# VCNet: A Generative Model for Volume Completion

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### Abstract

We present VCNet, a new deep learning approach for volume completion by synthesizing missing subvolumes. Our solution leverages a generative adversarial network (GAN) that learns to complete volumes using the adversarial and volumetric losses. The core design of VCNet features dilated residual block and long-term connection. During training, VCNet first randomly masks basic subvolumes (e.g., cuboids, slices) from complete volumes and learns to recover them. Moreover, we design a two-stage algorithm for stabilizing and accelerating network optimization. Once trained, VCNet takes an incomplete volume as input and automatically identifies and fills in the missing subvolumes with high quality. We quantitatively and qualitatively test VCNet with volumetric data sets of various characteristics to demonstrate its effectiveness. We also compare VCNet against a diffusion-based solution and two GAN-based solutions.

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Keywords: Volume visualization, Generative adversarial network, Data completion

## 1. Introduction

With the astounding advance of machine learning techniques, visualization researchers have proposed various deep learning- 25 3 based data generation solutions for scientific visualization, such 26 4 as super-resolution creation (in the spatial and temporal do-27 mains), ensemble generation, and variable translation. How- 28 6 ever, the task of volume completion is still unexplored. Vol-29 7 ume completion aims to recover the damaged, deteriorating, or 30 8 missing parts of a volume so that the complete volume can be 31 9 presented. An example is shown in Figure 1. The potential ap-10 plications of volume completion include recovering data when 33 11 they are partially damaged and reducing data through only stor-12 ing a part of voxels. For example, scientific simulations need to 35 13 save data to disk for post-processing. However, such data may  $_{36}$ 14 not be completely saved to local storage during transmission 37 15 due to I/O suspension or network outage. Our approach can re- $_{_{38}}$ 16 cover the incomplete data without rerunning the simulations if  $_{39}$ 17 this scenario happens. 18 40



Figure 1: (a) shows the incomplete volume of the argon bubble data set where <sup>49</sup> the cuboid missing subvolume is displayed on the side (same for other figures <sup>50</sup> in the paper). (b) shows our VCNet completion results.

Recovering missing subvolumes poses four key challenges. 53
 First, unlike super-resolution and ensemble generation, where 54
 *full* information of volumes is provided (even at a low resolu- 55

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tion), incomplete volumes only offer partial information. Using traditional convolutions (Convs) with a small receptive field will not complete the missing subvolumes, while a large receptive field will lead to high computational cost and memory demand. Second, only applying a series of Convs may not handle complex data sets whose distributions are composited (e.g., Gaussian+long-tail). This is because using only one gradient path will prevent the network from converging. Third, the coherence between the completed subvolume and its surroundings needs to be considered. Only discerning the completed subvolume can result in low visual quality, leading to pronounced boundary artifacts. Fourth, in *image* completion, the mask can be easily detected through visualization. However, in volume completion, due to the transfer function and viewpoint involved, it is difficult to generate such a mask via rendering. However, having such a mask is necessary for volume completion since it offers prior knowledge about which voxels are missing, making the completion task accurate.

To respond, we propose a novel deep learning solution, volume completion network (VCNet), to fill in missing subvolumes for volumetric data analysis and visualization. We leverage a generative adversarial network (GAN) consisting of a generator and a discriminator. The generator learns how to synthesize the missing subvolume via "seeing" the content from the ground-truth subvolume, and the discriminator scores the realness of the completed subvolume. The core of the generator lies in dilated Conv [1] (which provides a large receptive field without requiring additional computational cost) and long-term connection [2, 3] (which promotes loss into minimum and prevents the generator from falling into unexpected behaviors). The discriminator also judges the coherence between the completed subvolume and its surroundings, and the realness between the completed and ground-truth subvolumes. The training data are from volumes without missing voxels. During inference, given an incomplete volume as input, VCNet first generates a mask<sup>109</sup>
 based on the Wasserstein distance between complete and in-<sup>110</sup>
 complete subvolumes and then recovers the input.

We quantitatively and qualitatively test VCNet on several<sup>112</sup> 59 data sets with various characteristics to demonstrate its effec-113 60 tiveness. Furthermore, we compare VCNet against three base-114 61 lines: gradient vector flow [4], context encoder [5], and global115 62 and local completion [6]. Our results show that VCNet achieves116 63 the best quality using the data-level metric peak signal-to-noise117 64 ratio (PSNR), image-level metric mean opinion score (MOS),118 65 and feature-level metric isosurface similarity (IS) [7]. Our con-119 66 tribution is three-fold. First, we propose VCNet, a new gener-120 67 ative model that can synthesize missing subvolumes for volu-121 68 metric data. Second, we design a mask detection algorithm to122 69 identify the missing voxels automatically. Third, we perform<sub>123</sub> 70 a comprehensive study to demonstrate the effectiveness of VC-124 71 Net and investigate its impacting factors. 72 125

# 73 2. Related work

#### 74 2.1. Deep Learning for Volume Visualization

Researchers have investigated deep learning techniques for<sup>128</sup> 75 solving volume visualization problems. Such examples include129 76 complex structure depiction [8], rendering pipeline replacement 19, 77 10], ambient occlusion [11], representative time step selection [12], 78 and similarity prediction [13, 14]. Other researchers developed<sub>132</sub> 79 deep learning solutions for creating volumetric scalar and vec-133 80 tor data or rendering images in the spatial [15, 16, 17, 18], tem-134 81 poral [19, 20, 21], spatiotemporal [22, 23], image [24, 25, 26],<sub>135</sub> 82 and variable [27, 28] domains. Our work differs from the above 83 works. Instead of focusing on data generation [17, 19, 22, 27], 84 we leverage deep learning solutions to solve the volume com-85 pletion problem. 86

