

# Homework 8: NP-Completeness

CSE 30151 Spring 2020

Due 2020/04/29 at 5pm (no late penalty during grace period of 72 hours)

## Instructions

- Create a PDF file (or files) containing your solutions. You can write your solutions by hand, but please scan them in the library or using a smartphone to get them into PDF.
- Please name your PDF file(s) as follows to ensure that the graders give you credit for all of your work:
  - If you're making a complete submission, name it *netid-hw8.pdf*, where *netid* is replaced with your NetID.
  - If you're submitting some problems now and want to submit other problems later, name it *netid-hw8-123.pdf*, where *123* is replaced with the problem numbers you are submitting at this time.
- Submit your PDF file(s) in Sakai. Don't forget to click the Submit button!

## Problems

1. This problem concerns details of the proof of the NP-completeness of *CLIQUE*, which is Theorem 7.32 in the book.
  - (a) Convert the formula  $\phi = (x \vee x \vee z) \wedge (\bar{x} \vee y \vee y) \wedge (\bar{y} \vee \bar{y} \vee \bar{z})$  into a graph  $G = (V, E)$  and integer  $k$ , using the construction in the proof of Theorem 7.32 (so that  $\phi$  is satisfiable iff  $G$  has a clique of size  $k$ ).
  - (b) For each satisfying truth assignment of  $\phi$ ,
    - Please write down the truth assignment.
    - How many subsets of  $V$  does it correspond to?
    - Please draw one of them.
    - Is it a clique of  $G$ ?
  - (c) Convert  $\phi = (x \vee \bar{y} \vee \bar{y}) \wedge (\bar{x} \vee \bar{x} \vee \bar{x}) \wedge (y \vee y \vee y)$  into a graph  $G$  and integer  $k$ , and explain why  $\phi$  is not satisfiable and  $G$  has no clique of size  $k$ .

2. In the *knapsack problem*, you are given a knapsack with maximum weight capacity  $W$  kilograms, and a set of  $k$  items,

$$S = \{\langle w_1, v_1 \rangle, \dots, \langle w_k, v_k \rangle\}$$

where  $w_i$  is the weight (in kilograms) of item  $i$  and  $v_i$  is the value (in dollars) of item  $i$ . The decision version of the problem is: Is there a subset of the items with total weight at most  $W$  and total value at least  $V$ ? More formally,

$$KNAPSACK = \left\{ \langle S, W, V \rangle \mid \exists I \subseteq \{1, \dots, k\} \text{ s.t. } \sum_{i \in I} w_i \leq W \text{ and } \sum_{i \in I} v_i \geq V \right\}.$$

Show that this problem is NP-complete. You can treat  $k$  as the problem size, or you can use  $|\langle S, W, V \rangle|$  as the problem size if you want to be more precise.

3. In Theorem 5.15, we considered the Post Correspondence Problem (PCP), which is to decide whether a set of dominos

New (easier)  
version of  
2020-04-23

$$P = \left\{ \left[ \frac{t_1}{b_1} \right], \dots, \left[ \frac{t_k}{b_k} \right] \right\},$$

has a solution, that is, sequence of indices  $i_1, \dots, i_l$  such that  $t_{i_1} \cdots t_{i_l} = b_{i_1} \cdots b_{i_l}$ . Define the *string-length* of this solution to be  $|t_{i_1} \cdots t_{i_l}|$ .

Now let us consider the bounded version of this problem, BPCP, which is to decide, on input  $\langle P, 1^m \rangle$ , where  $P$  is a PCP instance and  $m > 0$ , whether  $P$  has a solution with string-length at most  $m$ . In this problem, we'll prove that BPCP is NP-complete, by reduction from every language in NP.

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- (a) Prove that BPCP is in NP. You can treat  $m$  as the problem size. If you want to be more precise, treat  $|\langle P, 1^m \rangle|$  as the problem size.

Next, given any language  $A$  in NP, there must be an NTM  $N$  and a polynomial  $p(n)$  such that  $N$  decides  $w$  in at most  $p(n)$  steps, where  $n = |w|$ . We now need to define a mapping  $f$  from strings  $w$  to pairs  $\langle P, 1^m \rangle$ , such that  $N$  accepts  $w$  iff  $P$  has a solution with string-length at most  $m$ .

Fortunately, the proof of Theorem 5.15 tells us exactly how to construct  $P$ . You may assume without proof that it still works on NTMs, that is, an NTM  $N$  accepts  $w$  iff the PCP instance  $P$  has a solution. We just need to find  $m$ .

- (b) Prove that for any  $w$ , there is a bound  $m$  such that  $N$  accepts  $w$  iff  $P$  has a solution with string-length at most  $m$ . It's okay to express  $m$  using big- $O$  notation.
- (c) Prove that  $f$  runs in polynomial time.