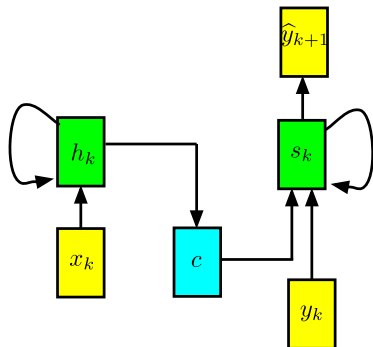


RNN Encoder-Decoder



RNN Encoder-Decoder

x_k = k th encoded english

"I am a student"

y_k = k th encoded French

"[START] je suis etudiant [STOP]"

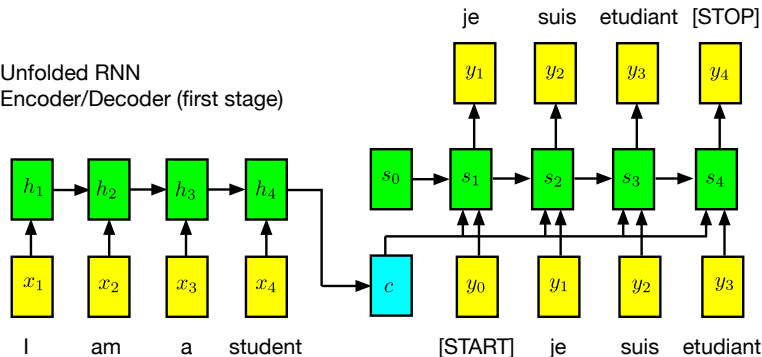
$\hat{y}_{k+1}$ = predicted french

c = single context vector

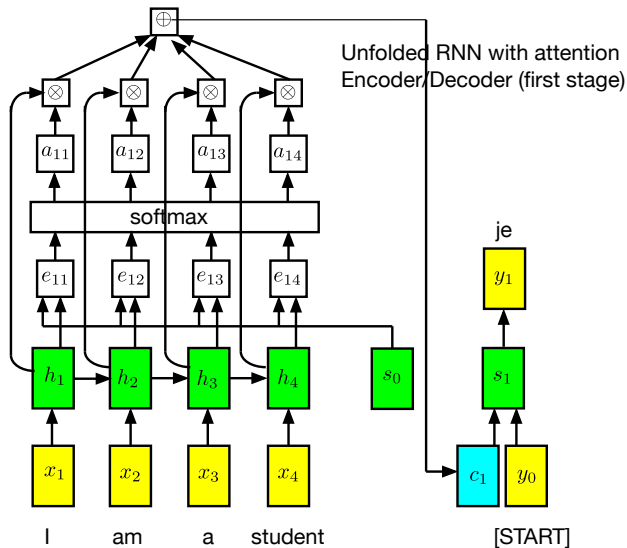
RNN Encoder Decoder Unfolded

Unfolded RNN

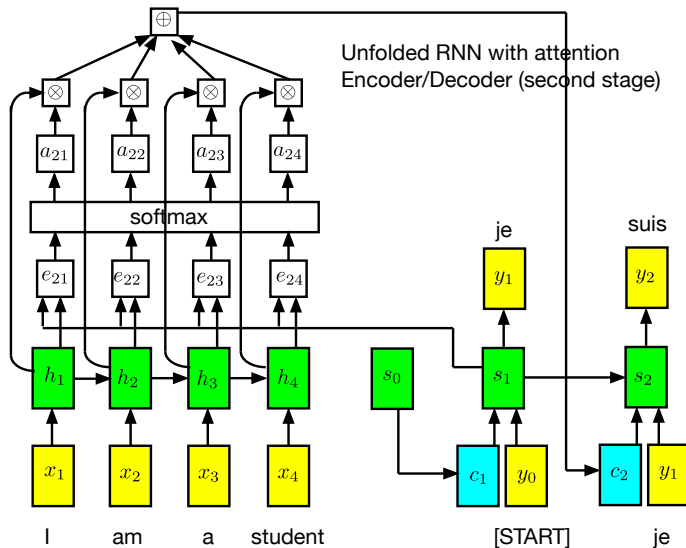
Encoder/Decoder (first stage)