## 87 2.2. Data Completion

The data completion problem has been studied for more 88 than two decades, which includes two directions: traditional 89 and learning-based solutions. Traditional solutions can be sep-90 arated into diffusion-based and patch-based approaches. For 91 diffusion-based approaches, Xu and Prince [4] introduced gra-92 dient vector flow that estimates the missing voxels by minimiz-93 ing the Laplacian over the whole data. Ballester et al. [29] pro-94 posed a data completion algorithm that jointly interpolates the 95 image's gray levels and gradient directions, then smoothly ex-96 tends the isophotelines to fill in missing data. Levin et al. [30] 97 built an exponential family distribution over training images to 98 complete image holes. For patch-based approaches, Drori et al. 99 [31] iteratively approximated the unknown regions and com-100 posited adaptive image fragments into the image. Barnes et 101 al. [32] proposed PathMatch, a randomized corresponding al-102 gorithm that randomly samples some good patch matches and<sup>138</sup> 103 propagates these matches to surrounding areas to keep natu-139 104 ral coherence. Huang et al. [33] applied planar structure guid-140 105 ance to estimate planar projection parameters, softly segment<sup>141</sup> 106 the known region into planes, and discover translational regu-142 107 larity within these planes for image completion. 108

For learning-based solutions, Pathak et al. [5] proposed a context encoder for completing images only for the central regions. Iizuka et al. [6] built a globally and locally consistent image completion framework for arbitrary region completion, where two discriminators were used to guarantee local and global consistency. Liu et al. [34] established partial convolution (PConv) that incorporates a visibility mask into convolutional operation for irregular hole completion. Wang et al. [35] conducted a generative multi-column CNN (GMCNN), which simultaneously processes an incomplete image through three CNNs with different kernel sizes. Yu et al. [36] designed gated convolution (GConv), giving a learnable dynamic feature selection solution for free-form completion.

Our work belongs to the learning-based solution. Unlike the above works, which focus on image completion, we propose a generative model for volume completion and design a mask detection algorithm to discover the missing voxels for accurate inference.

# 3. VCNet

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#### 3.1. Notation

Let us denote  $\mathbf{V}^C = {\mathbf{V}_1^C, \dots, \mathbf{V}_n^C}$  and  $\mathbf{V}^I = {\mathbf{V}_1^I, \dots, \mathbf{V}_m^I}$  as the *complete* and *incomplete* volumetric data sets, respectively, where *n* and *m* are the respective numbers of data samples. For VCNet,  $\mathbf{V}^C$  is the *training* set and  $\mathbf{V}^I$  is the *inference* set.  $\mathbf{V}_M^C =$  ${\mathbf{V}_{M,1}^C, \dots, \mathbf{V}_{M,n}^C}$  is an incomplete volumetric data set generated by  $\mathbf{V}^C$  through random masking.  $\mathbf{M}^C = {\mathbf{M}_1^C, \dots, \mathbf{M}_n^C}$  is a binary volumetric mask set of  $\mathbf{V}_M^C$ , where  $\mathbf{M}_j^C[v] = 1$  if  $\mathbf{V}_{M,j}^C[v]$  is missing at voxel *v*; otherwise,  $\mathbf{M}_j^C[v] = 0$ .  $\mathbf{M}^I = {\mathbf{M}_1^I, \dots, \mathbf{M}_m^I}$ is a binary volumetric mask set of  $\mathbf{V}^I$ .



Figure 2: VCNet includes a generator G and a discriminator D. G takes incomplete volumes and synthesizes the missing subvolumes. D accepts the completed volumes as input and determines their realness. Note that D is used during training only.

### 3.2. Overview

Our VCNet design is adapted from 3D U-Net [37], a popular neural network for image generation and segmentation tasks. Given a volume sample  $\mathbf{V}_i^C \in \mathbf{V}^C$ , VCNet first randomly masks a subvolume to obtain an incomplete volume  $\mathbf{V}_{M,i}^C$ . Then taking

 $\mathbf{V}_{M_i}^C$  as input, VCNet learns to synthesize the missing subvol-143 ume and calculates the error between the synthesized one and 144 GT. To capture the coherence between the synthesized subvol-145 ume and its surroundings, we leverage a discriminator to score 146 the volume's realness. During inference, VCNet accepts  $\mathbf{V}^{I}$  as 147 input, estimates the missing voxels, and fills them with high 148 quality. In the following, we introduce the architecture of VC-149 Net, including the generator, discriminator, and design criteria. 150 Then, we provide optimization and inference details for VCNet. 151

Table 1: Network architecture parameter details for G and D. "ker", "dil", "str", and "out chs" stand for the kernel, dilation, stride, and output channels, respectively.

