{R})$ on which multiplication is given by the standard 2-cocycle taking values $-1, 0, 1$ so that the projection on the second coordinate is the covering map and there is an open neighborhood U of the identity in this universal cover which is contained in $\{0\} \times \text{SL}_2(\mathbb{R})$ (e.g. see [1]).

Example 2.29. Let G be the universal cover of $\text{SL}_2(\mathbb{R})$ written as above. We treat G as a group definable in any expansion M of the 2-sorted structure $((\mathbb{Z}, +), (\mathbb{R}, +, \cdot))$ which has predicates for all open subsets of G . Then

$$G_{\text{top}}^{*000} = G_M^{*000} = (\mathbb{Z}^{*000} + \mathbb{Z}) \times \text{SL}_2(\mathbb{R}^*) \subsetneq G^* = G_M^{*00} = G_{\text{top}}^{*00},$$

where \mathbb{Z}^{*000} denotes the invariant connected component of \mathbb{Z}^* computed in the expansion of $(\mathbb{Z}, +)$ by predicates for all subsets of \mathbb{Z} (note that, by Corollary 0.5 from [22], this coincides with the analogously defined \mathbb{Z}^{*00}).

Proof. Since the topology induced on the definable subgroup \mathbb{Z} of G is discrete, all subsets of \mathbb{Z} are definable in the structure induced from M , so, modifying slightly the argument from [7, Theorem 3.2], one can show that

$$G_M^{*000} = (\mathbb{Z}^{*000} + \mathbb{Z}) \times \text{SL}_2(\mathbb{R}^*) \subsetneq G^* = G_M^{*00}.$$

Note that this already implies that G_M^{*000} and G_M^{*00} do not depend on the choice of the language (as long as the language contains predicates for all open subsets of G , of course).

Since there is an open neighborhood U of the identity in G which is contained in $\{0\} \times \text{SL}_2(\mathbb{R})$, we see that $\mu \subseteq \{0\} \times \text{SL}_2(\mathbb{R}^*)$. But from the above description of G_M^{*000} , $\{0\} \times \text{SL}_2(\mathbb{R}) \subseteq G_M^{*000}$. Hence, $\mu \leq G_M^{*000}$, and we conclude that $G_{\text{top}}^{*000} = G_M^{*000}$. The equality $G_M^{*00} = G_{\text{top}}^{*00}$ follows from the fact that $G^* \geq G_{\text{top}}^{*00} \geq G_M^{*00} = G^*$. \square

The next corollary follows from the last example, Fact 2.4 and Theorem 2.24, and gives us non-trivial information about the Bohr compactification and the generalized Bohr compactification of the universal cover of $\text{SL}_2(\mathbb{R})$ treated as a topological group.

Corollary 2.30. *The Bohr compactification of the universal cover of $\text{SL}_2(\mathbb{R})$ is trivial, whereas its generalized Bohr compactification is non-trivial and it has as a homomorphic image the group $\mathbb{Z}^*/(\mathbb{Z}^{*00} + \mathbb{Z}) \cong (\mathbb{Z}^*/\mathbb{Z}^{*00})/((\mathbb{Z}^{*00} + \mathbb{Z})/\mathbb{Z}^{*00})$ which is the Bohr compactification of the discrete group \mathbb{Z} divided by a dense subgroup which is a copy of \mathbb{Z} .*

2.2. The definable topological category. Here, we define and describe connected components and the universal ambit for topological groups which are definable in arbitrary structures (not necessarily containing predicates for all open subsets of G), working in the “category of definable, continuous functions and flows”.

Throughout this subsection, G is a topological group which is definable in a structure M . Let us emphasize that we do not assume any connection between the topology on G and the definable subsets of G . However, a special case which will concern us later is when the members of a basis of open neighborhoods of the identity are definable in M .

The language of M will be denoted by \mathcal{L} , and let \mathcal{L}' be any language containing \mathcal{L} and relation symbols whose interpretations in M range over all open subsets of G . We work in $\mathfrak{C} \succ M$ which is a monster model in the sense of both \mathcal{L} and \mathcal{L}' . As always, G^* denotes the interpretation of G in \mathfrak{C} . When we talk about definable sets, we mean \mathcal{L} -definable sets unless we say otherwise. Similarly, G_M^{*00} and G_M^{*00} are computed in \mathcal{L} . Also, $S_G(M)$ denotes the space of complete types in the sense of \mathcal{L} , and $S_G^{\mathcal{L}'}(M)$ – in the sense of \mathcal{L}' .

We will be interested in definable, continuous functions from G to compact (Hausdorff) spaces. By Section 1, we have

Lemma 2.31. *Let $f: G \rightarrow C$ be a definable function, where C is a compact space. Then the unique M -definable in \mathcal{L} (and also in \mathcal{L}') function $f^*: G^* \rightarrow C$ extending f is given by*

$$f^*(a) = \bigcap_{\varphi \in \text{tp}_{\mathcal{L}}(a/M)} \text{cl}(f[\varphi(M)]) = \bigcap_{\varphi \in \text{tp}_{\mathcal{L}'}(a/M)} \text{cl}(f[\varphi(M)]).$$

If f is additionally continuous, f^* coincides with the map defined in Lemma 2.2.

Definition 2.32. We define $G_{\text{def,top}}^{*00} := G_{\text{top}}^{*00} \cdot G_M^{*00}$.

By the normality of G_{top}^{*00} and G_M^{*00} , $G_{\text{def,top}}^{*00}$ is also a normal subgroup of G^* . Moreover, $G_{\text{def,top}}^{*00}$ is M -type-definable in \mathcal{L}' and we equip $G^*/G_{\text{def,top}}^{*00}$ with the logic topology computed in \mathcal{L}' .

Proposition 2.33. *i) $G_{\text{def,top}}^{*00} = \mu \cdot G_M^{*00}$.*

*ii) $G_{\text{def,top}}^{*00}$ is the smallest M -type-definable in \mathcal{L} , bounded index subgroup of G^* containing G_{top}^{*00} (equivalently, containing μ).*

iii) The quotient map from G to $G^/G_{\text{def,top}}^{*00}$ is a definable, continuous compactification of G .*

iv) The quotient map from G to $G^/G_{\text{def,top}}^{*00}$ is in fact the definable, continuous Bohr compactification of G (i.e. the unique up to isomorphism universal definable, continuous compactification of G).*

Proof. i) This follows from the fact that $\mu \leq G_{\text{top}}^{*00} = \mu \cdot G_{M,\mathcal{L}'}^{*00} \leq \mu \cdot G_M^{*00}$, where $G_{M,\mathcal{L}'}^{*00}$ is computed in the language \mathcal{L}' , which we have by Corollary 2.6.

ii) It is clear that $G_{\text{def,top}}^{*00}$ is the smallest subgroup of G^* which contains G_{top}^{*00} and G_M^{*00} (equivalently, which contains μ and G_M^{*00}). Since any M -type-definable in \mathcal{L} subgroup of G^* of bounded index contains G_M^{*00} , it remains to show that $G_{\text{def,top}}^{*00}$ is M -type-definable in \mathcal{L} . But this follows from the following two observations: $G_{\text{def,top}}^{*00}$ is (M -)type-definable in \mathcal{L}' ; $G_{\text{def,top}}^{*00}$ is M -invariant in \mathcal{L} (which is true, because $a \equiv_M b$ implies that $a^{-1}b \in G_M^{*00} \leq G_{\text{def,top}}^{*00}$).

iii) The fact that this quotient map π is a homomorphism with dense image is clear. Continuity of π follows from the continuity of the quotient map $G \rightarrow G^*/G_{\text{top}}^{*00}$ (by Fact 2.4) and the obvious map $G^*/G_{\text{top}}^{*00} \rightarrow G^*/G_{\text{def,top}}^{*00}$. It remains to check that π is definable. For this note that the logic topologies on G^*/G_M^{*00} computed in \mathcal{L} and \mathcal{L}' coincide. Therefore, the obvious map $G^*/G_M^{*00} \rightarrow G^*/G_{\text{def,top}}^{*00}$ is continuous. Since the quotient map $G \rightarrow G^*/G_M^{*00}$ is definable (by [11, Propostion 3.4]), we conclude that π is definable as well.

iv) Let $f: G \rightarrow C$ be a definable, continuous compactification of G . Take $f^*: G^* \rightarrow C$ as in Lemma 2.31. By the proof of [11, Propostion 3.4], we know that f^* is a homomorphism and $G_M^{*00} \leq \ker(f^*)$. By the last paragraph of the proof of Fact 2.4, we know that $G_{\text{top}}^{*00} \leq \ker(f^*)$. Therefore, $G_{\text{def,top}}^{*00} \leq \ker(f^*)$, and we finish as usual (see the last sentence of the proof of Fact 2.4). \square

Definition 2.34. We define $G_{\text{def,top}}^{*000} := G_{\text{top}}^{*000} \cdot G_M^{*000}$.

$G_{\text{def,top}}^{*000}$ is clearly a normal subgroup of G^* which is M -invariant in \mathcal{L}' .

Remark 2.35. i) $G_{\text{def,top}}^{*000}$ is the smallest M -invariant in \mathcal{L} , bounded index subgroup of G^* containing G_{top}^{*000} .

ii) $G_{\text{def,top}}^{*000}$ is the smallest normal, bounded index, M -invariant in \mathcal{L} subgroup of G^* containing μ .

iii) $G_{\text{def,top}}^{*000} = \langle \mu^{G^*} \rangle \cdot G_M^{*000}$.

Proof. i) Each M -invariant in \mathcal{L} subgroup of bounded index contains G_M^{*000} , so it is enough to show $G_{\text{def,top}}^{*000}$ is M -invariant in \mathcal{L} , which follows as in the proof of Proposition 2.33(ii).

ii) follows easily from (i) and the definitions of $G_{\text{def,top}}^{*000}$ and G_{top}^{*000} .

iii) follows from (ii) and the M -invariance in \mathcal{L} of $\langle \mu^{G^*} \rangle \cdot G_M^{*000}$. \square

Remark 2.36. i) If G is discrete, then $G_{\text{def,top}}^{*000} = G_M^{*000} \geq G_{\text{top}}^{*000}$ and $G_{\text{def,top}}^{*00} = G_M^{*00} \geq G_{\text{top}}^{*00}$.

ii) If \mathcal{L} contains predicates for all open subsets of G , then $G_{\text{def,top}}^{*000} = G_{\text{top}}^{*000} \geq G_M^{*000}$ and $G_{\text{def,top}}^{*00} = G_{\text{top}}^{*00} \geq G_M^{*00}$.

Remark 2.37. Note that the characterizations from Proposition 2.33(i) and Remark 2.35(iii) can be used as definitions of $G_{\text{def,top}}^{*00}$ and $G_{\text{def,top}}^{*000}$, even in the wider context when \mathcal{L}' is any extension of \mathcal{L} such that all members of some basis of open

neighborhoods of the identity in G are definable in \mathcal{L}' (with parameters from M); the difference is that now more monster models are allowed, because we do not require \mathcal{L}' to contain predicates for all open subsets of G . Then, by a standard argument, we get that the quotients $G^*/G_{\text{def,top}}^{*00}$ and $G^*/G_{\text{def,top}}^{*000}$ do not depend on the choice of the monster model in which they are computed.