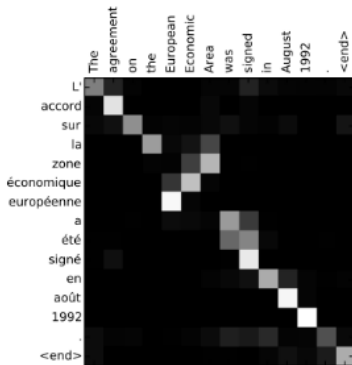
RNN Encoder Decoder with Attention



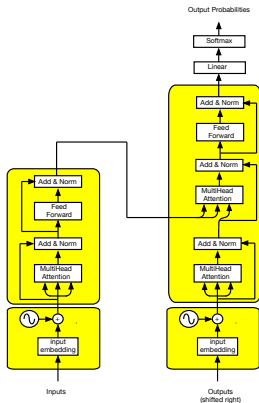
RNN Encoder Decoder with Attention



Visualize Attention Weights



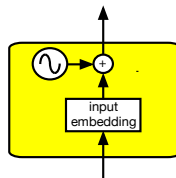
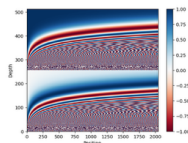
Transformer



- Positional Embedding Layer
Embeds token *position* in the representation
- Multi-Head Attention Layers
Transformers use self, cross, and causal versions of MHA
- Add and Normalization
Residual connection and Layer Normalization
- FeedForward Network

Positional Embedding

- Attention scores are invariant with respect to a word's position.
- Position Embedding attaches info about word position by adding sines and cosines the word's embedding vector
- Sines and cosines vibrate at different frequencies along the depth of the embedding vector. Oscillation is across position in sequence



$$\begin{aligned}PE_{\text{pos},2i} &= \sin(\text{pos}/10000^{2i/d}) \\PE_{\text{pos},2i+1} &= \cos(\text{pos}/10000^{2i/d})\end{aligned}$$

Self Attention Layer

Base Attention Class

```
class BaseAttention(tf.keras.layers.Layer):  
    def __init__(self, **kwargs):  
        super().__init__()  
        self.mha = tf.keras.layers.MultiHeadAttention(**kwargs)  
        self.layernorm = tf.keras.layers.LayerNormalization()  
        self.add = tf.keras.layers.Add()
```

CrossAttention Class

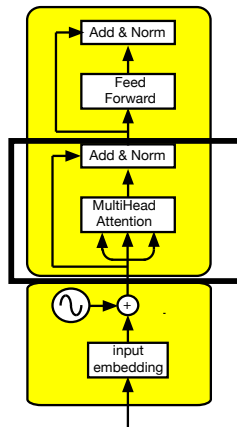
```
class GlobalSelfAttention(BaseAttention):  
    def call(self, x):
```

attention layer `attn_output = self.mha(`

```
        query=x,  
        value=x,  
        key=x)
```

residual connection
layer normalization

```
    x = self.add([x, attn_output])  
    x = self.layernorm(x)  
    return x
```



Causal Attention Layer

Base Attention Class

```
class BaseAttention(tf.keras.layers.Layer):  
    def __init__(self, **kwargs):  
        super().__init__()  
        self.mha = tf.keras.layers.MultiHeadAttention(**kwargs)  
        self.layernorm = tf.keras.layers.LayerNormalization()  
        self.add = tf.keras.layers.Add()
```

