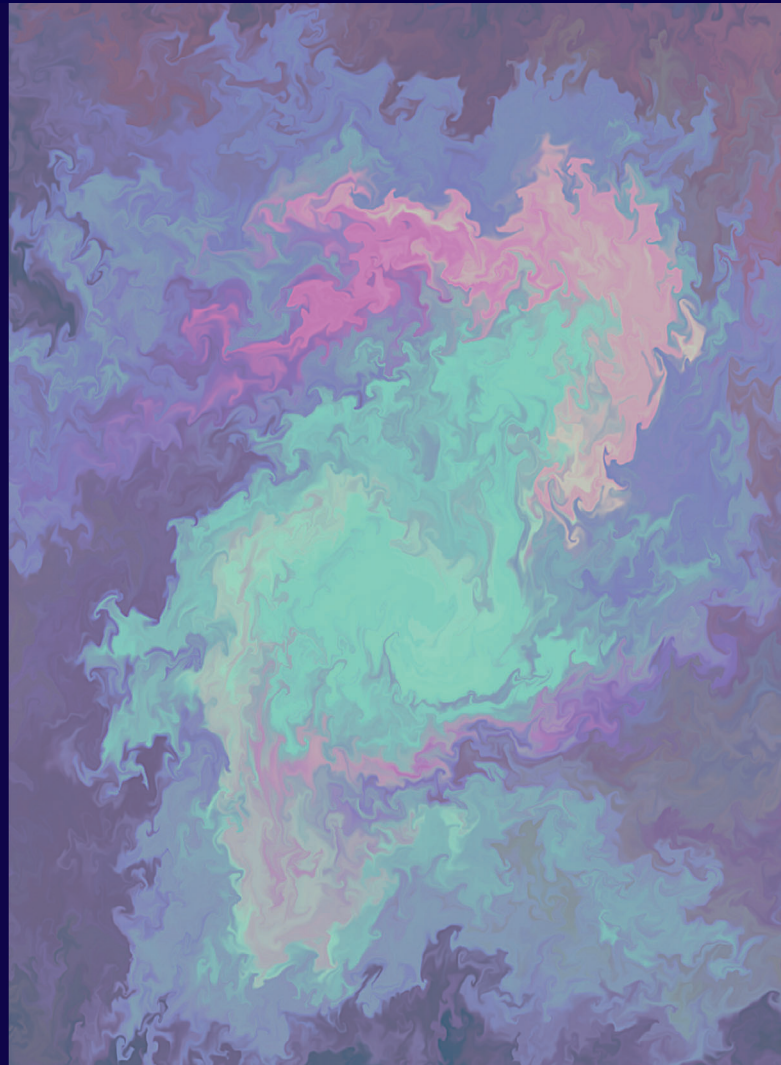
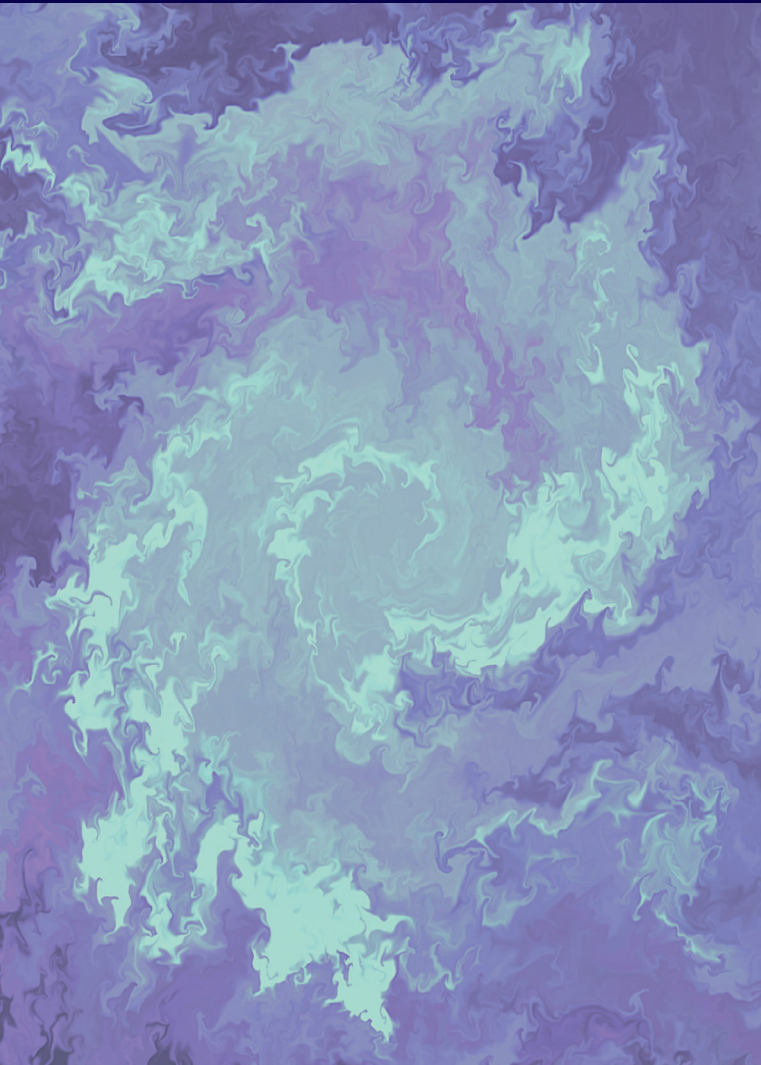


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**Cover legend:** Artist's impression of evolution of specialization in a dynamic fluid. Physical factors such as diffusion, flow patterns, and decay rates are as influential as fitness economics in governing the evolution of community structure. See Uppal et al., (pp. 256–269). Photo Credit: Dervis Can Vural (co-author)

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# Evolution of specialized microbial cooperation in dynamic fluids

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## Abstract

Here, we study the evolution of specialization using realistic computer simulations of bacteria that secrete two public goods in a dynamic fluid. Through this first-principles approach, we find physical factors such as diffusion, flow patterns and decay rates are as influential as fitness economics in governing the evolution of community structure, to the extent that when mechanical factors are taken into account, (a) generalist communities can resist becoming specialists despite the invasion fitness of specialization; (b) generalist and specialists can both resist cheaters despite the invasion fitness of free-riding; and (c) multiple community structures can coexist despite the opposing force of competitive exclusion. Our results emphasize the role of spatial assortment and physical forces on niche partitioning and the evolution of diverse community structures.

## KEYWORDS

evolution of co-operation, microbes, natural selection, population genetics, simulation, theory, trade-offs

## 1 | INTRODUCTION

From subcellular structures to ecological communities, life is organized in compartments and modules performing specific tasks. Organelles (Kutschera & Niklas, 2005; Siegel, 1960), single (Lewis, 2007) and multi-phenotype (Fu et al., 2018; Koufopanou, 1994) bacterial populations, tissues and organs in multicellular organisms (Carroll, 2001; Hedges, Blair, Venturi, & Shoe, 2004), casts and social classes in colonial animals (Beshers & Fewell, 2001; Smith, Toth, Suarez, & Robinson, 2008), and guilds in ecological communities (Futuyma & Moreno, 1988; May & Seger, 1986; Terborgh, 1986), all fulfil specialized roles that are vital for the functioning of a larger whole. Specialization also gives rise to metabolic interdependencies in microbial populations and can serve as a strong mechanism for community assembly (Zelezniak et al., 2015).

Evolution of specialization is typically studied in terms of fitness trade-offs or economic considerations. Specialization emerges if relatedness is high and if fitness returns accelerate (Michod,

2007; Michod, Viossat, Solari, Hurand, & Nedelcu, 2006; Rueffler, Hermisson, & Wagner, 2012; Tannenbaum, 2007; Taylor, 1992; Vural, Isakov, & Mahadevan, 2015; Willensdorfer, 2009). There are two classes of evolutionary forces moving a population from having one type of individual performing multiple functions –generalism–, towards one that has multiple types of individuals performing distinct functions –specialism–. The first is ‘incompatible optimas’ (Goldsby, Dornhaus, Kerr, & Ofria, 2012; Solari, Kessler, & Goldstein, 2013; Sriswasdi, Yang, & Iwasaki, 2017): if a population must optimize two functions at once, but the phenotypes optimizing these are incompatible, then the population will split into two phenotypes. For example, the somatic and germ cells in volvox colonies are optimized for motility and reproduction. As a result, they have entirely different positioning (Solari, Kessler, & Michod, 2006), morphology (Kirk, 2001), and protein expression (Kirk & Kirk, 1983). In multicellular cyanobacteria, cells differentiate into carbon-fixating cells and nitrogen-fixating heterocysts (Rossetti, Schirrmester, Bernasconi, & Bagheri, 2010). *E. coli* can differentiate into transient

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nongrowing cells and normally growing cells to hedge their bets across different environments (Lewis, 2007). A travelling band of *E. coli* will exhibit a continuum of navigation styles, each specializing in processing different local conditions while still moving in unison (Fu et al., 2018).

