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**Teaching Moral Character:
Two Strategies for Teacher Education**

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Abstract

Debating whether or not teachers should teach values is the wrong question. Education is a values-infused enterprise. The larger question is how to train teachers for positive character formation. Two teacher education strategies are presented. A “minimalist” strategy requires teacher educators to make explicit the hidden moral education curriculum and reveal the inextricable linkage between best practice instruction and moral character outcomes. The “maximalist” approach requires preservice teachers to learn a tool kit of pedagogical strategies that target moral character directly as a curricular goal. To this end the Integrative Ethical Education model outlines five steps for moral character development: supportive climate, ethical skills, apprenticeship instruction, self-regulation, and adopting a developmental systems approach. (113 words)

The importance of character education is gaining momentum among politicians and educators. Over a dozen states have mandated character education and hundreds of schools have incorporated it into their programming (e.g., L.A. Times, 2003). Moreover, in the last several years three top education periodicals (*Educational Leadership*, *Phi Delta Kappan*, *Journal of Teacher Education*) have stressed the importance of character, ethics, and spirituality in education. Yet, for all the increased interest in implementing character education among school districts, state legislatures and academic researchers (CASEL Connections, 2005), it is a striking fact

that few teacher education programs are intentionally and deliberately preparing preservice teachers for the task (Schwartz, in press). The relative neglect of moral character education in the formal preservice teacher curriculum has at least two proximal causes. The first is the daunting surfeit of training objectives that already crowd the academic curriculum of teaching majors. When faced with the reality of finite credit hours available for teacher education, along with the demands of NCATE accreditation and state licensing requirements, many teacher educators assume that the preservice curriculum leaves little room for training in moral character education. The second cause is the puzzling phenomena whereby stakeholders---parents and school boards---expect schools to address the character of students, but nobody wants to be caught teaching values. The allergic fear of moral education is that one should be asked “whose values?” are being taught.

Yet values are embedded inextricably in school and classroom life (Campbell, 2003; Hansen, 1993; Fenstermacher, 1990; Tom, 1984). Teachers implicitly impart values when they select and exclude topics; when they insist on correct answers; when they encourage students to seek the truth of the matter; when they establish classroom routines, form groups, enforce discipline, encourage excellence. Teachers mold certain forms of social life within classrooms, and influence students’ experience of community and school membership. Moral values saturate the daily life of classrooms (Bryk, 1988; Goodlad, 1992; Hansen, 1993; Strike, 1996). Character formation is intrinsic to classrooms and schools and an inescapable part of the teacher’s craft (Campbell, 2005; Hansen, 1993; Jackson, Boostrom & Hansen, 1993; Lapsley & Narvaez, 2006).

The dilemma that faces teacher educators, then, is whether it is acceptable to allow character education to remain part of a school’s hidden curriculum, or whether advocacy for the value commitments immanent to education and teaching should be transparent, intentional, and public. Our sympathy is with the latter option, but how do teacher educators equip preservice teachers with the skills to take up their task as moral educators? What would training for character and ethical development look like?

Two alternative approaches are presented here. The first approach views character education as immanent to best practice instruction. This approach argues that there is little need for specialized instruction in ethics or in the design of distinctly *moral* education curriculum. Rather, character development is an outcome of effective teaching. It is a precipitate of best

practice instruction. Hence, in order to be assured that the moral formation of students will be in good hands the teacher educator need only ensure that pre-service teachers are prepared to be outstanding teachers.

The second view is that best practice teaching is necessary but not sufficient for effective moral formation of pupils. Perhaps at some point in the halcyon past it was sufficient, but in the present cultural milieu children are reared increasingly in toxic environments that pose special challenges for their moral and social development (Garbarino, 2004; Quart, 2003). As a result teachers are called upon to offer a counterweight to the malformative elements permeating children's lives, a responsibility that calls for a more intentional and deliberate approach. This intentional strategy is committed to the view that students flourish in classroom communities, and that children are best equipped to take on the challenges of development when they master the skill sets required for responsible membership in a democratic society (Guttman, 1987).

Option 1: Best Practice Instruction is Sufficient for Moral Character Formation

Effective teaching for moral character aligns with best practice instruction for academic achievement. The knowledge base that supports best practice instruction is coterminous with what is known to influence the moral formation of students. Making explicit this linkage should be a clear goal for teacher education. Preservice teachers should consider not only how instructional practice influences academic learning but also how it shapes student character development. As we will see, schooling and teacher practices that promote achievement overlap with practices that support student prosocial development (Sebring, 1996). Effective teaching promotes both moral and academic excellence (Solomon, Watson & Battistich, 2001). Here we will focus on two domains where best practice instruction pays dividends for moral character education: the importance of both socio-emotional skill development and caring classrooms and schools.

