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Reversed Flapping Flight & Inverted Hydrodynamical Drafting

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Flapping motion transverse to the direction of flight

No apparent pitching or rowing added to the flapping motion

Besides flapping, the wings appear to be somewhat rigid



The diagram shows a bird in flight with four force vectors: 'Aerodynamic Lift' pointing upwards, 'Body Weight' pointing downwards, 'Thrust' pointing to the left, and 'Resistance' pointing to the right. To the left is the DVD cover for 'Winged Migration' by Jacques Perrin, featuring a large moon and birds flying.

Please watch the movie "Winged Migration"

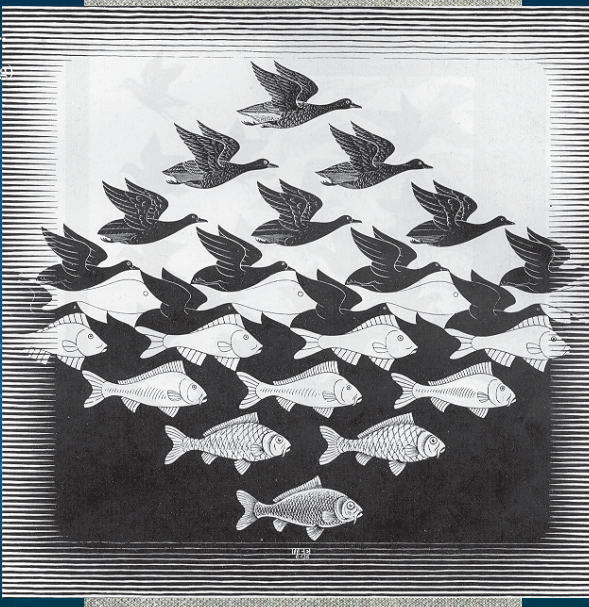
Taylor, Nudds, and Thomas, Nature **425**, 707, 2003; Fish and birds show same Strouhal number, close to 0.30.

The Reynolds number
(inertia force/viscous damping)

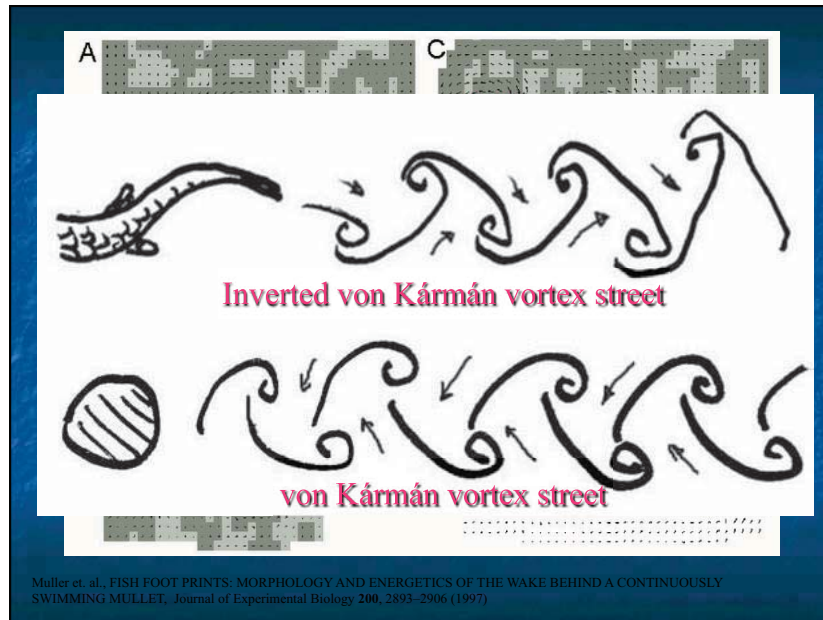
$$Re = UL/\nu$$

Fish and birds:
 $Re \sim 10^{3-5}$

M. C. Escher (1898-1972)
Sky and Water, Woodcut
(I and II, 1938)
Fish and birds share similar
mechanism to move about in
water or in the air



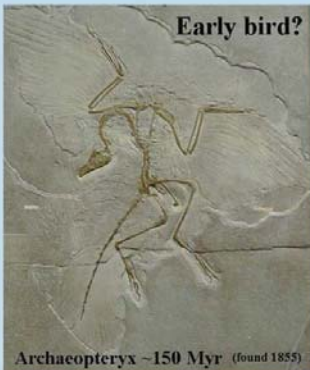
The artwork 'Sky and Water' by M.C. Escher is a woodcut showing a pattern of birds in flight above a pattern of fish swimming. The birds and fish are arranged in a way that they seem to transition from the sky to the water, illustrating the similarity in movement mechanisms between the two environments.



What is the origin of flapping flight ?

The **origin** of flapping flight of large birds: from the ground up? Or from the trees down?

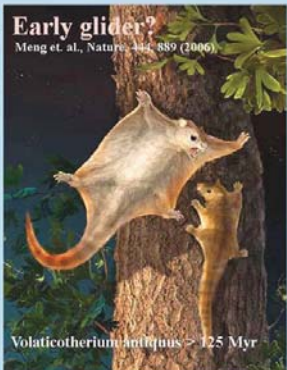
Early bird?



Archaeopteryx ~150 Myr (found 1855)

Early glider?

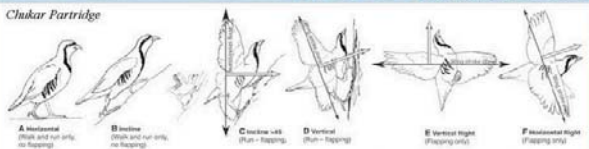
Meng et al., Nature, 444, 889 (2006)



Volaticotherium antiquus > 125 Myr

The debate on the origin of flight:
from the ground up?
from the trees down?