A second type of evolutionary pressure originates from the economies of scale. Undertaking one process at high volume is more cost-effective than undertaking multiple processes at low volume. The morphological characteristics necessary to accomplish two distinct functions require two investments in overhead. Specialization is then favoured if fitness returns are accelerated by further investment into a specific task (Cooper & West, 2018; West, Fisher, Gardner, & Kiers, 2015).

It is well known that spatial structure is key in the evolution of cooperation (Durrett & Levin, 1994; Lion & Baalen, 2008; Taylor, 1992; Uppal & Vural, 2018; Wakano, Nowak, & Hauert, 2009). By forming fragmenting groups, multicellular organisms and social colonies can combat fixation of cheaters. Coexistence of cheaters and cooperators is also enhanced in spatially structured populations (Wilson, Morris, & Bronstein, 2003). Understanding how spatial structuring arises and competition within and across groups can shed light on how cooperation and resistance to cheaters arise (Lion & Baalen, 2008). Here, we will be interested in the role of spatial structuring in the evolution of specialization.

Existing computational models of evolution of specialization that consider spatial structure or finite group size typically abstract away the underlying physics (Cooper & West, 2018; Gavrillets, 2010; Ispolatov, Ackermann, & Doebeli, 2012; Menon & Korolev, 2015; Oliveira, Niehus, & Foster, 2014; Rueffler et al., 2012; Schiessl et al., 2019; Vural et al., 2015; Willensdorfer, 2008). While conceptually useful, such models reveal little about the interplay between evolutionary and mechanical forces during the formation and evolution of specialization. Real-life microbial exchanges are mediated almost entirely by viscoelastic secretions that diffuse and flow (West, Diggel, Buckling, Gardner, & Griffin, 2007). Extracellular enzymes digest food (Bachmann, Molenaar, Kleerebezem, & Hylckama Vlieg, 2011; Greig & Travisano, 2004; Pirhonen, Flego, Heikinheimo, & Palva, 1993), surfactants aid motility (Kearns, 2010; Xavier, Kim, & Foster, 2011), chelators scavenge metals (Griffin, West, & Buckling, 2004; Guerinet, 1994; Harrison & Buckling, 2009; Kümmerli, 2010; Neilands, 1984; Ratledge & Dover, 2000), toxins fight competitors and antagonists (An, Danhorn, Fuqua, & Parsek, 2006; Inglis, Gardner, Cornelis, & Buckling, 2009; Mazzola, Cook, Thomashow, Weller, & Pierson, 1992; Moons et al., 2006; Moons, Van Houdt, Aertsen, Vanoirbeek, & Michiels, 2005), virulence factors exploit a host (Allen, McNally, Papat, & Brown, 2016; Kohler, Buckling, & Delden, 2009; Sandoz, Mitzimberg, & Schuster, 2007; Zhu et al., 2002), and extracellular polymeric substances provide sheltering (Davies, 2003; Mah & O'toole, 2001; Xue, Sendamangalam, Gruden, & Seo, 2012). Since cells must be within a certain distance to exchange such services, spatial aggregation is considered a prerequisite for multicellular specialization. Spatial effects matter (Durrett & Levin, 1994; Fletcher & Doebeli, 2009; McNally et al., 2017;

## Specialization

*Large waste diffusion:* Larger waste diffusion lowers self-competition and allows specialists to form denser groups to better utilize public goods secreted by neighbours.

*Large public good benefit:* A high benefit for public goods allows specialists to still be fit without secreting as many public goods. This also helps cheaters exploit producers.

*Lower secretion costs:* A lower secretion cost can help specialists dominate over generalists, since a smaller penalty for cooperation can make generalists groups too large and more vulnerable to specialist mutations. In this case, large generalist structures are easily taken over by specialist mutants.

*Group structure:* Specialists form groups when waste diffusion is larger than public good diffusion and when costs are not too low. When specialists do not form groups, they are easily taken over with cheaters, leading to either 'chasing cheaters', (Video S6), or extinction. When generalists form smaller, fragmenting groups, they are able to escape takeover by specialists and out-compete specialists.

*Fitness type:* The fitness type dictates which types of specialist structure we see—pure or mixed. In the OR case, specialists generally evolve into structures of isolated types of specialists (Video S4). The AND structure is therefore essential to have true division of labour, where each type of specialist exists equally in the group (Video S3).

## Cheater coexistence

*Lack of group structure and small invasion fitness:* Cheaters cannot exist on their own, but must 'predate' on producers—generalists or specialists. When producers are fit, and do not form groups, they can grow quicker than cheaters fully taking over. This occurs when waste diffusion is large, and when secretion costs are low. Low secretion cost also lowers the invasion fitness of cheaters, since the advantage of not secreting is lower, helping them to coexist (Video S6).

## Extinction

*When cheaters take over:* Cheaters take over when their invasion fitness is large and mutation rates are faster than group fragmentation rates. This occurs when public good benefit is large and/or when waste diffusion is large, as seen in top-middle regions of plots given in Figure 5.

*When groups are not stable:* When costs are large and public good benefit is low, cooperators need to form denser groups to increase fitness. However, with low waste diffusion, denser groups over-pollute themselves and are no longer stable. We see this in the bottom-right regions of plots in Figure 5.

### Fluid shear

*Enhanced group fragmentation:* A shearing flow stretches and distorts groups. It can help groups fragment and reproduce quicker, allowing stability over cheating mutations (Uppal & Vural, 2018).

*Enhanced specialization in linear and vortex flows:* Shearing flow can help specialist groups fragment quicker than generalist groups and therefore transition a population to contain more specialists (Figure 4c,d, Video S2).

*Coexistence of group types:* The local shear rate can determine what groups are stable. A spatially varying flow profile can then allow for coexistence of different community structures across the full fluid domain (Figure 6, Videos S7 and S8).

Wakano et al., 2009; Wilson et al., 2003), and multiple factors can couple together to influence the evolution of cooperation (Dobay, Bagheri, Messina, Kümmerli, & Rankin, 2014) and division of labour (Dragoš et al., 2018) in unexpected ways.

In this study, we find that mechanical factors such as diffusion constants, molecular decay rates and fluid flow patterns play a crucial role in shaping the interaction structure of an ecological community. We find, through first-principles computer simulations and matching analytical formulas, that microbes self-aggregate and form evolving clusters, whose size, shape and economical exchanges are sensitively dependent on the physical parameters defining the abiotic environment. Such structures have already been empirically observed in *E. coli* (Budrene & Berg, 1991), *S. typhimurium* (Blat & Eisenbach, 1995), and *B. subtilis* (Mendelson & Lega, 1998) (Figure 1) and studied theoretically (McNally et al., 2017; Stump, Johnson, & Klausmeier, 2018; Tsimring et al., 1995; Wakano et al., 2009). However, the interplay between evolutionary and mechanical forces within and between these structures and their role in the formation and evolution of community interactions remain unknown.

Since many bacterial products leak outside the cell, members of the local community can exploit their neighbours and evolve to delete costly functions. The Black Queen Hypothesis suggests that loss of functionality occurs due to selfish mutations and can form the basis for mutualistic relationships (Morris, Lenski, & Zinser, 2012; Sachs & Hollowell, 2012). Thus, from evolutionary game theoretical considerations alone, one expects that specialists always eventually dominate a population of generalists. How then should we explain the persistence of generalists in nature, and even the coexistence of various combinations of generalists, specialists, and cheaters within one niche?

To address this question, we construct a mechanistic model that naturally gives rise to distinct microbial clusters. We then analyse the evolutionary transitions between generalized and specialized interactions within clusters for different fluid flow

patterns, diffusion lengths, molecular decay constants and cell growth kinetics. Lastly, we study the competitive interactions across clusters.

In doing so, we establish the physical factors that counteract game theoretical expectations, that is factors that allow generalists to resist specialization, and generalists and specialists to resist cheaters. We also establish physical factors that counteract competitive exclusion, that is allowing multiple community types to coexist within the same fluid niche. Lastly, we determine what physical properties make 'socially uninhabitable' niches, where free-riders emerge, exploit and invariably destroy both generalist and specialist communities.

## 2 | METHODS

Any model aiming to describe evolution of functional specialization must include at least two functions, so that subpopulations can potentially specialize to perform one function each. In our model, microbes can secrete two public goods and a waste/toxin. These molecules diffuse, flow and decay (cf. Figure 2).

The specific assumptions of our model, qualitatively stated, can be enumerated as follows: (1) the system consists of microbes that can secrete two kinds of public goods. A public good refers to a secretion that promotes the growth of nearby microbes (including the producer). The producer also pays a metabolic cost for secreting the public good. (2) Every microbe secretes a waste molecule that curbs the growth of those nearby. (3) The secretions and bacteria obey the physical laws of fluid dynamics and diffusion. (4) Whether a microbe secretes both, one or none of the public goods is hereditary, except for mutations. However, every phenotype emits waste.

