

# Final Exam Study Guide

CSE 30151 Spring 2024

Exam date: 2023-05-06 10:30am–12:30pm in 136 DeBartolo Hall

The cover page of the exam will look like this.

Name:

NetID:

- This exam has eight questions, worth 15 points each, for a total of 120 points (20% of your grade).
- You may use your textbook and paper notes, but computers, smartphones, and tablets are **not** allowed.
- You may use the textbook, lectures, and lecture notes for this course without citation. However, you may **not** copy or quote from any other materials in your notes that you are not the author of.
- On this page, please write your name and NetID, but please don't write any solutions. On the remaining pages, front and back, please write your solutions, but please don't write your name.

## Problem types

The questions will be of the following types. (Exercise/problem numbers are from Sipser; an <sup>l</sup> means 3rd international edition and a <sup>u</sup> means 3rd US edition.)

- 1–2. Two questions will be on topics covered on the midterm exam. See the midterm study guide for examples, but see below for topics specifically not on the final exam.
- 3–4. Two of the questions will cut across multiple topics covered this semester. They will either be short-answer or at most require one-line proofs.
5. Prove that a language is not context-free (Problems 2.42bc<sup>l</sup>/2.30bc<sup>u</sup>).
6. Prove that a TM variant or other formal system is equivalent to a TM (Problems 3.17–18<sup>l</sup>/3.10–11<sup>u</sup>).
7. Prove that a language is undecidable. Examples: Exercise 5.1 (hint: use Theorem 5.13), Problems 5.29<sup>l</sup>/5.13<sup>u</sup>, 5.25–27<sup>l</sup>/5.9–11<sup>u</sup>.
8. Prove that a language is NP-complete. Examples (easiest to hardest):
  - (a) In a directed graph  $G(V, E)$ , a clique is a set of nodes  $S \subset V$  such that there is an edge from every node in  $S$  to every other node in  $S$ . Prove that the *directed clique* problem is NP-complete:  
$$\text{DCLIQUE} = \{\langle G, k \rangle \mid G \text{ is a directed graph with a clique of size } k\}.$$
  - (b) This question was on an exam at a time when all students had taken *Logic Design*: A Boolean circuit with  $\ell$  inputs and 1 output is *satisfiable* iff there is a set of inputs that make the output 1. Prove that it is NP-complete whether a given Boolean circuit using only NAND gates is satisfiable.
  - (c) HW8 Q3 was originally from a take-home exam.
  - (d) Problem 7.49–50<sup>l</sup>/7.22–23<sup>u</sup>.

## Topics *not* on the exam

- Conversion between DFAs, NFAs, and regular expressions
- Conversion between CFGs and PDAs
- Chomsky normal form (108–110)
- Deterministic context-free languages (§2.4)
- Advanced topics in computability theory (§6)
- §8 and beyond

## Solutions to selected exercises/problems

**Problem 5.25<sup>1</sup>** This language is undecidable by Rice's Theorem. Alternatively, suppose that this language is decided by a TM  $R$ . We can use  $R$  to construct a TM  $S$  that decides  $A_{\text{TM}}$  as follows:

$S =$  On input  $\langle M, w \rangle$ :

1. Construct  $M' =$  On input  $x$ :
  - (a) Simulate  $M$  on  $w$ .
  - (b) If  $M$  accepts  $w$  and  $x = 01$ , accept.
  - (c) If  $M$  accepts  $w$  and  $x \neq 01$ , reject.
  - (d) If  $M$  rejects  $w$ , reject.
2. Run  $R$  on  $\langle M' \rangle$ .
3. If  $R$  accepts  $\langle M' \rangle$ , reject.
4. If  $R$  rejects  $\langle M' \rangle$ , accept.

If  $M$  accepts  $w$ , then  $M'$  recognizes the language  $\{01\}$ , so  $R$  rejects, so  $S$  accepts. However, if  $M$  rejects  $w$  or loops on  $w$ , then  $M'$  recognizes the language  $\emptyset$ , so  $R$  accepts, so  $S$  rejects. So  $S$  decides  $A_{\text{TM}}$ . But  $A_{\text{TM}}$  is undecidable, so this is a contradiction.

