



# Physics with Electrons and Photons at the CMS experiment

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# The CMS Collaboration



37 Countries, 155 Institutes, 2000 scientists (including about 400 students) October 2006

## TRIGGER, DATA ACQUISITION & OFFLINE COMPUTING

Austria, Brazil, CERN, Finland, France, Greece, Hungary, Ireland, Italy, Korea, Poland, Portugal, Switzerland, UK, USA

## TRACKER

Austria, Belgium, CERN, Finland, France, Germany, Italy, Japan\*, Mexico, New Zealand, Switzerland, UK, USA

## CRYSTAL ECAL

Belarus, CERN, China, Croatia, Cyprus, France, Italy, Japan\*, Portugal, Russia, Serbia, Switzerland, UK, USA

## PRESHOWER

Armenia, CERN, Greece, India, Russia, Taiwan

## RETURN YOKE

Barrel: Czech Rep., Estonia, Germany, Greece, Russia  
Endcap: Japan\*, USA

## SUPERCONDUCTING MAGNET

All countries in CMS contribute to Magnet financing in particular:  
Finland, France, Italy, Japan\*, Korea, Switzerland, USA

## FEET

Pakistan  
China

## FORWARD CALORIMETER

Hungary, Iran, Russia, Turkey, USA

## HCAL

Barrel: Bulgaria, India, Spain\*, USA  
Endcap: Belarus, Bulgaria, Georgia, Russia, Ukraine, Uzbekistan  
HO: India

## MUON CHAMBERS

Barrel: Austria, Bulgaria, CERN, China, Germany, Hungary, Italy, Spain,  
Endcap: Belarus, Bulgaria, China, Colombia, Korea, Pakistan, Russia, USA

\* Only through industrial contracts

Total weight : 12500 T  
Overall diameter : 15.0 m  
Overall length : 21.5 m  
Magnetic field : 4 Tesla

March 24, 2008

Colin Jessop at Chicago

# Contents

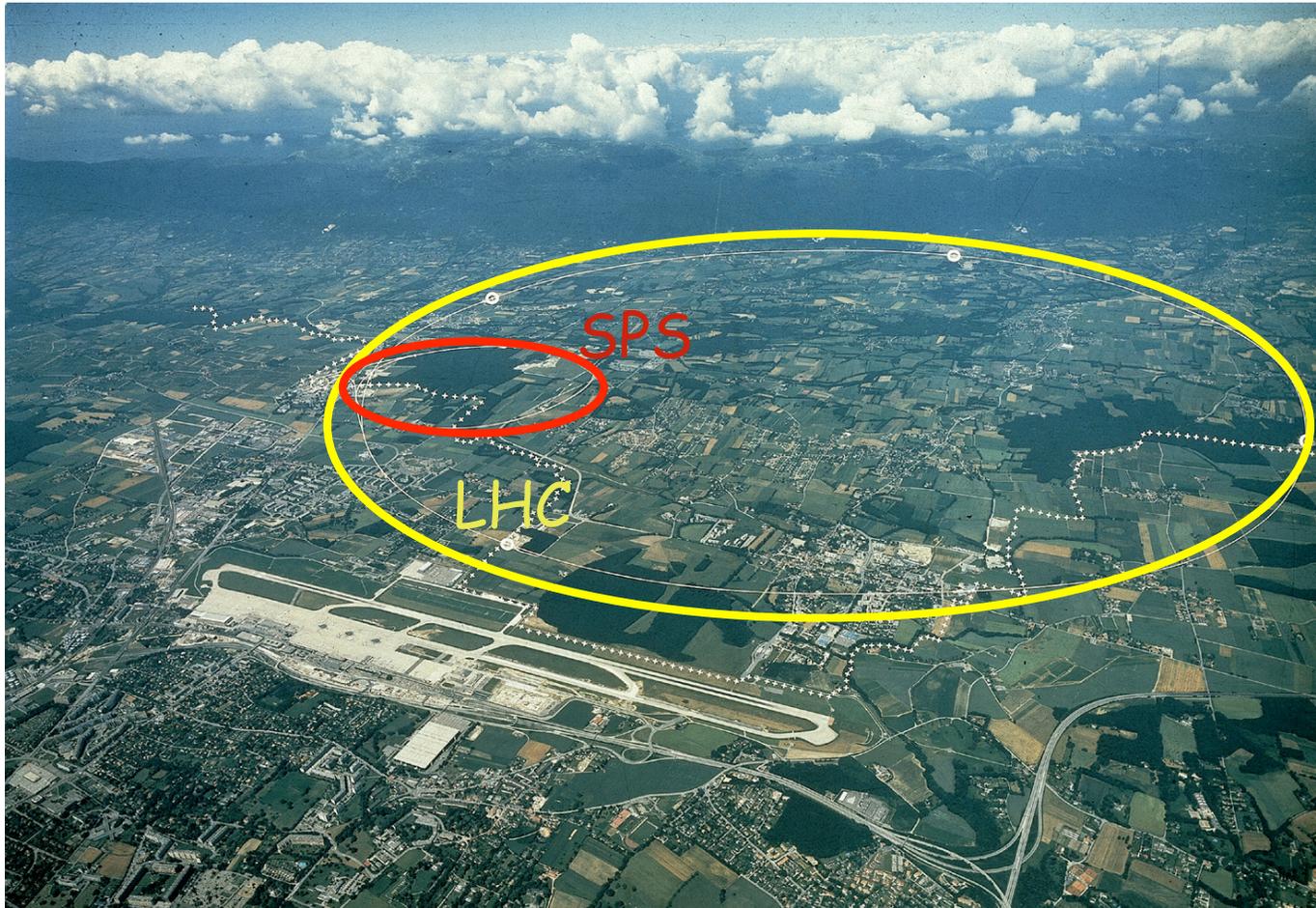
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- Motivation: Why  $e/\gamma$  are important to CMS program. What are the challenges.
- Brief revision of Energy Loss Mechanisms for electrons and photons
- Choice of ECAL technology. Construction and Current Status
- Reconstruction of Photons and Electrons illustrated with case studies of  $H \rightarrow \gamma\gamma$ ,  $H \rightarrow ZZ$

NB: My groups contributions are to  $e/\gamma$  reco software, ECAL commissioning and operation, testbeams, DAQ.

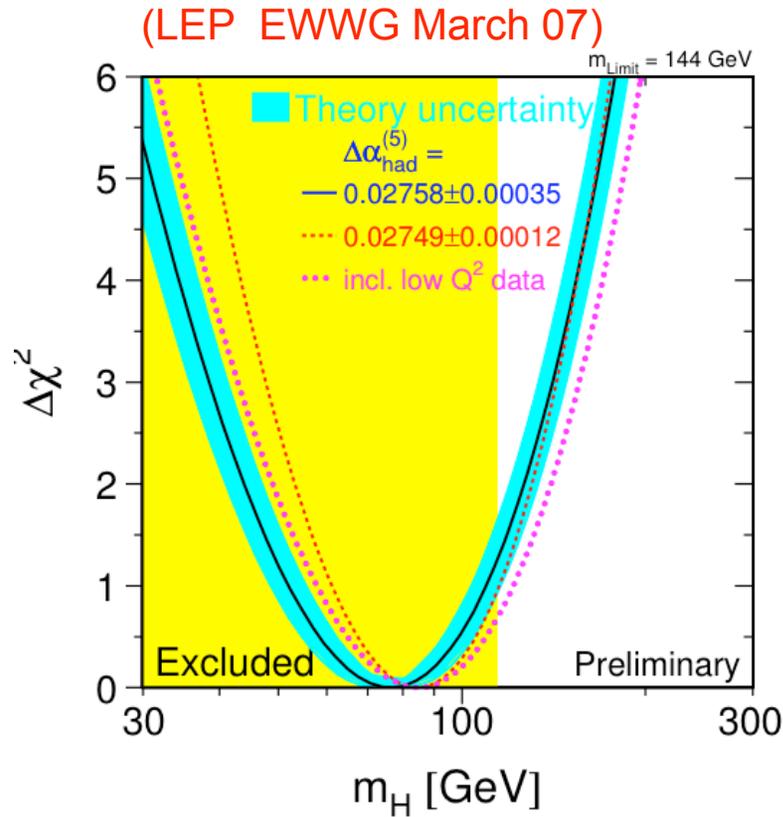
# Primary Goal of LHC



14 TeV pp  
 $L=10^{34} \text{ cm}^{-2} \text{ s}^{-1}$   
Effectively a  
high energy  
gluon collider

To Understand the Mechanism of Electroweak Symmetry Breaking - The Higgs

# Standard Model Higgs Constraints



95% Confidence Limits (Spring 2007)

$m_H > 114.4 \text{ GeV}$  (Direct Search)

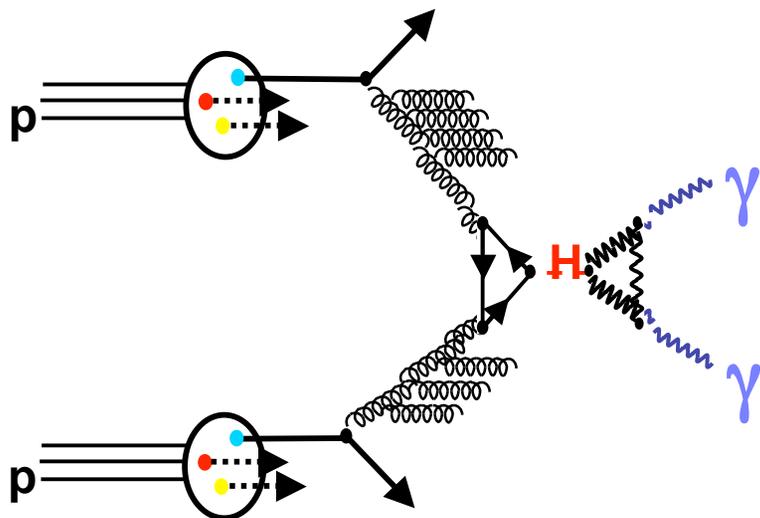
$m_H < 182 \text{ GeV}$  (Inferred from constraints on radiative corrections to measured  $M_W, M_t \dots$  + Direct search limit)

If the minimal standard model is correct expect a “low” mass Higgs ( $\sim 100$  to  $200 \text{ GeV}$ )

# Higgs Production and Decay

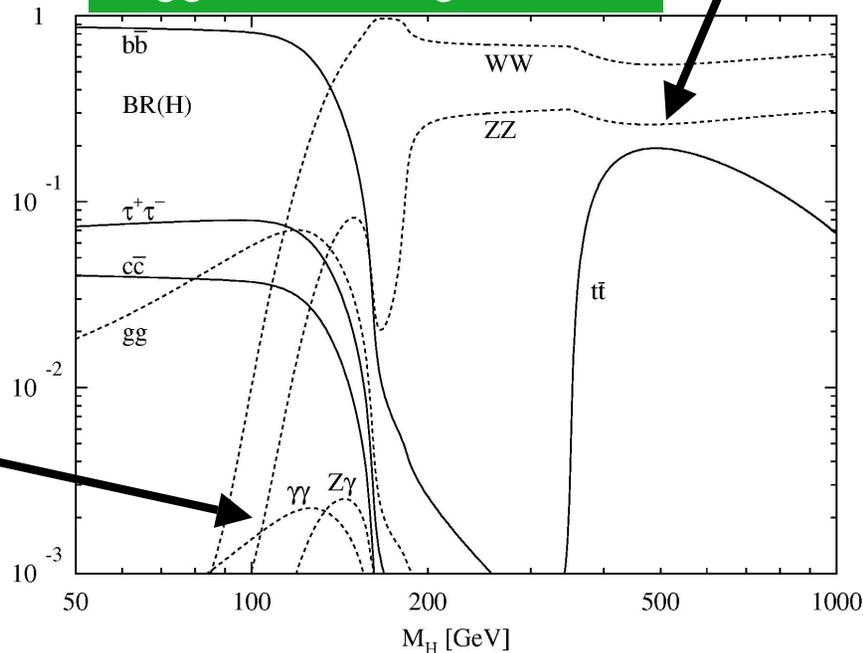


## Dominant Higgs Production Mechanism



H- $\rightarrow$ ZZ\*, Z- $\rightarrow$ e+e-

## Higgs Branching Fraction

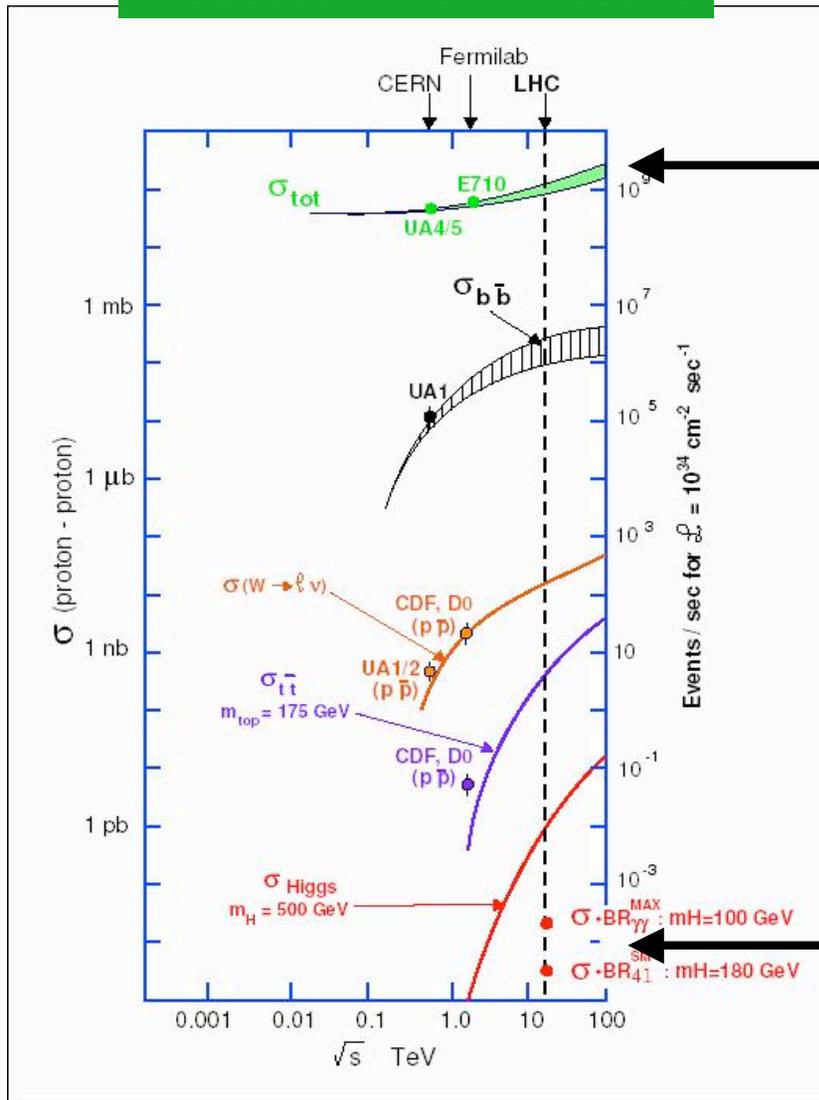


Br(H- $\rightarrow$  $\gamma\gamma$ )~0.1% but can fully Reconstruct this decay from the photons

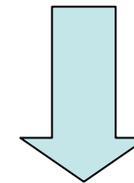
# The Challenge



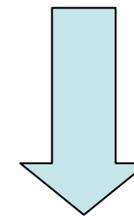
## Production Cross-sections



$\sigma_{\text{total}} \sim 100 \text{ mb}$



Find one event in  $10^{13}$



$\sigma \cdot \text{Br}(H \rightarrow \gamma\gamma) \sim 10^{-11} \text{ mb}$

# Backgrounds



Most of  $\sigma_{\text{total}}$  is due to jet production

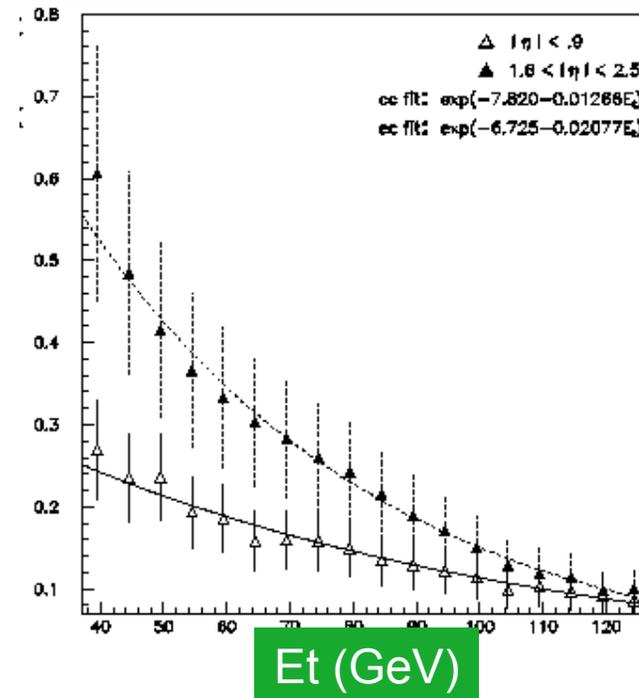
From D0 at Tevatron:

Probability Jet to fake photon  $\sim 1$  in  $10^4$

Jet to fake electron  $\sim 1$  in  $10^5$

Also backgrounds from real  $e/\gamma$  but these tend to be smaller and more manageable

Probability Jet fakes Photon  $\times 10^{-3}$



Need very selective trigger and excellent  $e/\gamma$  reconstruction capabilities and jet rejection