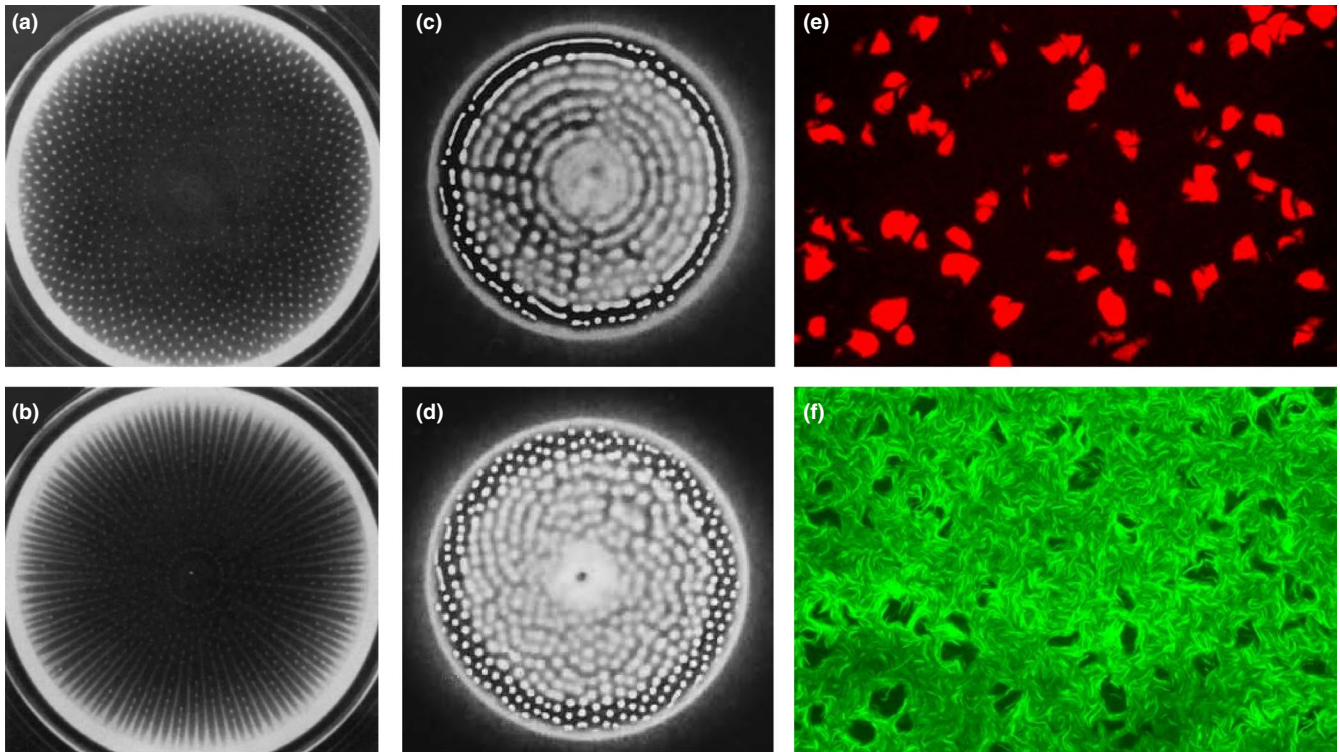
We study two models separately. (5) In one, which we call AND, access to both kinds of goods is necessary. In the other, which we call OR, both goods contribute to fitness, but the lack of one can be compensated with the other.

Our work consists of discrete, stochastic agent-based simulations and related continuous deterministic equations. In addition, to gain better analytical understanding, we construct a simple effective model that captures the essential outcomes of the simulations.

### 2.1 | Continuous deterministic equations

We construct equations governing the number density of four phenotypes  $n_0(x,t)$ ,  $n_1(x,t)$ ,  $n_2(x,t)$ ,  $n_3(x,t)$  two chemical secretions that are public goods  $c_1(x,t)$ ,  $c_2(x,t)$ , and a waste compound  $c_3(x,t)$ , as a function of space  $x$  and time  $t$ .  $n_3(x,t)$  is the number density of microbes that secrete both kinds of public goods, to which we refer as 'generalists'. The microbes that secrete only public good one or two are denoted by  $n_1(x,t)$  and  $n_2(x,t)$ , to which we refer as 'specialists'. Those that secrete no public goods are denoted by  $n_0(x,t)$ , to which we refer as 'cheaters'.





**FIGURE 1** Pattern-forming populations. (a, b) Examples of spatial patterning of bacteria in experiments performed by Budrene and Berg (1991). *E. coli* formed spots (a) and stripes (b) in response to public goods they themselves excrete. (c, d) Aggregation patterns observed in *S. typhimurium* in experiments by Blat and Eisenbach (1995). (e, f) Spot (e) and hole (f) patterns observed in experiments with synthetic bacteria performed by Karig et al. (2018). Figures courtesy of authors

$$\dot{n}_i = \left( d_b \nabla^2 - v(x,t) \cdot \nabla + f_i(c) \right) n_i + \sum_{j=0}^3 M_{ij} n_j \quad (1)$$

$$\dot{c}_\alpha = \left( d_\alpha \nabla^2 - v(x,t) \cdot \nabla - \lambda_\alpha \right) c_\alpha + \sum_{i=0}^3 S_{i\alpha} n_i \quad (2)$$

Here, indices  $i, j = 0, 1, 2, 3$  label phenotypes, whereas the index  $\alpha = 1, 2, 3$  labels chemicals, that is the two public goods and waste. Thus, Equations (1) and (2) comprise 7 coupled spatiotemporal equations.

In both equations, the first two terms describe diffusion and advection. The flow field  $v(x,t)$  is a vector-valued function of space and time and includes all information pertaining the flow patterns in the environment. In general, it is obtained by solving separate fluid dynamics equations. Mutations and secretions are governed by two matrices,

$$M = \mu \begin{bmatrix} -2 & 1 & 1 & 0 \\ 1 & -2 & 0 & 1 \\ 1 & 0 & -2 & 1 \\ 0 & 1 & 1 & -2 \end{bmatrix}, \quad S = \begin{bmatrix} 0 & 0 & s_w \\ s_1 & 0 & s_w \\ 0 & s_2 & s_w \\ s_1 & s_2 & s_w \end{bmatrix}.$$

The secretion rate of chemical  $\alpha$  by phenotype  $i$  is given by the matrix element  $S_{i\alpha}$ , and its decay rate by  $\lambda_\alpha$ . The mutation rate from phenotype  $j$  to  $i$  is given by  $M_{ij}$ . The diagonal elements  $M_{ii}$  indicate the rate at which  $i$  mutates to become something else.

Note that in our model, the secretion of public goods is binary, that is a good is either secreted or not. Mutations toggle on and off with probability  $\mu$  whether an individual secretes either public good. A mutation can cause a generalist to become a specialist, but two mutations, one for each secretion function, are required for a generalist to become a cheater. Same with back mutations.

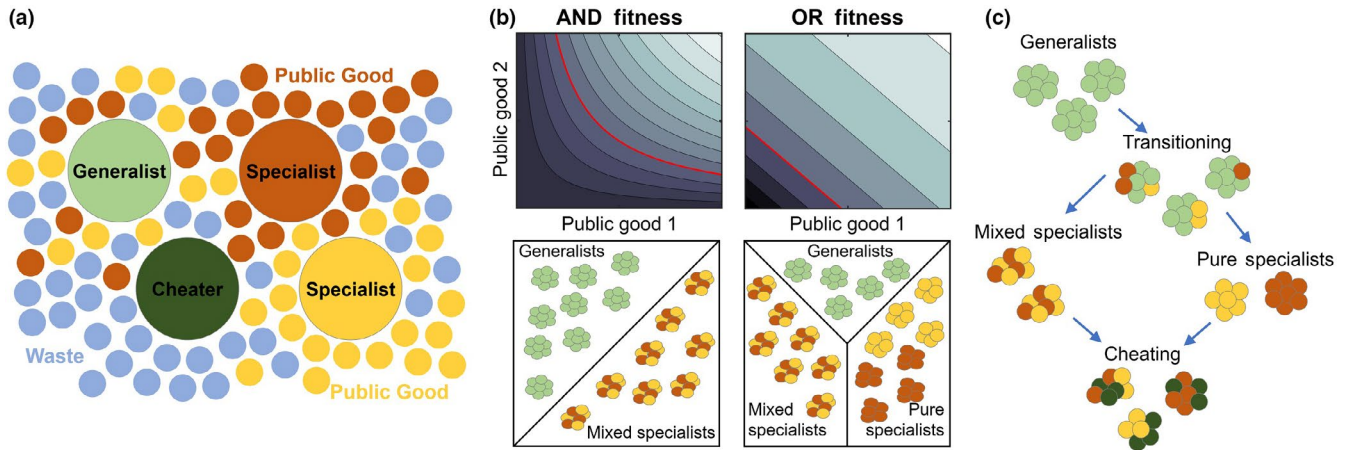
The fitness function  $f_i(c)$  determines the growth rate of phenotype  $i$ . We consider two cases separately: when both public goods are necessary for growth (AND) and when the public goods can substitute one-to-one for one other (OR).

$$f_i^{(\text{AND})} = a_{12} \frac{c_1 c_2}{c_1 c_2 + k_{12}} - a_w \frac{c_3}{c_3 + k_w} - \sum_{\alpha=1}^2 \beta_\alpha S_{i\alpha} \quad (3)$$

$$f_i^{(\text{OR})} = a_{12} \frac{(c_1 + c_2)}{(c_1 + c_2) + k_{12}} - a_w \frac{c_3}{c_3 + k_w} - \sum_{\alpha=1}^2 \beta_\alpha S_{i\alpha} \quad (4)$$

As we see, in both cases, growth rate increases with the local concentration of public goods,  $c_1, c_2$  and decreases with the concentration of waste,  $c_3$ .  $\beta_\alpha$  is the cost of secreting public good  $\alpha$ , so that growth of phenotype  $i$  is curbed by an amount proportional to its public good secretion. Waste is produced without any cost.