CausalAttention Class

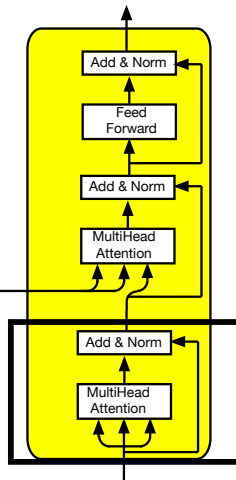
```
class CausalSelfAttention(BaseAttention):  
    def call(self, x):
```

attention layer

```
        attn_output = self.mha(  
            query=x,  
            value=x,  
            key=x,  
            use_causal_mask = True)
```

residual connection
layer normalization

```
        x = self.add([x, attn_output])  
        x = self.layernorm(x)  
        return x
```



Cross Attention Layer

Base Attention Class

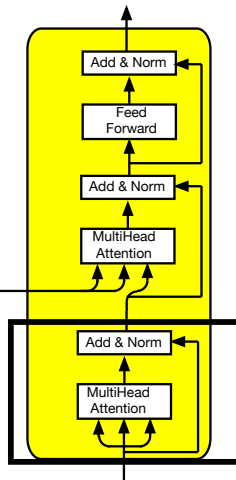
```
class BaseAttention(tf.keras.layers.Layer):  
    def __init__(self, **kwargs):  
        super().__init__()  
        self.mha = tf.keras.layers.MultiHeadAttention(**kwargs)  
        self.layernorm = tf.keras.layers.LayerNormalization()  
        self.add = tf.keras.layers.Add()
```

CausalAttention Class

```
class CrossAttention(BaseAttention):  
    def call(self, x, context):  
        attn_output, attn_scores = self.mha(  
            query=x,  
            key=context,  
            value=context,  
            return_attention_scores=True)  
        self.last_attn_scores = attn_scores  
  
        x = self.add([x, attn_output])  
        x = self.layernorm(x)  
  
        return x
```

attention layer

residual connection
layer normalization



Encoder Block

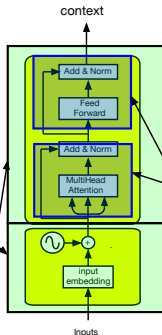
```
class Encoder(tf.keras.layers.Layer):
    def __init__(self, *, num_layers, d_model, num_heads,
                 dff, vocab_size, dropout_rate=0.1):
        super().__init__()

        self.d_model = d_model
        self.num_layers = num_layers

        self.pos_embedding = PositionalEmbedding(
            vocab_size=vocab_size, d_model=d_model)

        self.enc_layers = [
            EncoderLayer(d_model=d_model,
                        num_heads=num_heads,
                        dff=dff,
                        dropout_rate=dropout_rate)
            for _ in range(num_layers)]
        self.dropout = tf.keras.layers.Dropout(dropout_rate)

    def call(self, x):
        # to take the shape (batch, seq_len)
        x = self.pos_embedding(x) # Shape '(batch_size, seq_len, d_model)'
        # Add dropout.
        x = self.dropout(x)
        for l in range(self.num_layers):
            x = self.enc_layers[l](x)
        return x # Shape '(batch_size, seq_len, d_model)'
```



```
class EncoderLayer(tf.keras.layers.Layer):
    def __init__(self, *, d_model, num_heads, dff, dropout_rate=0.1):
        super().__init__()

        self.self_attention = GlobalSelfAttention(
            num_heads=num_heads,
            key_dim=d_model,
            dropout=dropout_rate)

        self.ffn = FeedForward(d_model, dff)

    def call(self, x):
        x = self.self_attention(x)
        x = self.ffn(x)
        return x
```

Decoder Block

```
class DecoderLayer(tf.keras.layers.Layer):
    def __init__(self,
        *,
        d_model,
        num_heads,
        dff,
        dropout_rate=0.1):
        super(DecoderLayer, self).__init__()
```

```
        self.causal_self_attention = CausalSelfAttention(
            num_heads=num_heads,
            key_dim=d_model,
            dropout=dropout_rate)
```

```
        self.cross_attention = CrossAttention(
            num_heads=num_heads,
            key_dim=d_model,
            dropout=dropout_rate)
```

```
        self.ffn = FeedForward(d_model, dff)
```