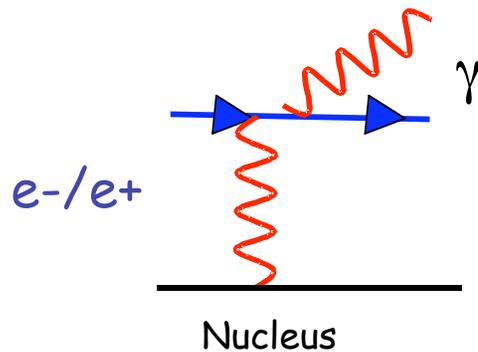


# Very Brief Revision of Electron/Photon energy loss in matter

# Electron/Positron Energy Loss in matter

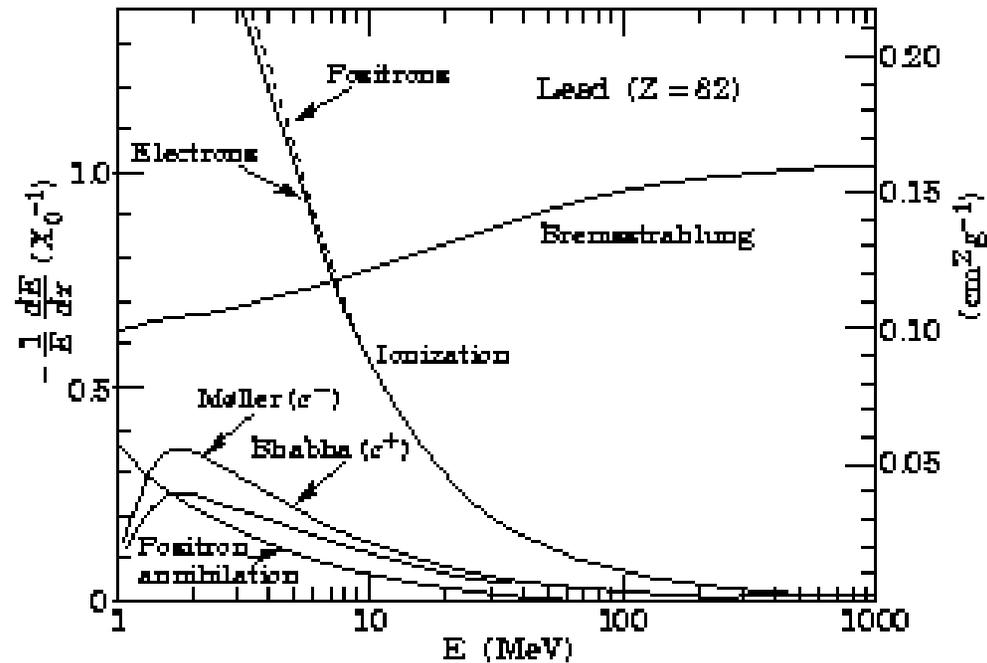


Correctly described by Bethe-Heitler Model



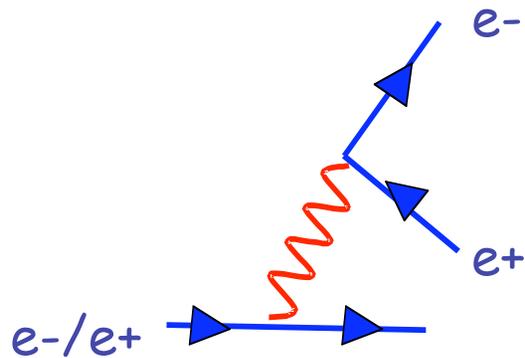
Bremstrahlung  
(radiation of photon)

$$\frac{dE}{dx} = -\frac{E}{X_0} \quad X_0 = \frac{180A}{Z^2}$$



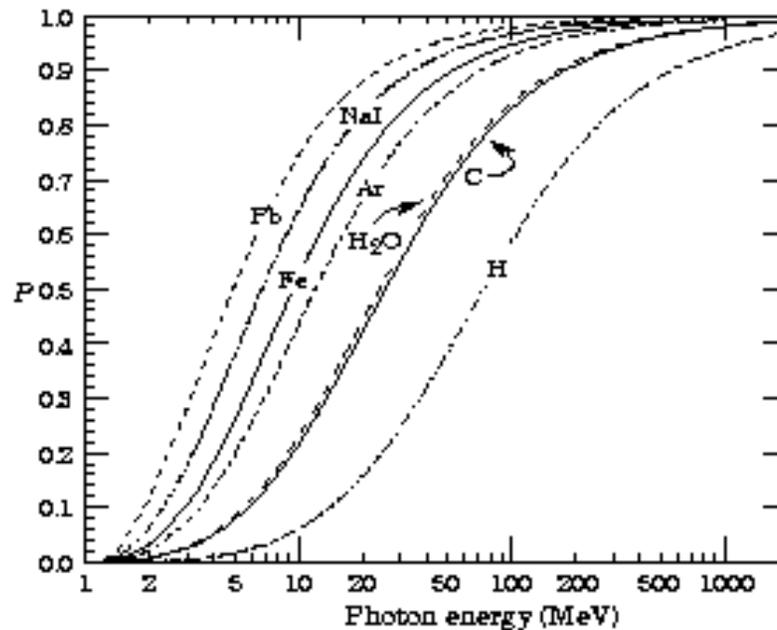
Electron energy loss primarily by Brem at  $E > E_c$  ( $\sim 20$  MeV) and ionization below. Brem Radiation probability depends on radiation length  $X_0$

# Photon Energy Energy Loss



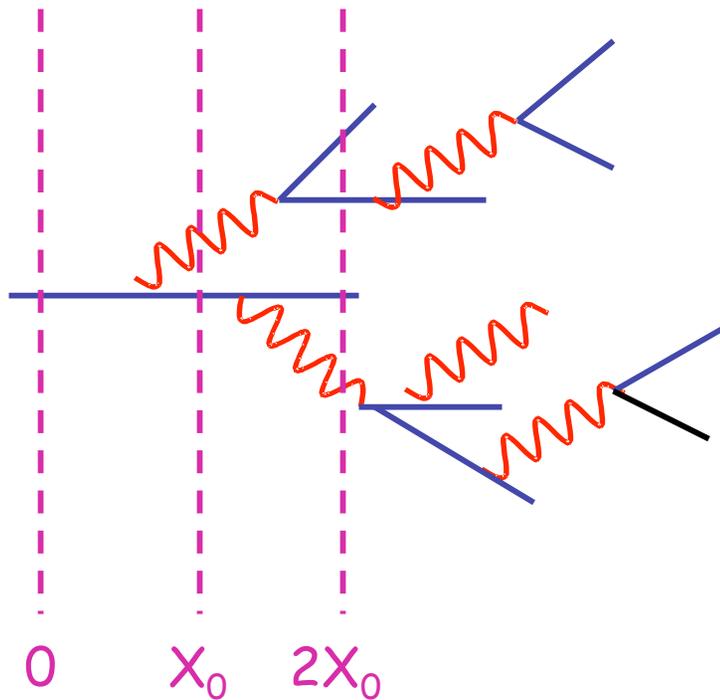
Pair Production

$P$ =probability of pair production



Photon energy loss primarily pair production at  $E > E_c$  ( $\sim 20$  MeV) and Compton Scattering below

# Brem+ Pair Production = Electromagnetic Showers



A reasonable model of this process:

1. Each electron  $E > E_c$  travels  $1 X_0$  and gives up 50%  $E$  to photon
2. Each photon travels  $1 X_0$  and pair produces with 50%  $E$  to each
3. Electrons with  $E < E_c$  lose energy by ionization

Can show that Max number of shower particles occurs at:  $X_{\max} \propto \ln\left(\frac{E_0}{E_c}\right)$

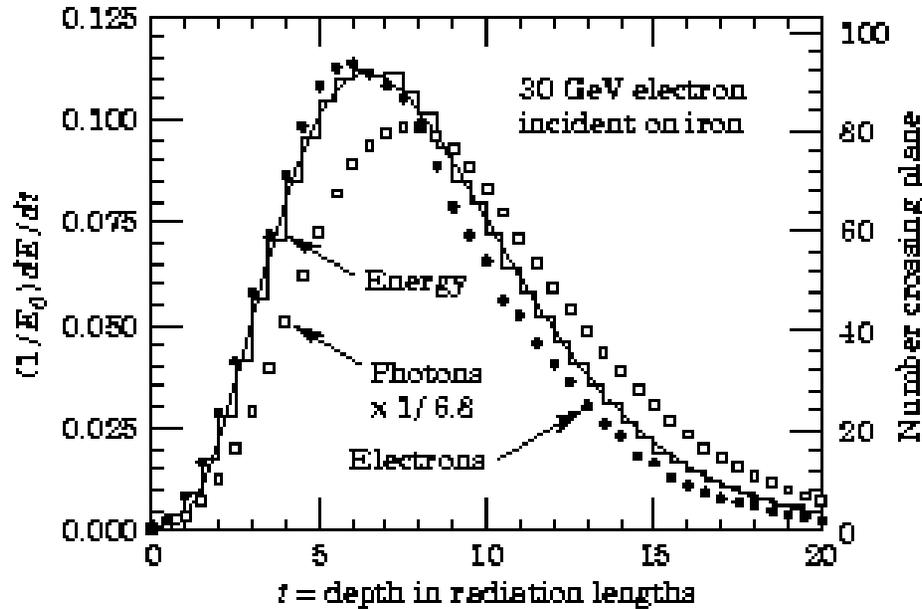
Total charged track length:  $L \propto \frac{E_0}{E_c}$

Measure Energy by measuring  $L$  with ionization or scintillation

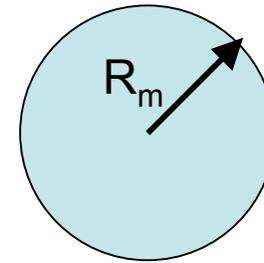
# Electromagnetic shower Profile



## Longitudinal Profile



## Lateral Profile



Moliere Radius:  $R_m \approx X_0$   
(from multiple scattering)

To contain >99% shower need depth of material  $\sim 25 X_0$

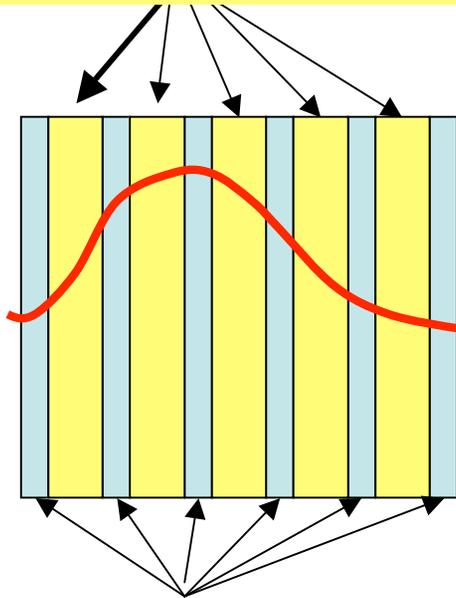
To measure lateral position accurately need segmentation  $\sim X_0$

# Sampling vs Total Absorption Calorimeter



## Sampling Calorimeter

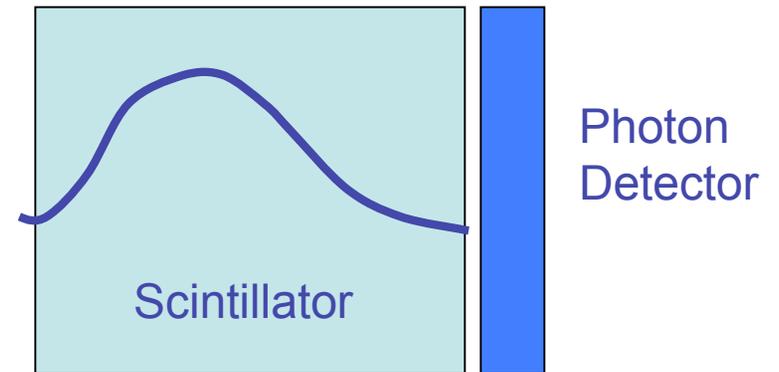
Lead- causes shower



Active Detector (ionization chamber or scintillator) to measure total track length  $L$

Cheap with poor resolution  
 $\sim 2.5\%$  for 100 GeV Photon

## Total absorption calorimeter



Scintillator both causes shower and is active detector

Expensive with good  
Resolution  $\sim 0.5\%$  at 100 GeV

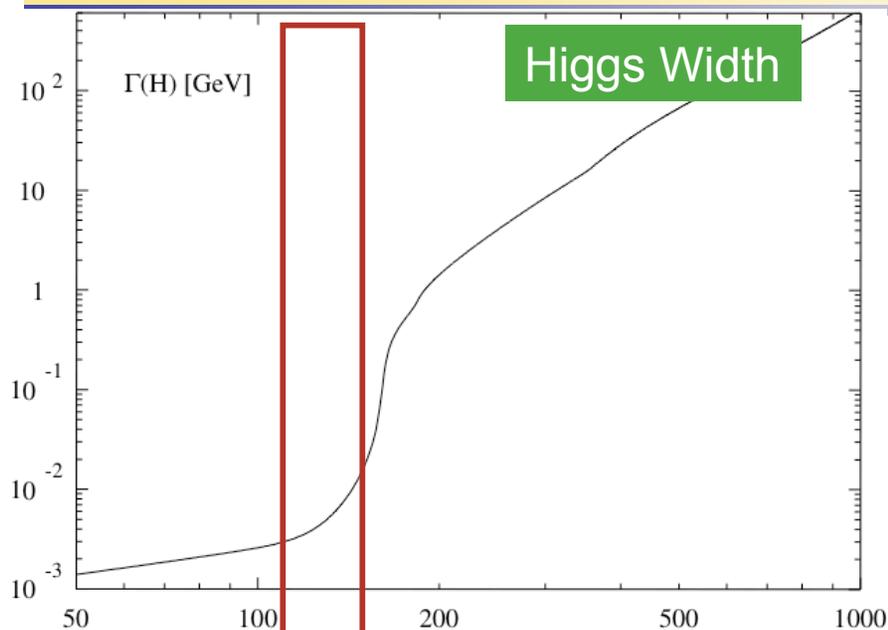


# CMS ECAL Technology Choice

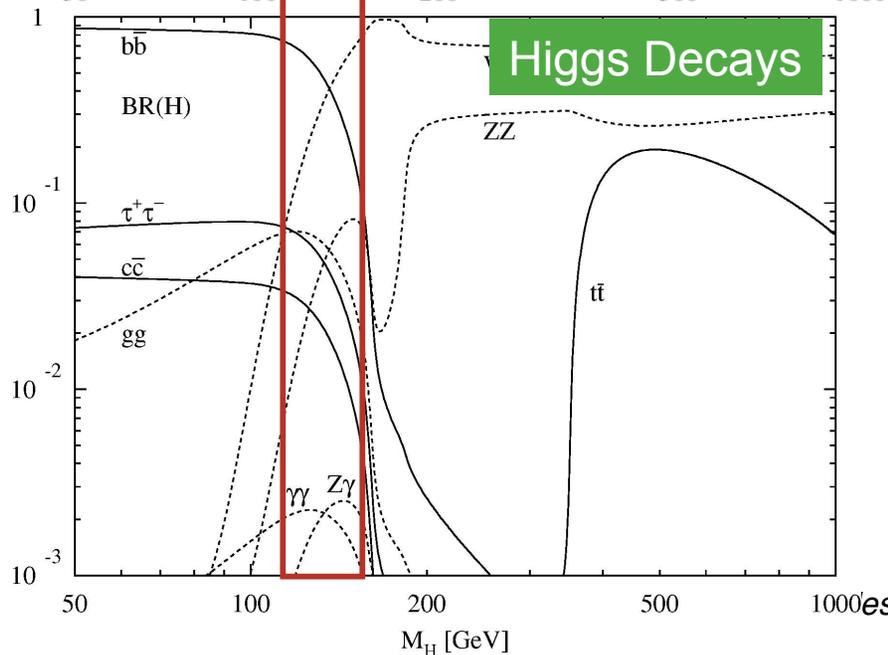
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# Higgs Width

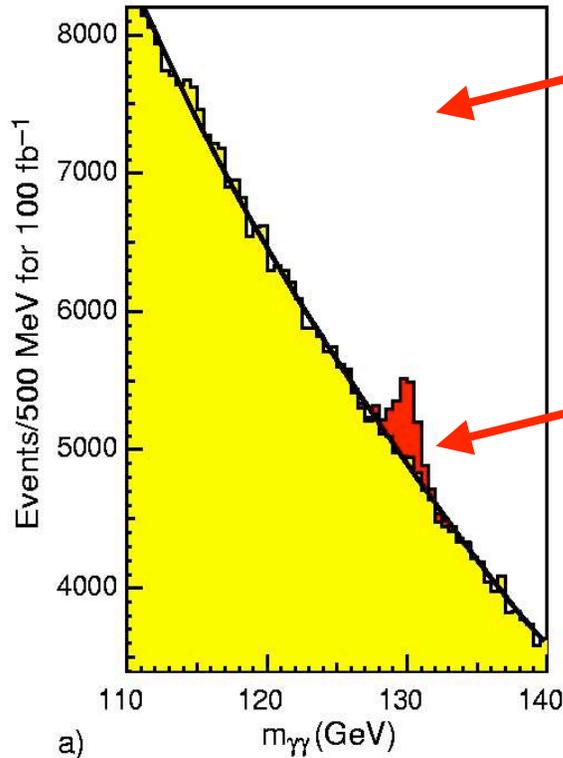


Less than 10 MeV ( 0.01% of  $M_H$ ) in  $H \rightarrow \gamma\gamma$  range



When reconstruct the resolution of  $M_H(\gamma\gamma)$  will be dominated by experimental resolution

# Reconstruction of $H \rightarrow \gamma\gamma$



Measure photons in ECAL and form invariant mass  $m_{\gamma\gamma}$

$$m_{\gamma\gamma} = \sqrt{2E_{\gamma 1}E_{\gamma 2}(1 - \cos\theta_{\gamma 1,\gamma 2})}$$

Width of peak determined by Energy resolution

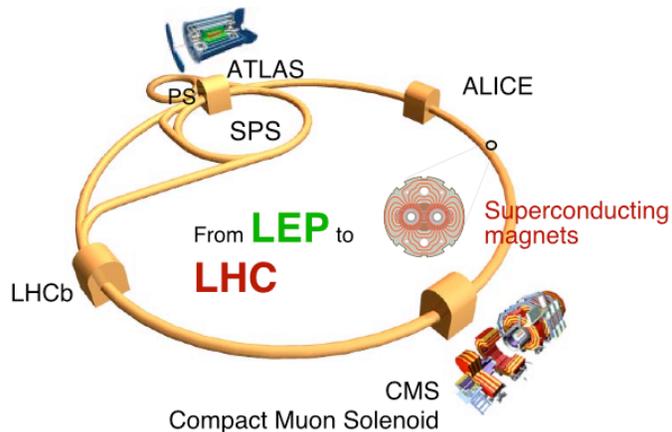
$$\frac{\Delta m_{\gamma\gamma}}{m_{\gamma\gamma}} = \frac{1}{2} \left[ \frac{\Delta E_{\gamma 1}}{E_{\gamma 1}} \oplus \frac{\Delta E_{\gamma 2}}{E_{\gamma 2}} \oplus \frac{\Delta\theta_{\gamma\gamma}}{\tan(\theta_{\gamma\gamma}/2)} \right]$$

(angular resolution also but limited by vertex resolution)

The significance of signal maximized by best possible energy resolution in calorimeter. Use total absorption calorimeter

(Note this plot for  $100 \text{ fb}^{-1} = \text{year 2012-2013}$ )

# The LHC Environment



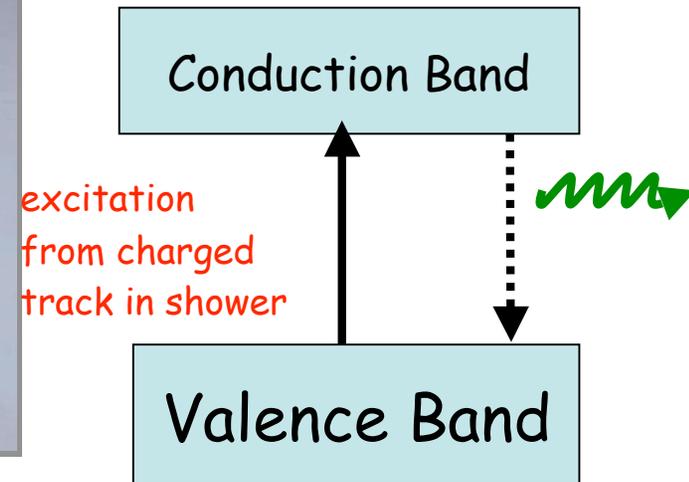
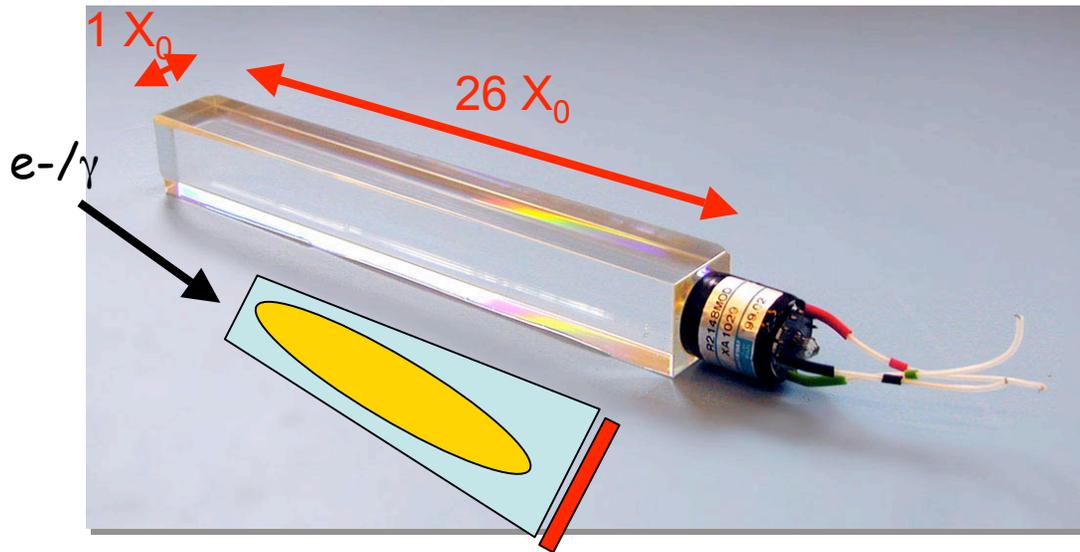
Year	Luminosity $\times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$	Integrated Luminosity $\text{fb}^{-1}$
2007	0.005	0.02
2008	0.03	1.2
2009	0.1	4
2010+	1.0	40