Chukar Partridge



A Horizontal (flap and tail only, no flapping) B Incline (flap and tail only, no flapping) C Incline with (flap + flapping) D Vertical (flap + flapping) E Vertical Incline (flapping only) F Horizontal Incline (flapping only)

K. P. Dial, Science, 299, 402 (2003)

Q1: Can a simple, rigid, symmetric, flapping "wing" generate lateral **thrust**?

Q2: If the answer is "yes," what would be the thresholds (Reynolds number)?
(reciprocal motion leads to no net motion at low Reynolds numbers!)



Vandenbergh, Zhang and Childress, Journal of Fluid Mechanics, 2004
 Alben and Shelley, PNAS, 2005
 Vandenbergh, Childress and Zhang, Physics of Fluids, 2006
 Rosellini and Zhang, 2009 (under review)

Flapping Mechanism and the Setup of Our Experiment

We work with a rotational geometry: a **symmetric, rigid** wing is flapped vertically but it is allowed to **freely rotate** (no rotation is imposed to the wing, any motion in the horizontal plane is entirely up to the wing-fluid interaction).

1. The "runway" is now "infinitely" long
2. Homogeneous friction at different positions along the "runway"
3. It's easier to measure speed, to visualize the flows

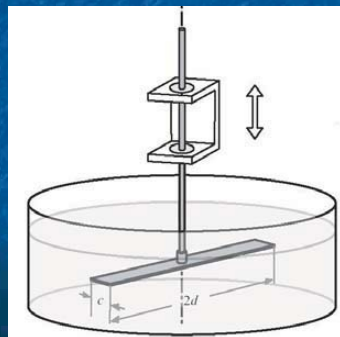
$$h(t) = \frac{a}{2} \sin(2\pi f t)$$

a : peak-to-peak flapping amplitude

f : flapping frequency

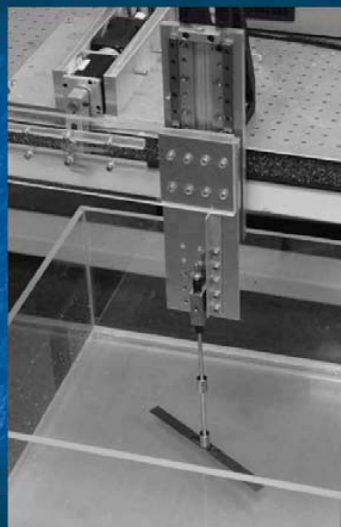
c : chord of the wing

$L=2d$: total length of the wing

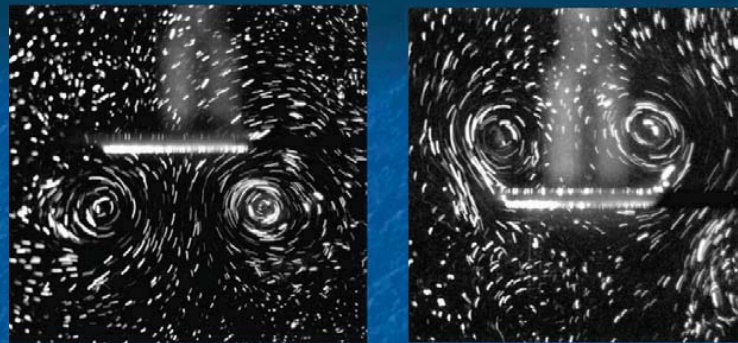
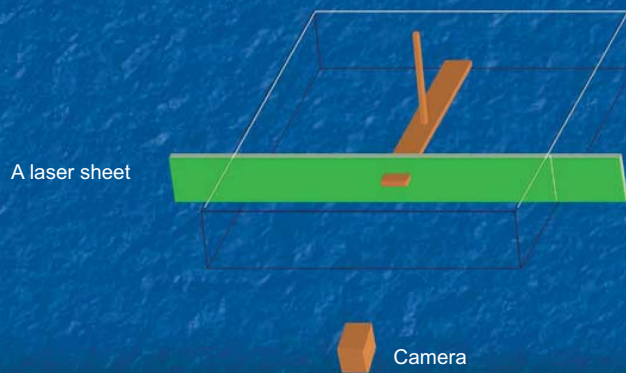


The experimental setups:

Generation I (2003) and generation II (2005).



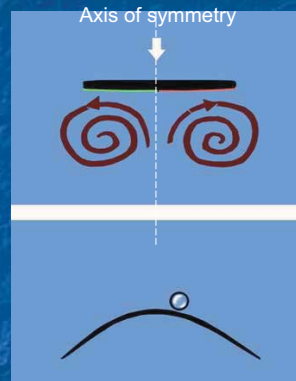
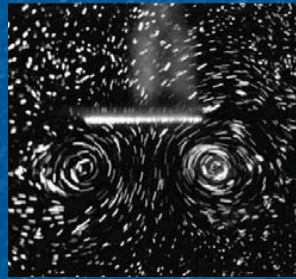
Flow visualization