Caring School Community. Character formation begins with a caring relationship, first in the home and then at school. A caring relationship forms the bridge from adult to child through which mutual influence can take place (Greenspan & Shanker, 2005). A child who is cared for will likely care for others and engage as a citizen in the moral life of the community. The quality

of early teacher-student relationships can have a strong influence on academic and social outcomes that persist through eighth grade (Hamre & Pianta, 2001). In a study of middle-school students Wentzel (2002) showed that teaching styles that conform to dimensions of effective parenting were a significant predictor of students' academic goals, interest-in-school and mastery learning orientation (even after controlling for demographic factors, like gender and race, and students' control beliefs). In particular, teachers who had high expectations tended to have students who earned better grades but also pursued prosocial goals, took responsibility and showed a commitment to mastery learning. Conversely, teachers who were harshly critical and perceived to be unfair had students who did not act responsibly with respect to classroom rules and academic goals.

Caring schools and classrooms provide multiple benefits for students. Caring school climates encourage social and emotional bonding and promote positive interpersonal experiences, providing the minimum necessary grounding for the formation of character (Schaps, Battistich, & Solomon, 1997). Moreover, in schools where there is a strong perception of communal organization there is less student misconduct (Bryk & Driscoll, 1988) and lower rates of drug use and delinquency (Battistich & Hom, 1997). Student attachment or bonding to school improves school motivation (Goodenow, 1993) and counterindicates delinquency (Welsh, Greene, & Jenkins, 1999) and victimization of teachers and students (Gottfredson & Gottfredson, 1985). Schools characterized by a strong sense of community report decreased discipline problems, less drug use, delinquency and bullying, but also higher attendance, and improvements in academic performance (see Lapsley & Narvaez, 2006, for a review). Research by the Developmental Studies Center provides compelling evidence that the sense of classroom and school community is positively related to self-reported concern for others, conflict resolution skills, altruistic behavior, intrinsic prosocial motivation and trust in and respect for others (Battistich, Solomon, Watson & Schaps, 1997; Schaps, Battistich & Solomon, 1997). In sum, caring classroom environments are associated with greater academic achievement and prosocial behavior (Zins, Weissberg, Wang, & Walberg, 2004).

We noted earlier that effective teachers have the qualities of good parents. Indeed, teachers with positive attitudes about students are more likely to foster student achievement and ethical behavior (Haberman, 1999). Such teachers adopt the attitude that they will do all they can to help students meet basic needs, such as autonomy, belonging and competence (Deci and Ryan, 1985), sense of purpose, understanding and trust (Fiske, 2004). When basic

needs are unmet the focus on learning can be supplanted by misbehavior and disengagement. The way to best meet these needs is in a group setting which provides “a focus for identification and commitment” (Battistich et al, 1997, p. 138) and in which students can “participate actively in a cohesive, caring group with a shared purpose; that is, a community” (p. 138). As Watson (2003) points out, teachers can learn to pay attention to student needs throughout the day and coach difficult students on how best to meet their needs. Again, the result is more academically-focused and achieving students as well as prosocial classrooms (Wahlberg, Zins & Weissberg, 2004).

Building a caring classroom community takes some skill on the part of the teacher. According to Solomon et al. (2002), caring school and classroom communities have the following characteristics. First, the teacher models respectful behavior and is warm, accepting, and supportive of students. Second, students have influence on important classroom decisions. Specifically, students have the autonomy to make important choices in the classroom related to their own self-development and participate in activities like rule-making. Third, students have opportunities to interact, collaborate, and discuss important issues with one another. Fourth, students practice social skills and have opportunities to help others.

In summary, teachers need content knowledge about the links between caring classrooms, achievement and prosocial character. Teachers need the pedagogical skills to pull it off; and they need the disposition to be committed to providing caring climates as a teaching practice. A second best practice is described: social and emotional skill development.