Bunch crossing rate : 40 MHz

Every 25 ns : up to 20 p-p interactions and up to 1000 charged particles

Need fast and highly segmented detectors to avoid pileup of events and detectors must be radiation tolerant

# Lead Tungstate ( $\text{PbWO}_4$ ) Scintillating Crystal

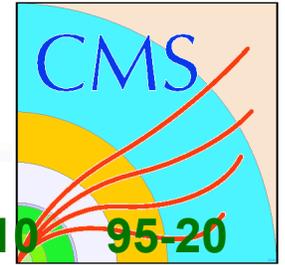


Very Dense ( $X_0 = 0.9$  cm) – it's a transparent lead brick

Single Crystal which emits fast green scintillation light

Crystal acts as optical waveguide and light internally reflected onto photo-detector

# Crystal Calorimeters in HEP

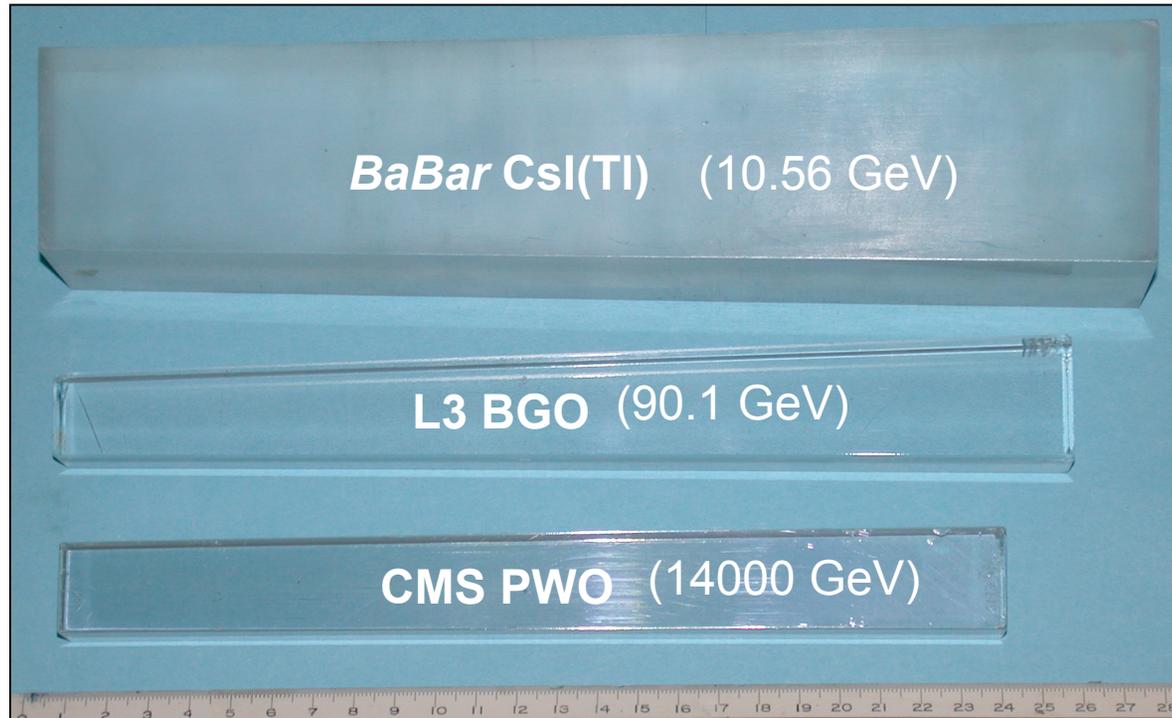


Date	75-85	80-00	80-00	80-00	90-10	94-10	94-10	95-20
Experiment	C. Ball	L3	CLEO II	C. Barrel	KTeV	<i>BaBar</i>	BELLE	CMS
Accelerator	SPEAR	LEP	CESR	LEAR	FNAL	SLAC	KEK	CERN
Crystal Type	NaI(Tl)	BGO	CsI(Tl)	CsI(Tl)	CsI	CsI(Tl)	CsI(Tl)	PbWO <sub>4</sub>
B-Field (T)	-	0.5	1.5	1.5	-	1.5	1.0	4.0
$r_{inner}$ (m)	0.254	0.55	1.0	0.27	-	1.0	1.25	1.29
Number of Crystals	672	11,400	7,800	1,400	3,300	6,580	8,800	76,000
Crystal Depth ( $X_0$ )	16	22	16	16	27	16 to 17.5	16.2	25
Crystal Volume (m <sup>3</sup> )	1	1.5	7	1	2	5.9	9.5	11
Light Output (p.e./MeV)	350	1,400	5,000	2,000	40	5,000	5,000	2
Photosensor	PMT	Si PD	Si PD	WS <sup>a</sup> +Si PD	PMT	Si PD	Si PD	APD <sup>a</sup>
Gain of Photosensor	Large	1	1	1	4,000	1	1	50
$\sigma_N$ /Channel (MeV)	0.05	0.8	0.5	0.2	small	0.15	0.2	40
Dynamic Range	10 <sup>4</sup>	10 <sup>5</sup>	10 <sup>4</sup>	10 <sup>4</sup>	10 <sup>4</sup>	10 <sup>4</sup>	10 <sup>4</sup>	10 <sup>5</sup>

CMS: High Granularity to decrease occupancy but increases cost ( ~\$80-100 M)

PbWO is fast and radiation hard but has low light yield

# Crystal Density: Radiation Length



Full Size Crystals:  
*BaBar CsI(Tl):*  $16 X_0$   
*L3 BGO:*  $22 X_0$   
*CMS PWO(Y):*  $25 X_0$

CMS Crystals: ( $X_0=0.9\text{cm}$ ) 23cm in length

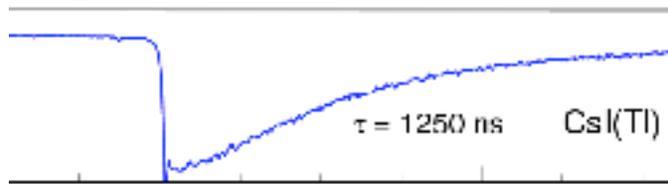
Transverse size of CMS crystals  $\sim 2.2 \text{ cm} \times 2.2 \text{ cm}$  (Moliere Radius = 2.2 cm)

# Fast Scintillation to reduce Pileup

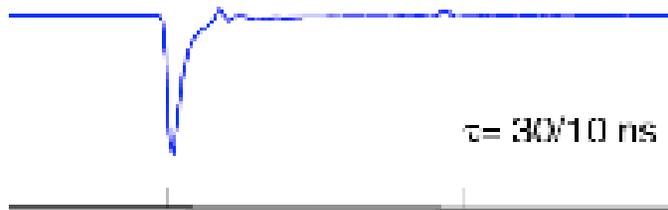


## Comparison of Signal Pulse from Crystals

CsI(Tl)  
BaBar



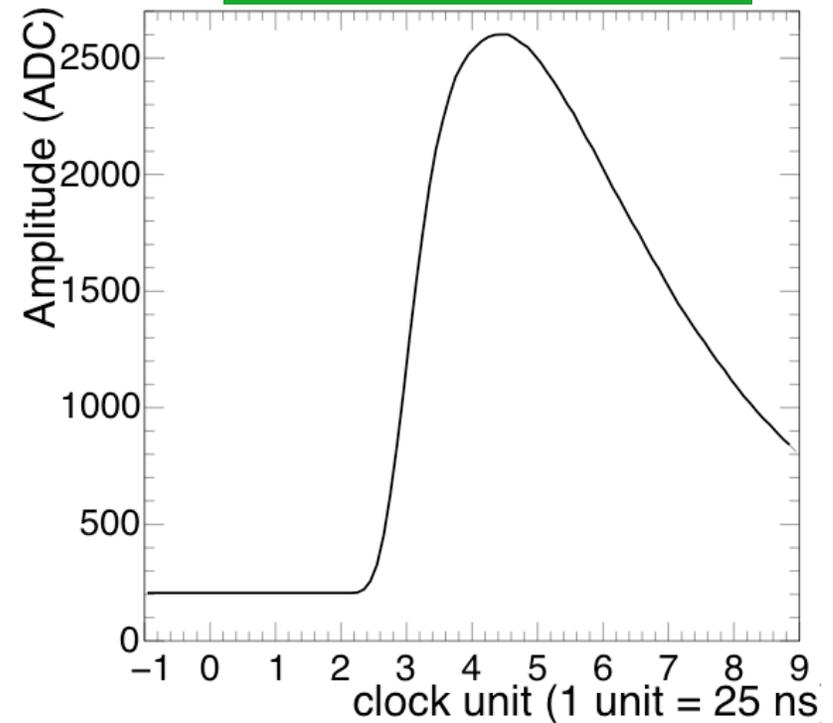
PbWO  
CMS



Pileup reduced by fast pulse, granularity.

Effects of pileup reduced to negligible with digital filtering of 10 sample (25ns each) Window.

## CMS Sampling window



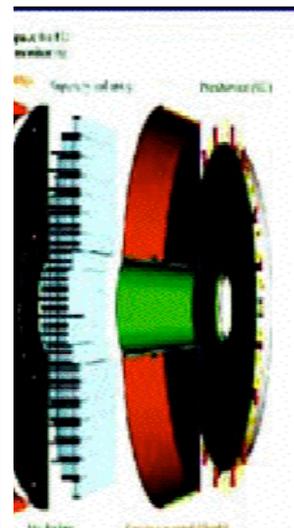
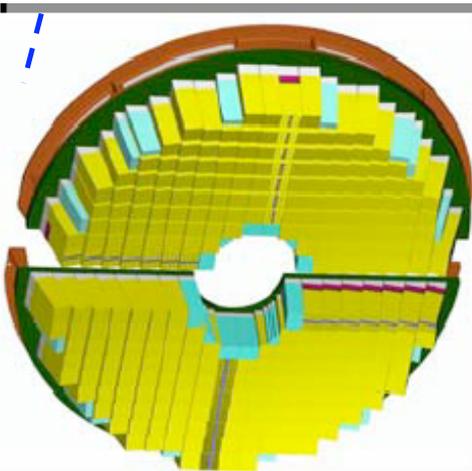
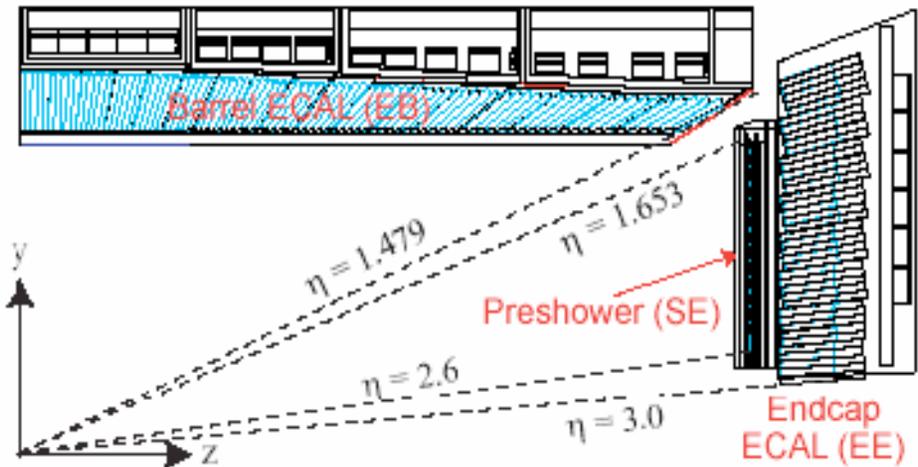
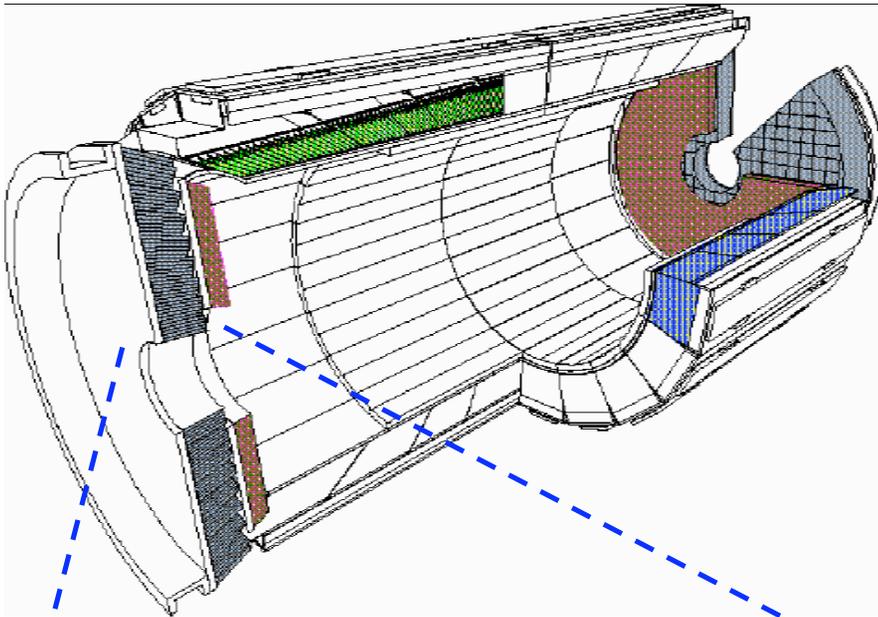


# CMS ECAL Construction and Status

*March 24th, 2008*

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# The ECAL

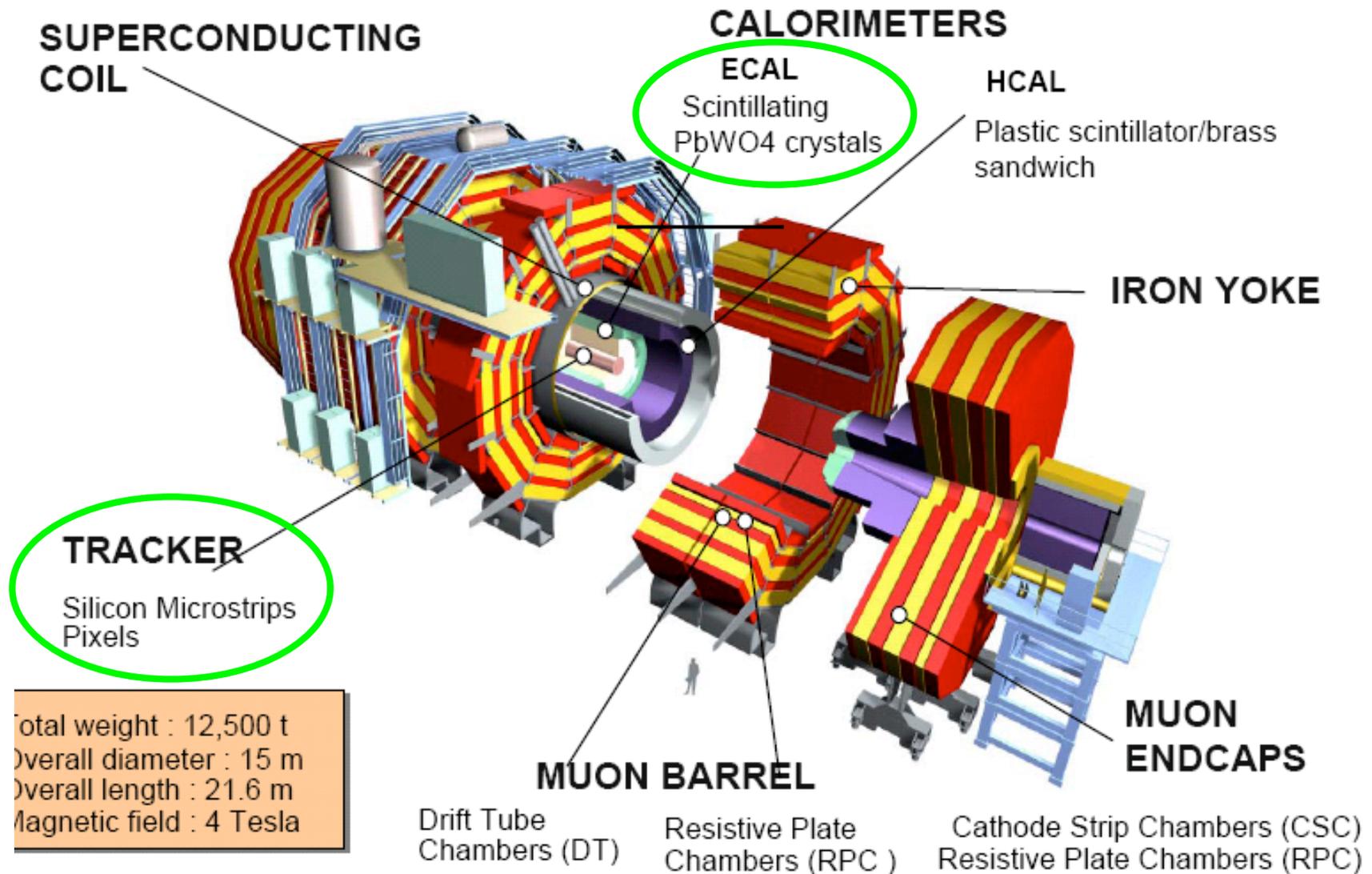
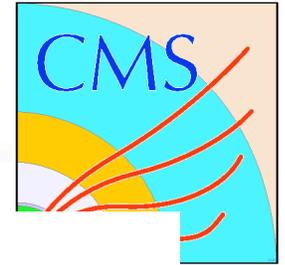


Parameter	Barrel	Endcap
$\eta$ Coverage	$ \eta  < 1.48$	$1.48 <  \eta  < 3.0$
Granularity ( $\Delta\eta \times \Delta\phi$ )	$0.0175 \times 0.0175$	varies in $\eta$
Crystal dim ( $\text{cm}^3$ )	$2.18 \times 2.18 \times 23$	$2.85 \times 2.85 \times 22$
Depth in $X_0$	25.8	$24.7(+3)$
No. of crystals	61.2 K	14.9K
Modularity	36 supermodules	4Dees

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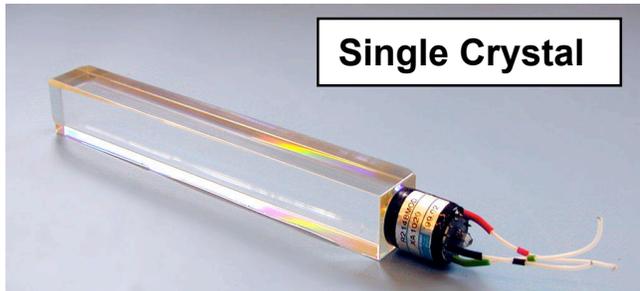
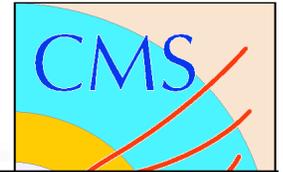
# The CMS experiment