↑ Direction of the wing's motion and the vortical structure around ↓

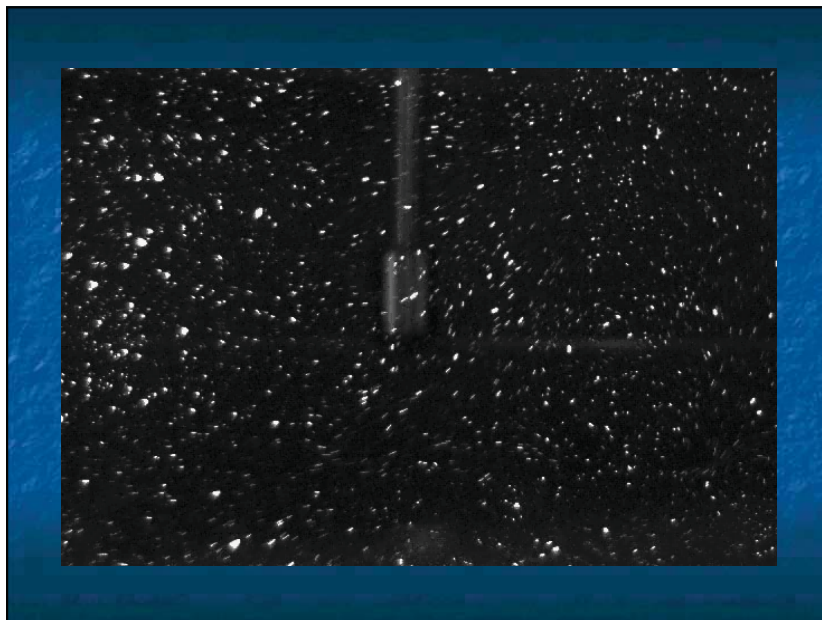
At low Reynolds numbers, eddies created due to the flapping motion are always attached to the wing and they disappear fast.

At higher Reynolds numbers: when eddies are still exist as the wing moves back....
The primary instability: the system is at an unstable fixed point, easily breaks symmetry

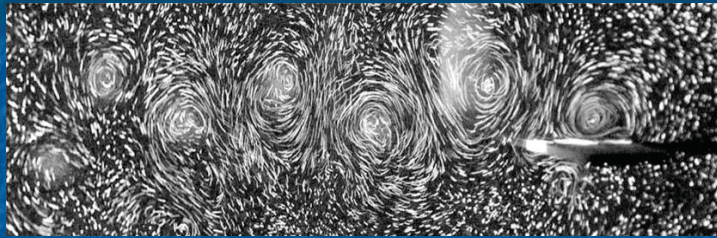


$$F = +kx$$

Spontaneous symmetry breaking bifurcation

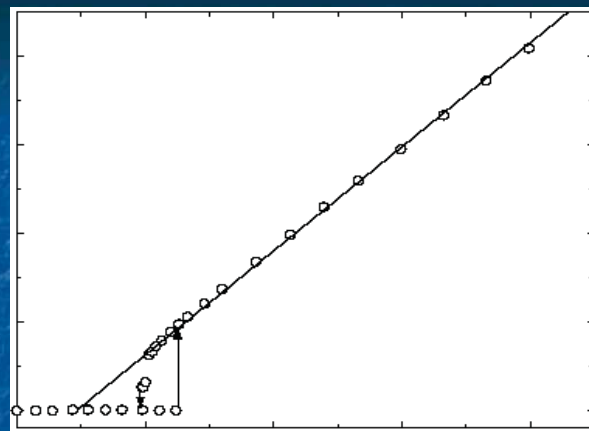


Flow visualization of the wake after a flapping wing in "forward flight"



Inverted von Kármán vortex street !!

Forward
Flight
Speed [Re]



Flapping Frequency [in Reynolds number]

Vandenbergh, Zhang and Childress, Journal of Fluid Mechanics, 2004
 Alben and Shelley, PNAS, 2005
 Vandenbergh, Childress and Zhang, Physics of Fluids, 2006
 Rosellini and Zhang, 2009 (under review)

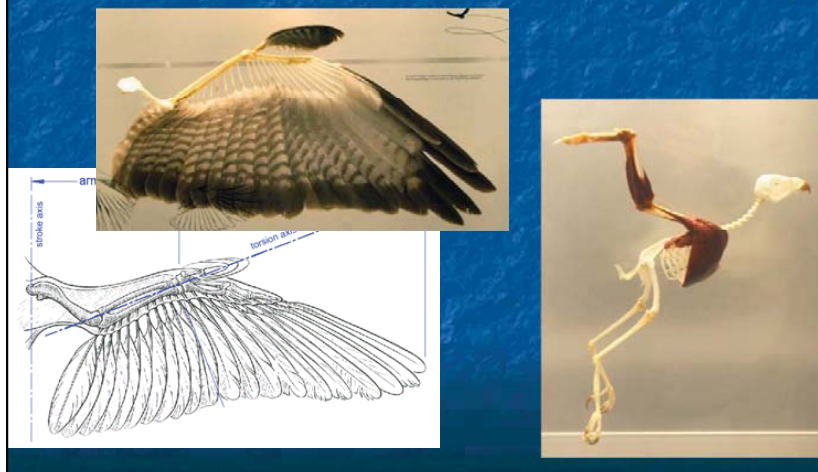
The system (a flapping wing in an initially stationary fluid) loses stability to a forward, rotational motion: it performs a **“forward flight”**.

As a result of Spontaneous Symmetry Breaking:
The wing rotates (takes off) in either directions, with roughly the same probability. Once it “takes off” in one direction, it maintains that state.

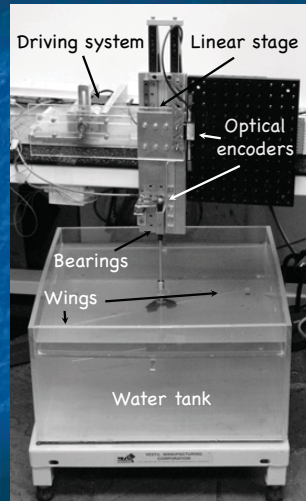
A “symmetric bird” would fly in unidirectional directions.

What is the effect of passive pitching in free flapping flight ?