Note that with increasing concentration of goods, microbes receive diminishing returns. Similarly, with larger waste, death rate approaches a maximum value. These functional forms are well understood, experimentally verified (Monod, 1949), and commonly



**FIGURE 2** Schematics and dynamics of the model. (a) Microbes cooperate by secreting public goods into their environment. Generalists (large green circles) secrete two public goods (small yellow and red circles). Specialists (large red and yellow circles) secrete only one of the two public goods. Cheaters (dark green) secrete none of the public goods. All microbes secrete a metabolic waste (small blue circles). (b) Fitness contour plots and types of stable groups in each fitness variant. In the top row, we plot the fitness contours as a function of public good concentrations  $c_1$  and  $c_2$ . In the bottom row, we show the types of stable groups in each fitness form. The red line represents the contour corresponding to zero fitness. In the AND case, the red line can never cross the  $c_1$ ,  $c_2$  axes, and the fitness is negative when either chemical is not present. Therefore, the only types of stable groups are generalists or mixed specialists, as shown in the bottom-left panel. In the OR case, the contours form straight lines. A decrease in one chemical is equally compensated by an increase in the other chemical. The zero contour always crosses the  $c_1$ ,  $c_2$  axes. It is therefore also possible to have pure specialist groups in the OR case, as shown in the bottom-right panel. (c) Evolutionary paths between group types. Groups typically move towards less secretion since cheaters have higher invasion fitness than specialists, who, being ‘half-cheaters’, have higher invasion fitness than generalists

used in population dynamics models (Allen & Waclaw, 2018).  $a$ 's and  $k$ 's are constants defining the initial slope and saturation values of growth and death (see Table 1).

## 2.2 | Discrete stochastic simulations

Our analytical conclusions (cf. Section S1) have been guided and supplemented by agent-based stochastic simulations in two dimensions. Videos of these simulations are provided in Supplementary Videos. Our simulation algorithm is as follows: at each time interval,  $\Delta t$ , the microbes (1) diffuse by a random walk of step size  $\delta = \sqrt{4d_b\Delta t} + \bar{v}\Delta t$  derived from the diffusion constant plus a bias dependent on the flow velocity. (2) Microbes secrete chemicals locally onto a discrete grid that then diffuse using a finite difference scheme. (3) Microbes reproduce or die with a probability dependent on their local fitness and time step, given by  $f(c)\Delta t$ . If  $f\Delta t$  is negative, the microbes die with probability 1, and if  $f\Delta t$  is between 0 and 1, they reproduce an identical offspring with probability  $f\Delta t$ . Upon reproduction, offspring are placed at the same location as their parent. (4) Random mutations may alter the secretion rate of either public good—and thus the reproduction rate—of the microbes. Mutations occur on each secretion function with probability  $\mu$  and turn the secretion of the public good on or off. The secretion rate is assumed to be heritable and constant in time. Numerical simulations for figures were performed by implementing the model described above using the Matlab programming language and simulated using Matlab (Mathworks, Inc.). The source code for discrete simulations is provided as a supplemental

file. Additional details of model implementation are discussed in Section S2.

A summary of the system parameters is given in Table 1, along with typical ranges for their values used in the simulations. Parameter values as well as the simulation domain (the physical region being simulated) are also given in figure captions. The relevant ratios of parameters are consistent with those observed experimentally (Drake, Charlesworth, Charlesworth, & Crow, 1998; Gibson, Wilson, Feil, & Eyre-Walker, 2018; Kim, 1996; Ma, Zhu, Ma, & Yu, 2005; Rusconi & Stocker, 2015). Note also that the choice of parameters will be restricted to ensure a finite stable solution is possible. For example, we enforce the quantity  $a_{12} - a_w - \beta_1 s_1 - \beta_2 s_2 < 0$ . This is because, if this quantity was positive, then a dense population, where the Hill terms in the fitness functions are saturated, will continue to have a positive fitness and grow indefinitely. In the case where secretion rate and/or production costs are low, the waste term is crucial to ensure a finite carrying capacity. We therefore choose  $a_w \geq a_{12}$ . Other constraints on existence and stability are derived in our Turing analysis (see Section S1). Further discussion on parameter selection and sensitivity is also given in Section S2.

## 2.3 | Simple effective model

To gain better analytical understanding, we set to reproduce the outcomes our complex model with a much simpler effective model, which we describe in Section S3. Our effective model is based on the observation that microbes aggregate into self-reproducing



**TABLE 1** Summary of system parameters

| Quantity    | Values for OR         | Values for AND                                       |  |
|-------------|-----------------------|--|--|
| $d_b$       | Microbial diffusion   | $0.4 \times 10^{-4} \text{ cm}^2/\text{s}$           | $1 \times 10^{-6} \text{ cm}^2/\text{s}$             |
| $d_1$       | Good 1 diffusion      | 5 and $25 \times 10^{-6} \text{ cm}^2/\text{s}$      | 5 and $20 \times 10^{-6} \text{ cm}^2/\text{s}$      |
| $d_2$       | Good 2 diffusion      | 5 and $25 \times 10^{-6} \text{ cm}^2/\text{s}$      | 5 and $20 \times 10^{-6} \text{ cm}^2/\text{s}$      |
| $d_w$       | Waste diffusion       | $10\text{--}80 \times 10^{-6} \text{ cm}^2/\text{s}$ | $10\text{--}80 \times 10^{-6} \text{ cm}^2/\text{s}$ |
| $\lambda_1$ | Good 1 decay          | $5.0 \times 10^{-3} \text{ s}^{-1}$                  | $5.0 \times 10^{-3} \text{ s}^{-1}$                  |
| $\lambda_2$ | Good 2 decay          | $5.0 \times 10^{-3} \text{ s}^{-1}$                  | $5.0 \times 10^{-3} \text{ s}^{-1}$                  |
| $\lambda_w$ | Waste decay           | $1.5 \times 10^{-3} \text{ s}^{-1}$                  | $1.5 \times 10^{-3} \text{ s}^{-1}$                  |
| $k_{12}$    | Goods saturation      | 0.01   | $3 \times 10^{-5}$                                   |
| $k_w$       | Waste saturation      | 0.1  | 0.1  |
| $s_1$       | Good 1 secretion rate | $5.0 \times 10^{-3} \text{ s}^{-1}$                  | $0.01 \text{ s}^{-1}$                                |
| $s_2$       | Good 2 secretion rate | $5.0 \times 10^{-3} \text{ s}^{-1}$                  | $0.01 \text{ s}^{-1}$                                |
| $s_w$       | Waste secretion rate  | $0.01 \text{ s}^{-1}$                                | $0.09 \text{ s}^{-1}$                                |
| $a_{12}$    | Benefit from goods    | $62.5\text{--}80 \times 10^{-3} \text{ s}^{-1}$      | $40\text{--}75 \times 10^{-3} \text{ s}^{-1}$        |
| $a_w$       | Harm from waste       | $8.0 \times 10^{-3} \text{ s}^{-1}$                  | $10.5 \times 10^{-3} \text{ s}^{-1}$                 |
| $\beta_1$   | Cost of good 1        | 0.01–0.26  | 0.01–0.15  |
| $\beta_2$   | Cost of good 2        | 0.01–0.26  | 0.01–0.15  |
| $\mu$       | Mutation rate         | $5.0 \times 10^{-8} \text{ s}^{-1}$                  | $2.0 \times 10^{-7} \text{ s}^{-1}$                  |

cooperative groups. Different group types, rather than individual microbes, constitute the basic building blocks of our effective model, and the fragmentation rates of these group types constitute the basic parameters of the model. These parameters are ‘measured’ from our complex simulations and depend on the physical properties of the system (see Figures S2 and S3). The results of our effective model are compared to simulation results in Figure 4.

### 3 | RESULTS

#### 3.1 | Cooperative groups as Turing patterns

Through numerical simulations and analytical formulas, we see that the system gives rise to spatially segregated cooperating groups in a certain parameter range, as shown in Figure 3. Spots or stripes in reaction diffusion systems are known as Turing patterns, which form whenever an inhibiting agent diffuses faster than an activating agent. In our model, the inhibiting and activating agents are the waste and the public goods.