Our next goal is to give a description of the *universal definable topological G -ambit*, i.e. the universal G -ambit in the category of G -ambits which are both definable and topological. Recall that in [21] the universal definable G -ambit (of G treated as a discrete group) was described as the quotient $S_G(M)/E$, or equivalently as G^*/E' , for a certain closed equivalence relation E on $S_G(M)$ and the corresponding M -type-definable equivalence relation E' on G^* . (A description of the relation E' can be found in Section 2 of [21]. We do not recall this description here, because we will not use it.) We also consider the relation E_μ defined in the previous subsection, so that G^*/E_μ is the universal (topological) G -ambit.

Define E'_1 to be the finest M -type-definable in \mathcal{L}' equivalence relation on G^* which contains $E' \cup E_\mu$. By Remark 2.8 and the fact that $\equiv_M^{\mathcal{L}'} \subseteq \equiv_M^{\mathcal{L}} \subseteq E'$, we see that E'_1 is the finest M -type-definable in \mathcal{L}' equivalence relation on G^* which contains $E' \cup \sim$, where \sim is the relation defined in the previous subsection.

Remark 2.38. E'_1 is the finest M -type-definable in \mathcal{L} equivalence relation on G^* which contains $E' \cup E_\mu$ (equivalently, $E' \cup \sim$).

Proof. This follows easily from the observation that the logic topologies on G^*/E' computed in \mathcal{L} and in \mathcal{L}' coincide. Indeed, from this, the obvious map $\pi: G^*/E' \rightarrow G^*/E'_1$ is continuous. Therefore, it is easy to see that E'_1 , being the preimage of the diagonal by the obvious map $G^* \times G^* \rightarrow G^*/E'_1 \times G^*/E'_1$, must be M -type-definable in \mathcal{L} . \square

Let E_1 be the equivalence relation on $S_G(M)$ given by

$$pE_1q \iff (\exists a \models p)(\exists b \models q)(aE'_1b) \iff (\forall a \models p)(\forall b \models q)(aE'_1b).$$

We leave to the reader to check that E_1 is the finest closed equivalence relation on $S_G(M)$ which contains both E and the (not necessarily equivalence) relation $\sim_\mu^{\mathcal{L}}$ given by $p \sim_\mu^{\mathcal{L}} q \iff (\exists a \models p)(\exists b \models q)(ab^{-1} \in \mu)$.

Since E' and E_μ are both G -invariant, we get that that G acts on G^*/E'_1 by $g(a/E'_1) = (ga)/E'_1$ and on $S_G(M)/E_1$ by $g(\text{tp}(a/M)/E_1) = \text{tp}(ga/M)/E_1$. The assignment $a/E'_1 \mapsto \text{tp}(a/M)/E_1$ is a homeomorphism from G^*/E'_1 to $S_G(M)/E_1$ preserving the action of G .

Remark 2.39. $(G, G^*/E'_1, e/E'_1)$ (equivalently, $(G, S_G(M)/E_1, \text{tp}(e/M)/E_1)$) is a definable topological G -ambit.

Proof. We have the obvious continuous map $\theta: G^*/E_\mu \rightarrow G^*/E'_1$ which preserves the action of G . Hence, since G^*/E_μ and G^*/E'_1 are compact, continuity of the action of G on G^*/E'_1 follows from the continuity of the action of G on G^*/E_μ .

Now, we check the definability of the ambit. Take any $a/E'_1 \in G^*/E'_1$, and let $f_a^1: G \rightarrow G^*/E'_1$ be given by $g \mapsto g(a/E'_1)$ and $f_a: G \rightarrow G^*/E'$ by $g \mapsto g(a/E')$. We have the obvious continuous map $\eta: G^*/E' \rightarrow G^*/E'_1$ such that $f_a^1 = \eta \circ f_a$. Therefore, since we know that f_a is definable, f_a^1 is also definable. \square

Proposition 2.40. $(G, G^*/E'_1, e/E'_1)$ (equivalently, $(G, S_G(M)/E_1, \text{tp}(e/M)/E_1)$) is the universal definable topological G -ambit.

Proof. Let (G, X, x) be an arbitrary definable topological G -ambit. Define $f_x: G \rightarrow X$ by $f_x(g) = gx$; it is continuous and definable. Take the extension $f_x^*: G^* \rightarrow X$ of f_x given by Lemma 2.31. From the explicit formula for f_x^* , we see that it preserves the action of G . If we show that f_x^* factors through E'_1 , we will get a homomorphism from the ambit $(G, G^*/E'_1, e/E'_1)$ to (G, X, x) , and the proof will be complete. In order to get this factorization, it is enough to show that f_x^* factors through both E_μ and E' . Factorization through E_μ was explicitly proved in the proof of Fact 2.11, so it remains to show factorization through E' .

Let $h_x: S_G(M) \rightarrow X$ be the factorization of f_x^* . This is a unique homomorphism from the G -ambit $(G, S_G(M), \text{tp}(e/M))$ (for G treated as a discrete group) to the G -ambit (G, X, x) . On the other hand, by the universality of the definable (not topological) G -ambit $(G, S_G(M)/E, \text{tp}(e/M)/E)$, we get a unique homomorphism k_x from $(G, S_G(M)/E, \text{tp}(e/M)/E)$ to (G, X, x) . This induces a homomorphism \tilde{k}_x from $(G, S_G(M), \text{tp}(e/M))$ to (G, X, x) . By the uniqueness of h_x , we get $\tilde{k}_x = h_x$. Thus, h_x factors through E , which implies that f_x^* factors through E' . \square

We have the following obvious epimorphisms of G -ambits (recall that $S_G(M)$ and $S_G(M)/E$ are G -ambits for G considered as a discrete group; the others are topological G -ambits).

$$\begin{array}{ccc}
 & S_G(M) & S_G^{\mathcal{L}', \mu}(M) \\
 & \swarrow & \searrow \\
 S_G(M)/E & & \\
 & \searrow & \swarrow \\
 & S_G(M)/E_1 &
 \end{array}$$

We discuss here the special case when there is a basis of open neighborhoods of the identity in G consisting of sets which are definable in the language \mathcal{L} (with parameters from M). In such a situation, let $S_G^\mu(M)$ be the quotient $S_G(M)/\sim_\mu$, where \sim_μ is the equivalence relation on $S_G(M)$ defined by $p \sim_\mu q \iff \mu \cdot p = \mu \cdot q$. Claim A.5 in [31] says that $(G, S_G^\mu(M), \text{tp}(e/M)/\sim_\mu)$ is a topological G -ambit, where $g \cdot (p/\sim_\mu) := (gp)/\sim_\mu$. In fact, the whole discussion between Example 2.7 and Fact 2.10 (including this fact) goes through in the present context. However,

in general, this ambit does not have to be definable and it is not universal in any of our categories. We have the following natural epimorphisms of G -ambits ($S_G(M)$ and $S_G(M)/E$ are G -ambits for G considered as a discrete group; the others are topological G -ambits).

$$\begin{array}{ccccc}
 & & S_G(M) & & S_G^{\mathcal{L}', \mu}(M) \\
 & \swarrow & \downarrow & \swarrow & \\
 S_G(M)/E & & S_G^\mu(M) & & \\
 & \searrow & \downarrow & & \\
 & & S_G(M)/E_1 & &
 \end{array}$$

- Remark 2.41.* i) If G is discrete, then $\mu = \{e\}$, $E_1 = E$ and $S_G^\mu(M) = S_G(M)$.
 ii) If \mathcal{L} contains predicates for all open subsets of G , then the above epimorphism $S_G^{\mathcal{L}', \mu}(M) \rightarrow S_G^\mu(M)$ is an isomorphism.
 iii) If all types in $S_G(M)$ are definable (and there is a basis of open neighborhoods of the identity consisting of definable sets), then $E_1 = \sim_\mu$ and $S_G^\mu(M) = S_G(M)/E_1$.

Proof. Item (i) is obvious. (ii) follows from Fact 2.11. The last item follows easily from Remark 2.4(ii) in [21] which says that if all types in $S_G(M)$ are definable, then the equivalence relation E is trivial. \square

3. AMENABILITY AND CONNECTED COMPONENTS

3.1. Variants of amenability. Recall that a topological group G is said to be *amenable*, if for every G -flow (G, X) there is a left-invariant, Borel probability measure on the compact space X ; equivalently, if there is such a measure on the universal (topological) G -ambit. If G is discrete, this is equivalent to the existence of a left-invariant, finitely additive probability measure on all subsets of G .

A definable in M (discrete) group G is *definably amenable* if there is a left-invariant Keisler measure on G (i.e. finitely additive probability measure on the Boolean algebra of definable subsets of G); equivalently, if there is a left-invariant, regular, Borel probability measure on the compact space $S_G(M)$ (see [33] for details).

Working in the category of definable flows it makes sense to define a weaker notion of definable amenability, namely we say that G is *weakly definably amenable* if there exists a left-invariant, Borel probability measure on the universal definable G -ambit, i.e. on $S_G(M)/E$ using the notation from Subsection 2.2. It agrees with definable amenability if all types in $S_G(M)$ are definable, because then E is trivial.

Working in the definable topological category, we introduce the following notions of amenability.

Definition 3.1. Let G be a topological group definable in a structure M .

- i) Then G is *weakly definably topologically amenable* if there exists a left-invariant, Borel probability measure on the universal definable topological G -ambit, i.e. on $S_G(M)/E_1$ (using the notation from Subsection 2.2).
- ii) If G has a basis of open neighborhoods of the identity consisting of definable sets, we say that G is *definably topologically amenable* if there exists a left-invariant, Borel probability measure on the G -ambit $S_G^\mu(M)$ (defined before Remark 2.41).

The next remark follows from the diagrams in the final part of Subsection 2.2).

Remark 3.2. Let G be a topological group definable in a structure M .

- i) If G has a basis of open neighborhoods of the identity consisting of definable sets, then definable topological amenability implies weak definable topological amenability.
- ii) Each of the conditions “ G is amenable” and “ G is definably amenable” implies “ G is weakly definably topologically amenable”. In the case when G has a basis of open neighborhoods of the identity consisting of definable sets, each of these conditions implies “ G is definably topologically amenable”.
- iii) If G is discrete, then definable amenability is equivalent to definable topological amenability (note that $\{\{e\}\}$ is a basis at e consisting of a definable set).
- iv) If the language contains predicates for all open subsets of G , then amenability of G as a topological group is equivalent to definable topological amenability.

We finish with a justification of the relationships between the conjectures formulated in the introduction.

Conjecture 0.3 implies Conjecture 0.4 by Remark 3.2 (i). To see that Conjecture 0.4 implies Conjectures 0.1 and 0.2, one should use Remark 3.2 (iii) and (iv) together with Remark 2.36. The fact that Theorem 0.5 implies Conjecture 0.1 in its full generality and Conjecture 0.2 for groups possessing a basis of open neighborhoods of e consisting of open subgroups follows by the same reasons.

3.2. Extreme amenability. As a warm up case, we first study connected components for extremely amenable groups and give a quick proof of Proposition 0.6.

To obtain the notions of extreme amenability in the various contexts, one has to take the appropriate definitions of amenability (from the last subsection) and replace the existence of an appropriate invariant measure by the existence of a fixed point. For example, if G is a topological group definable in the structure M so that there is a basis of open neighborhoods of the identity consisting of definable sets, we say that G is *definably topologically extremely amenable* if the G -ambit $S_G^\mu(M)$ has a fixed point.

One can formulate variants of Conjectures 0.1, 0.2, 0.3, 0.4 by strengthening the amenability assumption to extreme amenability and by strengthening the conclusions to the statements that both connected components in question are equal to G^* . Proposition 0.6 contains such variants of Conjectures 0.4, 0.2, and 0.1.