**Problem 5.29<sup>1</sup>** Suppose that this language is decided by a TM  $R$ . We can use  $R$  to construct a TM  $S$  that decides  $A_{\text{TM}}$  as follows:

$S =$  On input  $\langle M, w \rangle$ :

1. Construct  $M' =$  On input  $x$ :
  - (a) Visit every state except for a special state  $q_{\text{special}}$ . (If desired, one could go into more detail about how this is done.)
  - (b) Simulate  $M$  on  $w$ .
  - (c) If  $M$  accepts  $w$ , visit  $q_{\text{special}}$  and halt.
  - (d) If  $M$  rejects  $w$ , halt.
2. Run  $R$  on  $\langle M' \rangle$ .
3. If  $R$  accepts  $\langle M' \rangle$ , reject.
4. If  $R$  rejects  $\langle M' \rangle$ , accept.

If  $M$  accepts  $w$ , then  $M'$  (on any input) visits all of its states including  $q_{\text{special}}$ , so  $R$  rejects, so  $S$  accepts. However, if  $M$  rejects  $w$  or loops on  $w$ , then  $M'$  does not visit  $q_{\text{special}}$  (on any input), so  $R$  accepts, so  $S$  rejects. So  $S$  decides  $A_{\text{TM}}$ . But  $A_{\text{TM}}$  is undecidable, so this is a contradiction.

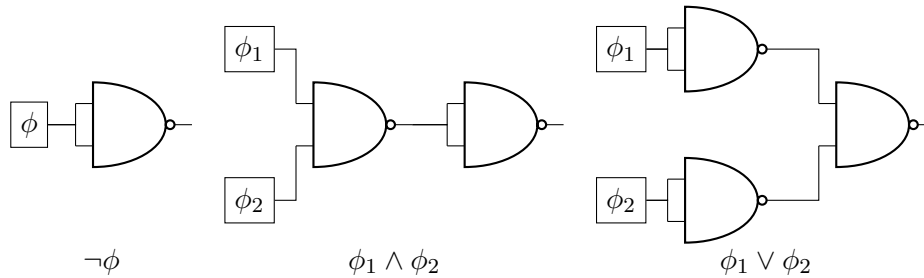
**Problem 7.49<sup>I</sup>** The certificates for DOUBLE-SAT are pairs of assignments, which can clearly be checked in linear time. We show that DOUBLE-SAT is NP-hard by reduction from SAT. Given a formula  $\phi$  with variables  $x_1, \dots, x_\ell$ , let  $y$  be a new variable and let

$$f(\phi) = (\neg y \wedge \phi) \vee (y \wedge \neg x_1 \wedge \dots \wedge \neg x_\ell)$$

which can clearly be constructed in linear time. If  $\phi$  has a satisfying assignment  $\xi$ , then we can make two satisfying assignments for  $f(\phi)$ : one by taking  $\xi$  and also setting  $y = 0$ , and another by setting  $x_1 = \dots = x_\ell = 0$  and  $y = 1$ . Conversely, if  $f(\phi)$  has two satisfying assignments, at most one of them can have  $y = 1$ , so the other one must satisfy  $\phi$ .

**NP-completeness of CLIQUE** The certificates are subsets of  $V$ , which can clearly be checked in quadratic time, just like the undirected clique problem. We prove this language NP-hard by reduction from the undirected clique problem. Given an undirected graph  $G = (V, E)$  and an integer  $k > 0$ , we construct a directed graph  $G' = (V, \{(u, v) \mid (u, v) \in E\} \cup \{(v, u) \mid (u, v) \in E\})$ , that is, for every edge in  $G$  from  $u$  to  $v$ , we create edges in  $G'$  from  $u$  to  $v$  and  $v$  to  $u$ . This mapping runs in linear time, and  $G$  has a clique of size  $k$  if and only if  $G'$  has a clique of size  $k$ .

**NP-completeness of NAND-SAT** The certificates are sets of inputs, which can clearly be checked in linear time. We prove this language NP-hard by reduction from SAT. Given a formula  $\phi$ , we can recursively convert it into a circuit using only NAND gates:



The circuit has size linear in the size of  $\phi$ , and it computes exactly the same truth value as  $\phi$ , so it is satisfiable if and only if  $\phi$  is.