Social and Emotional Skills. Social and emotional skills are crucial to school success. Recent research suggests that emotional intelligence has more bearing on life and school outcomes than academic intelligence (Zins et al., 2004). As Goleman (2004, p. viii) put it, “Social and emotional learning programs pave the way for better academic learning. They teach children social and emotional skills that are intimately linked with cognitive development.” Social and emotional skills facilitate everyday life, affecting relationships and school achievement—skills in communication, conflict resolution, decision making and cooperation (Catalano, Haggerty, Oesterle, Fleming, & Hawkins, 2004). A substantial literature shows that programs that address social and emotional competencies are effective in preventing problem behaviors (Durlak & Wells, 1997; Wilson, Gottfredson, & Najaka, 2001), including drug use (Tobler et al., 2000), and violence (Greenberg & Kusche, 1998; Greenberg, Kusche, Cook, & Quamma, 1995). Social and

emotional learning is also a strong predictor of academic outcomes (Elias et al., 2003; Shriver & Weissberg, 2005). One study demonstrated, for example, that the best predictor of eighth-grade academic achievement was not third-grade academic achievement but indices of social competence (Caprara, Barbanelli, Pastorelli, Bandura, & Zimbardo, 2000).

Implications. Given the tight connection between best practice instruction for academic expertise and for moral development, teachers are unwittingly engaged in character education when they structure lessons and organize classrooms in ways that optimally support student learning. The implication for teacher education is straightforward: adopt a best-practice approach to instruction for character education. Preservice reflective practice could address the pedagogical strategies that are correlated with student academic achievement, making apparent their implications for moral character education. Moreover teacher educators can help preservice teachers appreciate how and where moral values permeate classrooms and schools, and help them understand, too, that hiding values under the blanket of instructional best practice does not relieve them of their moral duty as educators or evade the fundamentally moral purpose of education.

Option 2: Best Practice is Necessary but not Sufficient

The first option does not require significant revision of the standard teacher education curriculum. It requires no specialized curriculum, no tool box of specialized instructional strategies. It requires only reflective intentionality about the dual implications of best practice instruction—that it advances the cause of both academic achievement and moral character formation. The second view agrees that instructional best practice is necessary, but that it is not sufficient to equip student with the skills necessary to negotiate the demands of modern life. There is no guarantee that students will experience positive moral formation outside of school, let alone experience guidance broad or explicit enough to prepare them to be morally competent adults. For example, in poor urban neighborhoods, there are often few positive role models (Jargowsky & Sawhill, 2006) and young people receive very little coaching for moral citizenship. The task of preparing morally adept individuals requires, according to this view, a more intentional programmatic instructional focus (Lapsley & Narvaez, 2006). The framework presented here addresses specifically the issue of *what* and *how* to teach for positive character formation.¹

Integrative Ethical Education. The Integrative Ethical Education (IEE) model blends several key findings from empirical science to provide a step-by-step framework for cultivating moral character (Narvaez, 2006; in press). The steps may be taken one by one or all at once. Within a context saturated with high expectations for behavior and achievement, educators deliberately build the following within the classroom and school:

Step 1: Foster a supportive climate for moral behavior and high achievement.

Step 2: Cultivate ethical skills.

Step 3: Use an apprenticeship approach to instruction (novice-to-expert guided practice).

Step 4: Nurture self-regulation skills

Step 5: Build support structures with the community

The first step has been described as best practice above under Caring School Community, and so will not be addressed further. The second and third steps, discussed together, are rooted in an expansion of Rest's Four Component Model (Narvaez & Rest, 1995; Rest 1983) and expertise development. The Four Component Model describes the psychological skills or processes that a person uses in order to complete a moral behavior: ethical sensitivity, ethical judgment, ethical focus, and ethical action. Ethical sensitivity refers to perceiving the moral issue cognitively and emotionally, identifying courses of action, affected parties and reactions. Ethical judgment entails applying a code of ethics to make a decision about the most moral choice. Ethical focus involves prioritizing the moral choice, and ethical action is the ability and strength to carry through on the ethical choice.

Current understanding of knowledge acquisition adopts the novice-to-expert learning paradigm (Bransford, Brown & Cocking, 1999). Sternberg (1998) contends that abilities are developing expertise. According to this approach, individuals build their knowledge over time during the course of experiences related to a particular knowledge domain, thereby increasing in expertise. Experts have large, rich, organized networks of concepts (schemas) containing a great deal of declarative, procedural and conditional knowledge about the domain. Experts are more efficient at solving problems in the domain, monitoring their progress, and deriving workable solutions.