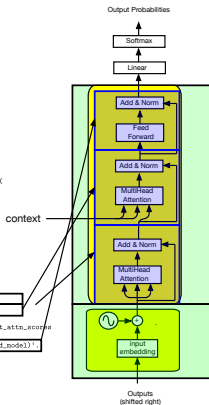
```
    def call(self, x, context):
```

```
        z = self.causal_self_attention(x=x)
```

```
        z = self.cross_attention(x=z, context=context)
```

```
        self.last_attn_scores = self.cross_attention.last_attn_scores
```

```
        z = self.ffn(z) # Shape (batch_size, seq_len, d_model)
        return z
```



```
class Decoder(tf.keras.layers.Layer):
    def __init__(self, *, num_layers, d_model, num_heads, dff, vocab_size,
        dropout_rate=0.1):
        super(Decoder, self).__init__()

        self.d_model = d_model
        self.num_layers = num_layers

        self.pos_embedding = PositionalEmbedding(vocab_size=vocab_size,
            d_model=d_model)
        self.dropout = tf.keras.layers.Dropout(dropout_rate)
        self.dec_layers = [
            DecoderLayer(d_model=d_model, num_heads=num_heads,
                dff=dff, dropout_rate=dropout_rate)
            for _ in range(num_layers)]

        self.last_attn_scores = None

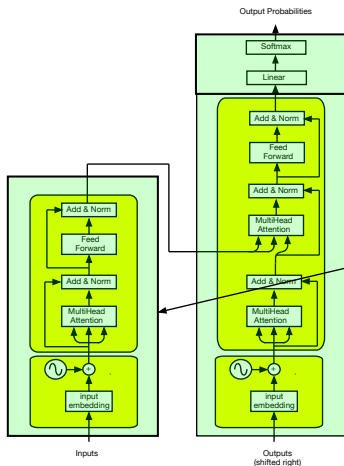
    def call(self, x, context):
        # 'x' is token-ids shape (batch, target_seq_len)
        x = self.pos_embedding(x) # (batch_size, target_seq_len, d_model)
        x = self.dropout(x)

        for i in range(self.num_layers):
            x = self.dec_layers[i](x, context)

        self.last_attn_scores = self.dec_layers[-1].last_attn_scores

        # The shape of x is (batch_size, target_seq_len, d_model).
        return x
```

Transformer Complete



```
class Transformer(tf.keras.Model):
    def __init__(self, *, num_layers, d_model, num_heads, dff,
                  input_vocab_size, target_vocab_size, dropout_rate=0.1):
        super().__init__()
        self.encoder = Encoder(num_layers=num_layers, d_model=d_model,
                               num_heads=num_heads, dff=dff,
                               vocab_size=input_vocab_size,
                               dropout_rate=dropout_rate)

        self.decoder = Decoder(num_layers=num_layers, d_model=d_model,
                               num_heads=num_heads, dff=dff,
                               vocab_size=target_vocab_size,
                               dropout_rate=dropout_rate)

        self.final_layer = tf.keras.layers.Dense(target_vocab_size)

    def call(self, inputs):
        # To use a Keras model with 'fit' you must pass all your inputs in the
        # first argument.
        context, x = inputs

        context = self.encoder(context) # (batch_size, context_len, d_model)
        x = self.decoder(x, context) # (batch_size, target_len, d_model)

        # Final linear layer output
        logits = self.final_layer(x) # (batch_size, target_len, target_vocab_size)

        try:
            # Drop the keras mask, so it doesn't scale the losses/metrics.
            # b/250038731
            del logits._keras_mask
        except AttributeError:
            pass

        # Return the final output and the attention weights.
        return logits
```

