

## Rational Homotopy Theory Seminar

*Week 6: Functor calculus and the rational Taylor tower of the identity*

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**Setup.** Let  $\mathcal{C}, \mathcal{D}$  be simplicial model categories, and suppose  $F : \mathcal{C} \rightarrow \mathcal{D}$  is a finitary (commute with finite colimits), homotopy (preserves weak equivalences), continuous (i.e.  $\mathcal{C}(c, d) \rightarrow \mathcal{D}(Fc, Fd)$  is a map of simplicial sets) functor with  $F(*) \simeq *$ .

We say that  $F$  is *n-homogeneous* if

$$F(-) \simeq \Omega^\infty C^{h\Sigma_n} (\Sigma^\infty -)^{\wedge n}$$

where  $C$  is a  $\Sigma_n$  object in  $Sp(\mathcal{D})$ , i.e. a spectrum with a  $\Sigma_n$ -action. If  $\mathcal{D}$  is a category of spectra of  $\mathcal{C}$  is a category of spectra, then can remove appropriate  $\Sigma^\infty$  or  $\Omega^\infty$ . This is like a homogeneous polynomial of degree  $n$ .

A functor  $F$  is *0-excisive* if  $F \simeq *$  and  $F$  is *n-excisive* if either  $F$  is  $(n - 1)$ -excisive or there exists  $H$   $n$ -homogeneous and  $G$   $(n - 1)$ -excisive and fiber sequence  $H \rightarrow F \rightarrow G$ . Being  $n$ -excisive is like being polynomial of degree at most  $n$ .

Remark: this is equivalent to the cartesian/cocartesian cube definition of functor calculus.

**Theorem.** [Goodwillie, others for different categories] If  $F$  is a functor as above, then there exists an initial (up to weak equivalence)  $n$ -excisive functor under  $F$  called  $P_n F$ , i.e.  $F \rightarrow P_n F$ , and these fit into a tower called the *Taylor tower*:

$$\cdots \rightarrow P_n F \rightarrow P_{n-1} F \rightarrow \cdots$$

**Definition/Theorem.** Define

$$D_n F = \text{hofib}(P_n F \rightarrow P_{n-1} F).$$

Then  $D_n F$  is  $n$ -homogeneous, i.e.

$$D_n F(X) = \Omega^\infty(\partial_n(F) \wedge_{h\Sigma_n} (\Sigma^\infty X)^{\wedge n}).$$

The spectrum  $\partial_n(F)$  is called the *n-th derivative of F*; it is a  $\Sigma_n$ -object in  $Sp(\mathcal{D})$ . These are like the  $n$ -th derivative evaluated at a point.

**Motivating examples:**

- $P_1(id_{Top_*}) = \Omega^\infty \Sigma^\infty$  and  $\partial_1(F) = \mathbb{S}$
- $\pi_*(P_2(id_{Top_*}))(X)$  is Mahowald's metastable homotopy groups of  $X$
- If we're interested in computing  $\pi_* F(X)$ , then we can use the *Goodwillie spectral sequence*

$$E_{*,n}^1 = \pi_* D_n(F)(X) = \pi_*^s \partial_n(F) \wedge_{h\Sigma_n} X^{\wedge n} \Rightarrow \pi_* F(X)$$

Behrens used this to recover the Toda range of unstable homotopy groups of spheres

**Problems:**

- The  $\Sigma_n$  action on  $\partial_n(F)$  is not necessarily nice
- The maps in the Taylor tower are not  $\Omega^\infty$ -maps, so to compute differentials, one needs to explicitly understand the maps  $P_n F \rightarrow P_{n-1} F$

**Definition.** If  $u : F \rightarrow G$  is a natural transformation of functors, we say it *satisfies*  $\mathcal{O}_n(c, \kappa)$  if for all spaces  $X$  which are  $k$ -connected with  $k > \kappa \geq 0$ , then the map  $F(X) \rightarrow G(X)$  is  $(-c + (n + 1) \cdot k)$ -connected.

**Theorem.** [Goodwillie, others for other categories] If  $u : F \rightarrow G$  satisfies  $\mathcal{O}_n(c, \kappa)$  for some  $c, \kappa$ , then  $P_n F \rightarrow P_n G$  is a weak equivalence.