In turn moral experts apply skills and demonstrate holistic orientations in one or more of the processes outlined in the Four Component Model. Experts in Ethical Sensitivity are better at quickly and accurately 'reading' a moral

situation and determining what role they might play. They take others' perspectives and control personal bias in an effort to be morally responsive to others. Experts in Ethical Judgment have many tools for solving complex moral problems. They reason about duty and consequences, responsibility and religious codes. Experts in Ethical Focus cultivate moral self-regulation that leads them to prioritize ethical goals. They foster an ethical identity that leads them to align the self with moral commitments. Experts in Ethical Action know how to keep their "eye on the prize," enabling them to stay on task and take the necessary steps to get the ethical job done. Thus, moral character entails skills and attitudes that can be honed to high levels of expertise.

A key task of character education, then, is to cultivate component skills to higher levels of expertise. Each of the four components is a "toolkit" of subskills. Table 1 lists the skills that were identified over the course of the Minnesota Community Voices and Character Education Project, a federally-funded collaborative project conducted with middle school educators (Anderson, Narvaez, Bock, Endicott and Lies, 2003; Narvaez, Bock & Endicott, 2003; Narvaez Bock, Endicott & Lies, 2004). These skills were identified as those that could be incorporated into standards-driven instruction, as well as other aspects of schooling such as homeroom/advisory and school-wide projects. Moreover, participating educators used a novice-to-expert approach in developing student skills.

Teaching for expertise involves both direct instruction through role modeling, expert demonstration and thinking aloud (Sternberg, 1998), focusing attention on ethical aspects of situations, and expressing the importance of ethical behavior. It also requires indirect instruction through immersion in environments where skills and procedures can be practiced extensively (Hogarth, 2000). Based on current research (e.g., Marshall, 1999), the Minnesota Community Voices and Character Education project identified four levels of instruction, to be selected according to student level of understanding. In *Level 1: Immersion in Examples and Opportunities*, the student sees prototypes of the behavior to be learned and begins to attend to the big picture and recognize basic patterns. The teacher plunges students into multiple, engaging activities. Students learn to recognize broad patterns in the domain (identification knowledge). They develop gradual awareness and recognition of elements in the domain. In *Level 2: Attention to Facts and Skills*, the student learns to focus on detail and prototypical examples, building a knowledge base. The teacher focuses the student's attention on the elemental concepts in the domain in order to build elaboration knowledge.

Skills are gradually acquired through motivated, focused attention. In *Level 3: Practice Procedures*, the student learns to set goals, plan steps of problem solving, and practice skills. The teacher coaches the student and allows the student to try out many skills and ideas throughout the domain to build an understanding of how these relate and how best to solve problems in the domain (planning knowledge). Skills are developed through practice and exploration. In *Level 4: Integrate Knowledge and Procedures*, the student executes plans and solves problems. The student finds numerous mentors and/or seeks out information to continue building concepts and skills. There is a gradual systematic integration and application of skills across many situations. The student learns how to take the steps in solving complex domain problems (execution knowledge). This set of novice-to-expert levels leads students to the fifth step, self-regulation..

The fourth step in the IEE model is self-regulation. Learners must learn to use their skills independently. Individuals can be coached not only in skills and expertise but in domain-specific self-efficacy and self-regulation (Zimmerman, Bonner, & Kovach, 2002). The most successful students learn to monitor the effectiveness of the strategies they use to solve problems and, when necessary, alter their strategies for success (Anderson, 1989). According to Zimmerman (2000), self-regulation is acquired in stages; these resemble the processes of scaffolded learning in the zone of proximal development. First, through observation the child vicariously induces the skill by watching a model. Second, the child imitates the model with assistance. Third, the child independently displays the skill under structured conditions. Finally, the child is able to use the skill across changing situations and demands.

Teachers should understand their roles as facilitators of student self-development. Good learners have good self-regulatory skills for learning (Zimmerman, 1998). Teachers have a chance to help students develop the attitudes and skills necessary for the journey towards expertise. This is true for moral character as well. As in any domain, skills must be practiced to be developed. Teachers must be oriented to providing good practice opportunities for students. For example, if students don't get practice helping others, they are less likely to do it when the occasion arises (Youniss & Yates, 1997). With adult coaching each student can monitor ethical skill development and hone a particular set of expert skills. Once developed, virtues must be maintained through the selection of appropriate friends and environments (Aristotle, 1988). Virtuous individuals are autonomous enough to monitor their behavior and choices.