	G					D				-
	ker			out		ker			out	
type	size	dil	str	chs	type	size	dil	str	chs	
input	N/A	N/A	N/A	1	input	N/A	N/A	N/A	1	-
Conv+ReLU	4	1	2	32	Conv+ReLU	4	1	2	32	100
Conv+ReLU	3	1	1	32	Conv+ReLU	4	1	2	64	182
Conv+ReLU	4	1	2	64	Conv+ReLU	4	1	2	128	183
Conv+ReLU	3	1	1	64	Conv+ReLU	4	1	2	1	104
Conv+ReLU	4	1	2	128	GAP	N/A	N/A	N/A	1	104
Conv+ReLU	3	1	1	128						185
Conv+ReLU	4	1	2	256						400
Conv+ReLU	3	1	1	256						186
dilated RB	3	2	1	256						187
dilated RB	3	4	1	256						
dilated RB	3	8	1	256						188
VS+Conv+ReLU	3	1	1	128						189
VS+Conv+ReLU	3	1	1	64						
VS+Conv+ReLU	3	1	1	32						190
VS+Conv+Tanh	3	1	1	1						191

# 152 3.3. Network Architecture

**Generator.** The architecture of the generator (G) is sketched<sub>95</sub> 153 in Figure 2. The input to G is an incomplete volume, and the  $_{196}$ 154 output is a complete one. The core of VCNet lies in applying<sub>197</sub> 155 dilated Conv [1] and long-term connection (LTC) [2, 3]. The<sub>198</sub> 156 design of G follows an encoder-decoder structure. The encoder  $_{199}$ 157 decreases the input resolution several times to reduce memory<sub>200</sub> 158 storage and computational cost. The decoder restores the deep<sub>201</sub> 159 features to the original resolution of the input using voxel shuf-202 160 fle (VS) [19]. Followed by Iizuka et al. [6], Conv with a stride<sub>203</sub> 161 of two is applied to decrease the resolution in the encoder.  $We_{204}$ 162 do not use max-pooling since it could lead to blurred texture in<sub>205</sub> 163 the missing subvolumes. We reduce the resolution four times<sub>206</sub> 164 in the encoder. After four rounds of downsizing, three residual<sub>207</sub> 165 blocks (RB) [38] with dilated Conv are applied to provide large<sub>208</sub> 166 receptive fields. Different dilations are utilized in these RBs.209 167 In the decoder, we apply four VS layers to upscale the features<sub>210</sub> 168 back to the original resolution. LTC is utilized to bridge the<sub>211</sub> 169 features from the encoder and the decoder. ReLU [39] is ap-212 170 plied after each Conv in both the encoder and the decoder. The<sub>213</sub> 171 parameter setting of G is listed in Table 1. 172 214

Why dilated Conv? Dilated Conv is a variant of Conv op-215 173 erations, which has been used in image segmentation [1].  $As_{216}$ 174 shown in Figure 3, unlike traditional Conv, dilated Conv cap-217 175 tures a larger receptive field by applying spread-out kernels with218 176 the same number of parameters. Providing a large receptive<sub>219</sub> 177 field is vital for our volume completion task because it allows<sub>220</sub> 178 the network to see a larger subvolume rather than only focus-179 ing on the missing subvolume's neighborhoods. Note that de-180 formable Conv [40] can also support a large receptive field, but 181



Figure 3: (a) and (b) 2D illustrations of the receptive fields of different Conv operations. (c) adding three LTCs (i.e., the red, blue, and orange lines) increases the number of gradient paths to four. The dashed line means the corresponding Conv is not involved in backpropagation.

it requires additional parameters to determine the corresponding voxels involved in the Conv computation. We also use deformable Conv to replace dilated Conv, but no significant improvement is observed. Therefore, we decide to use dilated Conv for designing VCNet.

Why LTC? LTC is a popular technique used in image classification [3] and segmentation [2]. It bridges feature maps between two Conv layers to alleviate the gradient vanishing problem. Adding one LTC, we can rely on two independent paths for gradient computation: one with LTC and another without LTC. If the gradient on one path is zero during backpropagation, the network can still update its trainable parameters by propagating gradient on another path from the later to previous layers. An example is shown in Figure 3 (c). By adding three LTCs in a network with five Conv layers, we increase the gradient paths to four. Without LTC, there is only one computation path (i.e., the black one). Leveraging LTC in the volume completion task is essential since it can promote minimal loss and prevent the network from falling into unexpected behaviors [41].

**Discriminator.** The discriminator (D) is designed for discerning whether a volume has been completed. The network is based on a fully convolutional network that compresses the volume into a feature vector and predicts a value in [0, 1] to indicate the input's realness. An overview of the network is shown in Figure 2. Specifically, D takes the completed volume as input and utilizes four Conv layers and one global average pooling (GAP) [42] layer to output a single 1D vector. All Conv layers employ a kernel size of four and a stride of two to downsize the volume resolution while increasing the number of feature maps. After four Conv operations, GAP transforms the input into a value, representing the realness probability of the input. The parameter setting of D is listed in Table 1.

**Loss function.** To guarantee the completed subvolume is realistic and coherent with its surroundings, we consider two loss functions: a *weighted mean squared error* (WMSE) *loss* for closeness to ground truth and an *adversarial loss* [43] for closeness to realism. These two loss functions have been used in image completion [6, 5], which can stabilize the training process and improve network performance.

The WMSE loss only takes into account the completed sub-

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volume for loss computation. It is defined as

$$\mathcal{L}_{\text{rec}}^{G} = \frac{1}{n} \sum_{j=1}^{n} \|\mathbf{M}_{j}^{C} \odot (G(\mathbf{V}_{M,j}^{C}) - \mathbf{V}_{j}^{C})\|_{2},$$
(1)

where  $\odot$  is the voxel-wise multiplication,  $\|\cdot\|_2$  is  $L^2$  norm, and *n* is the number of training samples.