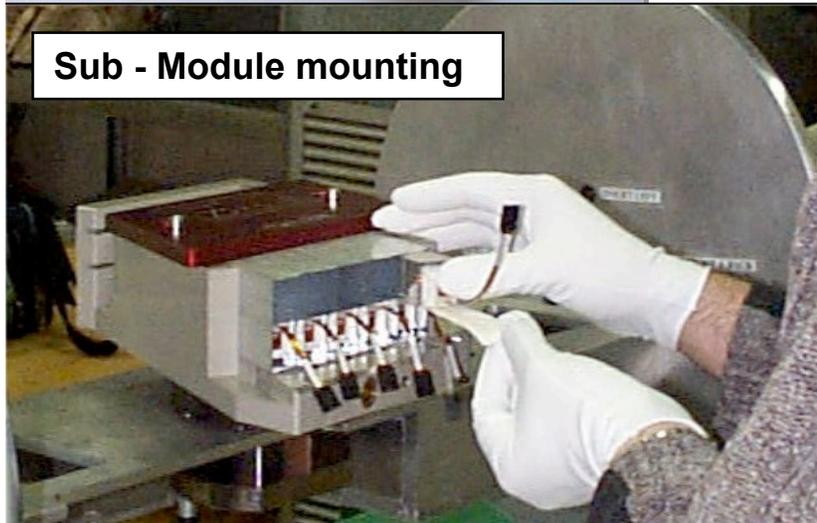
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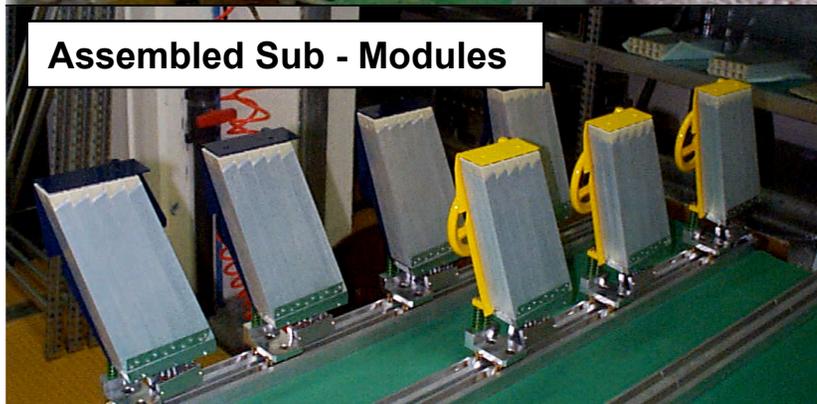
# ECAL Crystal Matrix Production



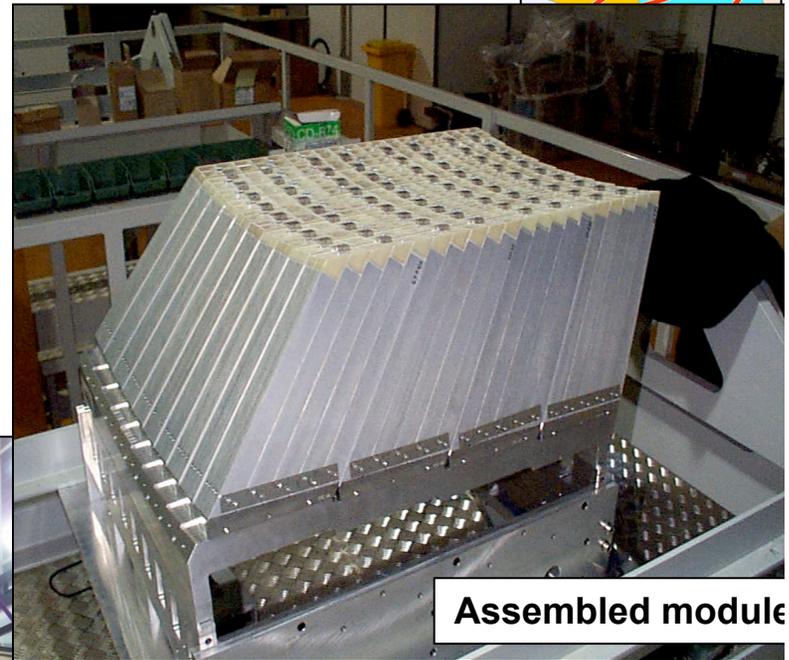
Single Crystal



Sub - Module mounting



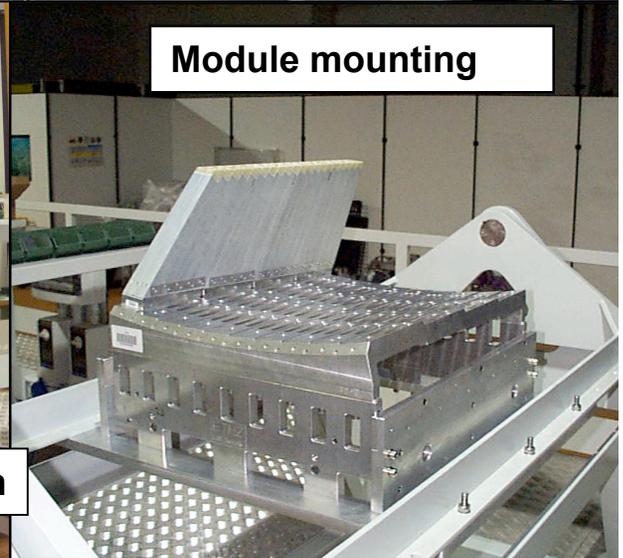
Assembled Sub - Modules



Assembled module



Free mounting bench

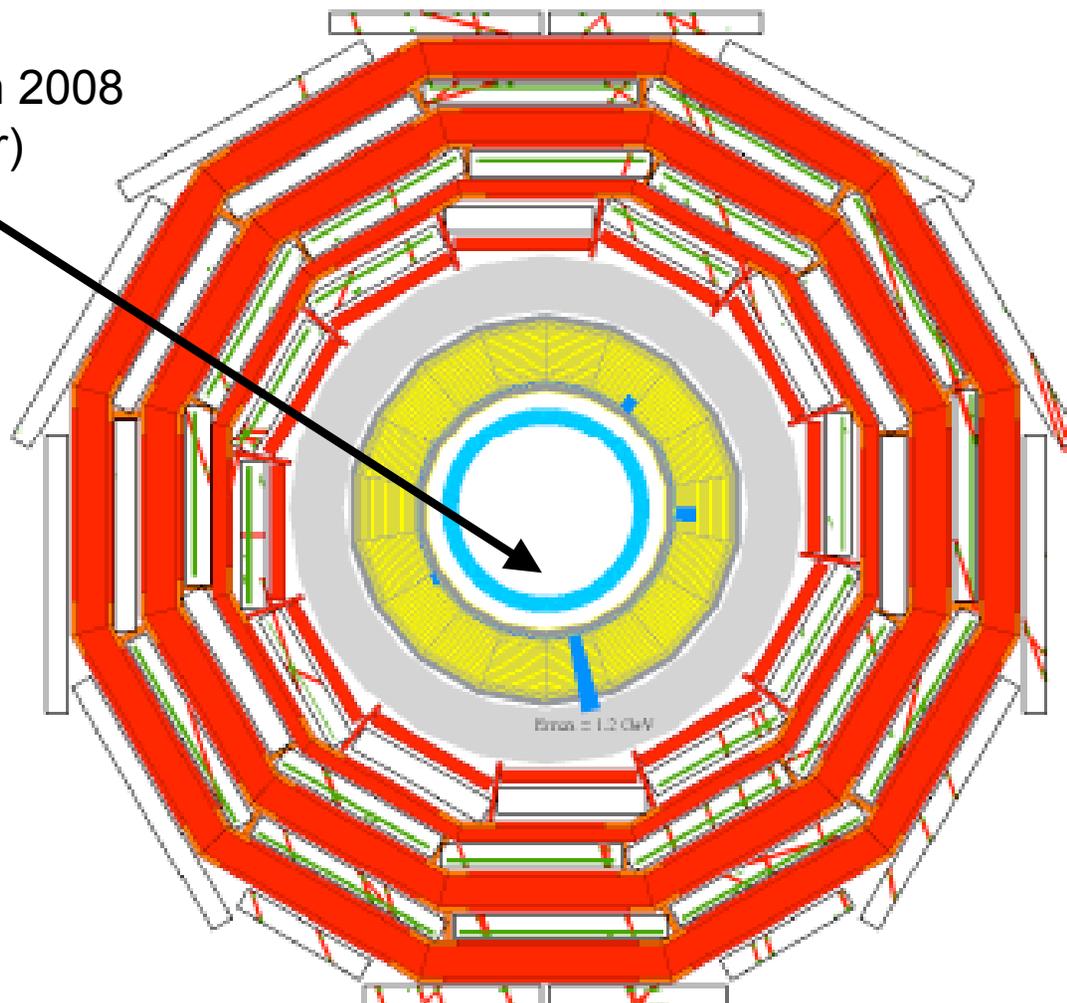


Module mounting

# Current Status-I

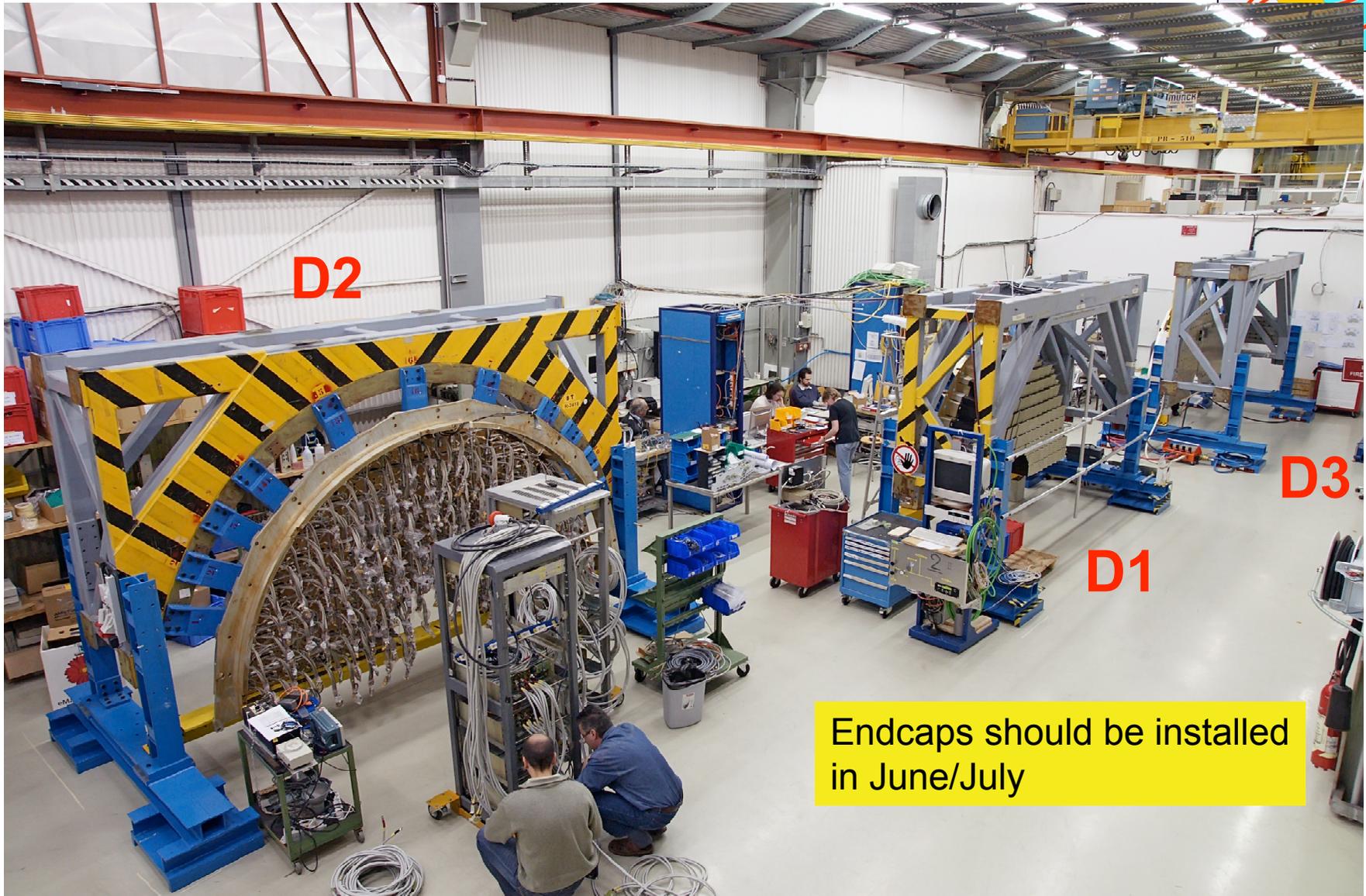


Barrel Installed  
Cosmics in Jan 2008  
(with no tracker)



Cosmics with  
Tracker in  
May/June 2008

# Current Status-II

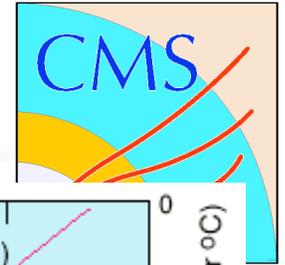


Endcaps should be installed in June/July

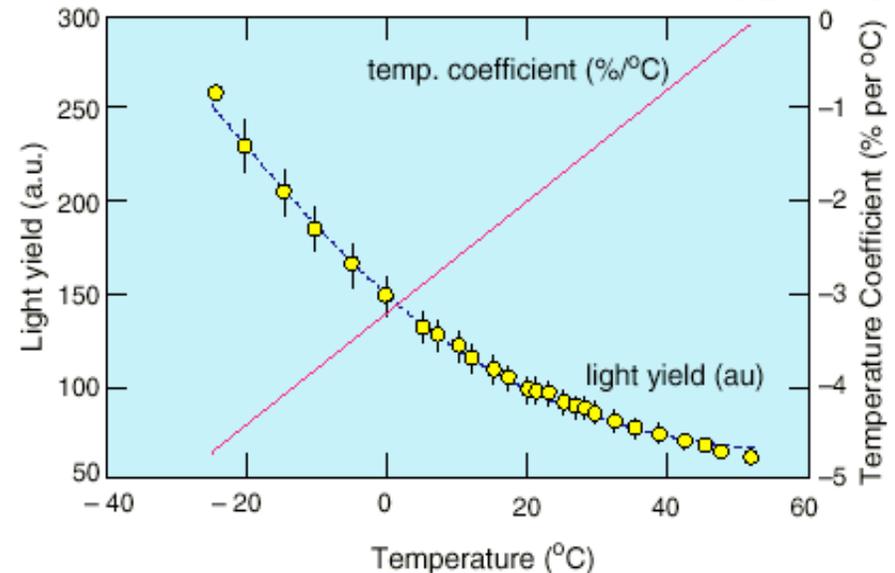
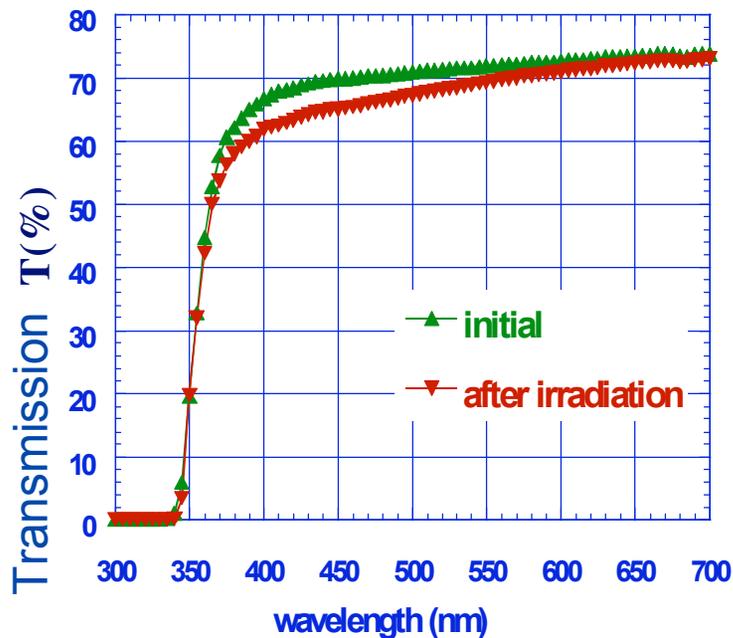
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# Lead Tungstate Properties



Radiation resistant to very high doses.