In general, the structure and size of these cooperating groups will vary with physical parameters. We show in Figure 3 how the Turing pattern-forming region varies with diffusion constants, in the absence of mutations or flow. Our analytical result, derived in Section S1, shown by the thick blue lines, delineates the parameter space into

pattern-forming and non-pattern-forming regions. While simulations agree well with analytical results, we see some patterns slightly beyond the theoretical region. This is due to the stochastic nature of the simulations which is known to widen the pattern-forming region (Biancalani, Fanelli, & Di Patti, 2010; Butler & Goldenfeld, 2009).

In our simulations, we observe that cooperative groups of microbes, that is spots and stripes, grow and fragment, thereby giving rise to new structures of the same type. The spatial structure of these patterns differs between generalists and specialists and therefore has a strong effect on the evolutionary trajectory of the system.

#### 3.2 | Effects of secretion cost on specialization

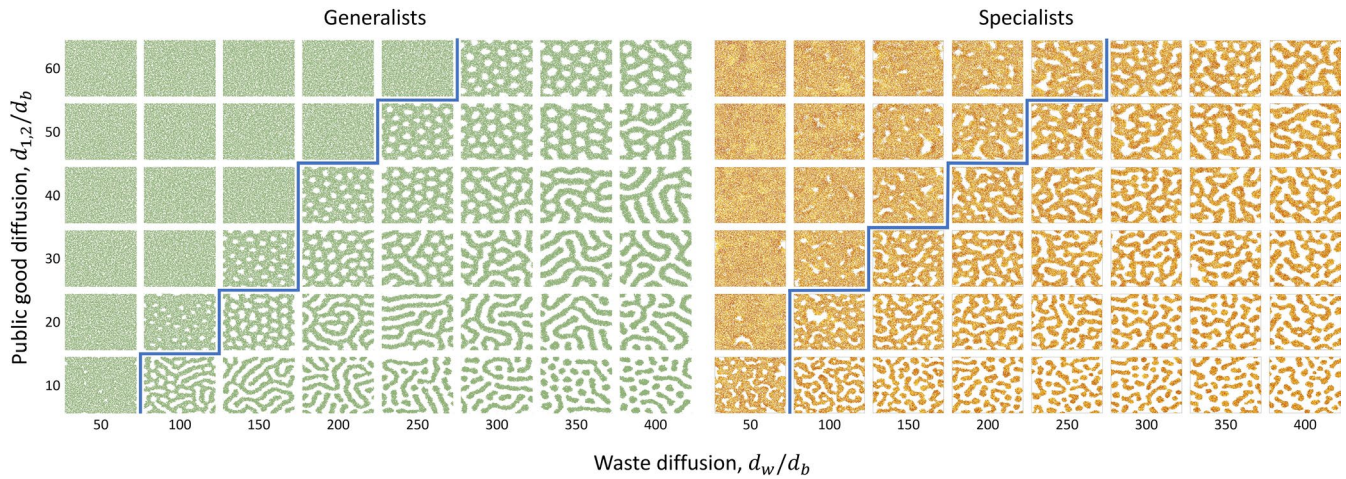
We next determine the role of secretion cost  $\beta_\alpha$  on group structure and hence specialization, in the absence of flow. To see the effect of trade-offs on specialization, we varied the cost of public good secretion and determined when specialization occurs in both AND and OR fitness forms. To simplify our analysis, we set  $s_1 = s_2 = s$ . In order for both types of specialists to then coexist, we also set  $\beta_1 = \beta_2 = \beta$ . Therefore, generalists pay an overall cost of  $2\beta$ , specialists pay  $\beta$ , and cheaters pay no cost. As such, a specialist mutant will invade a generalist group, and a cheater mutant will invade a specialist group. In the absence of spatial structure and flow, the entire population will be dominated by cheaters and will go extinct.

What can we say about the competition between different group types (as opposed to between different strains within a group)? Since with all else equal, increasing costs harm generalists twice as much as specialists, one might expect that increasing the cost of the goods would favour the specialists over generalists. Counterintuitively, we find the opposite. Specialist groups indeed grow faster and form larger, expansive and denser groups, which however are at once taken over by cheaters. In contrast, generalists form smaller, sparser and weaker groups that fragment more often, which limits the spread of mutants (see Figures S2 and S3). Therefore, at higher cost  $\beta$ , the ‘weak’ generalists are able to coexist and even dominate ‘strong’ specialists (Figure 4a,b).

In general, a large uniform population is more susceptible to invading mutants. In contrast, when the population is organized as fragmenting patches, the community structure will prevail as long as the fragmentation rate is larger than the invasive mutation rate. Thus, the type, size, growth and fragmentation of the groups ultimately dictate whether generalism, specialism or a coexistence of group types is evolutionarily stable.

#### 3.3 | Effect of flow patterns on specialization

Fluid dynamical forces can strongly influence the eco-evolutionary dynamics of a microbial population. For example, fluid flows can shape the competition and matrix secretion in biofilms (Nadell, Ricaurte, Yan, Drescher, & Bassler, 2017). A shearing fluid flow has also been shown to modify social behaviour by enhancing



**FIGURE 3** Pattern formation in bacteria. In both AND and OR fitness types, microbes can form clusters. We set both the mutation rate and fluid flow rate to zero to study pattern formation in generalist and specialist microbes without additional physical and evolutionary complications. While this figure only shows generalists and specialists subject to AND-type fitness, we observe qualitatively identical patterns for the OR-type fitness. The thick blue line is the result of our analytical calculation indicating the regime where patterns emerge, which agrees with computer simulations (see Section S1). The population can be homogeneous or form stripes or spots. These patterns can also grow and fragment, forming new colonies. The diffusion constants are normalized by the bacterial diffusion constant,  $d_b = 1 \times 10^{-6} \text{ cm}^2/\text{s}$ . Secretion constants used are  $s_1 = s_2 = 0.015 \text{ s}^{-1}$  and  $s_w = 0.005 \text{ s}^{-1}$ , and public good cost is  $\beta_1 = \beta_2 = 0.1$ . The rest of the parameters are as given in Table 1

the group size and fragmentation rate (Uppal & Vural, 2018). We therefore expect that the flow patterns will affect the mode of cooperation (specialist vs. generalist) and the physical structure of groups.

For constant shear, we used a planar Couette flow, with velocity profile and shear rate given as,

$$\mathbf{v} = v_{\max} \frac{y}{H} \hat{\mathbf{x}}, \quad \left| \frac{dv}{dy} \right| = \frac{v_{\max}}{H},$$

where  $v_{\max}$  is the maximum flow rate and  $H$  is the height of the domain. Flow is along the  $\hat{\mathbf{x}}$  direction and is zero in the centre  $y = 0$ , and maximal at the boundaries  $y = \pm H$ . We used periodic boundary conditions along the left and right walls ( $\hat{\mathbf{x}}$  direction), and Neumann boundary conditions for the top and bottom surfaces ( $\hat{\mathbf{y}}$  direction).

The effect of shear is in general nontrivial and will depend on the group structure observed. We find that a shearing flow increases group fragmentation rate of microbes organized in distinct circular spots, whereas it simply enlarges groups when they are organized in an elongated, stripe-like fashion.

In Figure 4c,d, we show the effect of shear at intermediate costs, where its effect is strongest. We found in both cases that larger shear helps specialists by enhancing their fragmentation rate and enlarging generalist groups (Figures S2 and S3), since larger generalist groups generate more mutations, and since faster fragmenting specialist groups are better able to resist takeover by cheaters. Here, fluid shear transitions the system from a generalist or coexisting state to a specialist state (Video S2). Thus, fluid shear promotes specialization.

Since advective flow is something that one can tune in an experimental or industrial setting, it is exciting to think of possibilities

where flow is used to control the social evolution of a microbial community. Furthermore, since shear is in general spatially dependent, we can use different velocity profiles to localize this control to different regions.