Let G be a topological group definable in the structure M , such that there is a basis of open neighborhoods of the identity consisting of definable sets. Recall that for any $r \in S_G(M)$ the equivalence class $r/\sim_\mu \in S_G^\mu(M)$ consists of all complete types over M extending the partial type $\mu \cdot r$; so we will freely identify r/\sim_μ with $\mu \cdot r$ and with the corresponding type-definable set; so, $p \in S_G^\mu(M)$ can be viewed as an equivalence class of \sim_μ or a partial type or the corresponding type-definable set. Similarly, types in $S_G(M)$ are identified with the corresponding type-definable sets.

Proof of Proposition 0.6. By assumption, there is a G -invariant $p = \mu \cdot r \in S_G^\mu(M)$. Since $\mu \subseteq G_{\text{top}}^{*000} \subseteq G_{\text{def,top}}^{*000}$ and $rr^{-1} \subseteq G_M^{*000} \subseteq G_{\text{def,top}}^{*000}$, we get $pp^{-1} \subseteq G_{\text{def,top}}^{*000}$. So, it remains to show that $pp^{-1} = G^*$. Take any φ over M such that $p \vdash \varphi(x)$. It is enough to show that $\varphi(G^*)\varphi(G^*)^{-1} = G^*$, and for this that $\varphi(G)\varphi(G)^{-1} = G$. Consider any $g \in G$ and $a \models p$. Then $b := ga \models p$, so $g = ba^{-1} \in pp^{-1}$, and we are done.

Now, the two additional statements follow easily from the obvious counterpart of Remark 3.2 (iii) and (iv) in the extremely amenable case and from Remark 2.36. \square

3.3. Amenability and connected components. The structure of this subsection is the following. First, we generalize Construction (*) from [15] which yields extensions of measures. Then we prove Conjecture 0.1 using [27, Theorem 12]. In the course of the proof, we distinguish the special case when all subsets of G are definable in which we explain how to prove this conjecture via a simplification of the proof of [27, Theorem 12]. Next, after some preparatory results, we adapt this simplification of the argument from [27] together with the aforementioned generalization of Construction (*) in order to show Conjecture 0.2 for groups possessing a basis of open neighborhoods of the identity consisting of open subgroups. Finally, we adapt the full proof of [27, Theorem 12] to get Theorem 0.5 – the most general result of this subsection. But this argument contains some more delicate points.

The reader is asked to read first the proof of Theorem 12 from [27], as we are not going to repeat all the details from there. We will explain in details the ingredients which are new in comparison with the proof from [27].

We start from an elaboration on Construction (*) from [15] on extending measures to saturated models, as it will play an important role in the proofs below. Recall that a Keisler measure \mathbf{m} on a definable subset X of a structure M can be thought of as a collection of functions $\mathbf{m}_\varphi: S_\varphi \rightarrow [0, 1]$, where $\varphi(x, y)$ ranges over formulas without parameters such that x is always from the sort of X and S_φ is the sort of the variable y of the given formula $\varphi(x, y)$, which satisfies certain properties corresponding to the definition of a measure; more precisely, $\mathbf{m}_\varphi(a) = \mathbf{m}(\varphi(X, a))$. We will be also interested in the situation when \mathbf{m} is a measure defined only on some Boolean subalgebra \mathcal{A} of the algebra of all definable subsets X . In this case, add an extra element ∞ greater than all element of $[0, 1]$, and let $\mathbf{m}_\varphi(a)$ be equal

to $\mathbf{m}(\varphi(X, a))$ if $\mathbf{m}(\varphi(X, a))$ is defined and ∞ otherwise.

Generalization of Construction (*) from [15]. Let \mathbf{m} be a finitely additive probability measure defined on a Boolean subalgebra \mathcal{A} of the algebra of all definable subsets of X , where X is a definable set in a structure M . Consider the structure $M' := (M, [0, 1] \cup \{\infty\}, +, <, \mathbf{m}_\varphi)_\varphi$ consisting of the structure M , the functions $\mathbf{m}_\varphi: S_\varphi \rightarrow [0, 1] \cup \{\infty\}$, the ordering $<$ on $[0, 1] \cup \{\infty\}$, and addition (modulo 1) on $[0, 1]$. Take a monster model $(M^*, [0, 1]^* \cup \{\infty\}, +, <, \mathbf{m}_\varphi^*)_ \varphi$. For a definable subset $\varphi(X^*, a)$ of X^* put $\mathbf{m}^*(\varphi(X^*, a)) = \mathbf{m}_\varphi^*(a)$. We will say that \mathbf{m}^* is defined on $\varphi(X^*, a)$ if $\mathbf{m}_\varphi^*(a) \in [0, 1]^*$ (equivalently, $\mathbf{m}_\varphi^*(a) < \infty$). Then:

- (1) The collection of sets on which \mathbf{m}^* is defined forms a Boolean algebra of definable (in the original language) subsets of X^* , which we denote by \mathcal{A}^* .
- (2) The sets definable (in the original language) over M which belong to \mathcal{A}^* are exactly the sets coming from \mathcal{A} (i.e. the interpretations in M^* of the sets from \mathcal{A}).
- (3) \mathbf{m}^* is a non-standard (i.e. taking values in $[0, 1]^*$) finitely additive probability measure on \mathcal{A}^* .
- (4) For any $r \in [0, 1]$ and formula $\varphi(x, y)$, $\{a \in M^* : \mathbf{m}^*(\varphi(X^*, a)) \in [0, r]^*\}$ is definable over M' in the expanded language; similarly for $[r, 1]^*$ in place of $[0, r]^*$. After naming parameters from $[0, 1]$, it is definable over \emptyset .
- (5) If $X = G$ is a definable group and \mathcal{A} is closed under products (if $X, Y \in \mathcal{A}$, then $XY \in \mathcal{A}$) or under multiplication by elements of G (if $X \in \mathcal{A}$, then $gX \in \mathcal{A}$ for all $g \in G$), then so is \mathcal{A}^* (with G^* in place of G).
- (6) If $X = G$ is a definable group and \mathbf{m} is G -invariant (in particular, \mathcal{A} is G -invariant), then \mathbf{m}^* is G^* -invariant.

Now, we can define a standard (i.e. with values in $[0, 1]$) finitely additive probability measure on \mathcal{A}^* as the composition $\text{st} \circ \mathbf{m}^*$, where $\text{st}: [0, 1]^* \rightarrow [0, 1]$ is the standard part map. This measure extends \mathbf{m} (i.e. coincides with \mathbf{m} on sets definable over M), and we will still denote it by \mathbf{m} . Then:

- (1) This extended \mathbf{m} is definable over M' in the sense that for any closed subset C of $[0, 1]$ and any formula $\varphi(x, y)$, the set $\{a \in M^* : \mathbf{m}(\varphi(X^*, a)) \in C\}$ is type-definable over M' in the expanded language. After naming parameters from $[0, 1]$, it is definable over \emptyset .
- (2) If $X = G$ is a definable group and the original \mathbf{m} is G -invariant, then the extended \mathbf{m} is G^* -invariant.

Now, we will prove Conjecture 0.1.

Theorem 3.3. *Let G be a group definable in a structure M . If G is definably amenable, then $G_M^{*00} = G_M^{*000}$.*

Proof. Let \mathbf{m} be the left-invariant Keisler measure on G witnessing definable amenability. Recall that a type $q \in S_G(M)$ is called *weakly \mathbf{m} -random* if for any $\varphi(x) \in q$, $\mathbf{m}(\varphi(M)) > 0$. Weakly \mathbf{m} -random types always exist. We will identify

complete types over M with their sets of realizations in the monster model. Since for any $q \in S_G(M)$ we have $qq^{-1} \subseteq G_M^{*000}$, in order to prove our theorem, it is enough to show

Lemma 3.4. *Suppose $q \in S_G(M)$ is weakly \mathfrak{m} -random. Then $G_M^{*00} \subseteq (qq^{-1})^4$.*

To prove this lemma, we will prove another lemma which is an adaptation of the proof of Theorem 12 from [27]. The latter paper appears to need that the model M is ω^+ -saturated, but we will get around it. Recall that a subset X of G is *symmetric* if it contains e and $X^{-1} = X$; it is *(left) generic* if finitely many (left) translates of X by elements of G cover G .

Lemma 3.5. *Let B be any definable subset of G with $\mathfrak{m}(B) > 0$. Then there are symmetric, generic, definable subsets $C_1 \supseteq C_2 \supseteq \dots$ of G such that $C_1 \subseteq (BB^{-1})^4$ and $C_{i+1}^2 \subseteq C_i$ for all i .*

Let us see first how Lemma 3.4 follows from Lemma 3.5. Consider $\bigcap_i C_i^*$ (as usual C_i^* is C_i computed in the monster model). It is easy to see that it is an M -type-definable subgroup of G^* of bounded index, hence contains G_M^{*00} . So, by Lemma 3.5, $(B^*B^{*-1})^4$ contains G_M^{*00} . As B was an arbitrary definable subset of G of positive measure, and since for $q \in S_G(M)$ we have $(qq^{-1})^4 = \bigcap \{(\varphi(G^*)\varphi(G^*)^{-1})^4 : \varphi \in q\}$, it follows that $(qq^{-1})^4$ contains G_M^{*00} for any weakly \mathfrak{m} -random q .

Proof of Lemma 3.5. Define $A_1 = BB^{-1}$. Then A_1 is definable, symmetric and generic (by Ruzsa's covering lemma, see [27, Fact 5]).

Claim 1. For any definable $A \subseteq G$ which is generic and symmetric there is a generic and symmetric definable set $X \subseteq G$ such that $X^8 \subseteq A^4$.

To finish the proof using this claim, we apply it to $A := A_1 = BB^{-1}$ and we get $C_1 := A^4$ and $C_2 := X^4$. Then we apply the claim to $A := X$ and we get an appropriate C_3 , and so on.

Claim 1 will be easily deduced from Theorem 12 of [27]. But first, we will consider the special case when all subsets of G are definable and we will briefly explain how Claim 1 can be obtained in this case by a simplification of the proof of [27, Theorem 12], which will be later adapted to prove Theorem 3.11. The simplification in our special case is that we do not have to use the conditions P_n^t and the sets X_n from the proof from [27], as all subsets of G are now definable. Note that in this case $G_M^{*00} = G_{\text{top}}^{*00}$ and $G_M^{*000} = G_{\text{top}}^{*000}$, as G is considered as a discrete group. Hence, Theorem 3.3 in this special case already shows that if a group G is amenable (as a discrete group), then its Bohr compactification G^*/G_{top}^{*00} coincides with its "weak Bohr compactification" $G^*/G_{\text{top}}^{*000}$.

Proof of Claim 1. First, consider the above special case.

Case 1 – All subsets of G are definable in M .