**But:**

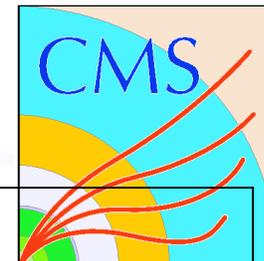
Temperature dependence  $\sim 2.2\%/^{\circ}\text{C}$   
→ Stabilise Crystal Temp. to  $\leq 0.1^{\circ}\text{C}$

Formation and decay of colour centres  
in dynamic equilibrium under irradiation  
→ Precise light monitoring system

Low light yield ( $\sim 1\%$  NaI)

→ Photodetectors with gain in mag field

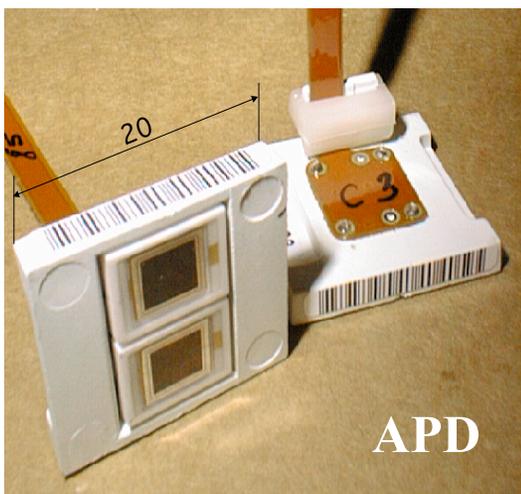
# Specially Developed Photodetectors



**Barrel : Avalanche photodiodes**

Two 5x5 mm<sup>2</sup> APDs/crystal

- Gain: 50    QE: ~80%
- Temperature dependence: -2.4%/°C

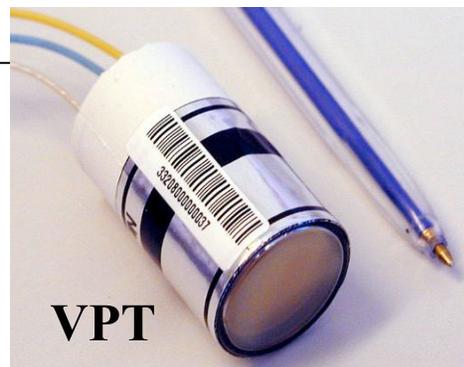


APD

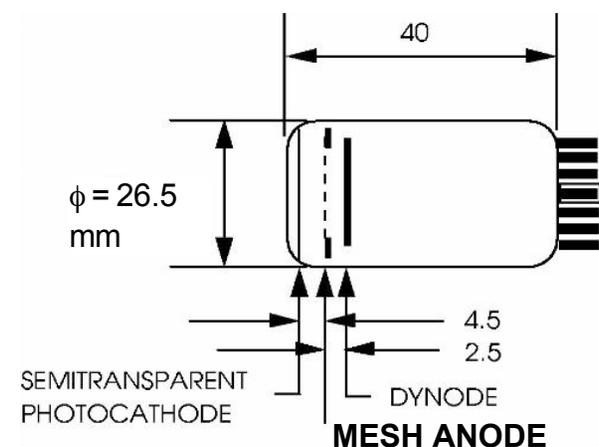
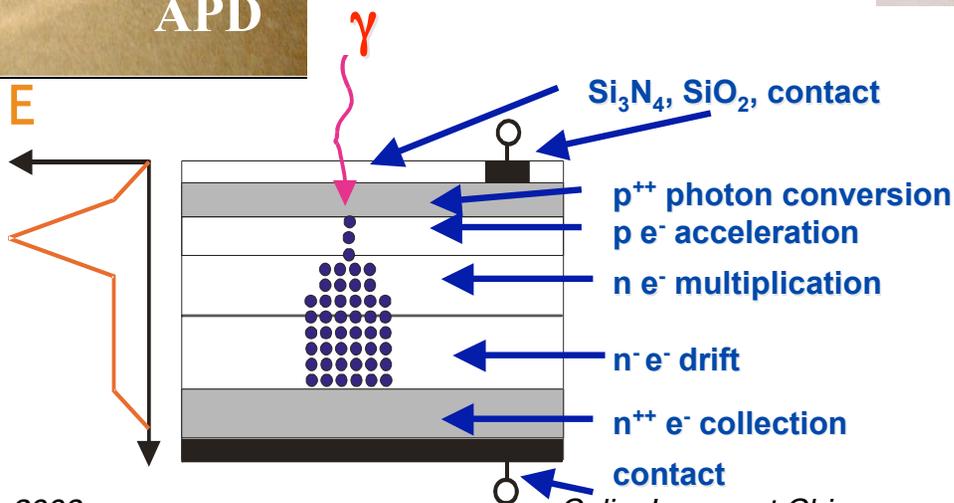
**Endcaps: Vacuum phototriodes**

More radiation resistant than Si diodes  
(with UV glass window)

- Active area ~ 280 mm<sup>2</sup>/crystal
- Gain 8 - 10 at B = 4 T    Q.E. ~ 20% at 420 nm



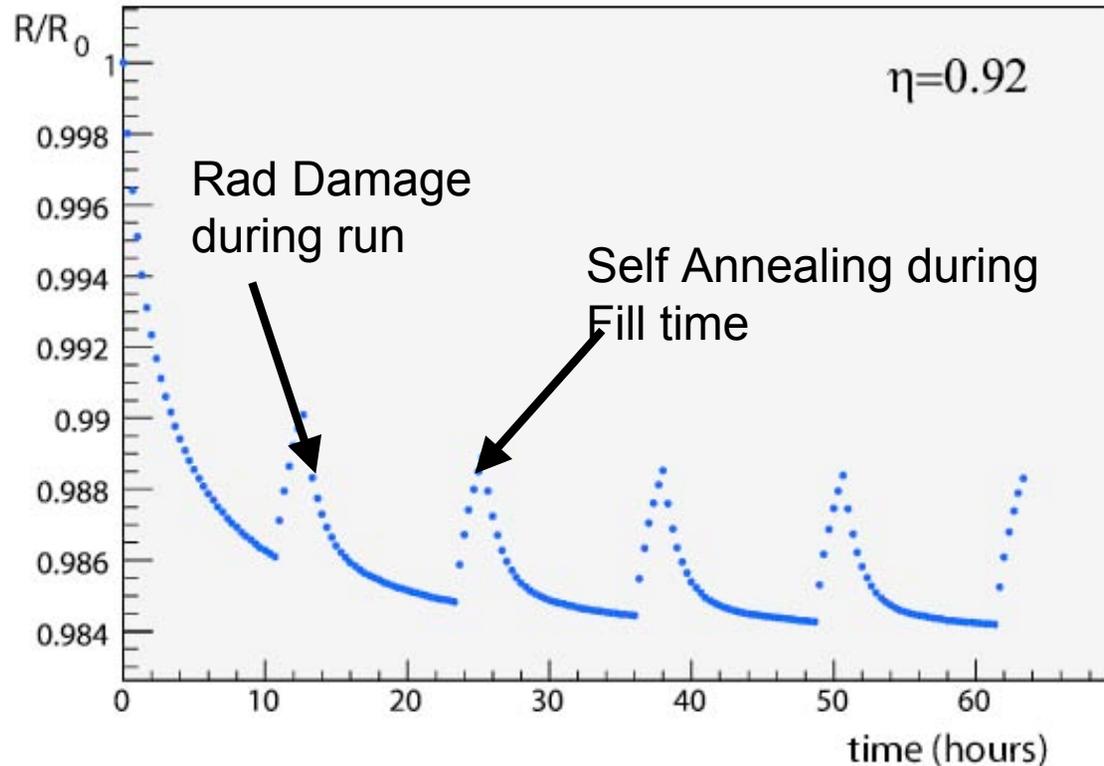
VPT



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# Monitoring and Calibration



Transparency changes from 1-2% (Barrel) to > 10% (endcap) over course of a run

Precision Laser Monitoring System essential to avoid Severe resolution degradation

In situ Calibration from  $W \rightarrow e\nu$ ,  $\pi^0 \rightarrow \gamma\gamma$ ,  $Z^0 \rightarrow e^+e^-$ ,  $Z \rightarrow \mu\mu\gamma$  essential to Achieve design performance

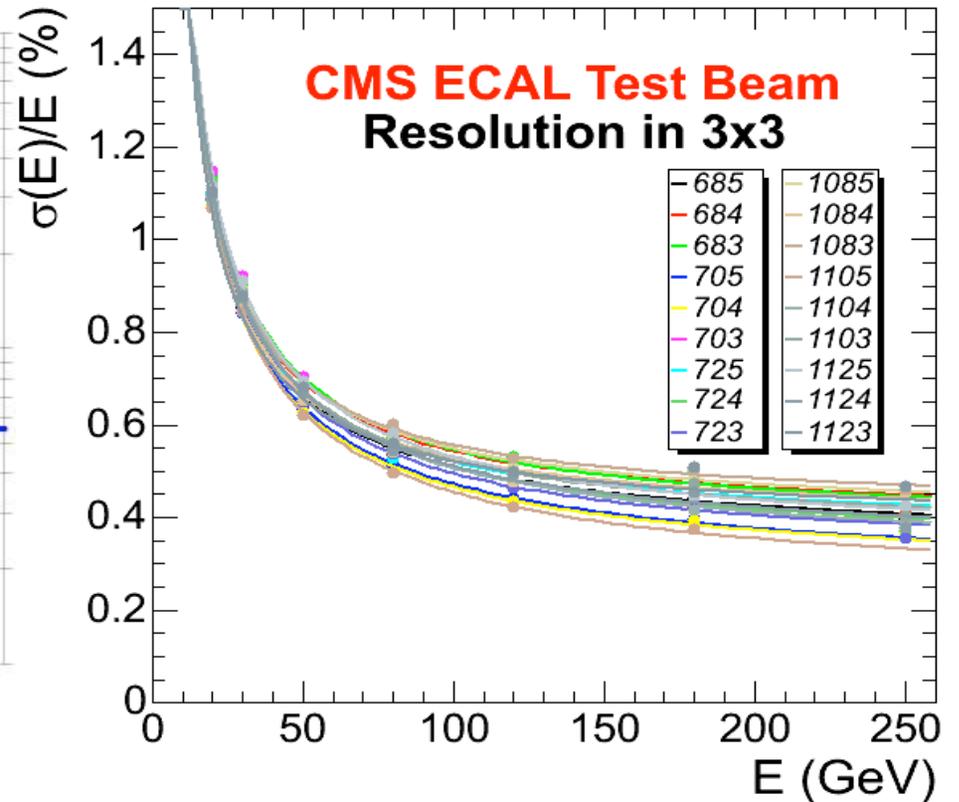
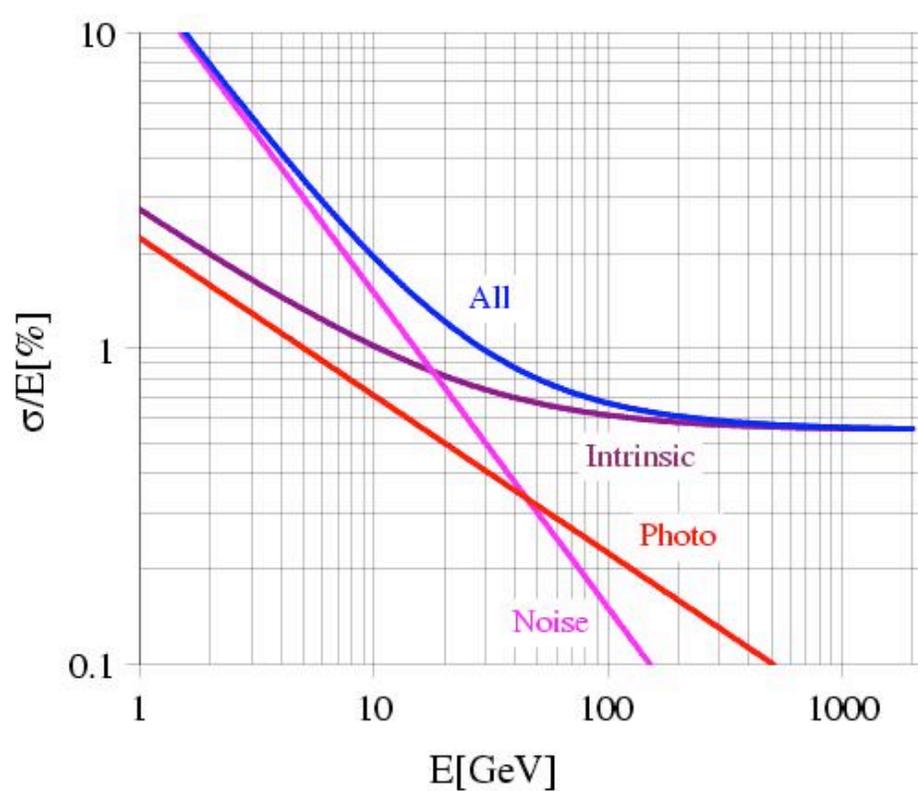
# PWO Crystal ECAL Resolution



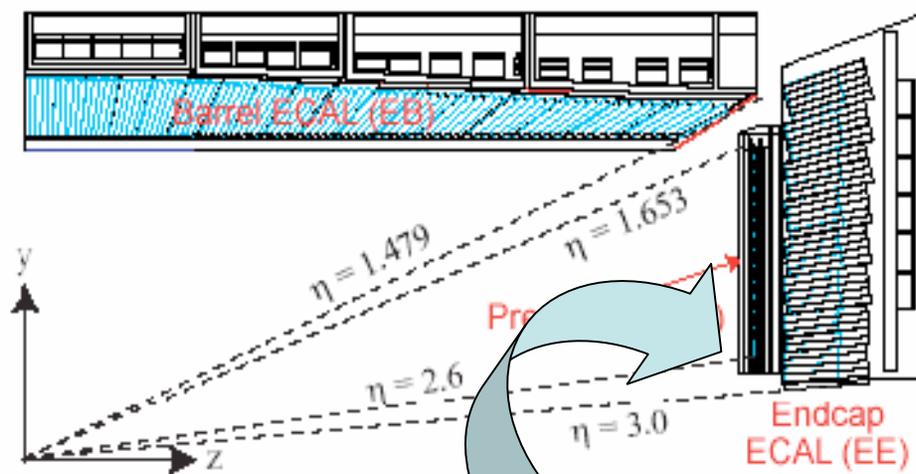
(Measured in Ideal conditions at testbeam. Reality later. )

Designed Resolution

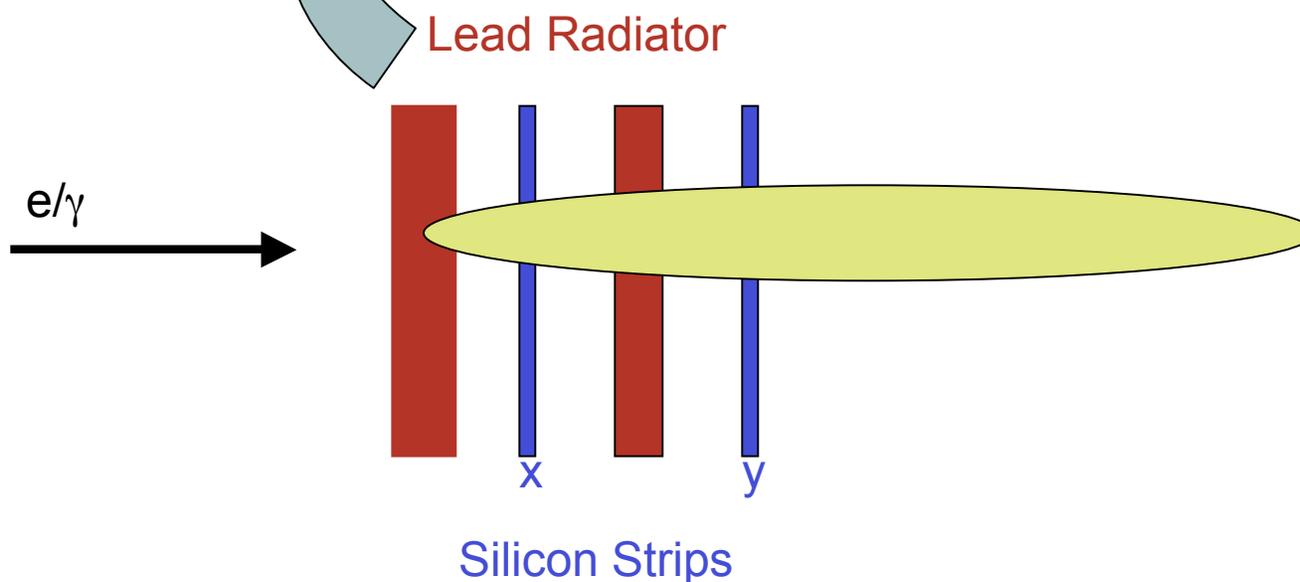
Measured Resolution  
 $\sigma(E)/E < 1\%$  if  $E > 25$  GeV  
 $\sigma(E)/E \sim 0.5\%$  at 120 GeV



# Preshower Detector



Initiates early showering and measures position accurately with silicon strips



# Preshower Detector for $\pi^0$ rejection



H $\rightarrow\gamma\gamma$  photons: Barrel 20-50 GeV  
 Endcap 50-100 GeV  
 (50%  $\gamma$  in endcap)

Photon Separation (crystals 22mm x22mm  
 Preshower Si strips 1.9 mm)

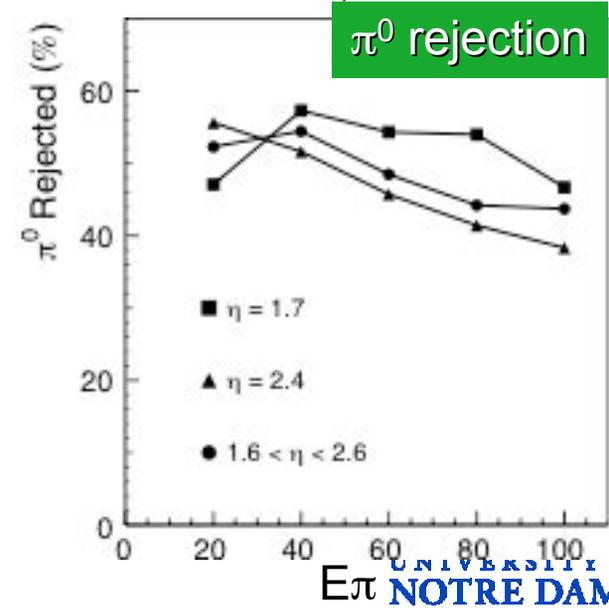
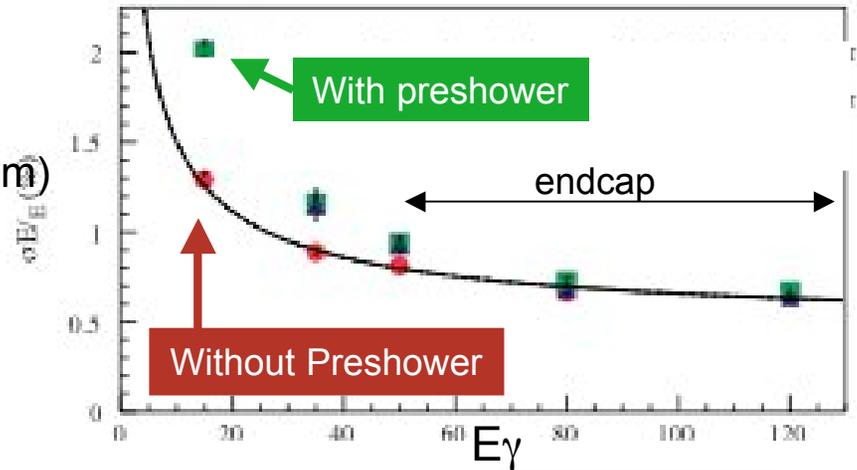
$E_{\pi^0}$ (Gev)	$\langle \Delta x_{\gamma\gamma} \rangle$ (mm)
25	25
50	15
200	4

Resolution degradation due to shower fluctuations significant at low E only

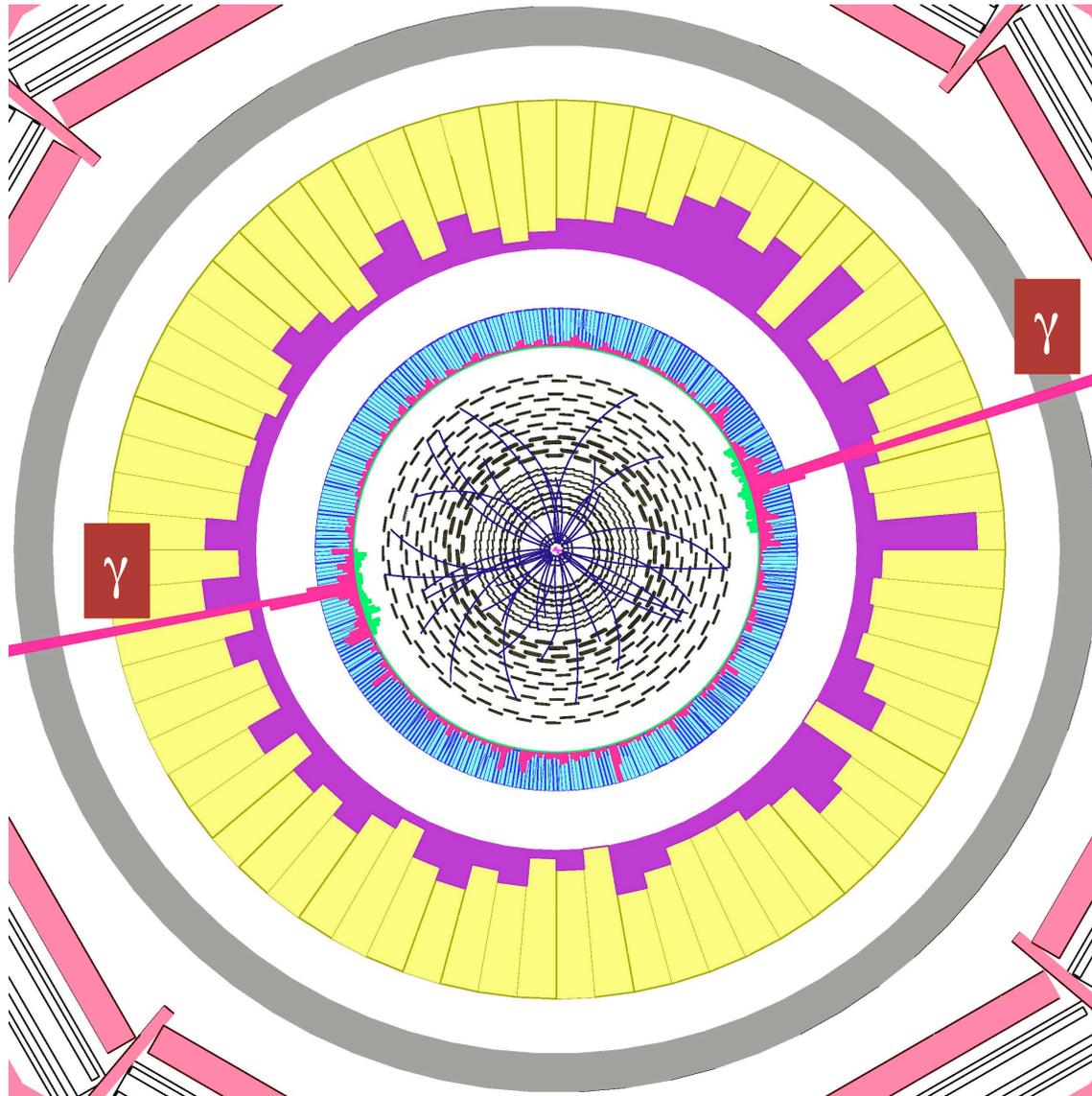
Barrel - lateral shower profile  
 Endcap - Preshower