The adversarial losses of G and D are defined as

$$\mathcal{L}_{\text{adv}}^{G} = \frac{1}{n} \sum_{j=1}^{n} [\log D(\mathbf{M}_{j}^{C} \odot G(\mathbf{V}_{M,j}^{C}) + (\mathbf{1} - \mathbf{M}_{j}^{C}) \odot \mathbf{V}_{j}^{C})], \quad (2)$$

$$\mathcal{L}_{adv}^{D} = \frac{1}{n} \sum_{j=1}^{n} [\log D(\mathbf{V}_{j}^{C})] + \frac{1}{n} \sum_{j=1}^{n} [\log(1 - D(\mathbf{M}_{j}^{C} \odot G(\mathbf{V}_{M,j}^{C}) + (1 - \mathbf{M}_{j}^{C}) \odot \mathbf{V}_{j}^{C}))].$$
(3)

Intuitively, *D* can only discern the completed subvolume; how- $^{258}$ ever, this ignores incoherence between the completed subvol- $^{259}$ ume and its surrounding subvolumes. Therefore, in our design,<sup>260</sup> *D* considers the coherence between the completed subvolume<sup>281</sup> and its surroundings.<sup>262</sup>

Overall, the total loss of G is defined by

$$\mathcal{L} = \lambda_{\rm rec} \mathcal{L}_{\rm rec}^G + \lambda_{\rm adv} \mathcal{L}_{\rm adv}^G, \qquad (4)^{265}$$

where  $\lambda_{\text{rec}}$  and  $\lambda_{\text{adv}}$  control the importance of  $\mathcal{L}_{\text{rec}}^{G}$  and  $\mathcal{L}_{\text{adv}}^{G}$ .

# 229 3.4. Optimization

Missing subvolumes. We consider four basic missing sub-230 volumes as either an internal cuboid or a whole x-, y-, or z-stack 231 of slices. VCNet learns to synthesize these missing subvolumes 232 during training. In particular, at each training iteration, VCNet 233 randomly chooses one missing subvolume type from the above 234 four groups, then randomly masks the data as input. During in-235 ference, it can complete missing subvolumes with various sizes 236 and shapes (e.g., cuboid, cylinder, hyperboloid, sphere, tetra-237 hedron, and ring). Note that if we only consider an internal 238 cuboid as a missing subvolume during training, VCNet will not 239 complete missing subvolumes with different forms, e.g., a sub-240 volume with a whole x-, y-, or z-stack of slices. 241

Training procedure. As reported in Iizuka et al. [6] and 242 Han et al. [27], training a GAN model is expensive since the 243 training process needs to go through two networks (G and D) 244 and update gradients of G and D, respectively. Therefore, fol-270 245 lowed Wang et al. [44], we leverage a two-stage training al-246 gorithm (pre-train+fine-tune) to significantly reduce the train-247 ing cost without sacrificing the performance. The algorithm is 248 shown in Algorithm 1. At the first stage, we treat VCNet as 249 an auto-encoder and only utilize  $\mathcal{L}^G_{rec}$  to optimize VCNet for 250  $T_P$  epochs. At this pre-train stage, VCNet can learn to fill in the 251 missing subvolume, which is close to ground truth but may lack271 252 realism. Then, at the second stage, D is added into the train-272 253 ing process, and G and D are jointly optimized for  $T_F$  epochs. 254

Algorithm 1 VCNet training algorithm

<b>Require:</b> Initial parameters $\theta_G$ and $\theta_D$ ; numbers of training epochs $T_P$ and $T_F$
for pre-train and fine-tune, respectively; and learning rates $\alpha_G$ and $\alpha_D$ for G
and D, respectively.
for $j = 1 \cdots T_P$ do
Sample a set of volumes $\mathbf{V}^C$ from training pool
Randomly generate masks $\mathbf{M}^{C}$ and incomplete volumes $\mathbf{V}_{M}^{C}$
Update $\theta_G$ using $\mathbf{M}^C$ and $\mathbf{V}^C$ (Equation 1)
end for
for $j = 1 \cdots T_F$ do
Sample a set of volumes $\mathbf{V}^C$ from training pool
Randomly generate masks $\mathbf{M}^{C}$ and incomplete volumes $\mathbf{V}_{M}^{C}$
Freeze $\theta_G$
Update $\theta_D$ using $\mathbf{M}^C$ , $\mathbf{V}^C_M$ , and $\mathbf{V}^C$ (Equation 3)
Freeze $\theta_D$ and activate $\theta_G$
Update $\theta_G$ using $\mathbf{M}^C$ and $\mathbf{V}^C$ (Equation 4)
Activate $\theta_D$
end for

At this fine-tune stage, with the judgment of D, G can refine the results produced from the pre-train stage toward realism. With the original GAN training algorithm [43], gradients of Dcan quickly explode because G cannot follow the evolution of D due to random initialization of G and D. This initialization could let G give up generating meaningful results if D evolves much faster than G after several training epochs. Such an imbalanced evolution is due to the disparity between the tasks of G and D (i.e., D is a *classification* task while G is a *generation* task). However, with this two-stage training algorithm, Galready has a good initialization that can generate meaningful results through the first stage training. It can refine the results with the feedback from D rather than random initialization from scratch. Moreover, it reduces the training cost since the number of optimization of D is decreased.