How do we find the Taylor tower for some functor  $F$ ? We guess a tower

$$\cdots \rightarrow G_n \rightarrow G_{n-1} \rightarrow \cdots$$

with compatible natural transformations  $u_i : F \rightarrow G_i$  such that  $G_n$  is  $n$ -excisive and  $u_n$  satisfies  $\mathcal{O}_n(c, \kappa)$  for some  $c, \kappa$ . The theorem then guarantees that  $P_n F \rightarrow G_n$  is a weak equivalence.

**Rational homotopy theory.** [Reference: B. Walter] Recall that  $Sp_{\mathbb{Q}} \cong Ch_{\mathbb{Q}}$ . We can ask about

$$\Sigma^{\infty+1} : DGL_{\mathbb{Q}} \rightleftarrows Ch_{\mathbb{Q}} : \Omega^{\infty+1}$$

where the  $+1$ 's are because we want to think of these as categories of loop spaces. Note that the right-hand side is the category of *unbounded* chain complexes, as opposed to the category we worked with for spaces. These are defined by

$$\Sigma^{\infty+1}(L) = L/[L, L] = L^{ab}$$

$$\Omega^{\infty+1}(C) = (C_{\geq 0}, [-, -] = 0).$$

We would like to compute  $\partial_*(id_{DGL_{\mathbb{Q}}^{\geq 0, fin}})$  and from this get the Taylor tower.

The cofibrant replacement in  $DGL_{\mathbb{Q}}^{conn, fin}$  is defined by sending

$$L \mapsto (\mathbb{L}(V), d)$$

where  $\mathbb{L}$  is the free graded Lie algebra.

Define  $\Gamma^1(L) = L$  and then  $\Gamma^n(L) = [L, \Gamma^{n-1}(L)]$ . The *lower central series* is then defined by

$$B_n(L) = L/\Gamma^n(L) = (\mathbb{T}^{\leq n}(V) \wedge \mathbb{L}(V), d)$$

and we define

$$H_n(L) = \text{hofib}(B_n(L) \rightarrow B_{n-1}(L)) = (\mathbb{T}^=n(V) \cap \mathbb{L}(V)).$$

We have an explicit description

$$H_n(L) = (Lie(n) \otimes_{h\Sigma_n} (L^{ab})^{\otimes n})$$

where  $Lie(n)$  is the graded vector space generated by all words  $[x_1, [\dots [x_n] \dots]]$  satisfying the Jacobi identity and anticommutative in degree 0. Therefore  $H_n$  is  $n$ -homogeneous, and by induction,  $B_n(L)$  is  $n$ -excisive. We have compatible maps  $L \rightarrow B_n(L)$ , and if  $L$  is  $k$ -connected, then  $L \rightarrow B_n(L)$  is  $(n+1)k$ -connected. By Goodwillie's theorem, we then have

$$P_n(id_{DGL}) \simeq B_n(-)$$

$$D_n(id_{DGL}) \simeq H_n(-)$$

$$\partial_n(id_{DGL}) \simeq Lie(n).$$

Further, the Goodwillie spectral sequence for  $id_{DGL}$  is a spectral sequence of Lie algebras.

**Theorem.** [Walter] If  $L : \mathcal{C} \rightleftarrows \mathcal{D} : R$  is a Quillen equivalence where  $L, R$  preserve all weak equivalences and  $R$  is finitary, then pre- and post-composition with  $L$  and  $R$  preserves Taylor towers, i.e.

$$P_n(F \circ R) = P_n(F) \circ R.$$

In words, we can “move” Taylor towers between certain Quillen equivalent categories.

**Example.** We then have

$$\partial_n(id_{CDGC_{\mathbb{Q}}^{fin, \geq 1}}) \simeq S^{1-n} \otimes Lie(n).$$

What happens if you try to lift this to the non-rational case? Then the derivative is called the *shifted Lie operad*:

$$\partial_*(id_{Top_*}) \simeq sLie$$

One way of defining  $sLie$  is as the Koszul dual of the commutative operad  $K(Comm)$ .