Let K be the number of translates of A needed to cover G . In particular, K translates of A cover A^2 , so A is a K -approximate subgroup as in [27, Theorem 12]. Take any natural number $m > 0$. For $t \in (0, 1]$ define \mathcal{B}_t as the set of subsets B' of A such that $\mathfrak{m}(B') \geq tm(A)$; it is nonempty, as it contains A . Let $f(t) = \inf\{\mathfrak{m}(B'A)/\mathfrak{m}(A) : B' \in \mathcal{B}_t\}$. Fix $\epsilon > 0$, and let by Sanders' Lemma (Lemma 11 of [27]) t be such that $f(t^2/K) \geq (1 - \epsilon)f(t)$. Choose $B' \in \mathcal{B}_t$ with $\mathfrak{m}(B'A)/\mathfrak{m}(A) \leq (1 + \epsilon)f(t)$. Let $X = \{g \in A^2 : \mathfrak{m}(gB' \cap B') \geq (t^2/2K)\mathfrak{m}(A)\}$. The computation on lines 15-23 of page 61 in [27] shows that if $g_1, \dots, g_m \in X$, then $\mathfrak{m}(g_1 \dots g_m B'A \Delta B'A) < 4m\epsilon\mathfrak{m}(B'A)$. In particular, if $\epsilon \leq 1/4m$ (even $\epsilon < 1/2m$ is enough), then $g_1 \dots g_m B'A \cap B'A$ is nonempty, whereby $X^m \subseteq A^4$. Choosing $\epsilon \leq 1/32$, we see that $X^8 \subseteq A^4$. The statement formulated at the very beginning of the proof of Theorem 12 of [27] tells us that X is generic in G , and it is also symmetric (because A is symmetric, $B' \in \mathcal{B}_t \subseteq \mathcal{B}_{t^2/2K}$ and \mathfrak{m} is invariant).

Case 2 – General case.

So here, M is an arbitrary structure. By Construction (*) described before Theorem 3.3, we know that \mathfrak{m} extends to an invariant Keisler measure (which we also call \mathfrak{m}) on G^* . Now, we apply [27, Theorem 12] (whose proof uses the P_n^t 's and X_n 's), where we take A there to be our A^* and work in the monster model. As a result we obtain a definable set $S_a := \varphi(G^*, a)$ (where $\varphi(x, y)$ is a formula without parameters and a is a tuple from the monster model) which is symmetric and generic and such that $S_a^8 \subseteq A^{*4}$. Let L be the number of translates of S_a needed to cover G^* . For any b , let $S_b = \varphi(G^*, b)$. The following conditions on y

- S_y is symmetric,
- L translates of S_y cover G^* ,
- $S_y^8 \subseteq A^{*4}$

are all definable over M . Since $y := a$ satisfies these conditions, we can find $a' \in M$ which also satisfies them. Then $X := \varphi(G, a')$ satisfies the requirements of Claim 1. □

As was noted before, Claim 1 implies Lemma 3.5. □

The proof of Theorem 3.3 has been completed. □

Now, we turn to the definable topological context from Theorem 0.5. Namely, from now on, in this section we assume that G is a topological group definable in a structure M so that there is a basis of open neighborhoods of the identity consisting of definable, open subgroups. The main reason why we need to assume that there is such a basis is the next remark and proposition which will allow us to define a measure on certain subsets of G .

Recall that the whole discussion between Example 2.7 and Fact 2.10 (including this fact) goes through in our context, and take the notation from there. In

particular, $S_G^\mu(M) \approx G^*/E_\mu$, and we will identify these G -ambits. Recall that $E_\mu = \sim \circ \equiv_M$, where $a \sim b \iff ab^{-1} \in \mu$. As before, $p \in S_G^\mu(M)$ will be understood as an equivalence class of \sim_μ or a partial type or the corresponding type-definable set.

Remark 3.6. Let $C \subseteq G^*/E_\mu$ and let $h: G^* \rightarrow G^*/E_\mu$ be the quotient map. The following conditions are equivalent.

- i) C is clopen.
- ii) $\mu \cdot h^{-1}[C] = h^{-1}[C]$ is definable (over M).
- iii) $C = X^*/E_\mu$ for some M -definable subset X of G satisfying $\mu \cdot X^* = X^*$; in this situation, we have $h^{-1}[C] = X^*$.
- iv) $H^* \cdot h^{-1}[C] = h^{-1}[C]$ for some definable, open subgroup H of G .
- v) $C = (H^* \cdot X^*)/E_\mu$ for some definable, open subgroup H of G and M -definable subset X of G ; in this situation, we have $h^{-1}[C] = H^* \cdot X^*$.

Proof. (i) \rightarrow (ii) follows from the fact that $\sim \subseteq E_\mu$ and the fact that the preimage under h of a clopen set is definable over M .

(ii) \rightarrow (iii) is clear taking $X := h^{-1}[C]$. For the additional claim, we see that X^* is invariant under multiplication by μ on the left and under automorphisms over M , so it is a union of E_μ -classes, and hence $h^{-1}[C] = X^*$.

(iii) \rightarrow (iv). Assume (iii). Then $h^{-1}[C] = X^* = \mu \cdot X^*$, so the conclusion follows from saturation of the monster model and the fact that μ is the intersection of all H^* 's with H ranging over definable, open subgroups of G .

(iv) \rightarrow (v) is clear taking $X := h^{-1}[C]$. For the additional claim, we see that $H^* \cdot X^*$ is invariant under multiplication by μ on the left and under automorphisms over M .

(v) \rightarrow (i). We already justified in (iv) \rightarrow (v) that $h^{-1}[C] = H^* \cdot X^*$. Since this set is definable, C is clopen. \square

Proposition 3.7. $S_G^\mu(M) \approx G^*/E_\mu$ is zero-dimensional. More precisely, the sets $V_{H,\varphi} := \{a/E_\mu : a/E_\mu \subseteq H^* \cdot \varphi(G^*)\}$, for a definable, clopen subgroup $H \leq G$ and a formula $\varphi(x)$ over M , form a basis of the topology which consists of clopen subsets.

Proof. Recall that a basis of open neighborhoods of any element $a/E_\mu \in G^*/E_\mu$ consists of sets of the form $\{b/E_\mu : b/E_\mu \subseteq \psi(G^*)\}$ with $\psi(x)$ ranging over formulas over M such that $a/E_\mu \subseteq \psi(G^*)$.

Now, we show that the collection of all sets $V_{H,\varphi}$ is a basis of the topology. For any basic open neighborhood $V = \{b/E_\mu : b/E_\mu \subseteq \psi(G^*)\}$ (where $\psi(x)$ is over M) of an element $a/E_\mu \in G^*/E_\mu$ we have $a/E_\mu = \mu \cdot \{b : b \equiv_M a\} \subseteq \psi(G^*)$, so, by assumption and compactness, there is a definable, open subgroup $H \leq G$ and a formula $\varphi(x) \in \text{tp}(a/M)$ such that $H^* \cdot \varphi(G^*) \subseteq \psi(G^*)$. This implies $a/E_\mu \in V_{H,\varphi} \subseteq V$.

By Remark 3.6 (v), we see that $H^* \cdot \varphi(G^*)$ is a union of E_μ -classes, so $V_{H,\varphi} = (H^* \cdot \varphi(G^*))/E_\mu$ is clopen by Remark 3.6. \square

Let ν be a left-invariant, Borel probability measure on $S_G^\mu(M)$ (in the proofs of the theorems below, it will exist as a measure witnessing the definable topological amenability of G).

Definition 3.8. An element $p \in S_G^\mu(M)$ will be called *weakly ν -random* if $\nu(U) > 0$ for every open $U \ni p$.

By compactness of $S_G^\mu(M)$, a weakly ν -random element in $S_G^\mu(M)$ always exists. Note also that if $p \in S_G^\mu(M)$ is weakly ν -random, then for any formula $\varphi(x)$ over M such that $p \subseteq \varphi(G^*)$, one has $\nu(\varphi(G^*)/E_\mu) > 0$. By Proposition 3.7, the last property is actually equivalent to the weak ν -randomness of p .

Let \mathcal{A} be the algebra of subsets of G which are preimages of clopen sets under the map $\Phi: G \rightarrow G^*/E_\mu$ sending $g \in G$ to $g/E_\mu \in G^*/E_\mu$. By Remark 3.6, all members of \mathcal{A} are definable (over M). This algebra will play a fundamental role in the proof of Theorem 0.5 below.

Remark 3.9. For a subset X of G the following conditions are equivalent:

- i) X belongs to \mathcal{A} ,
- ii) $X = H\varphi(G)$ for some definable, open subgroup H of G and some formula $\varphi(x)$ over M ,
- iii) X is definable (over M) and $X^* = \mu X^*$.

Proof. (i) \rightarrow (ii). Suppose $X = \Phi^{-1}[C]$ for a clopen C . By Remark 3.6, $C = (H^*\varphi(G^*)/E_\mu)$ for some definable, open subgroup H of G and some formula $\varphi(x)$ over M , and $X = \{g \in G : g \in H^*\varphi(G^*)\} = H\varphi(G)$.

(ii) \rightarrow (iii) is obvious: $\mu X^* = \mu H^*\varphi(G^*) = H^*\varphi(G^*) = X^*$.

(iii) \rightarrow (i). By Remark 3.6, X^*/E_μ is clopen and $\Phi^{-1}[X^*/E_\mu] = \{g \in G : g \in X^*\} = X$. \square

Corollary 3.10. *If $A \in \mathcal{A}$, B is a definable subset of G and $g \in G$, then $AB \in \mathcal{A}$ and $gA \in \mathcal{A}$. In particular, $AA^{-1} \in \mathcal{A}$.*

Now, we will prove Conjecture 0.2 (under our extra assumption) and then generalize this argument to get Theorem 0.5.

Theorem 3.11. *Let G be a topological group possessing a basis of open neighborhoods of the identity consisting of open subgroups. If G is amenable, then $G_{\text{top}}^{*00} = G_{\text{top}}^{*000}$.*

Proof. We are in the situation considered above, although now we can assume that the language contains predicates for all subsets of G , because by Corollaries 2.12, 2.6 and 2.19 neither the universal G -ambit $S_G^\mu(M)$ nor the components G_{top}^{*00} and G_{top}^{*000} depend on the choice of the language as long as the language contains predicates for all open subsets. So now every subset of G is automatically definable.

Define a measure \mathbf{m} on the algebra \mathcal{A} by

$$\mathbf{m}(\Phi^{-1}[C]) = \nu(C),$$

where C ranges over clopen subsets of G^*/E_μ and ν is the chosen G -invariant measure on G^*/E_μ witnessing amenability. It is easy to check (using the density of the image of G under the function Φ) that \mathfrak{m} is a well-defined, G -invariant, finitely additive probability measure on \mathcal{A} .

Take a weakly ν -random $q \in S_G^\mu(M)$, and consider the collection $\Sigma(x)$ of all formulas $\varphi(x)$ over M which satisfy $\mu \cdot \varphi(G^*) = \varphi(G^*)$ and are implied by q ; in other words, by Remark 3.6, $\varphi(G^*)/E_\mu$ (for $\varphi(x) \in \Sigma(x)$) ranges over all clopen neighborhoods of q . By Proposition 3.7, $\bigcap \Sigma(G^*) = q$.

As in the proof of Proposition 0.6, we see that $(qq^{-1})^4 \subseteq G_{\text{top}}^{*00}$. Thus, it remains to prove that $G_{\text{top}}^{*00} \subseteq (qq^{-1})^4$. By the previous paragraph, $(qq^{-1})^4 = \bigcap_{\varphi(x) \in \Sigma(x)} (\varphi(G^*)\varphi(G^*)^{-1})^4$. Since q is weakly ν -random, for any $\varphi(x) \in \Sigma(x)$ we have $\mathfrak{m}(\varphi(G)) > 0$. So, by Remark 3.9 and Proposition 2.5, the whole proof boils down to showing the following counterpart of Lemma 3.5.