Algorithm 2 Mask detection algorithm

<b>Require:</b> An incomplete volume $\mathbf{V}_{i}^{I}$ ; a complete volume $\mathbf{V}_{i}^{C}$ ; and a threshold	ld
ε.	
Initialize an empty mask $\mathbf{M}_i$	
for each voxel v in $\mathbf{V}_{i}^{I}$ do	
Sample two $K \times K \times K$ subvolumes $\mathbf{V}_{j,v}^{I}$ and $\mathbf{V}_{j,v}^{C}$ where the centers as	re
located at voxel v in $\mathbf{V}_{i}^{I}$ and $\mathbf{V}_{i}^{C}$ , respectively	
Compute the Wasserstein distance d between $\mathbf{V}_{iv}^{I}$ and $\mathbf{V}_{iv}^{C}$	
if $d > \epsilon$ then	
$\mathbf{M}_{i}[v] \leftarrow 1$	
end if	
end for	
return M	

#### 3.5. Inference

Once the training of VCNet converges, we can directly feed  $V^{I}$  to VCNet to synthesize the missing subvolumes following the equation

$$\mathbf{M}^{I} \odot G(\mathbf{V}^{I}) + (\mathbf{1} - \mathbf{M}^{I}) \odot \mathbf{V}^{I}.$$
 (5)

Note that only  $\mathbf{V}^{I}$  is given, and  $\mathbf{M}^{I}$  is unknown. Therefore, we propose a mask detection algorithm to identify the missing

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voxels and produce the corresponding masks  $M^{I}$ . The algo-300 273 rithm is based on the following assumption: given an incom-301 274 plete volume  $\mathbf{V}_{j}^{I}$  and a complete volume  $\mathbf{V}_{j}^{C}$ , the data distribu-302 tions should exhibit a similar pattern at a voxel v's surrounding303 subvolume if both  $\mathbf{V}_{j,v}^{I}$  and  $\mathbf{V}_{j,v}^{C}$  are complete. If  $\mathbf{V}_{j,v}^{I}$  is incom-304 275 276 277 plete and  $\mathbf{V}_{iv}^{C}$  is complete, then the distributions should be dif-305 278 ferent. To verify this assumption, we plot density maps with<sup>306</sup> 279 respect to a local subvolume around a selected voxel, as shown<sup>307</sup> 280 in Figure 4. As we can observe, both maps show a Gaussian 281 distribution for the complete voxels; the only difference is that<sup>308</sup> 282 the mean and variance could vary. However, for the incomplete309 283 voxels, the distributions differ from the complete ones. For ex-310 284 ample, the maps could exhibit an almost straight pattern. The<sub>311</sub> 285 Wasserstein distance is computed to indicate whether the voxel 286 is incomplete. We summarize the mask detection algorithm in<sub>313</sub> 287 Algorithm 2. For each voxel v, we sample two local subvolumes 288 (we set K to 5) of v from  $\mathbf{V}_{i}^{I}$  and  $\mathbf{V}_{i}^{C}$ , respectively, and compute<sup>314</sup> 289 the Wasserstein distance (d) between these two subvolumes to<sup>315</sup> 290 judge whether v is missing. Once looping through all voxels,<sup>316</sup> 291 the algorithm will return a binary mask  $M_i$ , indicating which<sup>317</sup> 292 voxels need to be completed. 318 293



Figure 4: The density maps with respect to a local subvolume around a com-334 plete voxel (left) and an incomplete voxel (right) for the solar plume (top row)<sub>335</sub> and vortex (bottom row) data sets.

Table 2: The data set, variable, dimension, and training epochs.

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data set	variable	dimension $(x \times y \times z \times n)$	$T_P$	$T_F$	- 339
argon bubble	intensity	$320 \times 128 \times 128 \times 100$	200	50	340
five jets	intensity	$128\times128\times128\times100$	400	50	341
solar plume	velocity magnitude	$128 \times 128 \times 512 \times 28$	200	50	342
supernova	entropy	$128 \times 128 \times 128 \times 60$	800	100	0.2
vortex	vorticity magnitude	$128 \times 128 \times 128 \times 90$	400	50	343

## 294 **4. Results and Discussion**

## 295 4.1. Data Sets and Network Training

We tested VCNet using the time-varying data sets given in<sub>350</sub> Table 2. The volume samples were randomly drawn from the<sub>351</sub> sequence. We used 35% of data for training. The remaining<sub>352</sub> 65% of data are for inference. We trained and inferred VCNet<sub>353</sub> using an NVIDIA TESLA V100 GPU with 32GB video memory. PyTorch was used for implementation. In terms of optimization, we initialized VCNet parameters following He et al. [45] and leveraged the Adam optimizer [46] to update parameters. We used one training sample for each mini-batch. The learning rates for *G* and *D* are  $10^{-4}$  with  $\beta_1 = 0.9$ ,  $\beta_2 = 0.999$ ,  $\lambda_{adv} = 10^{-3}$ , and  $\lambda_{rec} = 1$ . All these parameters are empirically decided through experiments.

# 4.2. Results

**Baselines.** To evaluate VCNet, we implement three baseline solutions for comparison:

- Gradient vector flow (GVF) [4]: As a diffusion-based method, GVF completes missing subvolumes by minimizing the Laplacian over the whole data.
- Context encoder (CE) [5]: CE is a deep learning solution for image completion. Its architecture includes an encoder and a decoder. The encoder includes five Conv layers followed by leaky ReLU and one Conv layer to yield a feature representation with 4,000 neurons. The decoder includes several deconvolutional (DeConv) layers, followed by ReLU for upscaling. WMSE and adversarial losses are leveraged for optimization.
- Global and local completion (GLC) [6]: GLC is a fully convolutional network that includes 11 Conv, four dilated Conv, and two DeConv layers. In addition, it has two discriminators to guarantee local and global consistency, respectively.

We used the same training settings for CE, GLC, and VCNet, namely, the training epochs, optimizer, learning rate, and loss functions (i.e., WMSE and adversarial losses). The only difference between these three deep learning solutions is architecture design.