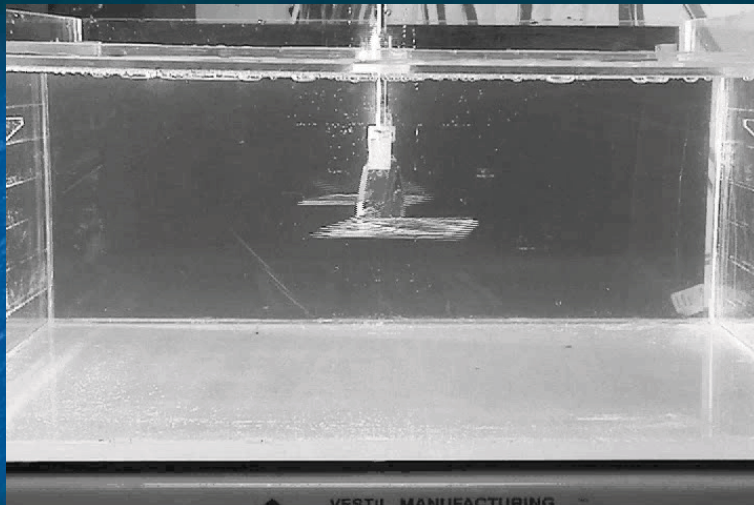
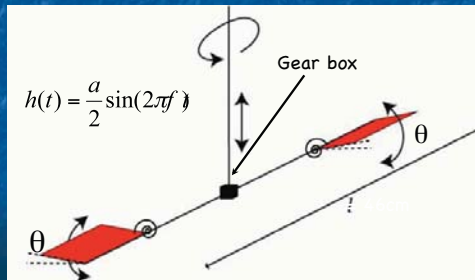
Most of the animals have *passive* flexing parts/appendages (wings and fins).
Is there any advantage to be (somewhat) flexible?



Experimental setup on passive pitching and free flight



- $0 < \text{driving frequency } f < 5 \text{ Hz}$
- $4 \text{ cm} < \text{chord } C < 8 \text{ cm}$
- $1.6 \text{ cm} < \text{peak to peak amplitude } a < 5.5 \text{ cm}$
- $0.04 \text{ Nm} < \text{torsional spring constant } k < 0.15 \text{ Nm}$
- Gear box guarantees the equal pitching angle of the two wings.



Only the heaving motion in the vertical direction is prescribed, the pitching and the consequent unidirectional flight are passive responses of the fluid-structure interaction.

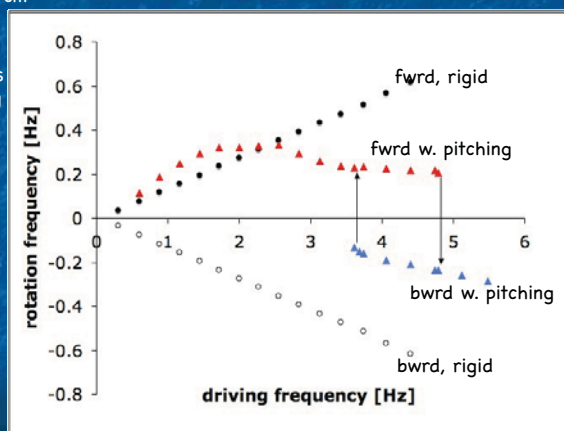
The main effects of passive pitching in free flight

- Flapping amplitude: 2.7cm
- Wing chord: 8cm

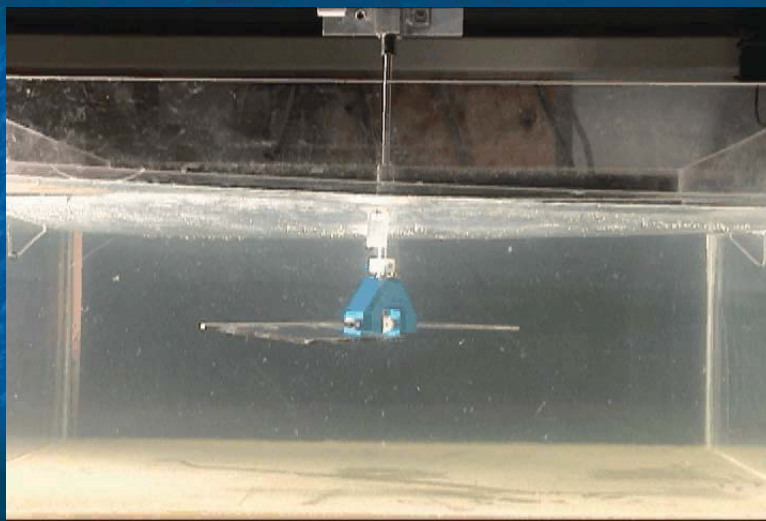
- Backward free flight is forbidden for low driving frequencies.
- Passive pitching can increase the speed for a given heaving motion.