Lemma 3.12. *Suppose $B \in \mathcal{A}$ satisfies $\mathfrak{m}(B) > 0$. Then there are symmetric, generic subsets $C_1 \supseteq C_2 \supseteq \dots$ of G such that $C_1 \subseteq (BB^{-1})^4$, $C_{i+1}^2 \subseteq C_i$ and $C_i \in \mathcal{A}$ for all i .*

Using Corollary 3.10, we easily get that $BB^{-1} \in \mathcal{A}$ is generic and symmetric. Hence, as in the proof of Lemma 3.5, in order to finish the proof, it is enough to show the following

Claim 2. For any $A \in \mathcal{A}$ which is generic and symmetric there is a generic and symmetric set $X \in \mathcal{A}$ such that $X^8 \subseteq A^4$.

Proof. We follow the lines of the proof of Claim 1 in Case 1 (in the proof of Lemma 3.5), but always working with sets from \mathcal{A} (in particular, B' is now chosen from \mathcal{A}). Note that all the sets whose measure is computed during this argument are indeed in \mathcal{A} by virtue of Corollary 3.10. So, the only thing to show is that the set X from that proof also belongs to \mathcal{A} .

Recall that X is definable for free. Take the monster model considered in Construction (*) and compute things there. By Remark 3.9, in order to show that $X \in \mathcal{A}$, it is enough to prove that $\mu X^* = X^*$. We have $X^* = \{g \in (A^2)^* : \mathfrak{m}^*(gB'^* \cap B'^*) \in [(t^2/2K)\mathfrak{m}(A), \mathfrak{m}(A)]^*\}$. Consider any $a \in \mu$. Since $B' \in \mathcal{A}$, we have that $aB'^* = B'^*$. So, by the G^* -invariance of \mathfrak{m}^* , for any g we have $\mathfrak{m}^*(agB'^* \cap B'^*) = \mathfrak{m}^*(agB'^* \cap aB'^*) = \mathfrak{m}^*(gB'^* \cap B'^*)$. Moreover, since $A \in \mathcal{A}$, we get $A^2 \in \mathcal{A}$, so $a(A^2)^* = (A^2)^*$. The last two conclusions imply that if $g \in X^*$, then $ag \in X^*$, which is enough. \square

The proof of Theorem 3.11 has been completed. \square

We finish this subsection with a proof of Theorem 0.5.

Proof of Theorem 0.5. We start as in the proof of Theorem 3.11 (except that now not all sets are definable), reducing the proof to Lemma 3.12 and then to Claim 2. However, now not all subsets of G are definable, so we have to apply the full

argument from [27] involving the P_n 's and X_n 's, and there is a technical problem to obtain the desired set X in the algebra \mathcal{A} (see the proof of the subclaim below). A trick which resolves it is to work with the subalgebra \mathcal{B} of \mathcal{A} defined as the collection of all sets of the form $H_1\varphi(G)H_2$, where H_1, H_2 are definable, open subgroups of G and $\varphi(x)$ is a formula over M . Equivalently, we can take here $H_1 = H_2$, or we can say that \mathcal{B} consists of definable subsets of G which are closed under multiplication on the left and on the right by elements of some open subgroup. Another equivalent definition says that \mathcal{B} is the collection of all definable subsets X of G for which $X^* = \mu X^* \mu$. (Note that here we use the assumption that there is a basis of open neighborhoods of the identity consisting of definable, open subgroups.)

We show the following stronger version of Lemma 3.12.

Lemma 3.13. *Suppose $B \in \mathcal{A}$ satisfies $\mathfrak{m}(B) > 0$. Then there are symmetric, generic subsets $C_1 \supseteq C_2 \supseteq \dots$ of G such that $C_1 \subseteq (BB^{-1})^4$, $C_{i+1}^2 \subseteq C_i$ and $C_i \in \mathcal{B}$ for all i .*

Since BB^{-1} is generic and symmetric and clearly belongs to \mathcal{B} , it is enough to show the following variant of Claim 2.

Claim 3. For any $A \in \mathcal{B}$ which is generic and symmetric there is a generic and symmetric set $X \in \mathcal{B}$ such that $X^8 \subseteq A^4$.

Proof. Now, we treat \mathfrak{m} as a measure defined only on the algebra \mathcal{B} . We take the monster model considered in Construction (*) before Theorem 3.3 (so here \mathcal{B} plays the role of \mathcal{A} in this construction). As in this construction, from now on, by \mathfrak{m} we also denote the extension $\text{st} \circ \mathfrak{m}^*$ of \mathfrak{m} to an invariant measure on the algebra \mathcal{B}^* .

We follow the lines of the proof of [27, Theorem 12] (where we take A there to be our A^* and work in the monster model M^*), but always working with sets from \mathcal{B}^* . In particular, on line 10 of page 61 in [27] we choose $B \in \mathcal{B}^*$ (which we now call B') satisfying the appropriate requirements. Note that all sets whose measure is computed in the course of the proof in [27] are indeed in \mathcal{B}^* , because \mathcal{B}^* is closed under products (if $X, Y \in \mathcal{B}^*$, then $XY \in \mathcal{B}^*$) and under multiplication by elements of G^* (if $X \in \mathcal{B}^*$ and $g \in G^*$, then $gX \in \mathcal{B}^*$) which follows from Property (5) of Construction (*) and the fact that \mathcal{B} has such properties (which is obvious). So the proof from [27] produces a set $X_n := X_n^t(B') \subseteq G^*$ (for some n) which is definable in M^* , symmetric, generic, and satisfies $X_n^8 \subseteq A^{*4}$. The problem is that the obtained set $X_n = X_n^t(B')$ need not be definable over M and we do not know whether it belongs to the algebra \mathcal{B}^* . But we will modify it, to get what we need.

Take a formula $\varphi(x, y)$ without parameters and a tuple a from M^* such that $B' = \varphi(G^*, a)$. For any b , let $B'_b = \varphi(G^*, b)$ and $X_{n,b} = X_n^t(B'_b)$. From the definition of X_n^t in [27], we easily conclude that there is a formula $\psi(x, y)$ without parameters such that $X_{n,b} = \psi(G^*, b)$ for any b . Let L be the number of translates of $X_n = X_{n,a}$ needed to cover G^* . Consider the following conditions on y :

- $X_{n,y}$ is symmetric,

- L translates of $X_{n,y}$ cover G^* ,
- $X_{n,y}^8 \subseteq A^{*4}$,
- $B'_y \in \mathcal{B}^*$.

By the last paragraph, the first three conditions are definable over M (in the original language of the structure M). The last condition is definable over the model M' from Construction (*), but in the expanded language considered in Construction (*), namely, it is defined by the formula $\mathfrak{m}_\varphi(y) < \infty$. Since all these conditions are satisfied by $y := a$, we can find $a' \in M$ which also satisfies all of them.

From now on, replace $B' = B'_a$ by $B'_{a'}$ and $X_n = X_{n,a}$ by $X_{n,a'}$. Then, X_n is still symmetric and generic, and satisfies $X_n^8 \subseteq A^{*4}$; moreover, $B' \in \mathcal{B}^*$. But now X_n is definable over M by the formula $\psi(x, a')$, so it makes sense to consider $X_n(G) := \psi(G, a')$, which is obviously symmetric and generic, and satisfies $X_n(G)^8 \subseteq A^4$. Thus, the only thing to show is that $X_n(G) \in \mathcal{B}$. In order to do that, we first show by induction on n the following

Subclaim. For any C , for each t , for all $a \in \mu$ we have $P_n^t(C) \iff P_n^t(aC)$.

Proof. For $n = 0$, it is clear. Suppose it holds for n . Take $a \in \mu$. By induction hypothesis and the fact that A^* and so $(A^2)^*$ are both invariant under left and right multiplication by μ (which follows from the assumption that $A \in \mathcal{B}$), we get

$$X_{n+1}^t(aC) = aX_{n+1}^t(C)a^{-1}.$$

Hence, A^* is covered by the appropriate number of translates of $X_{n+1}^t(C)$ (see line –6 on page 60 in [27]) if and only if it is covered by the same number of translates of $X_{n+1}^t(aC)$ (namely, the translates by the conjugates by a^{-1} of the translating elements for $X_{n+1}^t(C)$); here, we once again use the assumption that $\mu A^* \mu = A^*$ (i.e. $A \in \mathcal{B}$). Therefore, $P_{n+1}^t(C)$ holds if and only if $P_{n+1}^t(aC)$ holds, and the subclaim is proved. \square

Since $B' \in \mathcal{B}^*$ and B' is definable over M in the original language, we get by Property (2) of Construction (*) that $B'(G) \in \mathcal{B}$, i.e. $\mu B' \mu = B'$.

Since $\mu B' = B'$ and $\mu(A^2)^* \mu = (A^2)^*$, using the above subclaim and the definition of X_n^t , we easily get that $X_n = X_n^t(B')$ satisfies $\mu X_n \mu = X_n$, so $X_n(G) \in \mathcal{B}$. \square

The proof of Theorem 0.5 has been completed. \square

3.4. Comments and questions. The assumption on the existence of a basis of open neighborhoods of the identity consisting of open subgroups in Theorems 3.11 and 0.5 was needed to have:

- Proposition 3.7, i.e. zero-dimensionality of the space $S_G^\mu(M)$, in order to define a measure \mathfrak{m} on the sufficiently rich algebra \mathcal{A} of definable subsets of G ,
- to have good properties of \mathcal{A} (see Remarks 3.6 and 3.9).

A question is whether one can construct a useful measure without this assumption.

In the discussion below, we use E, E', E_1, E'_1 introduced around Remark 2.38. Let us comment on Conjecture 0.3. By Remark 2.36, in the discrete case this conjecture specializes to

Conjecture 3.14. *Let G be a group definable in an arbitrary structure M . If G is weakly definably amenable, then $G_M^{*00} = G_M^{*000}$.*

Even in this discrete case [or, more generally, when we have a basis of open neighborhoods of the identity consisting of open subgroups], the space $S_G(M)/E$ [or $S_G(M)/E_1$, respectively] need not be zero-dimensional, so we do not know how to define a measure on a suitable algebra of subsets of G . But here also another problem appears. Note that in the proofs of Proposition 0.6, and Theorems 3.3, 3.11 and 0.5, the inclusion $pp^{-1} \subseteq G_{\text{def,top}}^{*000}$ for $p \in S_G^\mu(M)$ was almost immediate (and did not require the extra assumption that a basis consists of open subgroups). This property was essential for our proofs to work. However, in the weakly definably [topologically] amenable situation, it is not clear whether this holds. The problem is that we do not know whether $aE'b$ implies $ab^{-1} \in G_M^{*000}$ (see Problem 3.11 in [21]). So to prove Conjecture 3.14, first we would have to show such an implication. If it turned out to be false in general, then one could define a new connected component, say G_M^{*000+} , as the normal subgroup generated by all products ab^{-1} for $(a, b) \in E'$. It is M -invariant, and by Proposition 3.10 of [21], we see that $G_M^{*000} \leq G_M^{*000+} \leq G_M^{*00}$. But now $pp^{-1} \subseteq G_M^{*000+}$ for all $p \in S_G(M)/E$, so with this new definition of the connected component at least the second obstacle to prove Conjecture 3.14 disappears. The same applies to the topological context – to Conjecture 0.3 and the new component $G_{\text{def,top}}^{*000+}$ defined as the normal subgroup generated by all products ab^{-1} for $(a, b) \in E'_1$.

Note that, with the obvious definition of *weak definable topological extreme amenability*, the proof of Proposition 0.6 yields

Remark 3.15. Assume G is weakly definably topologically extremely amenable. Then $G^* = G_{\text{def,top}}^{*000+} = G_{\text{def,top}}^{*00}$. But we do not know whether $G_{\text{def,top}}^{*000} = G^*$, even in the discrete case.