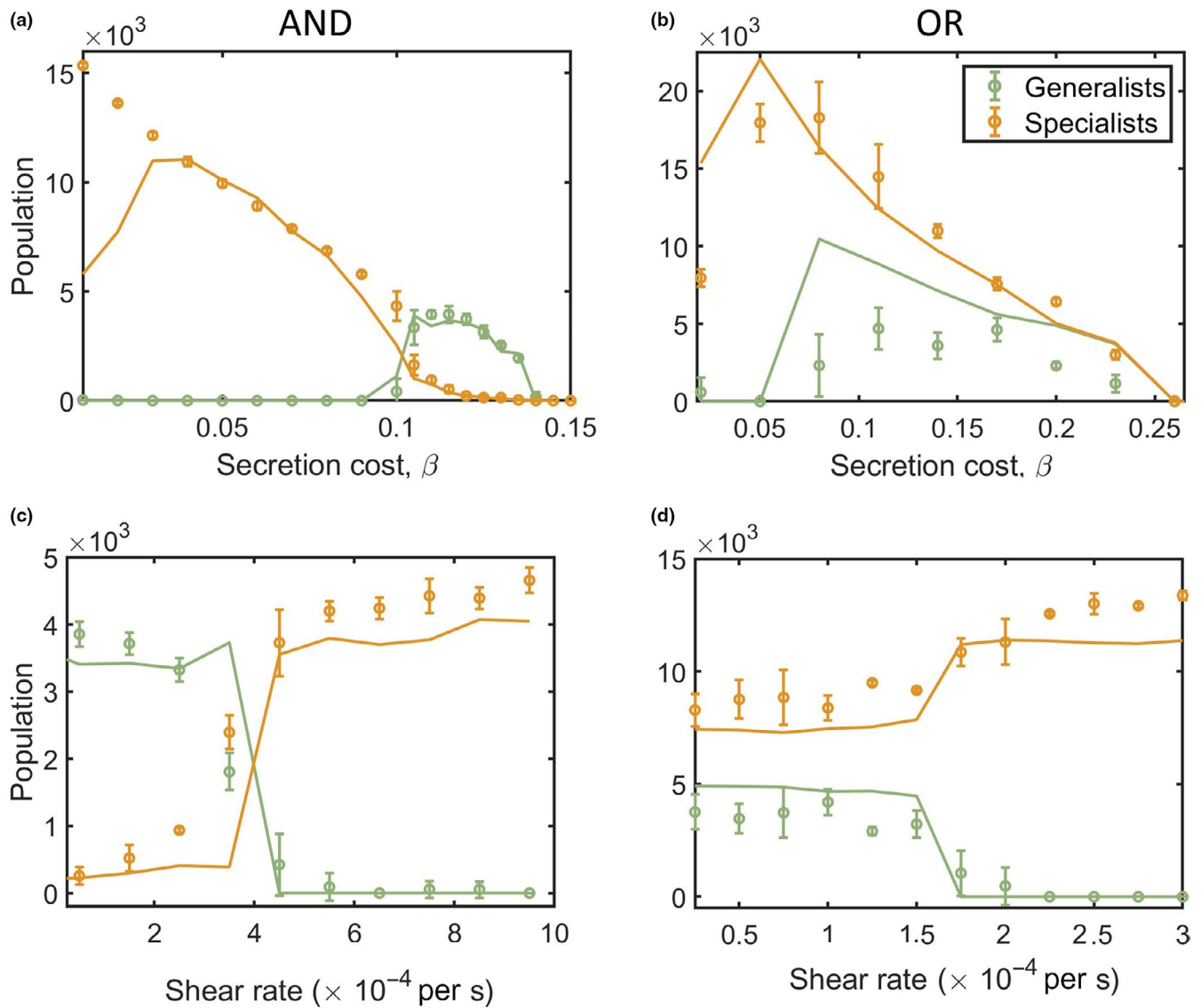
### 3.4 | Effect of public good benefit, cooperation cost and competition on evolution of specialization

We next study how varying public good benefit, production cost and waste diffusion affect the stability of different community structures (Figure 5). We find that higher waste diffusion and public good benefit help specialists and higher secretion cost favours generalists. Figure 5 also shows what conditions lead to coexistence of different group types.

If waste diffusion is large, self-competition is lower, and specialists can form denser groups without over-polluting themselves (top regions in Figure 5a,b). They can then better utilize public goods secreted by their neighbours. If the public good benefit,  $a_{12}$ , is large, specialists also do better since secreting fewer public goods still gives a large benefit (top regions in Figure 5c,d, see also Video S3 for AND fitness variant and Video S4 for OR fitness).

As we have already seen, specialization emerges when trade-offs are small, that is at smaller  $\beta$ . At higher  $\beta$ , generalists are able to coexist with specialists (see Figure 5g and Video S5 for OR fitness) and constitute the majority of the population (Figure 5e and Video S1).

We also see that cheaters can persist stably with the population when their invasion fitness is lower than the growth rate of producers. This occurs in regions where producers do not form groups but grow either as stripes or homogeneously in space, which happens when public good benefit is large and when secretion



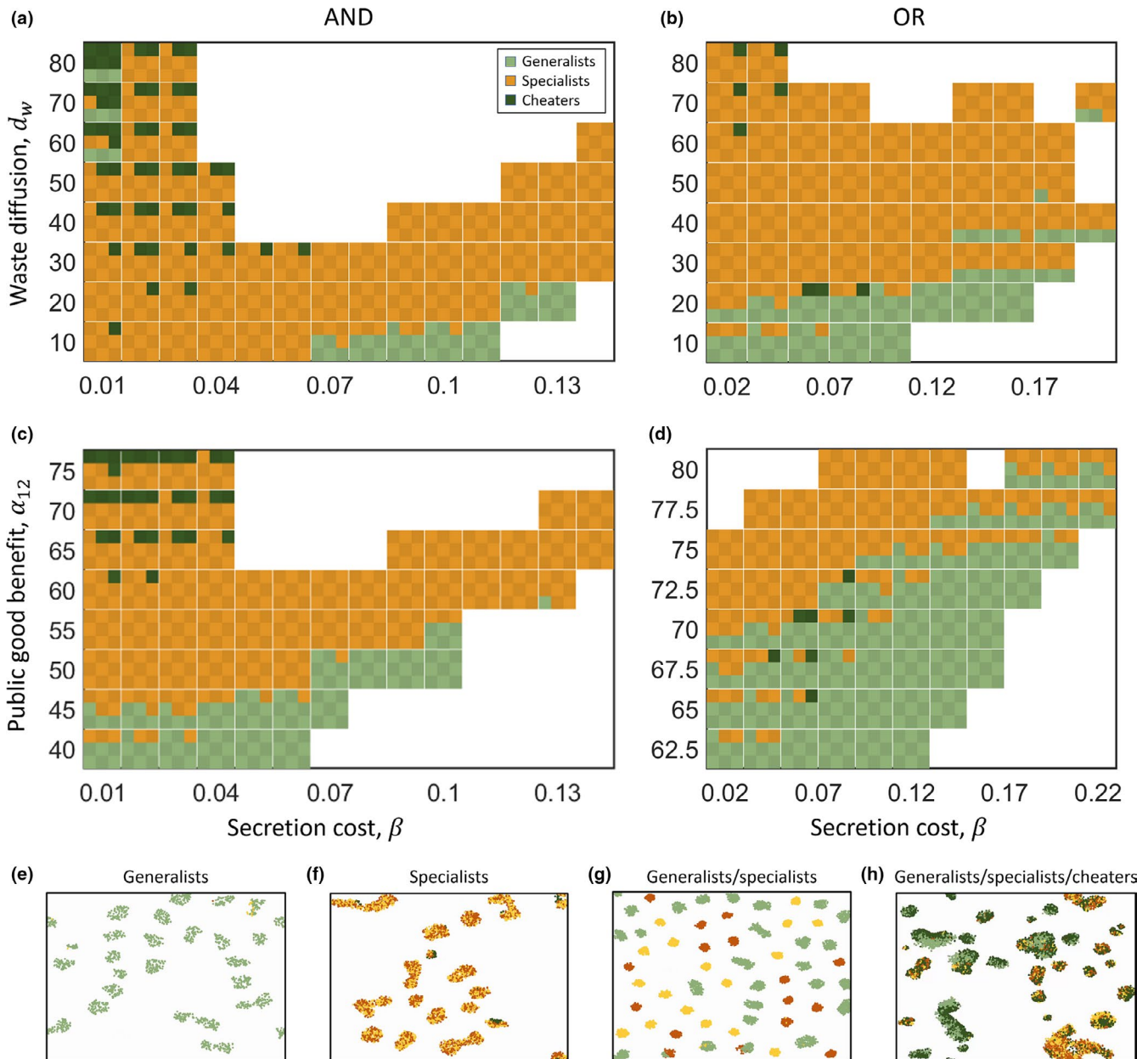
**FIGURE 4** Effects of cooperation cost and fluid shear on specialization. Points with error bars represent numerical results averaged over 5 runs at simulation time  $T = 2 \times 10^6$  S in a domain of size  $20 \text{ mm} \times 20 \text{ mm}$ . Error bars correspond to one standard deviation from the mean. Solid lines are from our effective theoretical model given in Section S3. (a, b) Effects of secretion costs in the absence of flow. In each fitness variant, we see that specialization is more abundant for low costs. At lower costs, generalist groups are ‘too fit’ and form large aggregate structures that are more susceptible to mutations. At higher costs, a, we see generalists out-compete specialists, in the AND case (see Video S1) and b, coexist with specialists in the OR case (Video S5). At low costs, specialists again dominate. (c, d) Effects of fluid shear. A shearing flow causes groups to fragment quicker and stripes to elongate and grow larger. (c) In the AND case, with secretion cost  $\beta = 0.12$ , we observe that shear transitions the system from a coexisting state to a specialist state (see Video S2). Here, shear causes generalists groups to elongate and become more susceptible to mutations. (d) In the OR case, at cost  $\beta = 0.17$ , shear again causes a transition from a coexisting state to a pure specialist state. We therefore see in both cases that flow shear will promote specialization. Parameter values for diffusion are  $d_1 = d_2 = 5 \times 10^{-6} \text{ cm}^2/\text{s}$ ,  $d_w = 15 \times 10^{-6} \text{ cm}^2/\text{s}$ . For public good benefit, in the AND case,  $a_{12} = 6.5 \times 10^{-3} \text{ s}^{-1}$ , and in the OR case,  $a_{12} = 7.5 \times 10^{-3} \text{ s}^{-1}$ . The rest of the parameter values are given in Table 1

costs are low. In this case, cheaters ‘chase after’ producers, which grow into free space (Figure 5h and Video S6). High waste diffusion also helps cheaters, since they are able to chase producers without over-polluting themselves or their hosts (top-left regions in Figure 5a,b). When their invasion fitness is about equal to the producer growth rate, cheaters take over fully, driving the population to extinction (top-centre regions in Figure 5a,b). When the population aggregates into groups, cheater growth is limited to