We also tried PConv [34], GConv [36], and GMCNN [35] as the baselines. However, these solutions are rather deep (PConv), multi-stage (GConv), or multi-column (GMCNN). Applying them to 3D volumetric data sets is difficult due to the limited GPU memory. We tried to reduce the depths, stages, or columns to adapt them into 3D data sets, but the performance was unsatisfactory. Therefore, we only chose CE and GLC as our deep learning baselines.

Unless otherwise mentioned, all visualization results presented for volumes synthesized by VCNet are the inferred results, which are not seen by the network during training. For the same data set, all visualizations follow the same setting for lighting, viewing, transfer function (for volume rendering), and isovalue (for isosurface rendering). In reference to the ground truth (GT) results, we compare our VCNet results against GVF, CE, and GLC. The supplementary video provides the frame-toframe comparison results.

**Evaluation metrics.** We compute the data-level PSNR, image-level MOS, and feature-level IS, between the recovered data and GT for quantitative evaluation. We do not use SSIM for image quality assessment because this metric may not differentiate well different methods when the missing subvolumes

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Figure 5: Comparison of volume rendering results. Top to bottom: argon bubble, five jets, solar plume, and vortex.

are small (in this case, all methods will achieve similarly high
 SSIM values). Note that only the missing subvolumes are in volved in the PSNR and IS computation.

Quantitative analysis. Table 3 reports the average PSNR 357 values for GVF, CE, GLC, and VCNet. VCNet leads to the 358 best PSNR values except for the vortex data set (where the gap 359 between VCNet and CE is only 0.11). Table 3 also gives the av-360 erage training time per epoch and model size for CE, GLC, and 361 VCNet. It is clear that GLC takes the longest training time since 362 it includes three networks (i.e., one generator and two discrim-363 inators) and only downsamples the input twice, while there is 364 no significant difference in the inference time. VCNet requires 365 120MB to store the model. Although CE is a fully convolu-366 tional network, the model size depends on the data set's reso-367 lution. It needs to compress the data into a 4,000-dimensional 368 vector and upscale to the original resolution, which requires a 369 different number of DeConv layers in the decoder based on the 370 input's resolution. Table 4 reports the average IS values for 371 GVF, CE, GLC, and VCNet. Again, VCNet achieves the high-385 372 est IS value for all data sets. 373 386

Qualitative analysis. Figure 5 shows volume rendering387 374 results from the volumes completed by GVF, CE, GLC, and388 375 VCNet. For the argon bubble and solar plume data sets, VC-389 376 Net achieves the best completion quality. For example, VCNet390 377 completes the argon bubble and solar plume's missing subvol-391 378 umes. GVF fills in nearly constant values. In contrast, both CE392 379 and GLC do not fill in any missing subvolumes (i.e., the vol-393 380 ume rendering results are identical to those of the incomplete394 381 input volumes). For the five jets data set, GVF cannot repair395 382 the missing subvolume, and CE does not synthesize the subvol-396 383 ume with sufficient details. Both GLC and VCNet produce sim-397 384

Table 3: Average PSNR (dB), training time per epoch (in seconds), and model size (MB). The best ones are highlighted in bold (same for other tables in the paper).

data set	method	PSNR	train	model size
	GVF	13.88	_	_
argon hubblo	CE	23.45	211.61	1,392.64
argon bubble	GLC	23.45	2,291.34	71.9
	VCNet	37.98	166.88	120
	GVF	19.71	_	_
five iste	CE	39.55	71.04	1,146.88
live jets	GLC	43.77	927.68	71.9
	VCNet	44.64	34.32	120
	GVF	13.96	_	_
color plumo	CE	20.35	215.34	2,140.16
solai piulle	GLC	20.37	3,072.68	71.9
	VCNet	41.80	206.73	120
	GVF	12.46	_	
	CE	33.85	62.02	1,146.88
vonex	GLC	31.98	817.58	71.9
	VCNet	33.74	30.54	120

ilar results, but taking a close comparison, VCNet synthesizes finer details for the green part (refer to the blue arrows), compared with GT. For the vortex data set, GVF does not complete the missing subvolume, while CE, GLC, and VCNet recover all the missing voxels. However, taking a close comparison, we observe that the result produced by CE includes noises and artifacts, and the result synthesized by GLC lacks coherence with its surrounding subvolumes (refer to the green arrows).

Figure 6 shows isosurface rendering results from the volumes completed by GVF, CE, GLC, and VCNet. For each data set, we pick one data sample and one isovalue to genereate the isosurface. VCNet performs the best for the argon bubble and solar plume data sets. For the five jets data set, VCNet and GLC



Figure 6: Comparison of isosurface rendering results. Top to bottom: argon bubble, five jets, solar plume, and vortex. The chosen isovalues are -0.25, -0.1, -0.4, and 0.1, respectively.

Table 4: Average IS values at selected isovalues.

data set (isovalue)	GVF	CE	GLC	VCNet
argon bubble ( $v = -0.25$ )	0.03	0	0	0.82
five jets ( $v = -0.1$ )	0.05	0.83	0.89	0.92
solar plume ( $v = -0.4$ )	0.02	0	0	0.88
supernova ( $v = 0$ )	0.01	0.58	0.64	0.67
vortex ( $v = 0.1$ )	0.06	0.85	0.83	0.90

produce similar results while CE completes the isosurface with
some noises and artifacts (see the specular highlights), and GVF
only recovers a partial subvolume. As for the vortex data set,
VCNet generates more details and preserves better coherence
between the incomplete subvolume and its surrounding.