4. AMENABILITY AND G-COMPACTNESS

The main goal of this section is to prove Theorem 0.7 and Corollary 0.8. In the first subsection, we recall basic issues around G-compactness. The strategy of our proof of Theorem 0.7 was described in the introduction. In Subsection 4.2, we will find the appropriate isomorphisms ρ and θ which were mentioned in the discussion following the statement of Theorem 0.7 in the introduction. In the course of the proof, we will show that the (topological) Bohr compactification of $G := \text{Aut}(M)$ (where M is the ω -categorical structure in question) is exactly the natural map $r_1: G \rightarrow \text{Gal}_{KP}(T)$ and that it is onto; the fact that the Bohr compactification is onto was also proved by Ben-Yaacov in [3].

4.1. Preliminaries around G-compactness. We recall some basic and well-known facts on strong types and Galois groups. For more information the reader is referred to [25, 6, 10].

Let \mathfrak{C} be a monster model of an arbitrary theory T .

- E_L is the finest bounded, invariant equivalence relation on a given product of sorts, and its classes are called *Lascar strong types*,
- E_{KP} is the finest bounded, \emptyset -type-definable equivalence relation on a given product of sorts, and its classes are called *Kim-Pillay strong types*.
- E_{Sh} is the intersection of all finite, \emptyset -definable equivalence relations on a given product of sorts, and its classes are called *Shelah strong types*.

Clearly $E_L \subseteq E_{KP} \subseteq E_{Sh}$. Then $\text{Autf}_L(\mathfrak{C})$, $\text{Autf}_{KP}(T)$, and $\text{Autf}_{Sh}(T)$ are defined as the groups of all automorphisms of \mathfrak{C} preserving all Lascar, Kim-Pillay, and Shelah strong types, respectively, and they are called the *groups of Lascar*, *Kim-Pillay*, and *Shelah strong automorphisms*, respectively. It is well-known that: $\text{Autf}_L(\mathfrak{C})$ is the subgroup of $\text{Aut}(\mathfrak{C})$ generated by all automorphisms fixing small submodels of \mathfrak{C} pointwise, i.e. $\text{Autf}_L(\mathfrak{C}) = \langle \sigma : \sigma \in \text{Aut}(\mathfrak{C}/M) \text{ for some } M \prec \mathfrak{C} \rangle$; $\text{Autf}_{KP}(\mathfrak{C}) = \text{Aut}(\mathfrak{C}/\text{bdd}^{\text{heq}}(\emptyset))$ (for the definition of the hyperimaginary bounded closure see [34]); $\text{Autf}_{Sh}(\mathfrak{C}) = \text{Aut}(\mathfrak{C}/\text{acl}^{\text{eq}}(\emptyset))$. Then, $\text{Autf}_L(\mathfrak{C}) \leq \text{Autf}_{KP}(T) \leq \text{Autf}_{Sh}(T)$ are all normal subgroups of $\text{Aut}(\mathfrak{C})$, and the corresponding quotients $\text{Aut}(\mathfrak{C})/\text{Autf}_L(\mathfrak{C})$, $\text{Aut}(\mathfrak{C})/\text{Autf}_{KP}(\mathfrak{C})$, and $\text{Aut}(\mathfrak{C})/\text{Autf}_{Sh}(\mathfrak{C})$ are called *Lascar*, *Kim-Pillay*, and *Shelah Galois groups of T* , respectively, and they are denoted by $\text{Gal}_L(T)$, $\text{Gal}_{KP}(T)$, and $\text{Gal}_{Sh}(T)$. So there are obvious group epimorphisms

$$\text{Gal}_L(T) \xrightarrow{h} \text{Gal}_{KP}(T) \xrightarrow{g} \text{Gal}_{Sh}(T).$$

Fact 4.1. *The above Galois groups do not depend (up to isomorphism) on the choice of the monster model \mathfrak{C} ; for example, for $\mathfrak{C} \prec \mathfrak{C}'$, the map taking $\sigma/\text{Autf}_L(\mathfrak{C})$ to $\sigma'/\text{Autf}_L(\mathfrak{C}')$, for any extension $\sigma' \in \text{Aut}(\mathfrak{C}')$ of $\sigma \in \text{Aut}(\mathfrak{C})$, is a well-defined group isomorphism.*

Definition 4.2. i) The theory T is *G-compact* if h is an isomorphism. Equivalently, if $\text{Autf}_L(\mathfrak{C}) = \text{Autf}_{KP}(\mathfrak{C})$.

ii) The theory T is *G-trivial* if $\text{Gal}(T_A)$ is trivial for any finite set $A \subseteq \mathfrak{C}$, where T_A is the elementary diagram of A (i.e. the theory of \mathfrak{C} in the language expanded by constants from A).

The relations E_L , E_{KP} , and E_{Sh} turn out to be the orbit equivalence relations of $\text{Autf}_L(\mathfrak{C})$, $\text{Autf}_{KP}(\mathfrak{C})$, and $\text{Autf}_{Sh}(\mathfrak{C})$, respectively, which implies that T is G-compact if and only if $E_L = E_{KP}$ on all (also infinite) tuples.

Now, we recall the logic topology on $\text{Gal}_L(T)$. For more details consult [25] and [10]. Let $\nu: \text{Aut}(\mathfrak{C}) \rightarrow \text{Gal}_L(\mathfrak{C})$ be the quotient map. Choose a small model M , and let \bar{m} be its enumeration. By $S_{\bar{m}}(M)$ we denote $\{\text{tp}(\bar{n}/M) : \bar{n} \equiv \bar{m}\}$. Let

$\nu_1: \text{Aut}(\mathfrak{C}) \rightarrow S_{\bar{m}}(M)$ be defined by $\nu_1(\sigma) = \text{tp}(\sigma(\bar{m})/M)$, and $\nu_2: S_{\bar{m}}(M) \rightarrow \text{Gal}_L(T)$ by $\nu_2(\text{tp}(\sigma(\bar{m})/M)) = \sigma / \text{Autf}_L(\mathfrak{C})$. Then ν_2 is a well-defined surjection, and $\nu = \nu_2 \circ \nu_1$. Thus, $\text{Gal}_L(T)$ becomes the quotient of the space $S_{\bar{m}}(M)$ by the relation of lying in the same fiber of ν_2 , and so we can define a topology on $\text{Gal}_L(T)$ as the quotient topology. In this way, $\text{Gal}_L(T)$ becomes a quasi-compact (so not necessarily Hausdorff) topological group. This topology does not depend on the choice of the model M .

Fact 4.3. *The following conditions are equivalent for $C \subseteq \text{Gal}_L(T)$.*

- i) C closed.
- ii) For every tuple \bar{m} enumerating a small submodel of \mathfrak{C} there is a partial type $\pi(\bar{x})$ (with parameters) such that $\nu^{-1}[C] = \{\sigma \in \text{Aut}(\mathfrak{C}) : \sigma(\bar{m}) \models \pi(\bar{x})\}$.
- iii) There are a tuple \bar{a} and a partial type $\pi(\bar{x})$ (with parameters) such that $\nu^{-1}[C] = \{\sigma \in \text{Aut}(\mathfrak{C}) : \sigma(\bar{a}) \models \pi(\bar{x})\}$.

The *logic topologies* on $\text{Gal}_{KP}(T)$ and $\text{Gal}_{Sh}(T)$ are the quotient topologies coming from the logic topology on $\text{Gal}_L(T)$ and epimorphisms h and g . Fact 4.1 also works for the Galois groups treated as topological groups.

$\text{Gal}_0(T)$ is defined as the closure of the identity in $\text{Gal}_L(T)$. It turns out that $\text{Gal}_0(T) = \text{Autf}_{KP}(\mathfrak{C}) / \text{Autf}_L(\mathfrak{C})$ and $\text{Gal}_{KP}(T) \cong \text{Gal}_L(T) / \text{Gal}_0(T)$, so $\text{Gal}_{KP}(T)$ is a compact (Hausdorff) group; and so is $\text{Gal}_{Sh}(T)$ (it is even profinite).

The following was proved by Kim in [19] for finite tuples; it extends to arbitrary tuples by compactness. (In the ω -categorical case, Kim's result is immediate, since a \emptyset -type-definable equivalence relation is \emptyset -definable, and so if it is bounded, it must be finite.)

Fact 4.4. *If T is ω -categorical (or, more generally, small), then $E_{KP} = E_{Sh}$. Thus, $\text{Autf}_{KP}(\mathfrak{C}) = \text{Autf}_{Sh}(\mathfrak{C})$.*

4.2. Groups of automorphisms of ω -categorical structures. Throughout this subsection, M is a countable, ω -categorical structure. Without loss of generality $M = M^{eq}$. Let $T = \text{Th}(M)$. By G we denote the group $\text{Aut}(M)$ of automorphisms of M , and we treat it as a topological group equipped with the pointwise convergence topology. Being a closed subgroup of S_∞ , it is a Polish group. In fact, all the closed subgroups of S_∞ are precisely the groups of automorphisms of countable first order structures, and this class coincides with the class of all Polish groups possessing a (countable) basis of open neighborhoods of the identity consisting of open subgroups (see [2, Theorem 1.5.1]).

Let $r_1: \text{Aut}(M) \rightarrow \text{Gal}_{KP}(T)$ be given by $r_1(\sigma) = \sigma' / \text{Autf}_{KP}(\mathfrak{C})$ for any $\sigma' \in \text{Aut}(\mathfrak{C})$ extending σ , where $\mathfrak{C} \succ M$ is a monster model. It is well-defined by the fact that automorphisms fixing a model are Lascar strong, and it is also a homomorphism.

Theorem 4.5. *The function r_1 is surjective and it is the Bohr compactification of G .*

From a series of remarks and lemmas we will conclude this theorem (see Corollary 4.16), and, more importantly, we will find the desired isomorphism θ from the diagram in the introduction. Then we will use a similar method to find ρ .

Remark 4.6. Let $T_{\text{acl}^{eq}(\emptyset)}$ be the elementary diagram of $\text{acl}^{eq}(\emptyset)$. Then $T_{\text{acl}^{eq}(\emptyset)}$ is ω -categorical.

Proof. E_{Sh} on tuples of length $n \in \omega$ is invariant over \emptyset , so it is \emptyset -definable. But it is bounded, so it has finitely many classes. Hence, for every $n \in \omega$ there are only finitely many types in $S_n(\text{acl}^{eq}(\emptyset))$. Thus, $T_{\text{acl}^{eq}(\emptyset)}$ is ω -categorical. \square

By Fact 4.4, $\text{Autf}_{KP}(\mathfrak{C}) = \text{Autf}_{Sh}(\mathfrak{C})$, so $\text{Gal}_{KP}(T) = \text{Gal}_{Sh}(T)$ which can be naturally identified with the group of all elementary permutations of $\text{acl}^{eq}(\emptyset)$.

Remark 4.7. r_1 is onto.

Proof. By the above comment, it is enough to show that every elementary permutation of $\text{acl}^{eq}(\emptyset)$ can be realized by an automorphism of M . But this follows immediately from Remark 4.6. \square

Remark 4.8. r_1 is continuous.

