

## 6 Sets and relations

A *set*, which is nothing more than a collection of objects, is one of the most basic notions in mathematics. The objects belonging to the set are called its *elements*. We write ' $x \in A$ ' to indicate that  $x$  is an element of  $A$ .

The most basic of all sets is the *empty set*  $\emptyset$ . That is,  $\emptyset$  is the unique set which contains no elements. The following definition presents a variety of other basic terminology connected with sets.

**Definition 6.1** *Let  $A$  and  $B$  be sets.*

- *The union of  $A$  and  $B$  is the set*

$$A \cup B := \{x : x \in A \text{ or } x \in B\}.$$

- *The intersection of  $A$  and  $B$  is the set*

$$A \cap B := \{x : x \in A \text{ and } x \in B\}.$$

- *The difference between  $A$  and  $B$  is the set*

$$A - B := \{x \in A : x \notin B\}.$$

- *$B$  is a subset of  $A$  if every element of  $B$  is also an element of  $A$ . When  $B$  is a subset of  $A$ , we call  $A - B$  the complement of  $B$  in  $A$ , and when the set  $A$  can be understood from context, we write  $B^c$  for  $A - B$ .*
- *We say that  $A$  is a subset of  $B$  if for every  $x \in A$ , we also have  $x \in B$ . In this case, we write  $A \subset B$ .*
- *We say that  $A = B$  if  $A \subset B$  and  $B \subset A$ .*
- *We say that  $A$  and  $B$  are disjoint if  $A \cap B = \emptyset$ .*

Many assertions in mathematics boil down to statements about the relationship between two sets. For instance, the assertion *the solutions of  $x^2 = 1$  are 1 and  $-1$*  can be rephrased as an equality between two sets

$$\{x \in \mathbf{R} : x^2 = 1\} = \{-1, 1\}.$$

Proving that two sets are equal, or that one is a subset of another is therefore an important skill. Fortunately, it's not a difficult one as long as you remember what you're up to. Let us give an example here.

**Proposition 6.2** *For any sets  $A, B, C$ , we have*

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C).$$

Before beginning, we point out the basic strategy. By definition, showing two sets are equal means showing that one is a subset of the other and vice versa. And to show that one set is a subset of another, we must show that any element in the first is an element of the second.

*Proof.* To show that the left set is a subset of the right, let  $x \in A \cap (B \cup C)$  be given. Then on the one hand  $x \in A$ , and on the other hand  $x \in B$  or  $x \in C$ . If  $x \in B$ , then it follows that  $x \in A \cap B$ . Likewise, if  $x \in C$ , then it follows that  $x \in A \cap C$ . Hence  $x \in (A \cap B) \cup (A \cap C)$ . This proves

$$A \cap (B \cup C) \subset (A \cap B) \cup (A \cap C).$$

To show the right set is a subset of the left set, let  $x \in (A \cap B) \cup (A \cap C)$  be given. Then either  $x \in A \cap B$  or  $x \in A \cap C$ . If  $x \in A \cap B$ , then  $x \in A$  and  $x \in B$ , so certainly  $x \in B \cup C$ , too. Hence  $x \in A \cap (B \cup C)$ . If, on the other hand,  $x \in A \cap C$ , then we similarly see that  $x \in A \cap (B \cup C)$ . So in either case, we see that  $x \in A \cap (B \cup C)$ . This proves

$$(A \cap B) \cup (A \cap C) \subset A \cap (B \cup C).$$

Putting the results together, we conclude that

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C).$$

□

There is one other way to combine two sets. In some sense, it's the *largest* possible way to combine two sets.

**Definition 6.3** *The cartesian product of two sets  $A$  and  $B$  is the set*

$$A \times B := \{(a, b) : a \in A, b \in B\}$$

*comprising all ordered pairs whose first element lies in  $A$  and whose second element lies in  $B$ .*

So if  $A$  is the set of all U.S. presidents and  $B$  is the set of all species of trees, then (Woodrow Wilson, weeping willow) is an example of an element of  $A \times B$ . Any kind of 'connection' between the elements of  $A$  set with the elements of  $B$  can be described as a subset of  $A \times B$ .