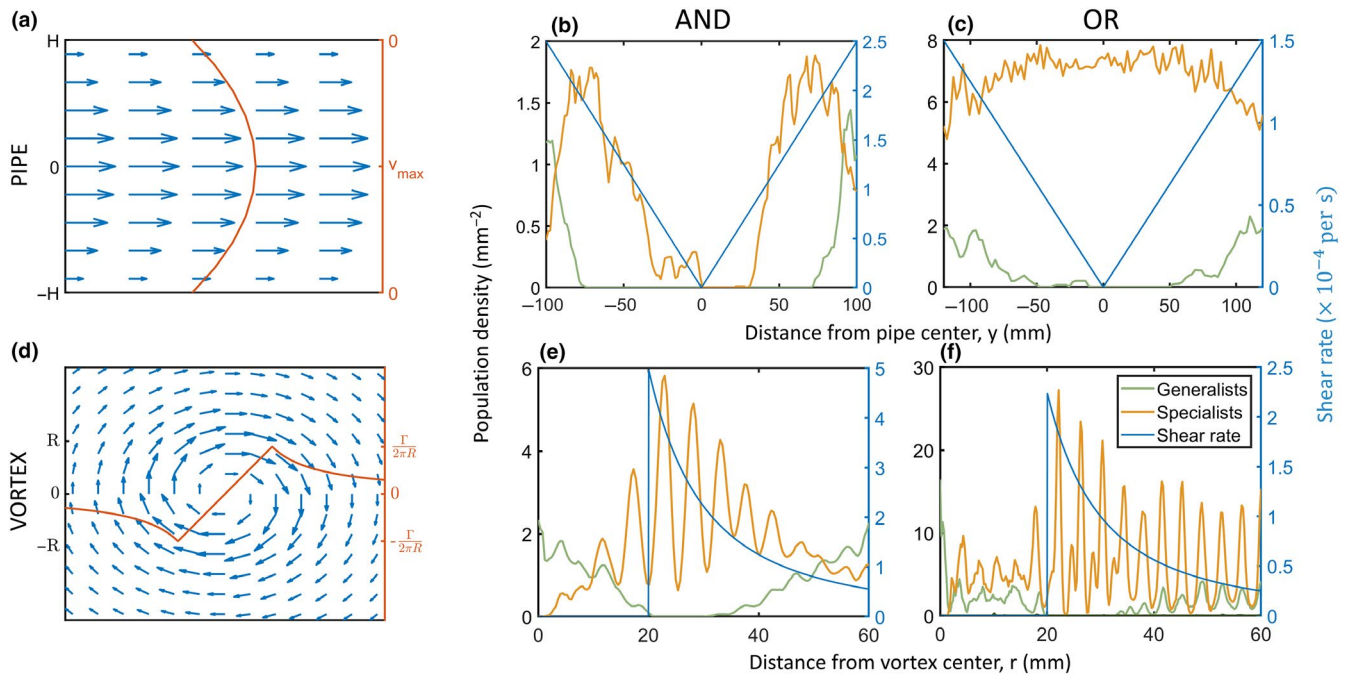
the group. Cooperation then prevails if groups reproduce faster than cheaters emerge. This happens when secretion costs are large. Remarkably, higher secretion costs can therefore stabilize specialist populations against cheater invasion (top-right regions in Figure 5a,b), since higher costs yield smaller groups which generate fewer mutations.

We see two regions of extinction: when public good benefit and waste diffusion are large, at medium costs (top-centre regions in





**FIGURE 5** Effects of waste diffusion, public good benefit and cooperation cost on specialization. (a,b) Population composition for varying secretion cost  $\beta$  and waste diffusion  $d_w$  for AND (a) and OR fitness types (b). Each square is filled proportionally to the population composition of generalists, specialists and cheaters. When waste diffusion is larger than the public good diffusion, the population will form spatial structures. Under certain conditions, we see that generalists, specialists and cheaters coexist. At low costs, specialization and cheating are more abundant. At medium costs, cheaters spread faster than groups fragment, leading to extinction, shown as empty regions. At higher costs, specialists form smaller groups that fragment quicker than cheaters spread and are stable at steady state. Specialists do better overall when waste diffusion is large, since they can then form denser groups without over-polluting themselves. (c,d) Population compositions for varying secretion cost  $\beta$  and public good benefit  $a_{12}$  for AND (c) and OR fitness types (d). Higher public good benefit,  $a_{12}$ , also helps specialization, since secreting fewer public goods still gives a large benefit. Interestingly, higher benefit also leads to more extinct states, since cheaters can take over quicker. (e–h) Simulation snapshots for various possible stable populations. Under certain conditions, we can see (e), stable generalists, shown here for AND fitness (Video S1). Parameters here are  $\beta = 0.12$ ,  $d_1 = d_2 = 5 \times 10^{-6} \text{ cm}^2/\text{s}$ ,  $d_w = 15 \times 10^{-6} \text{ cm}^2/\text{s}$ ,  $a_{12} = 6.5 \times 10^{-3} \text{ s}^{-1}$ . (f) Stable specialists in AND fitness (Video S3). Parameters here are  $d_1 = d_2 = 5 \times 10^{-6} \text{ cm}^2/\text{s}$ ,  $d_w = 15 \times 10^{-6} \text{ cm}^2/\text{s}$ ,  $a_{12} = 6.5 \times 10^{-3} \text{ s}^{-1}$ ,  $\beta = 0.08$ . (g) Generalists coexisting with specialists in OR fitness (Video S5). Parameter values  $d_1 = d_2 = 25 \times 10^{-6} \text{ cm}^2/\text{s}$ ,  $d_w = 40 \times 10^{-6} \text{ cm}^2/\text{s}$ ,  $a_{12} = 6.5 \times 10^{-3} \text{ s}^{-1}$ ,  $\beta = 0.14$ ,  $\mu = 5 \times 10^{-8} \text{ s}^{-1}$ . (h) Coexistence of generalists, specialists and cheaters in AND fitness (Video S6). Parameter values  $d_1 = d_2 = 20 \times 10^{-6} \text{ cm}^2/\text{s}$ ,  $d_w = 60 \times 10^{-6} \text{ cm}^2/\text{s}$ ,  $a_{12} = 7.5 \times 10^{-3} \text{ s}^{-1}$ ,  $a_w = 105 \times 10^{-3} \text{ s}^{-1}$ ,  $\beta = 0.02$ ,  $\mu = 2 \times 10^{-7} \text{ s}^{-1}$ . Population values were obtained by taking a time average over 1 run for each parameter value, over time steps  $T = 1 \times 10^6 \text{ s}$  to  $T = 2 \times 10^6 \text{ s}$ . Public good diffusion for the AND case (a,c) is  $d_{12} = 20 \times 10^{-6} \text{ cm}^2/\text{s}$ , for the OR case (b, d)  $d_{12} = 25 \times 10^{-6} \text{ cm}^2/\text{s}$ . Flow rate is set to 0, and other parameters are as given in Table 1



**FIGURE 6** Coexistence of specialists and generalists in pipes and vortices. The local shear profile dictates which interaction structure is stable. When shear is spatially varying, we can get coexistence of generalists and specialists. (a) Schematic of Hagen–Poiseuille flow in a 2-dimensional pipe. (b) In the AND case in a pipe flow, we observe generalists residing at the boundaries followed by specialists towards the middle. In the centre where shear is lowest, cheaters quickly spread and consume groups, leading to a local tragedy of the commons. The population then goes extinct in the centre region (cf. Video S7). Flow parameters are  $H = 100$  mm,  $v_{\max} = 125$  mm/s and mutation rate  $\mu = 5 \times 10^{-7}$  s<sup>-1</sup>. (c) For the OR case, in a pipe, generalists and specialists coexist at the boundary while specialists dominate the centre. Flow parameters for the OR case are  $H = 120$  mm,  $v_{\max} = 90$  mm/s and mutation rate  $\mu = 5 \times 10^{-8}$  s<sup>-1</sup>. (d) Schematic of flow profile in Rankine vortex. (e) In a Rankine vortex flow, in the AND case we see generalists where shear is lowest, and specialists residing in an annulus where shear is at its maximum (cf. Video S8). Flow parameters for the vortex in the AND case are  $R = 20$  mm,  $\Gamma = 4000\pi$  mm<sup>2</sup>/s and mutation rate  $\mu = 3 \times 10^{-7}$  s<sup>-1</sup>. (f) In the OR case, we see similar results, with coexistence of groups at low shear regions and an annular region composed of specialists. Flow parameters for the OR case are  $R = 20$  mm and  $\Gamma = 1,800\pi$  mm<sup>2</sup>/s and mutation rate  $\mu = 5 \times 10^{-8}$  s<sup>-1</sup>. The total simulation domain for vortices was 60 mm × 60 mm. Secretion costs used are  $\beta = 0.12$  for the AND fitness, and  $\beta = 0.17$  for the OR fitness. Diffusion parameters used were  $d_1 = d_2 = 5 \times 10^{-6}$  cm<sup>2</sup>/s,  $d_w = 15 \times 10^{-6}$  cm<sup>2</sup>/s. Public good benefit in the AND case was  $a_{12} = 6.5 \times 10^{-3}$  s<sup>-1</sup> and for the OR case,  $a_{12} = 7.5 \times 10^{-3}$  s<sup>-1</sup>. The rest of the parameters are as given in Table 1. Population densities were obtained from averaging 5 runs at simulation time of  $T = 2 \times 10^6$  s