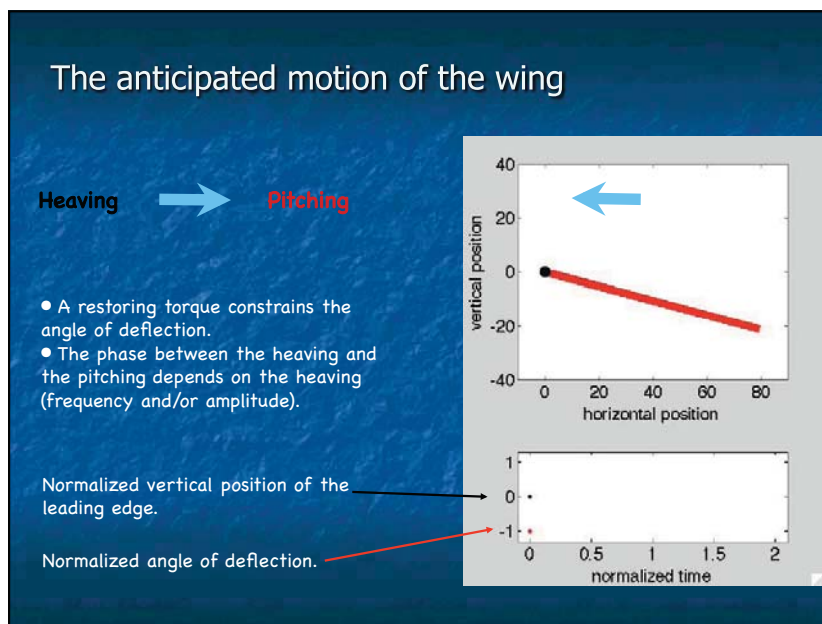
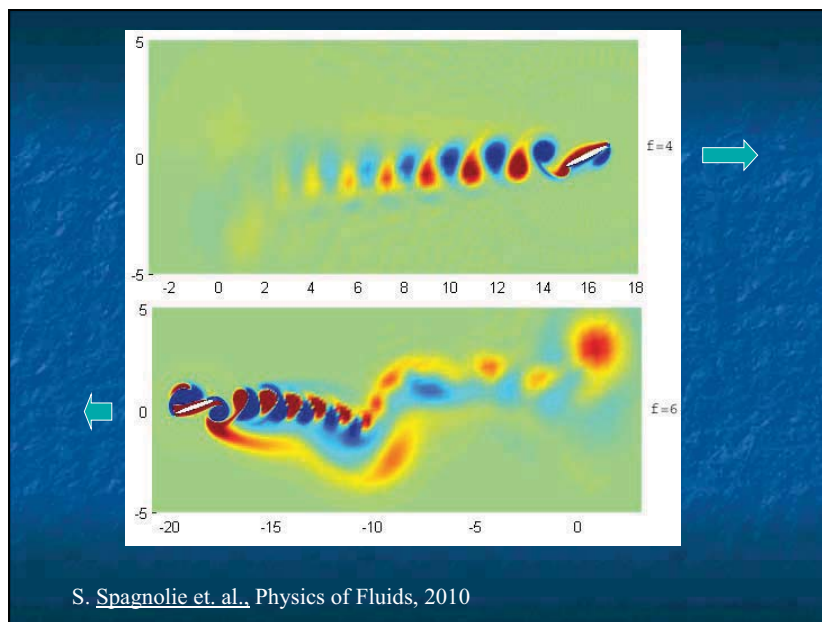
- Flexibility introduces forward/backward transitions.
- Forward free flight is forbidden above a threshold.

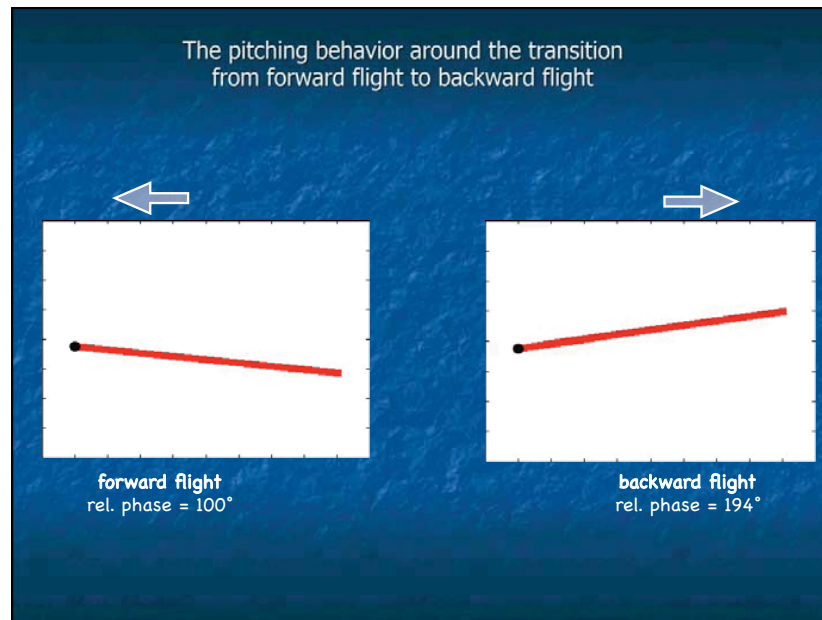
$$Re = \frac{\omega c}{v} \sim 10^{4-5}$$



The backward flapping flight:







- Non-dimensional flexibility: *passive pitching*

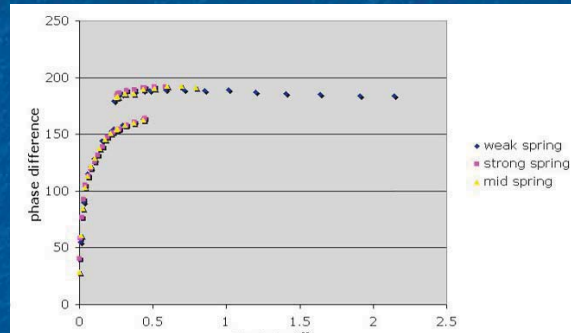
$$p = \frac{\text{hydrodynamical pitching torque}}{\text{torsional spring constant}}$$

$$= \frac{[(\frac{1}{2} C_D \rho U^2) A] (\frac{1}{2} c)}{\kappa} = \frac{\frac{1}{4} C_D \rho l c (\pi a f)^2 c}{\kappa}$$

Is this dimensionless number P a good control parameter?

If yes, we should be able to see “*data-collapse*” using P to represent different systems with varying spring constant.

With different spring constant $k = 0.04 \text{ Nm}$, 0.11 Nm and 0.16 Nm .



Passive Pitching P

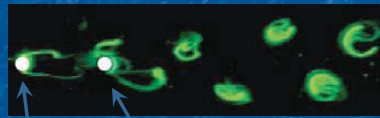
S. Spagnolie, L. Moret, M. Shelley and J. Zhang, Physics of Fluids, 2010

On swimming of "passive" fish
("swimming" of dead fish or flapping flags)

and

on "schooling" of many swimmers

Drafting of rigid bodies -- drag reduction for followers



high drag low drag

Bicyclists: Kyle, C. R. *Ergonomics* **22**, 387-397 (1979).