**Definition 6.4** *A subset  $R \subset A \times B$  is called a relation from  $A$  to  $B$ . If  $A = B$ , then we say simply that  $R$  is a relation on  $A$ .*

So if  $A$  is the set of all readers of these notes and  $B$  is the set of all flavors of ice cream, then

$$R = \{(a, b) \in A \times B : a \text{ likes } b\text{-flavored ice cream}\}$$

is a relation from  $A$  to  $B$ . One element in  $R$  is (Diller, strawberry). This is not the only element in  $R$ , since the author of these notes enjoys several flavors of ice cream. However,

(Diller, Chunky Monkey) is certainly not in  $R$ , even though it is a well-documented element of  $A \times B$ .

An example of a relation on  $\mathbf{Z}$  is the *order* relation

$$R = \{(a, b) \in \mathbf{Z} \times \mathbf{Z} : a < b\}.$$

So  $a < b$  means exactly the same thing as  $(a, b) \in R$ . In fact, one often writes  $aRb$  (' $a$  is related to  $b$ ') instead of  $(a, b) \in R$  (' $(a, b)$  belongs to  $R$ '), but keep in mind that the two pieces of notation mean exactly the same thing. Concerning the example in the previous paragraph, I might equally well have said *Diller  $R$  strawberry* (or better yet, *Diller  $\heartsuit$  strawberry!*)

**Definition 6.5** *A relation  $R$  on a set  $A$  is called*

- Reflexive *if  $xRx$  for every  $x \in A$ ;*
- Symmetric *if  $xRy$  implies  $yRx$  for every  $x, y \in A$ ;*
- Transitive *if  $xRy$  and  $yRz$  imply that  $xRz$  for every  $x, y, z \in A$ .*

*We call  $R$  an equivalence relation if  $R$  enjoys all three of these properties.*

So the order relation  $<$  is transitive but not symmetric or reflexive. In particular, it is not an equivalence relation. Consider on the other hand the following relation on the set  $A$  of all people

$$R = \{(x, y) \in A \times A : x \text{ and } y \text{ have the same gender}\}.$$

Then  $R$  is certainly reflexive, symmetric, and transitive. Hence  $R$  is an equivalence relation. More generally and speaking loosely, an equivalence relation on a set  $A$  is a relation that ties together elements that have some property in common.

**Definition 6.6** *Let  $R$  be an equivalence relation on a set  $A$  and  $x \in A$  be any element. The equivalence class of  $x$  is the set*

$$[x] = \{y \in A : xRy\}.$$

In the preceding example, there are only two different equivalence classes: the set of all men, and the set of all women.

**Theorem 6.7** *Let  $R$  be an equivalence relation on a set  $A$ . Then each  $x \in A$  belongs to its own equivalence class  $[x]$ , and if  $y \in A$  is another element, we have either*

- $xRy$ , in which case  $[x] = [y]$ ; or
- $R$  does not relate  $x$  and  $y$ , in which case  $[x] \cap [y] = \emptyset$ .

*Proof.* Let  $x \in A$  be given. Then  $xRx$  because  $R$  is reflexive. Hence  $x \in [x]$ .

Now let  $y \in A$  be another element. Suppose first that  $xRy$ . I must show that  $[x] = [y]$ . To do this, let  $z \in [y]$  be any element. Then  $yRz$  by definition of equivalence class. Since  $R$  is transitive and we are assuming that  $xRy$ , it follows that  $xRz$ . Hence  $z \in [x]$ . This proves that  $[y] \subset [x]$ . To prove that  $[x] \subset [y]$ , I note that by symmetry of  $R$ ,  $xRy$  implies that  $yRx$ . So if  $z \in [y]$ , I can repeat the previous argument with the roles of  $x$  and  $y$  reversed, to conclude that  $[x] \subset [y]$ . I conclude that  $[x] = [y]$ .

It remains to consider the case where  $x$  and  $y$  are not related by  $R$ . In this case, I must show that  $[x] \cap [y] = \emptyset$ . Suppose in order to get a contradiction that  $z \in [x] \cap [y]$ . Then by definition of equivalence class,  $xRz$  and  $yRz$ . Since  $R$  is symmetric, it follows that  $zRy$ , and since  $R$  is transitive it further follows that  $xRy$ , contradicting the fact that  $x$  and  $y$  are not related. I conclude that there is no element  $z$  in  $[x] \cap [y]$ .  $\square$