Figure 5a-d), and when public good benefit and waste diffusion are low, at high costs (bottom-right regions in Figure 5a-d). The first case is due to cheaters taking over groups, leading to the tragedy of the commons. Interestingly, this occurs more with higher public good benefit. The population of producers becomes ‘too fit’ and more vulnerable to cheating mutations. For the second case, since costs are high and benefits are low, microbes need to form dense groups to utilize enough goods to be stable. However, due to the low waste diffusion, these groups over-pollute themselves and are no longer stable.

We see similar trends for both the AND (Figure 5a,c) and OR cases (Figure 5b,d). The main distinction between the two being, for the OR case, we predominately see pure specialist groups and only have mixed specialists in the AND case. We do not see many mixed specialists in the OR case since mutations take over generalists groups quicker and stabilize as pure groups, whereas in the AND case, pure groups would die out unless the complementary specialist also evolves in the same group. The AND structure is therefore essential to have true division of labour, where each type of specialist exists equally in the group.

### 3.5 | Localization of specialization and coexistence in axial and circular flows

We next study the evolution of specialization in axial (Hagen–Poiseuille, Figure 6a) and circular (Rankine vortex, Figure 6d) flows. Again, we set the cost parameter to a value where shear makes the biggest difference. As with the case with constant shear (Figure 4c,d), we set for AND fitness,  $\beta = 0.12$  and for OR fitness,  $\beta = 0.17$ . For a Hagen–Poiseuille flow in a two-dimensional pipe, the flow rate and shear rate are given by,

$$v = v_{\max} \left( 1 - \frac{y^2}{H^2} \right) \hat{x}, \quad \left| \frac{dv}{dy} \right| = \frac{2v_{\max}y}{H^2}.$$

The flow pattern is in the  $\hat{x}$  direction and maximal at the centre of the pipe, corresponding to  $y = 0$ . Because of no-slip boundary conditions, flow is zero at the boundaries of the pipe  $y = \pm H$  (Figure 6a). The shear rate magnitude is given by taking the derivative of the flow rate with respect to  $y$  and varies linearly with distance  $y$ . The

shear rate is zero at the centre of the pipe and maximal at the boundaries of the pipe.

From our results with a constant shear (Figure 4c,d), we expect higher shear regions of the pipe to be occupied by specialists and lower shear regions to be occupied by generalists. However, we see the opposite to occur (Figure 6b,c). This is due to boundary and second-order effects. Generalist groups on the boundary fragment more often and are able to prevent takeover by mutations. Longer groups are formed in regions of intermediate shear and generate more mutations, leading to a predominately specialist population in this region (Figure 6b,c, Video S7). The fragmenting generalist groups act as a source for specialists groups in the intermediate regions of the pipe. Near the centre of the pipe where the shear rate is low, groups do not fragment as quickly and are taken over by cheaters. We therefore see a coexistence of group types across the pipes, with generalists at the boundary, followed by specialists in the intermediate regions (Figure 6b,c), and an extinct population due to groups being destroyed by cheaters at the centre (Figure 6b).

Next, we study evolution in a Rankine vortex. The flow and shear profiles for a Rankine vortex with radius  $R$  and circulation  $\Gamma$  are given by,

$$\mathbf{v} = \begin{cases} \frac{\Gamma r}{2\pi R^2} \hat{\theta}, & r \leq R \\ \frac{\Gamma}{2\pi r} \hat{\theta}, & r > R \end{cases} \quad \sigma = \begin{cases} 0, & r \leq R \\ \frac{\Gamma}{2\pi r^2} \hat{r}, & r > R \end{cases}.$$

The flow pattern is now in the angular direction  $\hat{\theta}$ . The magnitude of flow increases linearly up to the vortex radius  $R$  and then drops as  $1/r$ , where  $r = \sqrt{x^2 + y^2}$  is the distance from the vortex centre (Figure 6d). The circulation parameter  $\Gamma$  corresponds to the line integral of the flow field along a closed path and has units of velocity times length. Here, we use it to tune the rate of flow and shear rate. The shear rate  $\sigma$  is in the radial direction. It is zero within the vortex  $r < R$ , maximal at the vortex radius  $r = R$ , and decreases as  $1/r^2$  for  $r > R$ . There is no shear in the radial direction  $\hat{r}$ .

The distribution of specialists and generalists in the vortex agrees better with previous results from constant shear (Figure 6e,f). We see generalists persist in regions of low shear and specialists mainly reside in an annular region where shear is large (Figure 6e,f, Video S8). In either case, we see coexistence of communities with different interaction structures across the full domain. A varying shear profile can therefore allow for different group types to dominate different regions in the fluid and stably coexist in other regions.

## 4 | DISCUSSION

Fletcher and Doebeli, (2009) show that altruism is favoured when cooperators are more likely to interact with other cooperators and less likely to encounter cheaters. Such assortment can be attained when populations are viscous (Taylor, 1992) and spatially self-structured (Stump et al., 2018; Wakano et al., 2009). Kin selection is then the main driving evolutionary force of cooperation in spatially

structured populations (Lion & Baalen, 2008). Our findings are consistent with these ideas.

More specifically, we have seen that invasion fitness alone does not govern the evolution of interactions within a community. Rather, physical dynamics governing the habitat and the microbes prove highly influential in whether specialized cooperation, generalized cooperation or cheating strategies will dominate, as well as whether multiple types of groups will coexist. We showed that the spatial structure and dynamical properties of communities, as modulated by diffusion constants, decay rates, fluid dynamical forces and domain geometry can outweigh the role of fitness economics. These physical factors give generalist cooperator groups a fighting chance against specialist cooperators, and generalist and specialist cooperators against cheaters. As such, we view division of labour as a mechanical phenomena as much as an economical one.

While analysing the competition between different interaction strategies within a community, we also investigated the competition between different kinds of communities. While a given niche with given physical parameters will be typically exclusively dominated by either generalist groups, specialist groups or cheaters, we also found that for a range of parameters, the physical and economical factors will counteract in a balanced way, leading to the coexistence of multiple interaction structures within one fluid niche.

A shearing flow can influence the evolution of cooperation in microbial populations (Nadell et al., 2017; Uppal & Vural, 2018). Here, we also saw that fluid flow can alter the spatial structure and dynamic properties of communities, and hence the evolution of their cooperative interactions. A shearing flow increases the group size of generalists and fragmentation rates of specialists and therefore alters the evolutionary stability of the community interaction structure. When the fluid shear profile varies over space, we observe that generalists and specialists not only find the most suitable position for themselves in the fluid and dominate there, they can also coexist in certain regions.

Many authors view undifferentiated multicellularity as a prerequisite for specialization (Bonner, 1998; Gavrillets, 2010; Michod, 2007; Pfeiffer & Bonhoeffer, 2003; Rossetti et al., 2010). In the case where generalists form a spatially homogeneous population and specialists form groups, we have seen that a transition to specialization can split the population into discrete subpopulations, that is functional multicellular groups. In this light, division of labour can be viewed as a first cause of multicellularity, rather than a consequence.

Though we paid close attention to physical realism, we also made important simplifying assumptions in our first-principles model. First, we assumed identical mutation rates between all pairs of phenotypes, whereas in reality, loss-of-function mutations are often more likely. Second, for most simulations we took the diffusion constants and decay rates of the two public goods to be identical. Studying cases where  $d_1 \neq d_2$  or  $\lambda_1 \neq \lambda_2$  could give additional interesting results that we have not explored here. Specifically, we think that the existence of a diffusion length blurs the distinction between public and private goods, and communities might end up with larger numbers of producers of the less diffusive (more private)



good and larger numbers of exploiters of the more diffusive (more public) good. We also neglect the finite sizes and complex shapes of microbes, and instead take them as point particles. Additionally, since microbes live in a low Reynolds number environment, we ignore the inertia of microbes, whereas in reality, microbes will themselves influence the fluid flow patterns. This effect will become especially important in highly dense populations and when microbes actively stick to one another or integrate via extracellular polymers. Finally, we neglect the taxis of microbes. In reality, microbes can exhibit complex swimming patterns and move towards or against chemical gradients.

Theoretical and experimental investigations of these additional factors will provide further insights into the interplay between mechanical factors and evolution of community interactions.

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## SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section.

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