Racecars: Romberg, C. F., Chianese, Jr., F., & Lajoie, R. G. *Soc. Auto Eng.* 710213 (1971).

Cylinders: Zdravkovich, M. M. *J. Fluids Eng.* **99**, 618-633 (1977).

What happens when deformable bodies interact?

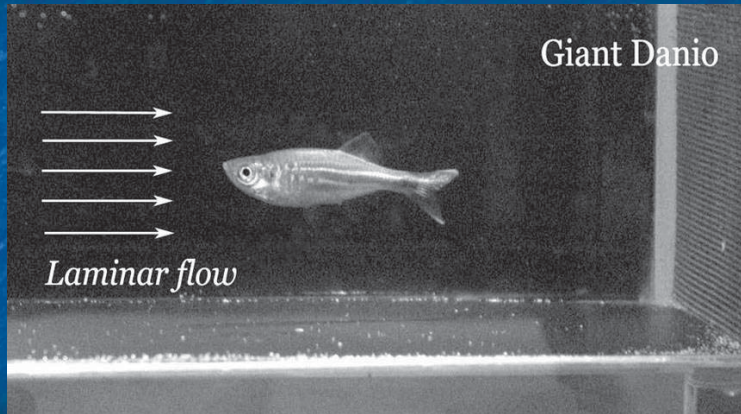


Schools and flocks: Fish, F. E. *Comments Theor. Biol.* **5**, 283-304 (1999).

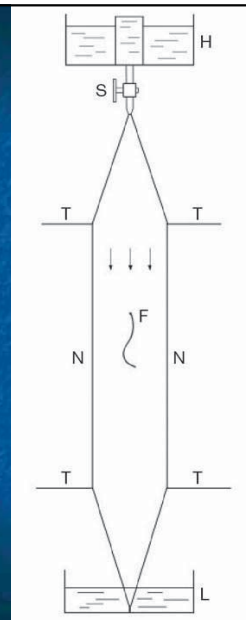
Leaves in a breeze: Vogel, S. *Life in Moving Fluids* (Princeton Univ. Press, Princeton, 1994).

Waves of grain: Inoue, E. *J. Agric. Met. (Japan)* **11**, 71 (1955).

Swimming in a water current



Fish rheotaxis: game fish in water tunnel, New York Univ.



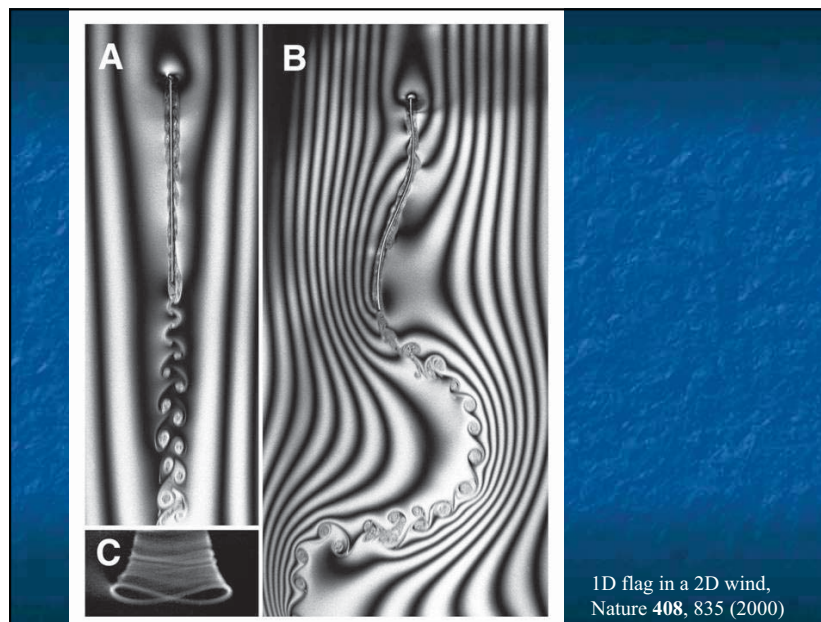
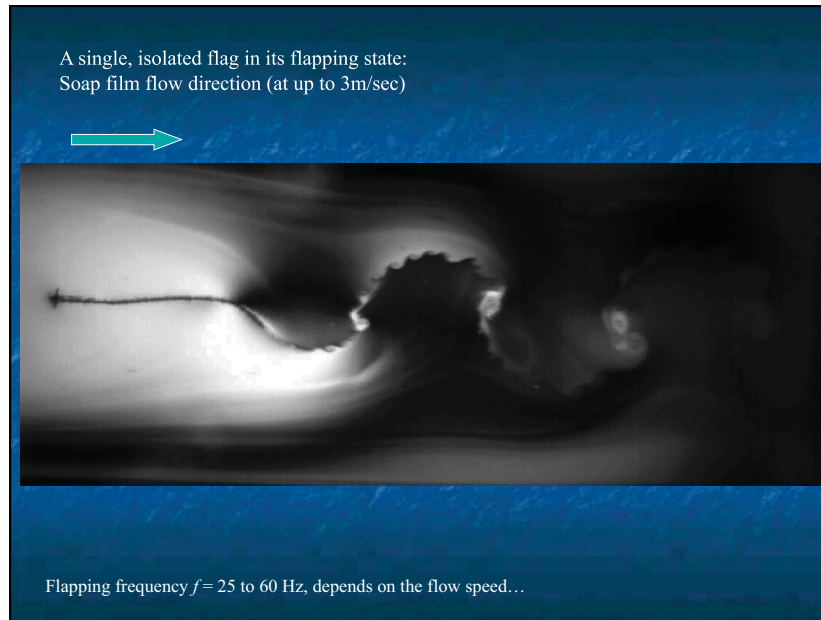
A single, lone flag first (a passive swimmer)!

A quasi-two-dimensional flowing soap film provides a laminar “wind or water tunnel.”