Proof. Let $D \subseteq \text{Gal}_{KP}(T)$ be closed. By Fact 4.3, $r_1^{-1}[D] = \{\sigma \in \text{Aut}(M) : \sigma(\bar{m}) \models \pi(\bar{x})\}$, where \bar{m} is an enumeration of M and $\pi(\bar{x})$ is a partial type, and we see that this set is closed in the pointwise convergence topology. \square

Consider the new structure \mathcal{M} consisting of the structure M together with the group $G = \text{Aut}(M)$ acting on M , expanded by predicates for all open subsets of G . For convenience (to avoid some density arguments) we will work with two monster models

$$\mathcal{M}^{**} = (M^{**}, G^{**}, \dots) \succ \mathcal{M}^* = (M^*, G^*, \dots) \succ \mathcal{M} = (M, G, \dots)$$

such that \mathcal{M}^{**} is a monster model with respect to \mathcal{M}^* , and $M^{**} \succ M^* \succ M$ are monster models of the original theory. Let the above \mathfrak{C} be equal to M^* ; μ will denote the infinitesimals of G computed in \mathcal{M}^{**} .

It is clear that each element σ of G^* [or of G^{**}] induces an automorphism $\bar{\sigma} \in \text{Aut}(M^*)$ [or $\bar{\sigma} \in \text{Aut}(M^{**})$, respectively], and it is determined by this automorphism. But not every automorphism of M^* [or of M^{**}] arises in this way.

When a group F acts on a space X and $A \subseteq X$, by $\text{Fix}_F(A)$ we will denote the pointwise stabilizer of A in F . The next remark is obvious.

Remark 4.9. $\mu = \bigcap \{\text{Fix}_G(\bar{a})^{**} : \bar{a} \text{ finite tuple in } M\} = \text{Fix}_{G^{**}}(M)$.

Let H be the group of all $\sigma \in G^{**}$ which fix M^* setwise (hence induce an automorphism of M^*). Then $H \leq G^{**}$. Put $\tilde{H} := \{\sigma|_{M^*} : \sigma \in H\} \leq \text{Aut}(M^*)$.

Lemma 4.10. $\tilde{H} = \text{Aut}(M^*)$.

Proof. Take any $\sigma \in \text{Aut}(M^*)$. We need to show that $\sigma \in \tilde{H}$. By $|M^*|^+$ -saturation of \mathcal{M}^{**} , it is enough to show that for any finite tuple \bar{a} from M^* there is $\tau \in G^{**}$ such that $\sigma(\bar{a}) = \tau(\bar{a})$. So consider such a tuple \bar{a} of length n .

ω -categoricity implies that the condition $\bar{x} \equiv_{\emptyset} \bar{y}$ is \emptyset -definable in the original theory and that

$$\mathcal{M} \models (\forall \bar{x}, \bar{y} \in M^n)(\bar{x} \equiv_{\emptyset} \bar{y} \rightarrow (\exists f \in G)(f(\bar{x}) = \bar{y})).$$

So the same holds in \mathcal{M}^* , so we have

$$(\forall \bar{x}, \bar{y} \in M^{*n})(\bar{x} \equiv_{\emptyset} \bar{y} \rightarrow (\exists f \in G^*)(f(\bar{x}) = \bar{y})).$$

Since $\bar{a} \equiv_{\emptyset} \sigma(\bar{a})$, we conclude that there is $\tau \in G^* \leq G^{**}$ such that $\sigma(\bar{a}) = \tau(\bar{a})$. \square

Put $\tilde{H}_{\text{top}}^{00} := \{\sigma|_{M^*} : \sigma \in H \cap G^{**00}_{\text{top}}\} \leq \text{Aut}(M^*)$. We would like to stress that $\tilde{H}_{\text{top}}^{00}$ is a local notation which should not be confused with the notation from Section 2. (Note that $\tilde{H} = \text{Aut}(M^*)$ is a topological group, but it is not saturated).

Lemma 4.11. *$\tilde{H}_{\text{top}}^{00}$ is a closed (in the pointwise convergence topology on $\text{Aut}(M^*)$), normal, bounded index (i.e. smaller than the degree of saturation of M^*) subgroup of $\text{Aut}(M^*)$.*

Proof. Normality follows from Lemma 4.10 and the normality of G^{**00}_{top} in G^{**} . Since G^{**00}_{top} has bounded index in G^{**} , this index is at most $2^{|T'|}$, where T' is the theory of \mathcal{M} , which in turn is smaller than the degree of saturation of M^* . Thus, the index of $\tilde{H}_{\text{top}}^{00}$ in $\text{Aut}(M^*)$ is bounded by Lemma 4.10.

It remains to check closedness. Consider any $\sigma \in \text{Aut}(M^*) \setminus \tilde{H}_{\text{top}}^{00}$. We will show that $\sigma \notin \text{cl}(\tilde{H}_{\text{top}}^{00})$. For this we need to find an open neighborhood of σ disjoint from $\tilde{H}_{\text{top}}^{00}$. Take $\sigma' \in H$ such that $\sigma'|_{M^*} = \sigma$. Then $\sigma' \notin G^{**00}_{\text{top}}$. So, by Remark 4.9, there is a finite tuple \bar{a} in M such that $\sigma' \notin G^{**00}_{\text{top}} \cdot \text{Fix}_G(\bar{a})^{**}$. We claim that $\sigma \cdot \text{Fix}_{\text{Aut}(M^*)}(\bar{a}) \cap \tilde{H}_{\text{top}}^{00} = \emptyset$, which clearly completes our proof.

Suppose for a contradiction that $\sigma\tau = \eta$ for some $\tau \in \text{Fix}_{\text{Aut}(M^*)}(\bar{a})$ and $\eta \in \tilde{H}_{\text{top}}^{00}$. By Lemma 4.10, $\tau = \tau'|_{M^*}$ for some $\tau' \in H$; then $\tau' \in \text{Fix}_G(\bar{a})^{**} \cap H$. We also have $\eta = \eta'|_{M^*}$ for some $\eta' \in H \cap G^{**00}_{\text{top}}$. Then $(\eta'^{-1}\sigma'\tau')|_{M^*} = \text{id}_{M^*}$, and so, by Remark 4.9, $\eta'^{-1}\sigma'\tau' \in H \cap G^{**00}_{\text{top}}$. We conclude that $\sigma' \in G^{**00}_{\text{top}} \cdot \text{Fix}_G(\bar{a})^{**}$, a contradiction. \square

Corollary 4.12. $\text{Autf}_{Sh}(M^*) \leq \tilde{H}_{\text{top}}^{00}$.

Proof. By Lemma 4.11 (more precisely, by closedness of $\tilde{H}_{\text{top}}^{00}$), it is enough to show that $\text{Autf}_{Sh}(M^*) \leq \text{cl}(\tilde{H}_{\text{top}}^{00})$. For this consider any $f \in \text{Autf}_{Sh}(M^*)$ and take any finite tuple \bar{a} in M^* . Then consider the orbit equivalence relation E of $\tilde{H}_{\text{top}}^{00}$ on the sort of \bar{a} in M^* . By Lemma 4.11, E is a bounded, invariant equivalence relation. So it is \emptyset -definable (by ω -categoricity) and finite. Hence, f fixes \bar{a}/E , so $f(\bar{a}) = \sigma(\bar{a})$ for some $\sigma \in \tilde{H}_{\text{top}}^{00}$. \square

Define $\theta': \text{Aut}(M^*) \rightarrow G^{**}/G_{\text{top}}^{**00}$ by $\theta'(\sigma) = \sigma'/G_{\text{top}}^{**00}$ for some [any] $\sigma' \in H$ such that $\sigma'|_{M^*} = \sigma$. The existence of such a σ' is guaranteed by Lemma 4.10; the fact that $\sigma'/G_{\text{top}}^{**00}$ does not depend on the choice of $\sigma' \in H$ such that $\sigma'|_{M^*} = \sigma$ follows from Remark 4.9 (namely, $\text{Fix}_{G^{**}}(M^*) \leq \mu \leq G_{\text{top}}^{**00}$).

An easy computation shows that θ' is a group homomorphism. By Corollary 4.12, θ' factors through $\text{Autf}_{Sh}(M^*)$, so we get the induced homomorphism

$$\theta: \text{Aut}(M^*)/\text{Autf}_{Sh}(M^*) \rightarrow G^{**}/G_{\text{top}}^{**00},$$

and we will see that this is the isomorphism that we are looking for (note that $G^{**}/G_{\text{top}}^{**00}$ is naturally identified with G^*/G_{top}^{*00}).

Let $r_2: G \rightarrow G^{**}/G_{\text{top}}^{**00}$ be the quotient map.

Lemma 4.13. *The following diagram commutes.*

$$\begin{array}{ccc} & \text{Gal}_{KP}(T) & \\ & \uparrow r_1 & \downarrow \theta \\ G & & \\ & \downarrow r_2 & \\ & G^{**}/G_{\text{top}}^{**00} & \end{array}$$

Proof. Take any $\sigma \in G$. Then $\sigma \in G^{**}$ and $r_2(\sigma) = \sigma/G_{\text{top}}^{**00}$. Also $\sigma \in G^*$, and let $\bar{\sigma}$ be σ treated as an element of $\text{Aut}(M^*)$. Then $r_1(\sigma) = \bar{\sigma}/\text{Autf}_{Sh}(M^*)$. Finally, we see that $\sigma \in H$ and $\sigma|_{M^*} = \bar{\sigma}$, so $\theta(\bar{\sigma}/\text{Autf}_{Sh}(M^*)) = \sigma/G_{\text{top}}^{**00}$. \square

Note that $\ker(r_1) = \text{Autf}_{Sh}(M) := \text{Aut}(M/\text{acl}^{eq}(\emptyset))$. So, by Remark 4.7, r_1 induces a group isomorphism $r: \text{Aut}(M)/\text{Autf}_{Sh}(M) \rightarrow \text{Gal}_{KP}(T)$. So if $q: G \rightarrow \text{Aut}(M)/\text{Autf}_{Sh}(M)$ is the quotient map, the following diagram commutes.

$$\begin{array}{ccc} & \text{Aut}(M)/\text{Autf}_{Sh}(M) & \\ & \uparrow q & \downarrow r \\ G & & \\ & \downarrow r_1 & \\ & \text{Gal}_{KP}(T) & \end{array}$$

Lemma 4.14. *r is a homeomorphism (so topological isomorphism). Thus, the topology on $\text{Gal}_{KP}(T)$ is the quotient topology induced by r_1 .*

Proof. By Remark 4.8, r_1 is continuous. So r is continuous with $\text{Aut}(M)/\text{Autf}_{Sh}(M)$ equipped with the quotient topology. But $\text{Autf}_{Sh}(M)$ is a closed subgroup of

$\text{Aut}(M)$, so $\text{Aut}(M)/\text{Autf}_{Sh}(M)$ is a Polish group. Since $\text{Gal}_{KP}(T)$ is also Polish (because the language is countable as a part of the ω -categoricity assumption), r is a homeomorphism (see [2, Theorem 1.2.6]). The rest is clear. \square

Corollary 4.15. *θ is continuous.*

Proof. This follows from the continuity of r_2 (as the Bohr compactification of G , see Fact 2.4) and Lemma 4.14. \square

We finish the discussion of θ with the following corollary, which completes the proof of Theorem 4.5.