Training Phase

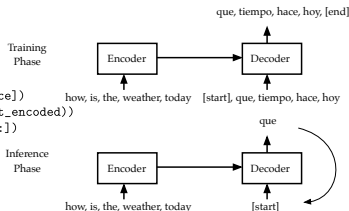
- Instantiate the transformer
- Compile the model using a customized schedule for the learning rate and a special masked loss function
- Fit the model in the usual manner on the ptrain and validation dataset objects.

```
transformer.compile(  
    loss=masked_loss,  
    optimizer=optimizer,  
    metrics=[masked_accuracy])  
  
transformer.fit(ptrain_ds, epochs=20, validation_data = val_ds)
```

```
num_layers = 4  
d_model = 128  
dff = 512  
num_heads = 8  
dropout_rate = 0.1  
  
transformer = Transformer(  
    num_layers=num_layers,  
    d_model=d_model,  
    num_heads=num_heads,  
    dff=dff,  
    input_vocab_size= eng_vocab_size,  
    target_vocab_size=spa_vocab_size,  
    dropout_rate=dropout_rate)  
  
class CustomSchedule(tf.keras.optimizers.schedules.LearningRateSchedule):  
    def __init__(self, d_model, warmup_steps=4000):  
        super().__init__()  
  
        self.d_model = d_model  
        self.d_model = tf.cast(self.d_model, tf.float32)  
  
        self.warmup_steps = warmup_steps  
  
    def __call__(self, step):  
        step = tf.cast(step, dtype=tf.float32)  
        arg1 = tf.math.rsqrt(step)  
        arg2 = step * (self.warmup_steps ** -1.5)  
  
        return tf.math.rsqrt(self.d_model) * tf.math.minimum(arg1, arg2)  
  
learning_rate = CustomSchedule(d_model)  
  
optimizer = tf.keras.optimizers.Adam(learning_rate, beta_1=0.9, beta_2=0.98,  
                                     epsilon=1e-9)  
  
def masked_loss(label, pred):  
    mask = label != 0  
    loss_object = tf.keras.losses.SparseCategoricalCrossentropy(  
        from_logits=True, reduction='none')  
    loss = loss_object(label, pred)  
  
    mask = tf.cast(mask, dtype=loss.dtype)  
    loss *= mask  
  
    loss = tf.reduce_sum(loss)/tf.reduce_sum(mask)
```

Inference Phase

```
def decode_sequence(input):
    input_encoded = eng_vectorization([input])
    decoded_sentence = "[start]"
    for word in range(max_decoded_sentence_length):
        target_encoded = spa_vectorization([decoded_sentence])
        next_token_pred = transformer((input_encoded, target_encoded))
        next_token_indx = np.argmax(next_token_pred[0, i, :])
        next_token = spa_index_lookup(next_token_indx)
        decoded_sentence += " " + next_token
        if next_token == "[end]":
            break
    return decoded_sentence
```



This is a song I learned when I was a kid.

[start] Esta es una cancion que aprendi cuando era chico [end]

#Google Translate: Esta es una canción que aprendí cuando era niño

She can lay the piano.

[start] ella puede tocar piano [end]

#Google Translate: Ella puede tocar el piano.

It may have rained a little last night.

[start] puede que llueve un poco el pasado [end]

#Google Translate: Puede que haya llovido un poco anoche

Evaluation - Metrics

- Traditional accuracy metrics are not good at evaluating the quality of language translation.
- Common metrics used for language translation are
 - BLEU (BiLingual Evaluation Understudy)
 - ROUGE-L (Recall-Oriented Understudy for Gisting Evaluation)
 - METEOR (Metric for Evaluation of Translation with Explicit Ordering)

Heatmap shows correlation of accuracy scores for 3 metrics and a native speaker.



RNN w/o Attention vs Transformer

RNN w/o Attention

- ① (+) Does reasonably well on long sequences
- ② (-) Requires inputs to be ordered
- ③ (-) Can only operate on input samples sequentially
- ④ (-) Cannot be parallelized

Transformer

- ① (+) Excellent performance on long sequences
- ② (+) Does not require ordered inputs if we use Positional Embedding
- ③ (+) Parallel Operation
- ④ (-) Requires a lot of memory