The 1D flap is a thin silk or rubber thread

The magic portion





Flag pair and force (drag) measurement:

Force measurement

Soap tunnel:
 Kelly, H., et. al. *Phys. Rev. Lett.* 74, 3975 (1995)
 Rutgers et. al., *Rev. Sci. Inst.*, 72 3025 (2001)

Force measurement:
 Alben, S., Shelley, M., and Zhang, J.
Nature 420, 479 (2002)

Flags:
 Zhang, J., et. al. *Nature* 408, 835-839 (2000)
 Shelley, M., Vandenberghe, N., and Zhang J.
Phys. Rev. Lett. 94, 094302 (1995)

flowing soap film

flat cantilever clamp

mirror

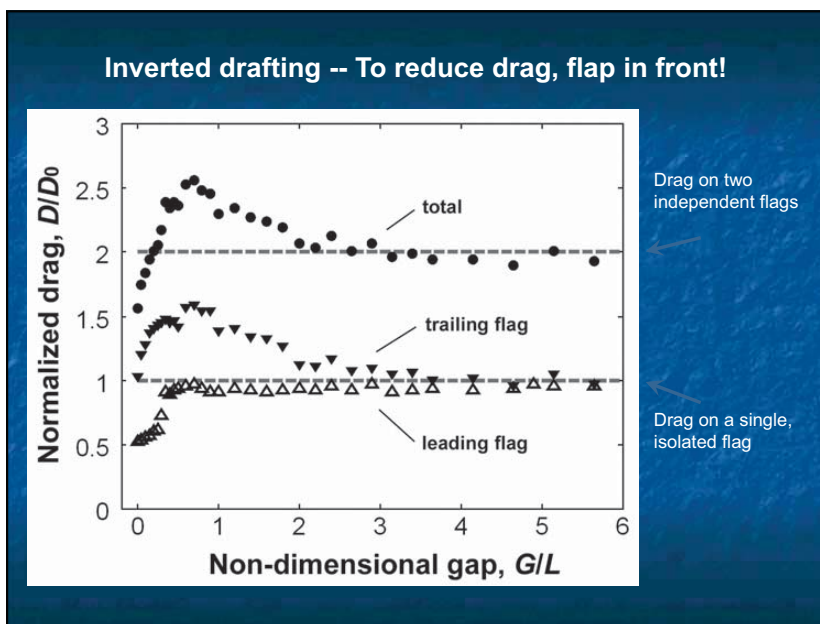
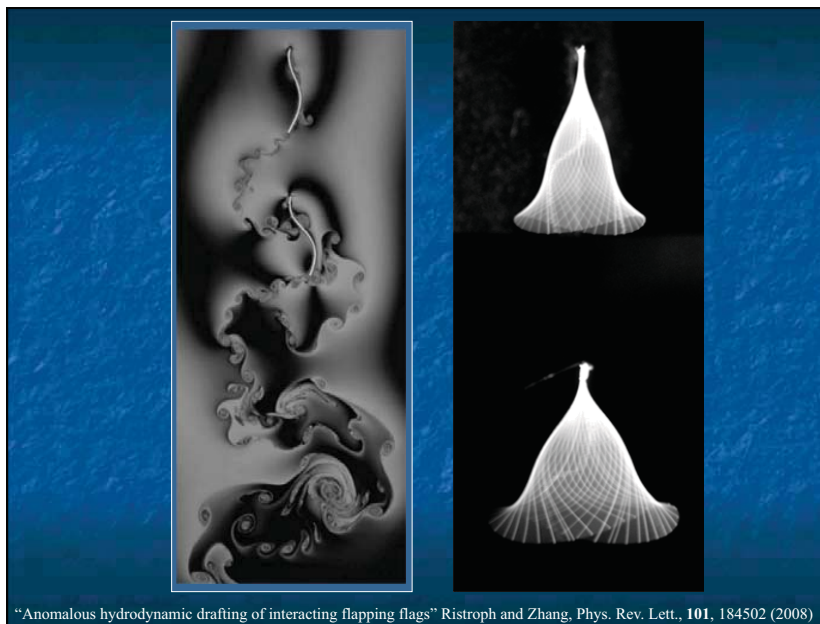
filament

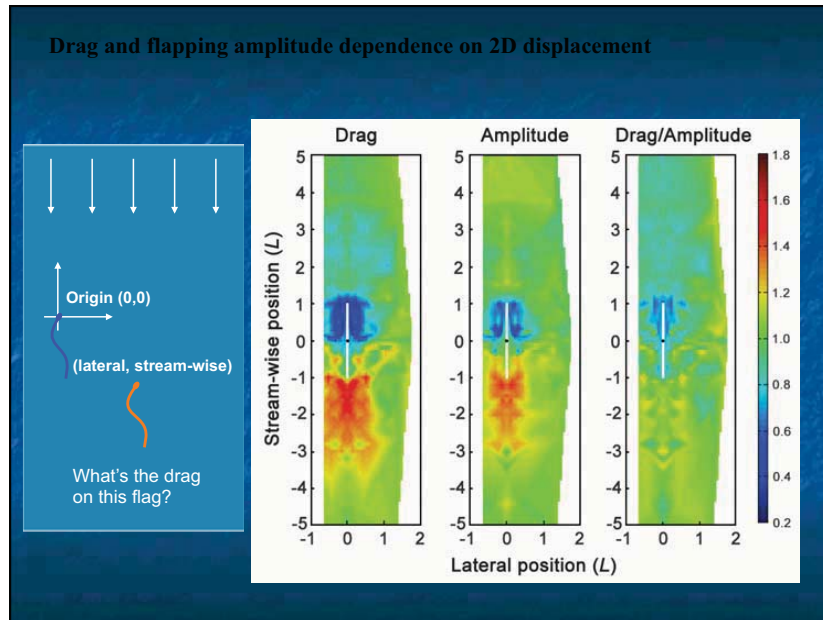
damping fluid

nylon lines (soap film guide)