A developmental systems approach (Lerner, Dowling & Anderson, 2003) can serve as the broad conceptual framework for step five. The desire to strengthen connections among home, school and community is supported by ecological perspectives on human development. There are adaptational advantages for children whose developmental ecology is characterized by a richly connected mesosystem (Bronfenbrenner, 1979). The work of the Search Institute on the developmental assets is one instantiation of this general approach (Scales & Leffert, 1999; Benson, Scales, Leffert, & Roehlkepartain, 1999). Developmental assets are those features of a developmental system that promote positive outcomes. External assets refer to the positive developmental experiences that result from the network of relationships that youth have with adults in family, school and community. Internal assets refer to endogenous skills, dispositions and interests that emerge over the course of education and development. Benson (Benson, Scales, Leffert & Blythe, 1998) reported dramatic differences in the percentage of youth with low (0-10) and high (31-40) assets who engage in risk behavior. Benson et al. (1998) also reported a strong connection between asset levels and thriving factors. Although youth from at-risk backgrounds benefit more from asset-building approaches, wealthy neighborhoods are often lacking in many asset-building features. Educators should work hand in hand with parents and community leaders to ensure that asset and ethical skill building occurs across every context in which students participate.

Finally, all five steps of the IEE model should occur in a setting where the educators have high expectations for behavior and achievement; this is especially key for disadvantaged students who do not achieve under caring and supportive conditions alone (Zins et al, 2004). The five steps work together in concert to bring about the greatest change for achievement and character.

In summary, the IEE framework provides a functional view of what steps a teacher can take in deliberately fostering moral character. First, teacher educators point out the importance of establishing a respectful and caring relationship with students, helping preservice teachers understand and practice different ways to do this. This is accompanied by helping preservice teachers learn how to establish a supportive classroom climate, important for achievement and ethical character development. Second, teacher educators help their students identify the ethical skills that support academic and social success, guiding them in ways these can be taught during the school day in academic and non-academic lessons. Third, preservice teachers learn how to

cultivate expertise in students not only in their discipline, but also for an ethical social life. Fourth, in subject matter and in social life, preservice teachers develop techniques to help their students foster self-regulation and self-efficacy. Fifth, as part of their professional dispositions educators can learn to work with a developmental systems approach in mind, linking to parents and community members for maximal positive development of students. Thus, IEE provides teacher educators with a potential “unit plan” for equipping preservice education majors with the skills necessary to take on their moral education responsibilities with intentional transparency.

Conclusion

Student moral development is both implicit and inevitable in standard educational practice. The challenge facing teachers and teacher educators is whether to allow moral formation to occur opportunistically, letting students learn what they will, for good or bad, come what may; or whether to foster an intentional, transparent and deliberative approach that takes seriously the moral dimensions of teaching and schooling. Two teacher education strategies were proposed. The minimalist strategy requires teacher educators to make explicit the hidden moral education curriculum, and to encourage preservice teachers to see the moral character outcomes that are immanent to best practice instruction. The maximalist strategy requires that preservice teachers come to learn a tool kit of pedagogical skills that targets moral character education as an explicit curricular goal. It is important to know that when teachers are intentional and wise in praxis, they provide students with a deliberative, positive influence on their character.

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ENDNOTE

1 This is the Integrative Ethical Education Model, initially developed in collaboration with Minnesota educators during the Community Voices and Character Education project (Narvaez, Bock, Endicott, Lies, 2004). From 1998-2002, the Minnesota Department of Education (formerly the Department of Children, Families, and Learning) implemented the Community Voices and Character Education Project (CVCE) with funds from the U.S. Department of Education (USDE OERI Grant # R215V980001). Project materials may be obtained from the first author or at the Center for Ethical Education, <http://cee.nd.edu>. The IEE model was subsequently extended, based on further research (Narvaez, 2006).

Table 1. The Four Processes and Related Skill Categories of the Integrative Ethical Education Model.

<p>ETHICAL SENSITIVITY</p> <p>Understand Emotional Expression Take the Perspectives of Others Connecting to Others Responding to Diversity Controlling Social Bias Interpreting Situations Communicating Effectively</p>
<p>ETHICAL JUDGMENT</p> <p>Understanding Ethical Problems Using Codes and Identifying Judgment Criteria Reasoning Critically Reasoning Ethically Understand Consequences Reflect on the Process and Outcome of Decision Coping and Resiliency</p>
<p>ETHICAL FOCUS (MOTIVATION)</p> <p>Respecting Others Develop Conscience Act Responsibly Be Community Member Finding Life Purpose Valuing Traditions and Institutions Developing Ethical Identity and Integrity</p>
<p>ETHICAL ACTION</p> <p>Resolving Conflicts and Problems Assert Respectfully Taking Initiative as a Leader Implementing Decisions Cultivate Courage for Social Justice Persevering for Others Work Hard for Moral Ends</p>