Table 5: Average MOS given by the ten participants.

	vol	volume rendering			isosurface rendering		
data set	CE	GLC	VCNet	CE	GLC	VCNet	
five jets	0.50	0.71	0.76	0.66	0.73	0.76	
supernova	0.63	0.69	0.80	0.44	0.53	0.56	
vortex	0.54	0.60	0.71	0.56	0.74	0.79	

User evaluation. To evaluate the perceptual quality of  $syn_{413}^{403}$ thesized volumes, we conducted a user study with volume  $and_{414}^{405}$ isosurface rendering images generated by CE, GLC, and VC- $_{415}^{406}$ Net, compared with GT images. For each rendering option,  $we_{416}^{407}$ chose three data sets for comparison. For each data set, we se- $_{417}^{408}$ lected six different volume samples. In total, we collected 108



Figure 7: Highlighted differences from the participants. Top: volume rendering for supernova. Bottom: isosurface rendering for vortex.

 $(3 \times 2 \times 3 \times 6)$  image tuples for comparison. For each tuple, we set the left image as rendered from incomplete data, the middle image as synthesized by one of the three methods (CE, GLC, or VCNet with the order randomly shuffled), and the right image as rendered from the GT data. Ten Ph.D. students were recruited to complete the study. All of them major in computer science and have visualization-related backgrounds. These participants were asked to compare the middle image's completion quality with that of the right image by giving a score ranging

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Figure 8: Volume rendering results under different missing ratios. (a) and (c) show 25% and 50% missing ratios, respectively. Top to bottom: argon bubble, five jets, solar plume, and vortex.

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Table 6: Average number of highlights given by participants.

	vol	volume rendering			isosurface rendering		
data set	CE	GLC	VCNet	CE	GLC	VCNet	
five jets	2.71	1.96	1.83	2.42	2.17	2.03	
supernova	2.08	1.88	1.08	2.92	2.88	2.67	
vortex	3.04	2.79	2.54	2.92	2.13	1.71	

from 0.0 (most dissimilar) to 1.0 (most similar). Furthermore, 443 418 they were also asked to highlight, in the middle image, the dif-444 419 ferences between the middle and right images. We requested<sub>445</sub> 420 up to five differences for each tuple. Sample highlighting re-446 421 sults from the participants are shown in Figure 7. Participants<sub>447</sub> 422 were allowed to update the scores during the evaluation, espe-448 423 cially at the beginning, when the score calibration is needed.449 424 We reminded them that various factors, such as the overall im-450 425 pression, visible content shift, local color consistency, shape<sub>451</sub> 426 preservation, noise level, and coherence between the completed<sub>452</sub> 427 subvolume and its surroundings, should be considered in the<sub>453</sub> 428 evaluation. It took a participant around two hours to complete<sub>454</sub> 429 the study, and each received \$20 as compensation. We report<sub>455</sub> 430 the average MOS in Table 5 and average number of highlights<sub>456</sub> 431 in Table 6. As we can see, VCNet achieves the highest  $MOS_{457}$ 432 and lowest number of highlights for all these three data sets. 433 458

**Evaluation of missing ratio.** To investigate the capability<sub>459</sub> of VCNet in completing different missing ratios, we evaluate<sub>460</sub> VCNet on four different ratios: 12.5%, 25%, 37.5%, and 50%. As shown in Figures 8 and 9, under the missing ratio of 25%, the completed subvolumes are close to the GT for each data set. However, under the missing ratio of 50%, we can observe the differences clearly. For example, the argon bubble's head is inconsistent with the GT. The texture of the five jets' cap is not preserved well. The tail of the solar plume contains some artifacts. The sizes of several red components of the vortex are not consistent with those of GT. Furthermore, in Figure 10, we compare average PSNR values under different missing ratios with different methods. VCNet outperforms CE and GLC for most cases. In addition, when the missing ratio gets larger, the more benefit VCNet can bring. Therefore, depending on the quality need, the maximum missing ratio that VCNet can handle could range from 25% to 50%.

**Baseline analysis.** As shown in Figures 5 and 6, we observe that (1) GVF does not recover the missing subvolumes for all data sets; (2) the rendering results generated by CE contain noticeable noises and artifacts, while those produced by GLC and VCNet are not that evident; (3) CE and GLC work well for the vortex and five jets data sets but fail for the argon bubble and solar plume data sets. The explanations for these three observations are as follows.

GVF does not complete volumetric data sets with large incomplete subvolumes because it only linearly interpolates the



Figure 9: Isosurface rendering results under different missing ratios. (a) and (c) show 25% and 50% missing ratios, respectively. Top to bottom: argon bubble, five jets, solar plume, and vortex. The chosen isovalues are -0.5, 0.4, -0.2, and -0.05, respectively.



Figure 10: Average PSNR values under different missing ratios.

missing voxels by aggregating their neighborhoods. When the<sup>473</sup>
 missing subvolume becomes large, the neighborhoods can no<sup>474</sup>
 longer provide enough information for GVF to recover.

The noises and artifacts generated by CE are due to the use of DeConv layers [17]. In CE, it upscales deep features 478



Figure 11: Density maps of different volumetric data sets.

through several DeConv layers but not subsequent Conv layers after each DeConv layer. Without these subsequent Conv layers, the upscaled features are not refined and denoised since the DeConv operation will introduce the checkerboard-like artifact.