$U = 200 \text{ cm/sec}$
 $L = 2 \text{ cm}$
 $W = 9.5 \text{ cm}$

Details on the force measurement: a Voigt element that measures a time-averaged force





Drag ~ Amplitude of flapping

$$\text{Drag} = \frac{1}{2} C_D \rho U^2 S$$

Drag coefficient

Film thickness

Fluid density

Amplitude

Flow speed

Area

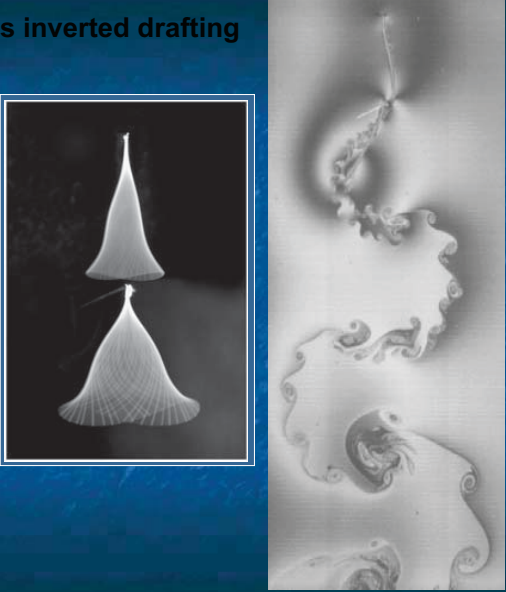
$$S \propto d \cdot A$$

Drag ~ Amplitude ($D \sim A$)

Try to explain this inverted drafting

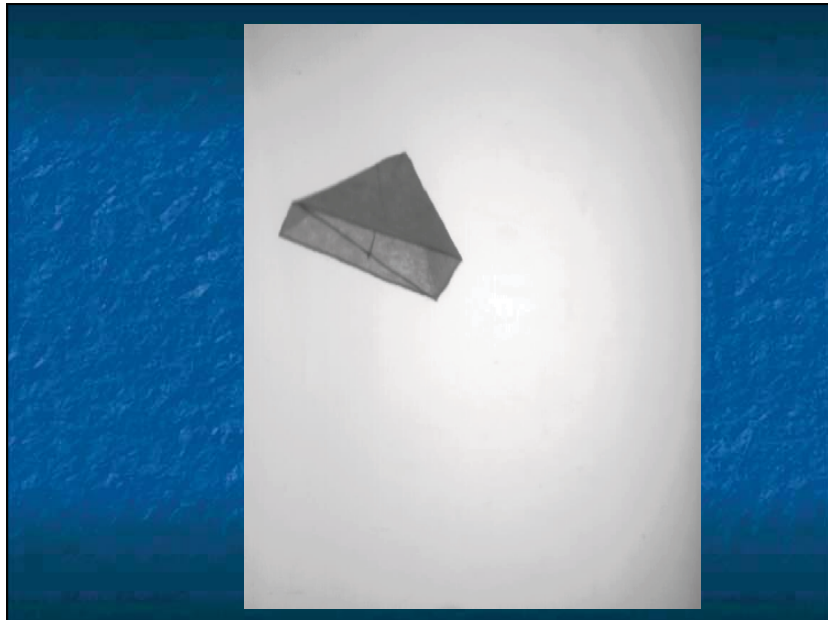
Down-stream neighbor
"pins" lateral flow → small
amplitude for leader

Up-stream neighbor
creates an oscillating wake
→ follower resonates with
high amplitude



One of the first real-time movies from the experiment:

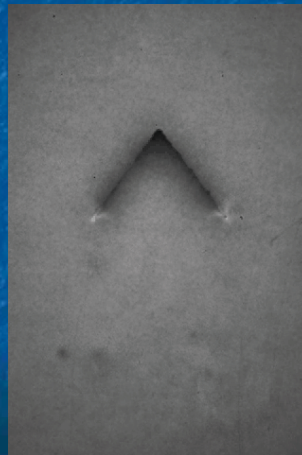





Flow visualization using a rigid plastic bug,
sloshing water currents and shadowgraphs.

See how a downwash is produced as
two pairs of vortices emerge within
each cycle.

Stability !





Some conclusions:

Part I. With passive pitching, a flapping wing may reverse its flight direction. There is a dimensionless number that demarcates the transition. Competition between two time scales...

Part II. With rigid bodies, there is a tendency to form aggregates, due to the fact the follower experiences less drag than leader. (cyclists do form clusters...)

With flexible bodies, as seen here, an aggregate is not stable: the follower has more drag than the leader. Does this stabilize a fish school?

How about actively flapping bodies (our initial motivation, such as birds and fish). **It is still an open question.**

We have work to do...

Some related papers (as PDF files) can be found at:
<http://physics.nyu.edu/jz11/>

"Surprising behavior of a flapping wing with passive pitching,"
 Physics of Fluids, **22**, 041903 (2010)

"Anomalous hydrodynamic drafting on interacting flapping flags,"
 Physical Review Letters, **101**, 194502 (2008)


"The effect of geometry of simple flapping wing,"
 Under review with Phys. Rev. Lett., (2009)

"On unidirectional flight of a free flapping wing,"
 Physics of Fluids, **18**, 014102 (2006)

"Heavy flags undergo spontaneous oscillations in flowing water,"
 Physical Review Letters, **94**, 094302 (2005)

"Symmetry breaking leads to forward flapping flight,"
 Journal of Fluid Mechanics, **506**, 147 (2004)

"Flexible filaments in a flowing soap film as a model for one-dimensional flags in a two-dimensional wind,"
 Nature, **408**, 835 (2000)



Thank you!
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