For endcap, rejection improved by x2  
 with little degradation in resolution

## Resolution Degradation

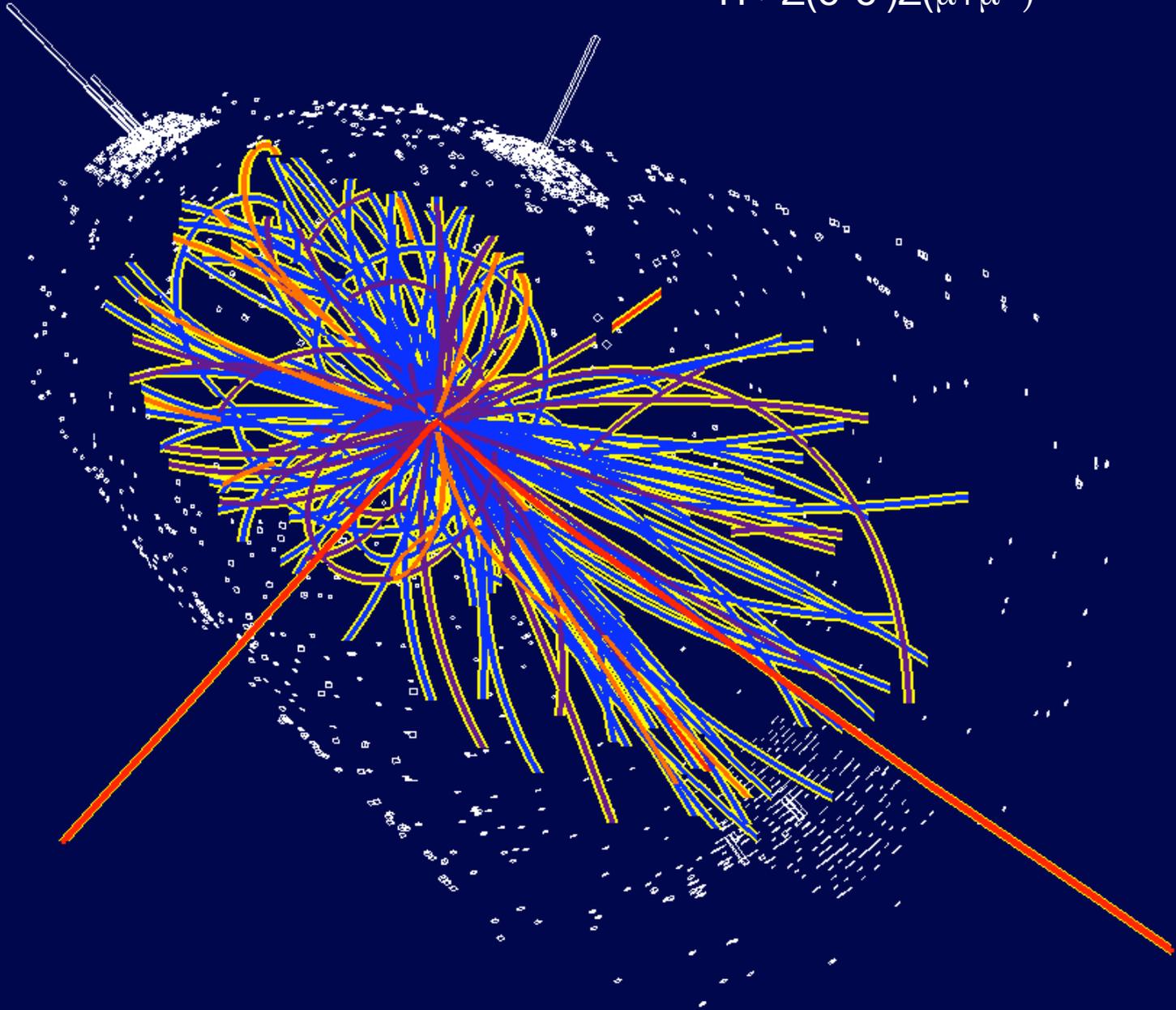


# H- $\rightarrow$ $\gamma\gamma$ Event



Note EM shower localized to just a few crystals

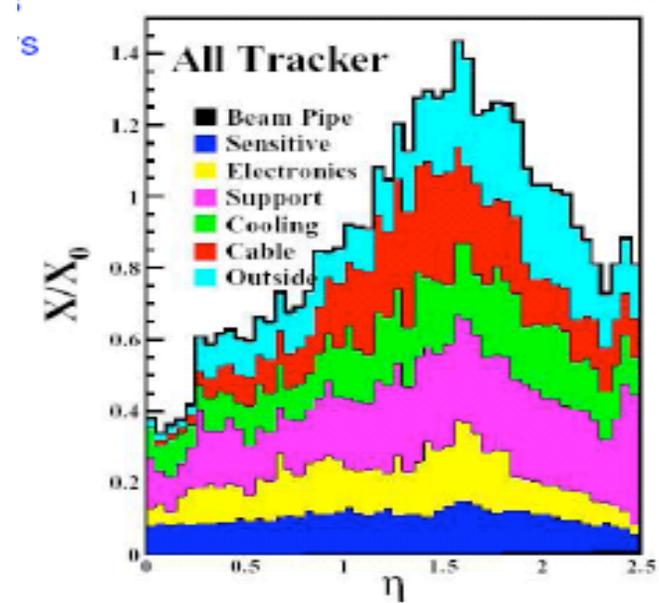
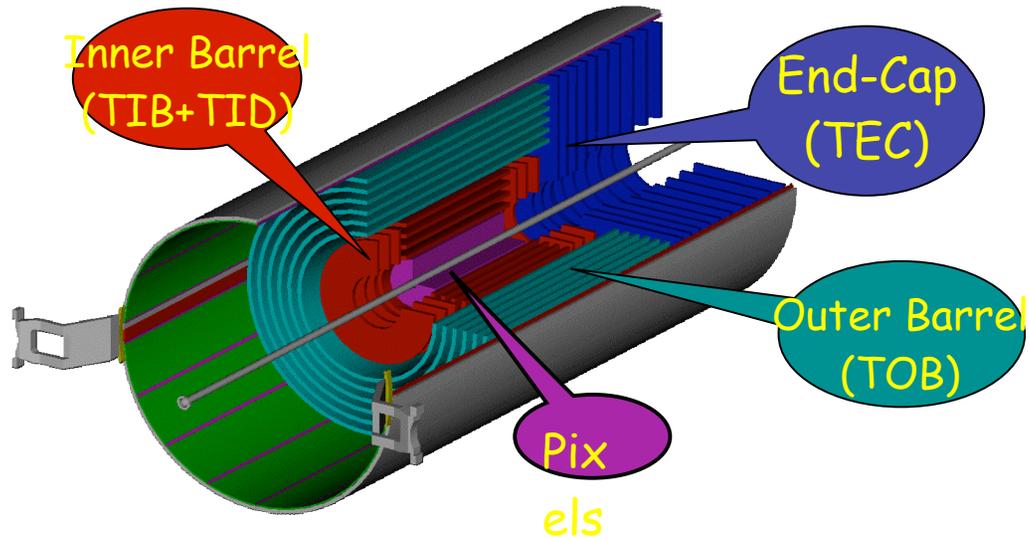
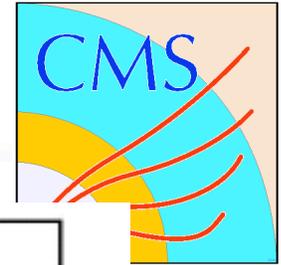
$H \rightarrow Z(e^+e^-)Z(\mu^+\mu^-)$





# Selection and reconstruction of $e/\gamma$

# Material in Front of Calorimeter

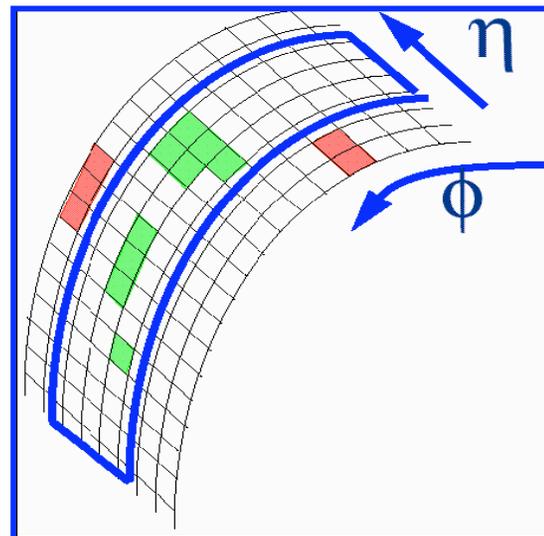
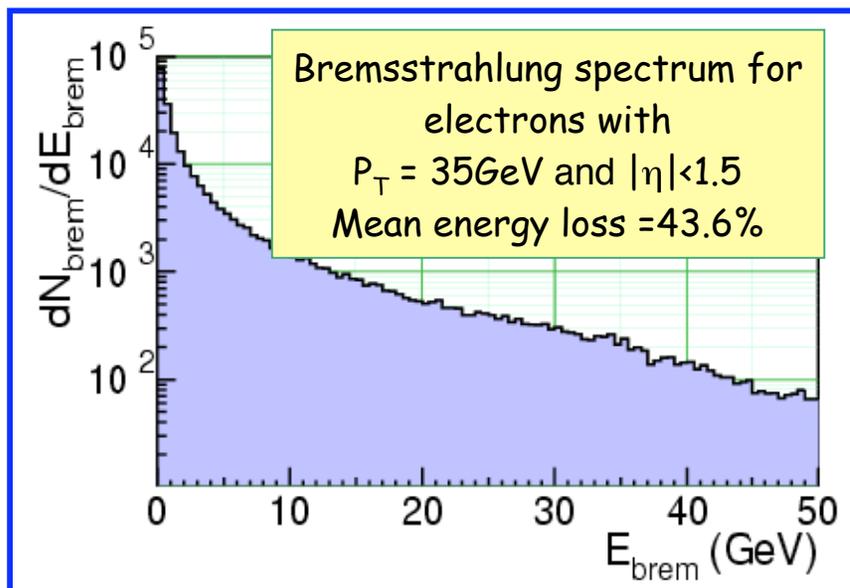


Unusually large amount of material in front of Calorimeter (0.4 to 1.4  $X_0$ ) from Silicon tracker (c.f. BaBar 0.4  $X_0$ )

1. Causes Electron Bremstrahlung
2. Causes Photons to pair produce

Significantly degrades resolution and Efficiency to reconstruct good  $e/\gamma$

# Electron Bremsstrahlung



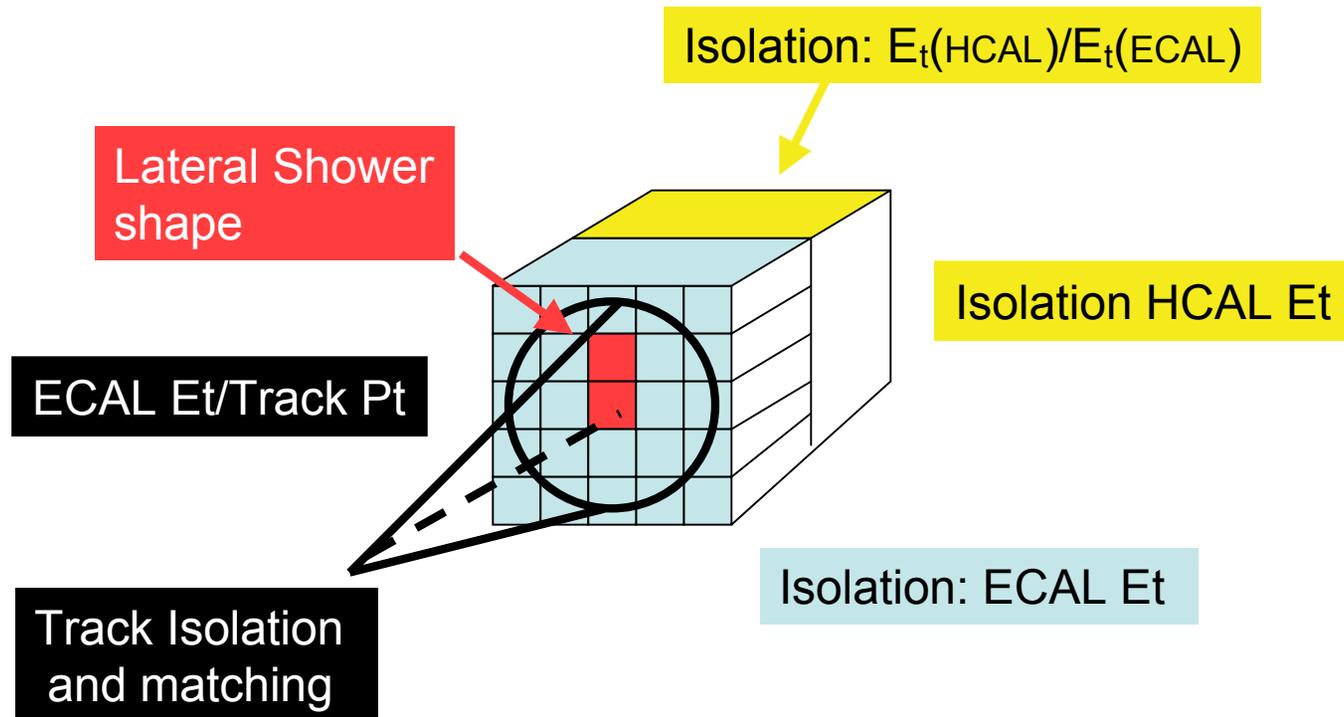
Electrons brem in tracker material and bend in  $\phi$  in 4T mag field so cluster energy is distributed in  $\phi$ .

35% electrons radiate more than 70% of energy before ECAL  
10% 95%

# Reducing Jet background to $e/\gamma$



Four tools: Shower Shape, Isolation, Track Matching, E/P

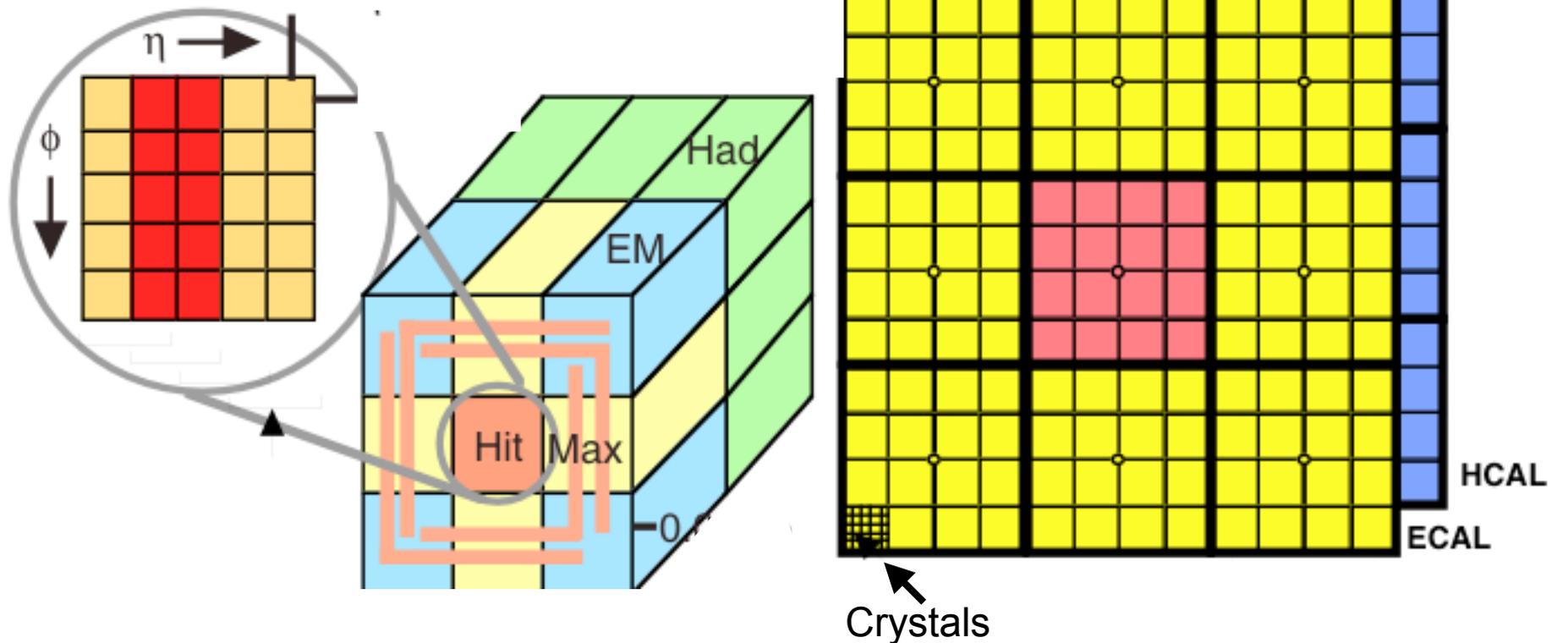


# Level 1 Triggering (Hardware)



Lateral profile in  $\eta$  slices

Isolation using trigger towers



No tracks in trigger so  $e/\gamma$  is just a cluster. Use isolation and lateral shape to reduce jet background.

# High Level Trigger (HLT)



L1: Possible to trigger on combination of up to four isolated or non isolated clusters.