As for CE and GLC's failures on the argon bubble and solar plume data sets, we speculate that it is due to gradient vanishing. To verify this, we compute the average gradient values at different Conv layers in CE, GLC, and VCNet. The average gradients are given in Table 7. For the argon bubble data set, the gradients from Conv 3 to Conv 5 are always 0 for CE and GLC, while VCNet still preserves a small gradient at each Conv layer. As for the five jets data set, all three methods have a non-zero gradient at each Conv layer. These gradient values

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Table 7: Average gradient values at different Conv layers under different architectures.

		gradient	gradient
layer	method	(argon bubble)	(five jets)
	CE	0	$-7.27 \times 10^{-8}$
Conv 3	GLC	0	$1.15 \times 10^{-6}$
	VCNet	$1.24 \times 10^{-6}$	$-3.72 \times 10^{-8}$
	CE	0	$3.72 \times 10^{-9}$
Conv 4	GLC	0	$-1.64 \times 10^{-8}$
	VCNet	$1.31 \times 10^{-6}$	$-4.84 \times 10^{-8}$
	CE	0	$7.25 \times 10^{-9}$
Conv 5	GLC	0	$1.15 \times 10^{-6}$
	VCNet	$2.58\times10^{-7}$	$8.19\times10^{-9}$

confirm our speculation since the learnable parameters in CE 479 and GLC are no longer updated for the argon bubble data set, 480 which leads to the failure. Still, we wonder about the difference between these four data sets. To understand this, we plot 482 their density maps, as shown in Figure 11. It is clear that both 483 five jets exhibit a nearly symmetric distribution, which means 484 if one subvolume is missing, the network can quickly learn to 485 fill in through searching the symmetric counterpart. However, 486 this is not the case for argon bubble. It shows a composed dis-487 tribution: a Gaussian distribution plus a long-tail distribution. 488 That is, using a forward path in the network is not enough to 489 capture such distributions. Adding multiple forward paths can 490 help the network see more "globally" and merge the results to 491 synthesize the missing subvolume, which is the exact role LTC 492 is playing in VCNet. 493

Comparison with lossy compression. One potential appli-494 cation of VCNet is volumetric data reduction. Therefore, we 495 compare our solution against a lossy compression (LC) algo-496 rithm [47]. We cull away half of the original volume and utilize 497 VCNet to fill the culled part. We set the same PSNR value (i.e., 498 44 dB) for both methods for comparison. As displayed in Fig-499 ure 12, both approaches can recover the overall shape of the 500 supernova, while LC produces more artifacts and noises. 501



Figure 12: Comparison of volume rendering results with VCNet and LC using the supernova data set.

Robustness evaluation. To study VCNet's robustness in 502 completing different missing subvolumes, we test VCNet for 503 various missing subvolumes (e.g., cuboid, cylinder, hyperboloid, 504 sphere, tetrahedron, and ring) using different data sets. Volume 505 and isosurface rendering results are shown in Figures 13 and 14. 506 The results show that VCNet can handle different missing sub-507 volumes. It can also work well when the input volumes have 508 multiple missing subvolumes. 509



Figure 13: Volume rendering results under various missing subvolumes. Top to bottom: argon bubble, five jets, solar plume, supernova, and vortex.



Figure 14: Isosurface rendering results under various missing subvolumes. Top to bottom: argon bubble, five jets, solar plume, supernova, and vortex. The chosen isovalues are -0.2, 0.25, -0.8, 0.0, and -0.1, respectively.



Figure 15: Volume rendering results with and without LTC using the solar<sup>539</sup> plume data set. 540



Figure 16: Zoom-in volume rendering results with and without dilated Conv using the vortex data set.  $^{548}$ 



Figure 17: A subpar case of VCNet with the supernova data set.

# 510 4.3. Ablation Study

For the ablation study, we investigate the impact of long-563 511 term connection and dilated Conv. To investigate the impact564 512 of LTC in VCNet, we train VCNet with and without LTC. As<sup>565</sup> 513 shown in Figure 15, without LTC, VCNet cannot recover the  $\frac{566}{567}$ 514 missing subvolume for the solar plume data set. These results<sub>568</sub> 515 confirm the effectiveness of LTC in VCNet. To study the use-569 516 fulness of dilated Conv, we apply traditional Conv to replace<sup>570</sup> 517 dilated Conv in VCNet. As shown in Figure 16, with dilated<sup>571</sup><sub>572</sub> 518 Conv, the recovered volume of the vortex data set can preserve<sub>573</sub> 519 a better coherence with its surroundings (refer to the green ar-574 520 575 rows). 521 576

## 522 4.4. Discussion

While VCNet can complete volumes with various  $missing_{580}^{579}$ 523 subvolumes, it may not satisfactorily synthesize fine details on<sub>581</sub> 524 some specific subvolumes. One example with the supernova582 525 data set is shown in Figure 17 where the missing subvolume<sup>583</sup> 526 corresponds to the supernova's center. We can see that  $VCNet_{585}^{584}$ 527 does not generate high-fidelity rendering results, even though<sub>586</sub> 528 the overall shape is well recovered. This is because the sur-587 529 rounding subvolumes may exhibit different structures than the588 530 center. Thus, leveraging the surroundings' information does  $not_{590}^{300}$ 531 help fill in the supernova's center seamlessly. 532 591

## 5. Conclusions and Future Work

We have presented VCNet, a novel deep learning framework that synthesizes missing subvolumes for analyzing and visualizing 3D volumetric data sets. Leveraging GAN, VCNet completes different missing subvolumes with varying missing ratios. In terms of volume rendering and isosurface rendering, VCNet achieves better visual quality than GVF and two other solutions based on deep learning (i.e., CE and GLC). In addition to qualitative comparison, quantitative evaluation results using PSNR, MOS, and IS also confirm the effectiveness of VCNet. In the future, we will consider the information of neighboring time steps for preserving temporal coherence. We will also use VCNet to complete large volumetric data sets through multiple GPUs and model parallel.

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