Corollary 4.16. *θ is a topological isomorphism, and the epimorphism r_1 is the Bohr compactification of G (in particular, Theorem 4.5 is true).*

Proof. This follows from the fact that r_2 is the Bohr compactification of G , r_1 is a surjective compactification of G , and θ is a morphism from r_1 to r_2 . \square

In order to define the desired ρ , first define $\rho': \text{Aut}(M^*) \rightarrow G^{**}/G_{\text{top}}^{**000}$ by $\rho'(\sigma) = \sigma'/G_{\text{top}}^{**000}$ for some [any] $\sigma' \in H$ such that $\sigma'|_{M^*} = \sigma$. As in the case of θ' , the existence of such a σ' is guaranteed by Lemma 4.10; the fact that $\sigma'/G_{\text{top}}^{**000}$ does not depend on the choice of $\sigma' \in H$ such that $\sigma'|_{M^*} = \sigma$ follows from Remark 4.9. An easy computation shows that θ' is a group homomorphism. In order to factorize τ' through $\text{Autf}_L(M^*)$ we need to prove the following counterpart of Lemma 4.12.

Lemma 4.17. $\text{Autf}_L(M^*) \leq \tilde{H}_{\text{top}}^{000} := \{\sigma|_{M^*} : \sigma \in H \cap G_{\text{top}}^{**000}\} \leq \text{Aut}(M^*)$.

Proof. As in the first paragraph of the proof of Lemma 4.11, we see that $\tilde{H}_{\text{top}}^{000}$ is a normal, bounded index subgroup of $\text{Aut}(M^*)$.

Consider the orbit equivalence relation E of $\tilde{H}_{\text{top}}^{000}$ on the sort of \bar{m} in M^* , where \bar{m} is an enumeration of M . We get that E is bounded and invariant.

Take any $f \in \text{Autf}_L(M^*)$. Then f fixes \bar{m}/E , so there is $\sigma \in \tilde{H}_{\text{top}}^{000}$ such that $f(\bar{m}) = \sigma(\bar{m})$, i.e. $(\sigma^{-1}f)(\bar{m}) = \bar{m}$. By Lemma 4.10, choose $\sigma', f' \in H$ such that $\sigma'|_{M^*} = \sigma$ and $f'|_{M^*} = f$. Then $(\sigma'^{-1}f')(\bar{m}) = \bar{m}$, so $\sigma'^{-1}f' \in H \cap G_{\text{top}}^{**000}$, so $\sigma^{-1}f \in \tilde{H}_{\text{top}}^{000}$. Since $\sigma \in \tilde{H}_{\text{top}}^{000}$, we conclude that $f \in \tilde{H}_{\text{top}}^{000}$. \square

So, ρ' factors through $\text{Autf}_L(M^*)$ and yields a group homomorphism

$$\rho: \text{Aut}(M^*)/\text{Autf}_L(M^*) \rightarrow G^{**}/G_{\text{top}}^{**000}.$$

This will be the required ρ (note that $G^{**}/G_{\text{top}}^{**000}$ naturally identifies with $G^*/G_{\text{top}}^{*000}$). From the explicit definitions of θ and ρ , we immediately get that the diagram from the introduction commutes:

$$\begin{array}{ccc}
\text{Aut}(M^*)/\text{Autf}_L(M^*) & \xrightarrow{h} & \text{Aut}(M^*)/\text{Autf}_{Sh}(M^*) \\
\downarrow \rho & & \downarrow \theta \\
G^{**}/G^{**000}_{\text{top}} & \longrightarrow & G^{**}/G^{**00}_{\text{top}},
\end{array}$$

So, in order to finish the proof of Theorem 0.7, it remains to show

Lemma 4.18. *ρ is a group isomorphism.*

Proof. We are going to use Proposition 2.18, or rather the comment right below the proof of this proposition which says that the map

$$F: S_G(\mathcal{M}) \rightarrow G^{**}/G^{**000}_{\text{top}}$$

given by $F(p) = \sigma/G^{**000}_{\text{top}}$ for any $\sigma \models p$ is the initial object in the category \mathcal{C} of maps $S_G(\mathcal{M}) \rightarrow L$, where L is a group, induced by homomorphisms $G^{**} \rightarrow L$ trivial on μ .

Consider $f: G^{**} \rightarrow \text{Aut}(M^{**})/\text{Autf}_L(M^{**})$ given by $f(\sigma) = \bar{\sigma}/\text{Autf}_L(M^{**})$, where $\bar{\sigma} \in \text{Aut}(M^{**})$ is the automorphism of M^{**} induced by $\sigma \in G^{**}$.

Claim 4. i) If $\sigma, \tau \in G^{**}$ have the same type over M , then $f(\sigma) = f(\tau)$.
ii) $f[\mu] = \{\text{id}/\text{Autf}_L(M^{**})\}$.

Proof. i) By assumption, there is $s \in \text{Aut}(M^{**}/M)$ such that $s(\sigma) = \tau$. Then $s|_{M^{**}} \in \text{Aut}(M^{**}/M)$, so $s|_{M^{**}} \in \text{Autf}_L(M^{**})$, and

$$(s|_{M^{**}} \circ \bar{\sigma})(\bar{m}) = s(\bar{\sigma}(\bar{m})) = s(\sigma\bar{m}) = s(\sigma)s(\bar{m}) = \tau\bar{m} = \bar{\tau}(\bar{m}),$$

where \bar{m} is an enumeration of M . Hence, $\bar{\sigma}/\text{Autf}_L(M^{**}) = \bar{\tau}/\text{Autf}_L(M^{**})$.

ii) follows from Remark 4.9. □

There is also a group isomorphism

$$g: \text{Aut}(M^*)/\text{Autf}_L(M^*) \rightarrow \text{Aut}(M^{**})/\text{Autf}_L(M^{**})$$

given by $g(\sigma/\text{Autf}_L(M^*)) = \sigma'/\text{Autf}_L(M^{**})$ for any $\sigma' \in \text{Aut}(M^{**})$ extending σ .

So, $g^{-1} \circ f: G^{**} \rightarrow \text{Aut}(M^*)/\text{Autf}_L(M^*)$ is a group homomorphism, which, by Claim 4, satisfies:

- if $\sigma, \tau \in G^{**}$ have the same type over M , then $(g^{-1} \circ f)(\sigma) = (g^{-1} \circ f)(\tau)$,
- $(g^{-1} \circ f)[\mu] = \{\text{id}/\text{Autf}_L(M^*)\}$.

Therefore, $g^{-1} \circ f$ induces a map $\widehat{g^{-1} \circ f}: S_G(\mathcal{M}) \rightarrow \text{Aut}(M^*)/\text{Autf}_L(M^*)$ which is an object of the category \mathcal{C} .

Claim 5. The following diagram commutes.

$$\begin{array}{ccc}
& \text{Aut}(M^*)/\text{Autf}_L(M^*) & \\
\widehat{g^{-1} \circ f} \nearrow & & \downarrow \rho \\
S_G(\mathcal{M}) & & \\
F \searrow & & \\
& G^{**}/G_{\text{top}}^{**000} &
\end{array}$$

Proof. Consider $p \in S_G(\mathcal{M})$ and take any $\sigma \in G^* \leq G^{**}$ such that $\sigma \models p$. Then $F(p) = \sigma/G_{\text{top}}^{**000}$. Let $\bar{\sigma} \in \text{Aut}(M^{**})$ be induced by σ . Then $f(\sigma) = \bar{\sigma}/\text{Autf}_L(M^{**})$, so $(g^{-1} \circ f)(\sigma) = \bar{\sigma}|_{M^*}/\text{Autf}_L(M^*)$. Hence, $\widehat{g^{-1} \circ f}(p) = \bar{\sigma}|_{M^*}/\text{Autf}_L(M^*)$. We conclude that

$$\rho(\widehat{g^{-1} \circ f}(p)) = \sigma/G_{\text{top}}^{**000} = F(p).$$

□

By Lemma 4.10, the function $g^{-1} \circ f$ is easily seen to be onto, so $\widehat{g^{-1} \circ f}$ is also surjective. Using this together with the observation that $\widehat{g^{-1} \circ f}$ is an object of \mathcal{C} , the first paragraph of this proof, and Claim 5, we get that ρ is an isomorphism. □

The proof of Theorem 0.7 has been completed. As was mentioned in the introduction, the original definition of G-compactness in [24] was stronger in the sense that naming any finite set of parameters was allowed. Now, we give an explanation that Theorem 0.7 is true even with this stronger definition.

So, take any finite subset A of M (by ω -categoricity, it is enough to consider parameters from M). Then $\text{Aut}(M/A)$ is the group of automorphisms of M with constants for members of A added to the language. Since the resulting theory is still ω -categorical and we already have proved Theorem 0.7 (with the weaker definition of G-compactness), it remains to show that $\text{Aut}(M/A)$ is amenable. Since this is an open subgroup of $\text{Aut}(M)$, it is enough to show the following

Fact 4.19. *Let G be a topological group and H an open subgroup. If G is amenable, then so is H .*

Fact 4.19 is well-known for locally compact groups (as then any closed subgroup of an amenable group is amenable). It is probably also folklore for arbitrary topological groups, but we do not know the exact reference, so we explain how to prove it. One should use an equivalent definition of amenability in terms of the existence of a mean on all real valued, bounded, left uniformly continuous functions on G (see [12, Chapter III.3]) and note that the proof of Theorem 1.2.5 from [13] (which concerns the case when G is discrete) goes through in our context (the assumption that H is open is needed to know that Tf from that proof is left uniformly continuous).

We finish with the proof of Corollary 0.8.

Proof of Corollary 0.8. If $\text{Aut}(M)$ is extremely amenable, Proposition 0.6 together with Lemma 4.18 imply that $\text{Gal}_L(T)$ is trivial. Thus, by ω -categoricity, it remains to check that extreme amenability of $\text{Aut}(M)$ is preserved under naming finitely many parameters from M . So, as in above discussion, it is enough to show the following counterpart of Fact 4.19.

Fact 4.20. *An open subgroup H of an extremely amenable topological group G is extremely amenable.*

Proof. We will use Pestov's characterization of extreme amenability in terms of generic sets [30, Theorem 8.1]: G is extremely amenable if and only if for any left generic subset S of G , SS^{-1} is dense in G .

Take any left generic subset S of H . We want to show that SS^{-1} is dense in H . Choose any set R of representatives of right costs of H in G . Consider $S' := SR$. We see that S' is generic in G (witnessed by left translates by the elements witnessing genericity of S in H). So, by the extreme amenability of G and Pestov's characterization, we get that $S'S'^{-1}$ is dense in G . But $S'S'^{-1} \cap H = SS^{-1}$ and H is open. Thus, we conclude that SS^{-1} is dense in H , and we are done. \square

The proof of Corollary 0.8 has been completed. \square

Remark 4.21. We give a direct account of Corollary 0.8, which also shows that the Lascar equivalence relation is realized in one step. First, deduce from extreme amenability of $\text{Aut}(M)$ that every complete type over \emptyset has an extension to a complete type over M which is $\text{Aut}(M)$ -invariant. Now, suppose b and c are finite tuples (without loss from M) with the same type over \emptyset . Then for every complete type q over \emptyset there is a realization m of q with $\text{tp}(b/m) = \text{tp}(c/m)$ (choose m to realize the invariant extension of q over M). By compactness, we can find a model M_0 such that $\text{tp}(b/M_0) = \text{tp}(c/M_0)$, whence b and c have the same Lascar strong type over \emptyset . By compactness, the observation that $a \equiv b$ implies $a \equiv_{M_0} b$ for some model M_0 (and so a and b have the same Lascar strong type over \emptyset) also holds for all infinite tuples a, b . Therefore, $\text{Gal}_L(T)$ is trivial. Using Fact 4.20, we get that the same is true over any finite set of parameters, so T is G-trivial.

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