Thresholds: ( $\sim 100\%$  efficient for  $H \rightarrow \gamma\gamma$  and  $H \rightarrow Z(ee)Z(ee)$  with  $e/\gamma$  in fiducial region)

Single Isolated:  $E_t > 23 \text{ GeV}$

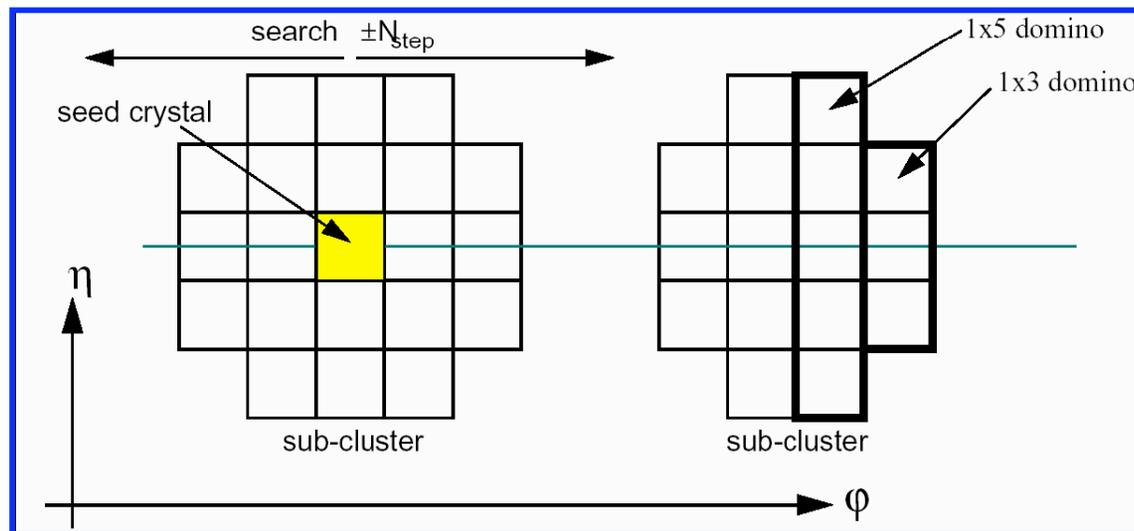
Double Isolated:  $E_t > 12 \text{ GeV}$

Double Non-Isolated:  $E_t > 19 \text{ GeV}$

HLT: Software trigger that adds, superclustering, tracking and partial or full reconstruction to give a full set of analysis tools for jet rejection.

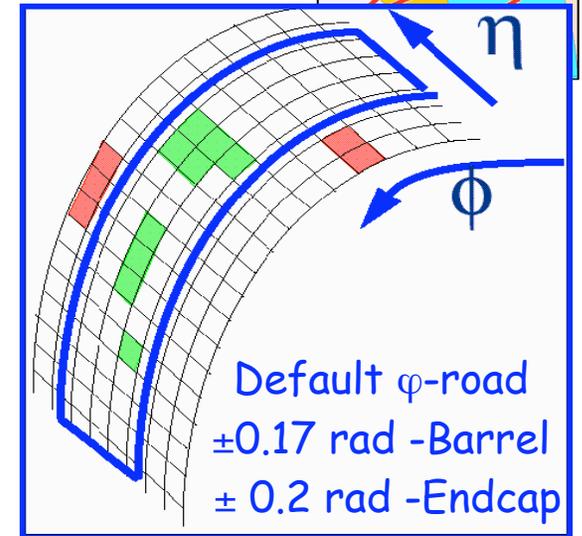
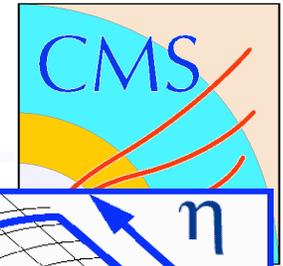
# Bremsstrahlung recovery in clustering

For a single  $e/\gamma$  that does not brem or convert cluster size is typically about  $3 \times 3$  crystals (94% Energy contained)

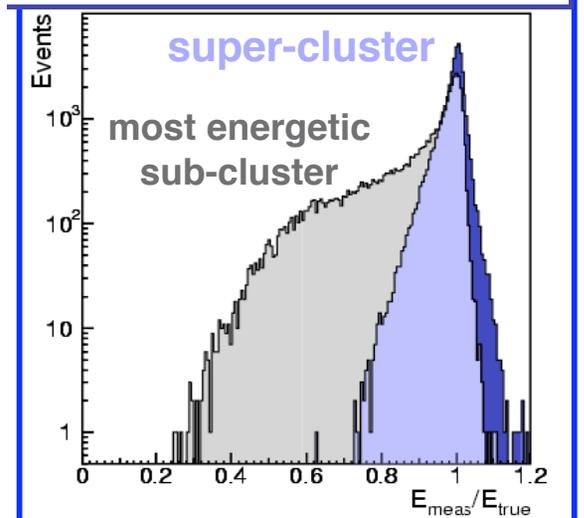


Recover Brem by making "superclusters" which are a cluster of clusters in  $\phi$ .

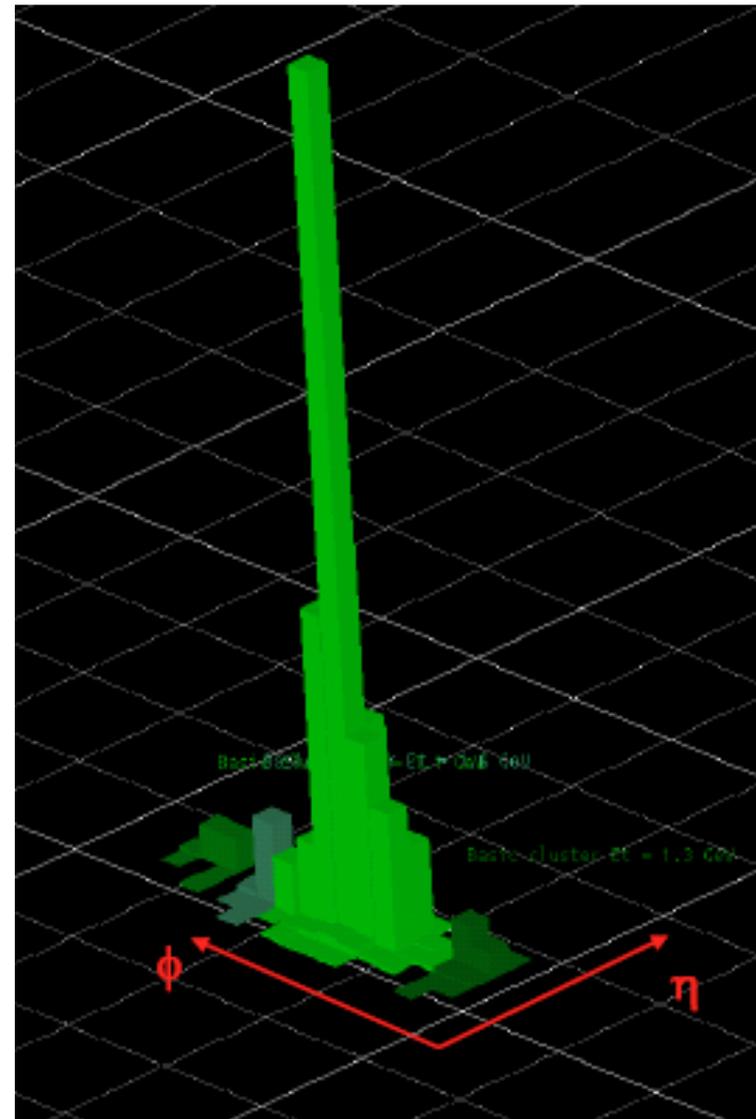
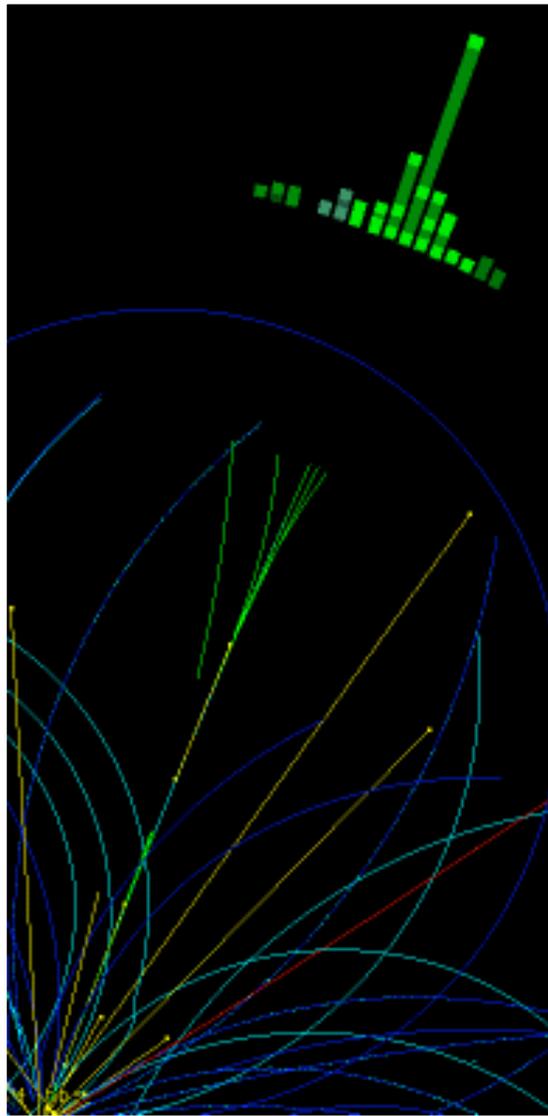
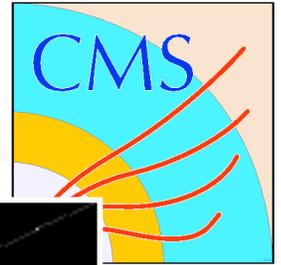
(Hybrid/Island algorithms for Barrel/endcap)



Single electrons  $P_T > 30\text{GeV}$



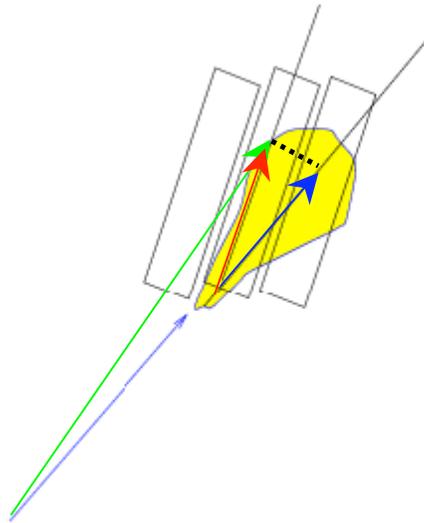
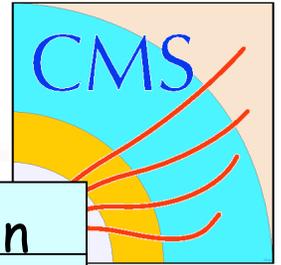
# Example of an Electron reconstructed in ECAL



March 24, 2008

Colin Jessop at Chicago

# Cluster Position Algorithm



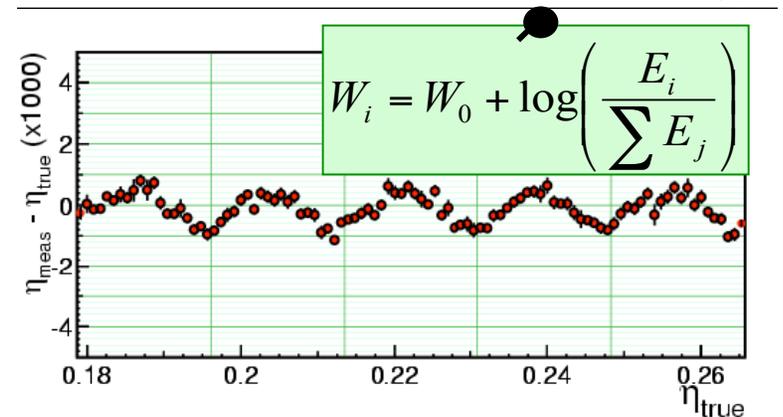
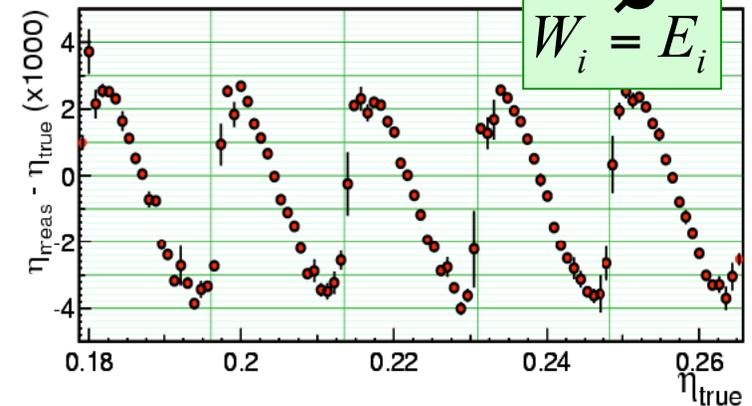
Off-pointing  
Xstals

Crystals are non-projective to avoid  
Leakage in cracks

Position of Xstal: shower max projected onto  
xstal axis

Use log E weighting to calculate centroid  
as E degrades exponentially

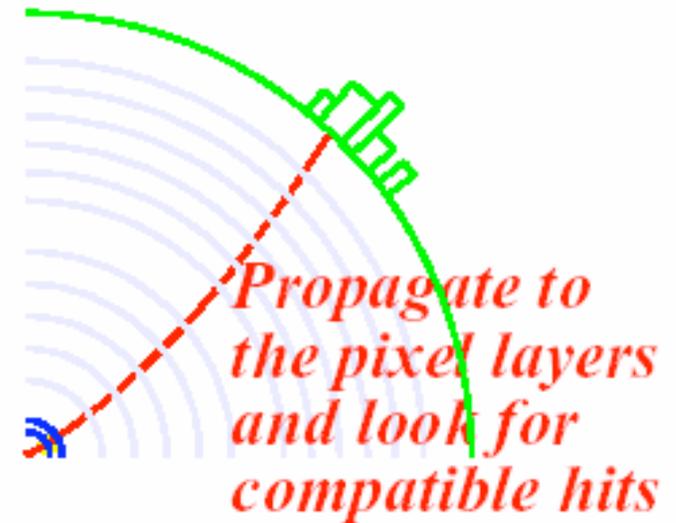
Cluster position



## Electron Reconstruction using ECAL and tracker



1. Find SuperCluster in ECAL
2. Use primary vertex to construct a presumed trajectory between SuperCluster and Vertex
3. Look for pixel hits in window about trajectory
4. Using pixel seeds build trajectory in to out and look for associated silicon tracker hits
5. Fit trajectory
6. Correct Cluster Energy for energy loss in material



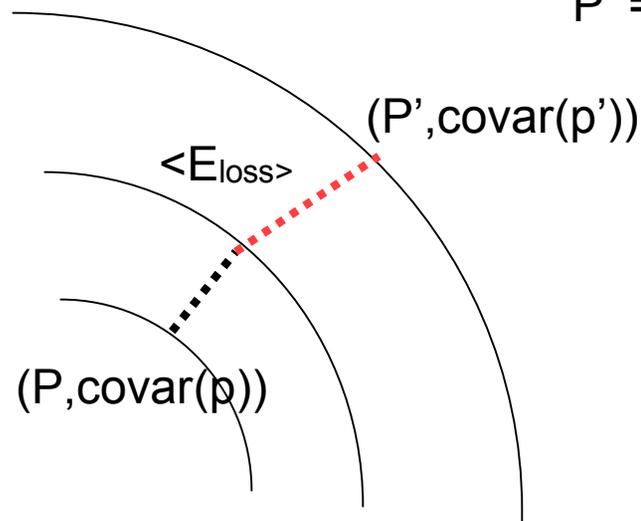
Electron tracking uses Gaussian Sum Filter (GSF) which takes into account the effect of the interaction of the material in the tracker on the trajectory

# The Gaussian Sum Filter (GSF) Tracker



Kalman Filter introduced to take into account of energy loss in material when technology moved from gas to denser silicon trackers.

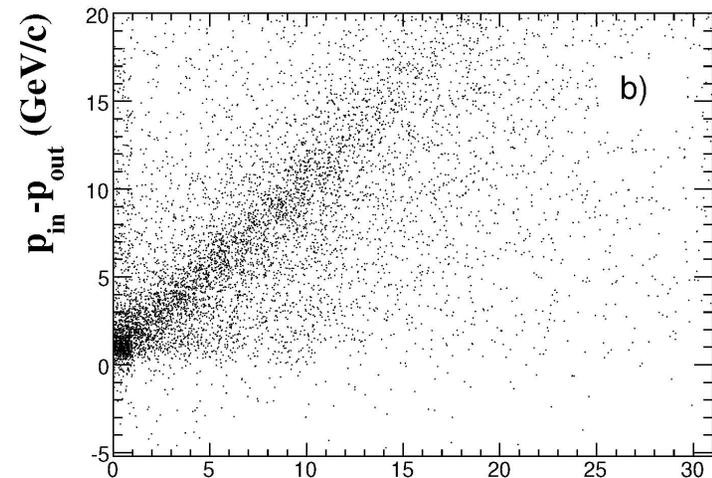
$$P' = P - \langle E_{\text{loss}} \rangle \quad \text{covar}(p') = \text{covar}(p) - \text{covar}(E_{\text{loss}})$$



More efficient, better covariance matrix, get measure of  $P_{\text{in}}$  at vertex and at  $P_{\text{out}}$  at ECAL

Kalman uses Gaussian model of losses. GSF approximates correct Bethe-Heitler model of loss with sum of Gaussians

Compare  $P_{\text{in}} - P_{\text{out}}$  (tracks) with  $E_{\text{brem}}$  (ECAL)

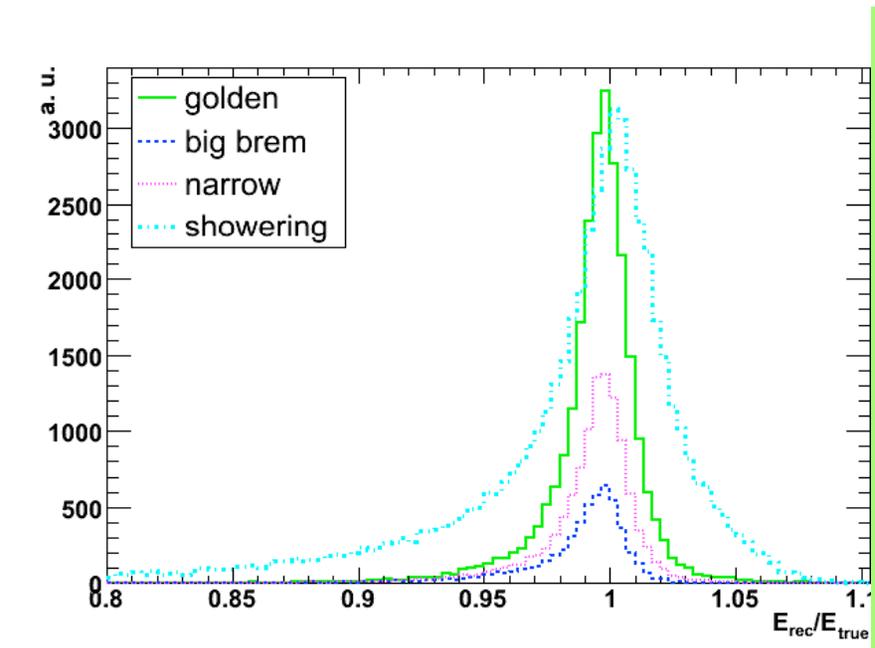


# Classification of Electrons



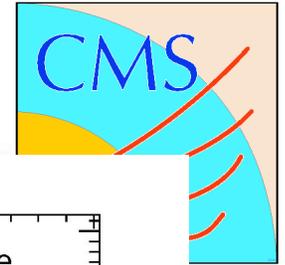
Classified according to whether Brem has been fully Recovered and whether emitted photon has converted  
Correlates to resolution

1. Golden Electrons: less than 20% brem which is fully recovered
2. Big Brem: >50% brem which is fully recovered
3. Narrow: 20-50% brem which is fully recovered
4. Showering (Bad). Brem which is not recovered due to photon conversion



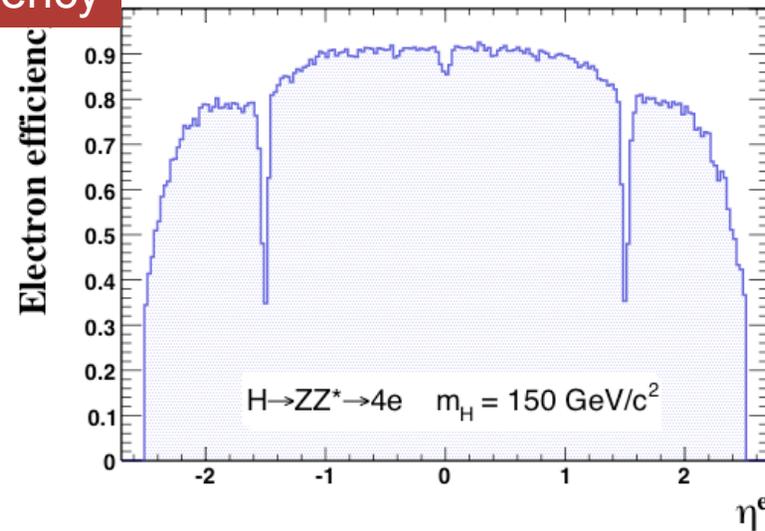
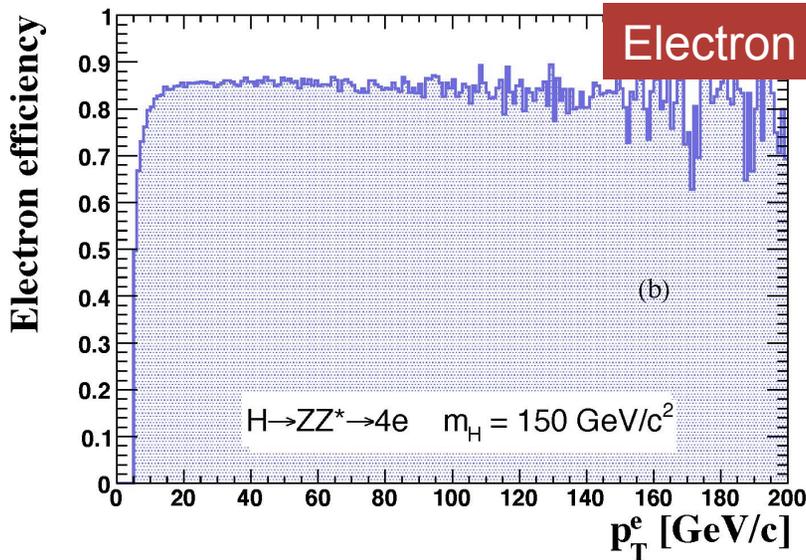
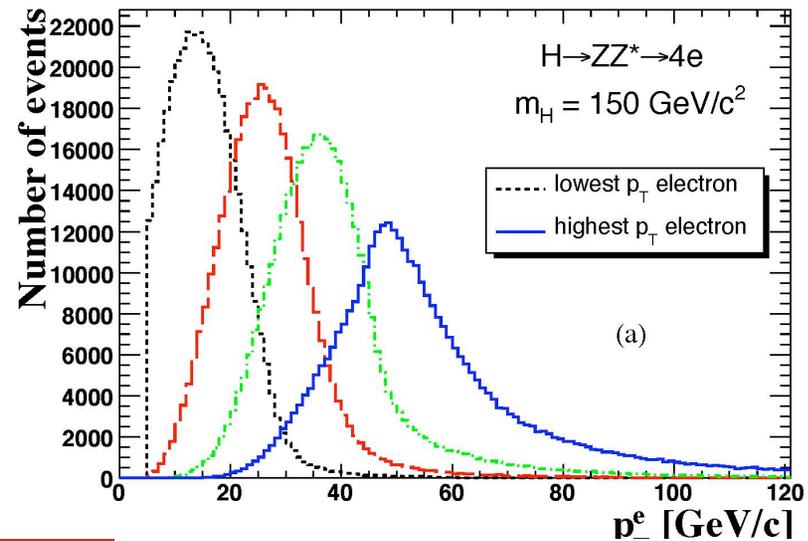
About 60% of electrons between 5 and 100 GeV are in class 4 (Bad)

# Electron Efficiency for $H \rightarrow Z(ee)Z^{(*)}(ee)$

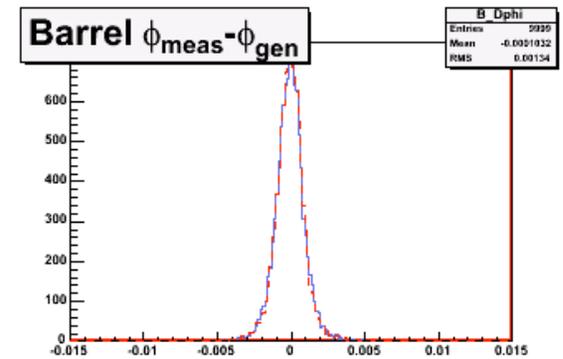
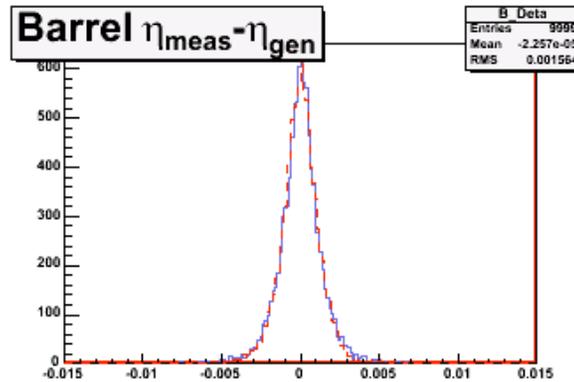
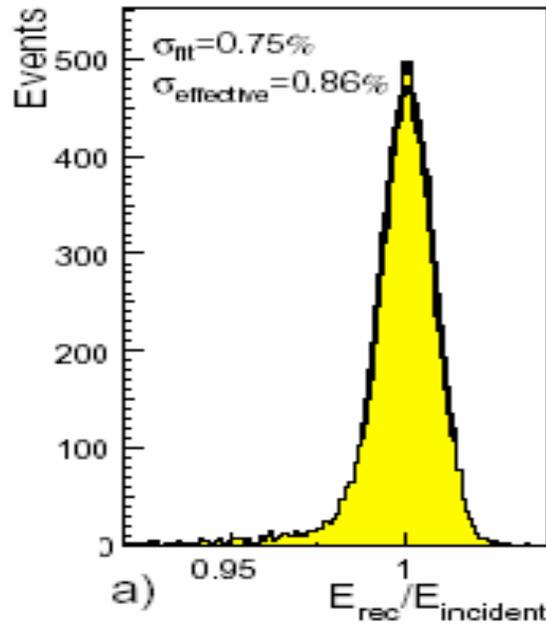


$H \rightarrow ZZ^{(*)} \rightarrow 4e$

Using all classes of electron  
(after Triggering)

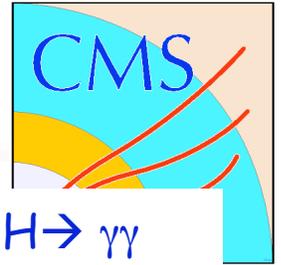


# Photon Reconstruction - Unconverted Photons

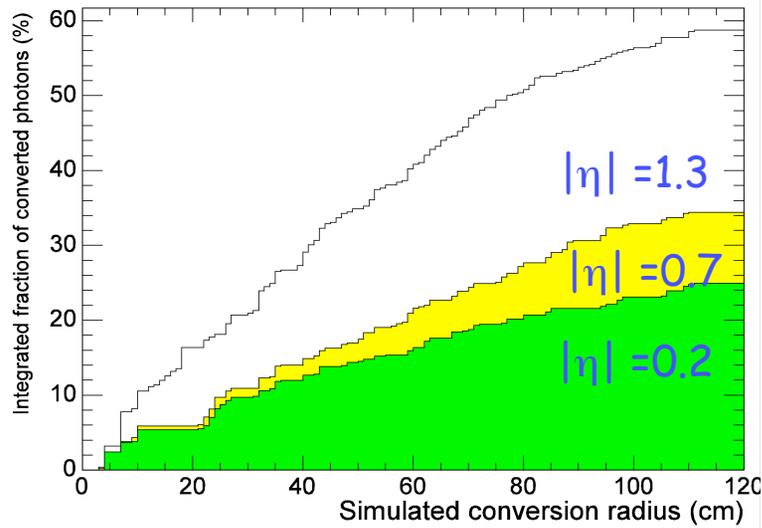


Unconverted photons are easily reconstructed with good Energy and position Resolution but a significant fraction convert due to material

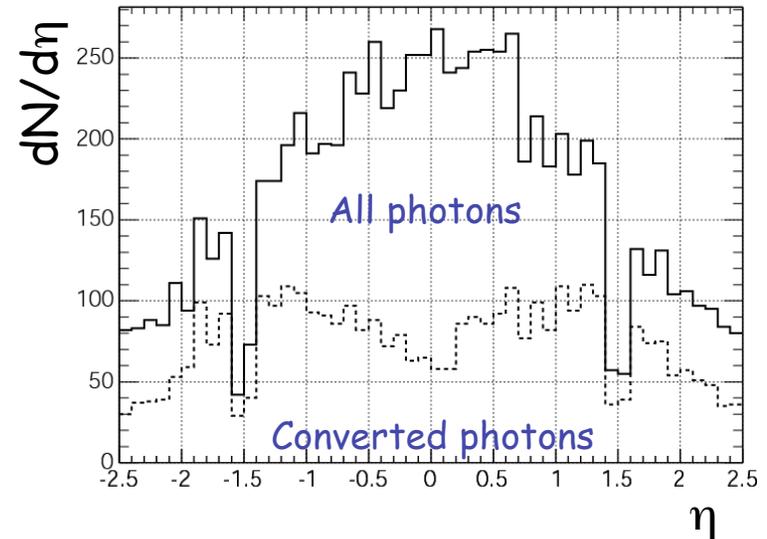
# Photon Conversions in $H \rightarrow \gamma\gamma$



Integrated fraction of converted photons (%)



Simulated photons from  $H \rightarrow \gamma\gamma$



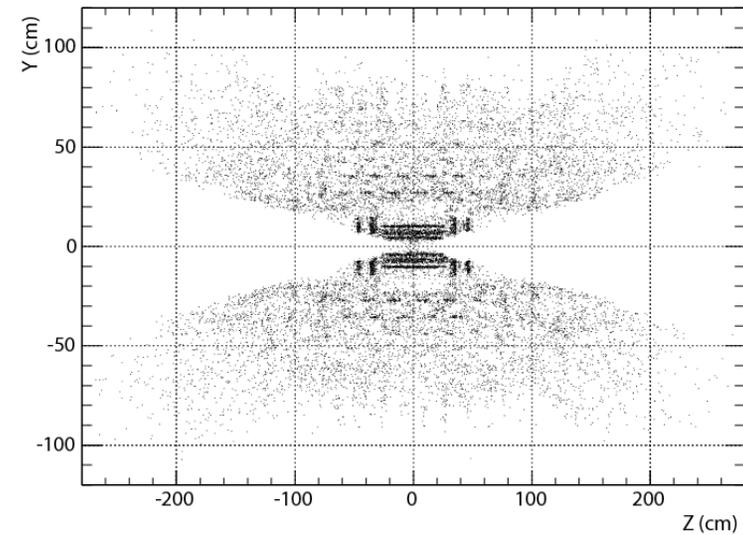
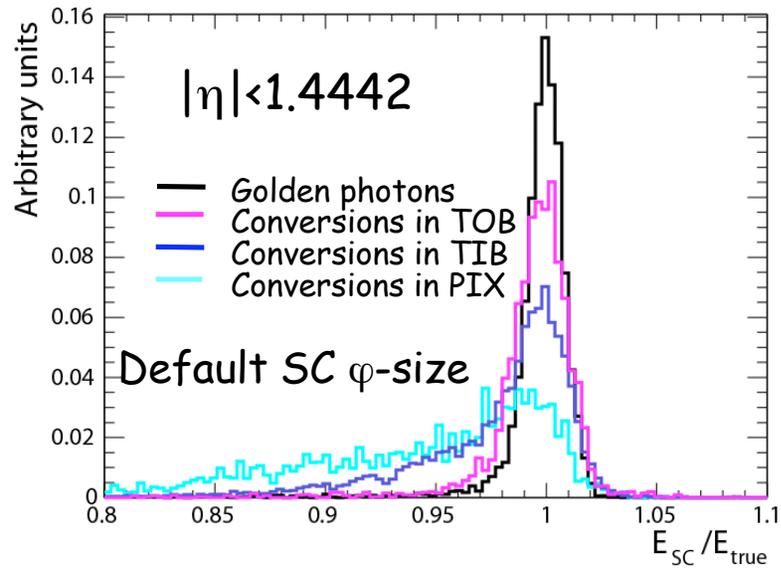
~44% of photons from  $H \rightarrow \gamma\gamma$  events convert

Of all conversions

~25% occur late in the tracker (i.e. with  $R_{\text{conv}} > 85$  cm or  $Z_{\text{conv}} > 210$  cm)  $\rightarrow$  good as un-converted photons as for energy resolution in ECAL

~20% occur very early in the pixel detector

# Photon Conversions



Early conversions (near vertex) degrade resolution significantly if use standard clustering algorithm. Need conversion finder.

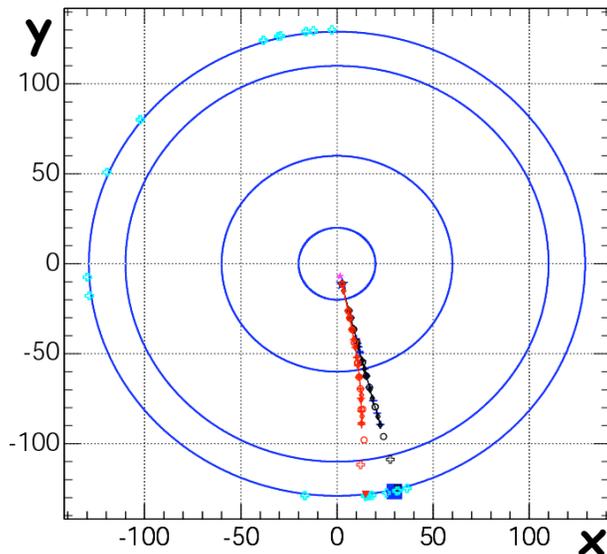
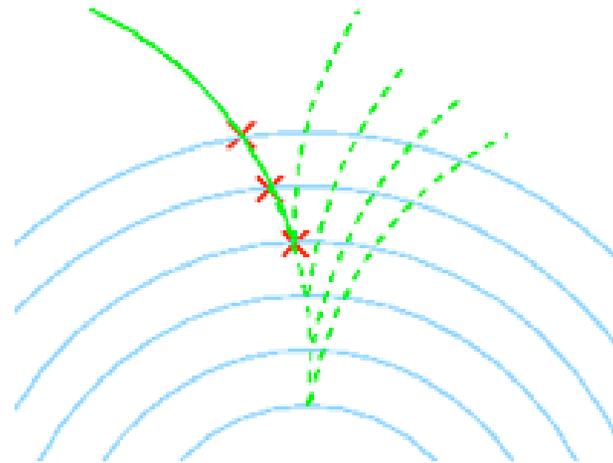
# Finding Photon Conversions



Start from SuperCluster

Do out to in tracking with GSF

Find tracks that intersect



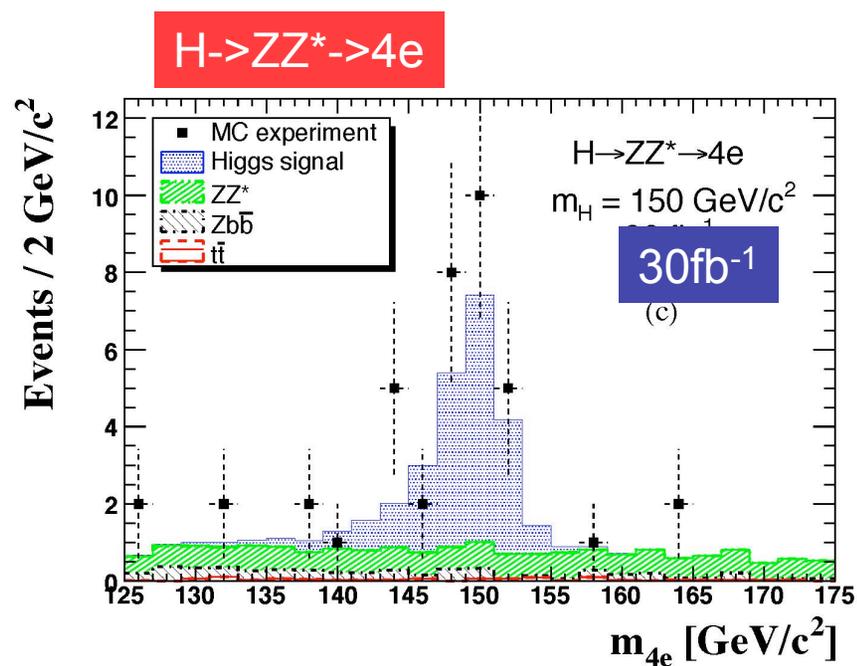
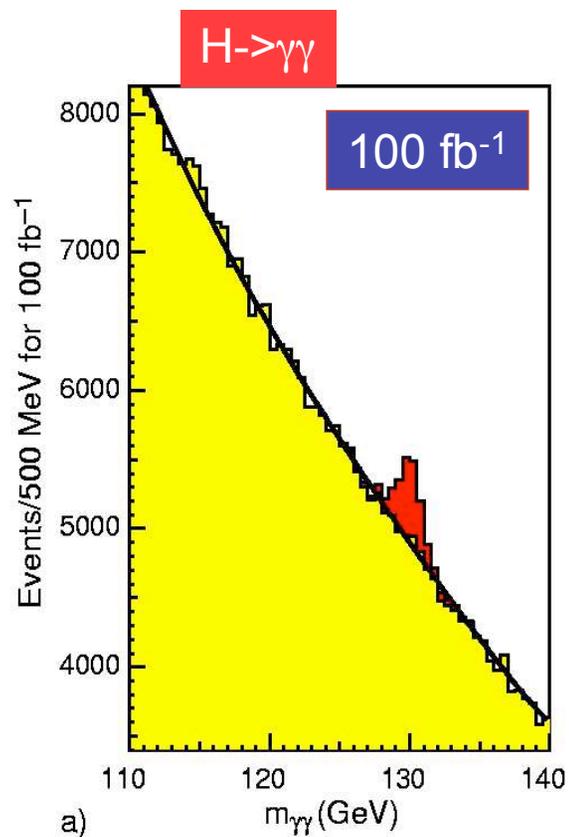
About 75% efficient for  $R < 0.85$  cm (trackers extends to 120cm) Significant Improvement in resolution but still worse than unconverted photons

For  $R > 0.85$  conversions do not degrade resolution since electrons tend to fall within normal supercluster

# Conclusion



Straight forward counting analysis using  $e/\gamma$  described



# US Institutes in ECAL / $e/\gamma$



US ECAL is managed by Roger Rusack (U Minn. )

## Hardware R&D

Caltech: Laser Monitoring System

Minnesota: APD readout

## Testbeams, Construction and Commissioning

Caltech, FNAL, KSU, FSU, Minnesota, Notre Dame, Virginia

## Calibration, Reconstruction Software and Data Analysis with electrons and photons

Caltech, FNAL, KSU, FSU, Minnesota, Notre Dame, Virginia

All in close collaboration with the many institutes comprising the CMS collaboration!