NOTRE DAME Intermittent Communication



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Motivation

- Bursty transmission of codeword symbols
- Sporadically available channel: Insertion channels
- Intermittent transmitter: Energy harvesting systems
- Bursty multi-access communication: Random-access with/without collisions
- ► Receiver's task:
- Detect the positions
- Decode the message

System Model

- ▶ x^n consists of c^k at k arbitrary time slots and is equal to the noise symbol ★ ∈ 𝔅 at the other n - k time slots
- Intermittency rate α := n/k: The larger α is, the more intermittent the system becomes
- ▶ Rate $R := \log M/k$ is achievable if $\mathbb{P}(\hat{m} \neq m) \rightarrow 0$ as $k \rightarrow \infty$





Binary Intermittent Communication

BSC with crossover probability 0 (noiseless) and $\star = 0$: • Uniform insertion: n - k zeroes are inserted uniformly over all $\binom{n}{k}$ possible realizations

$$g(k,n) := \max_{P(x^k)} \mathbb{I}(X^k; Y^n), \quad \phi(k,n) := k - g(k,n)$$

- g(k, n) for finite k and n can be numerically computed by evaluating the transition probabilities $P(Y^n|X^k)$ and using the Blahut algorithm
- ► IID insertion: At each time slot a codeword symbol is sent with probability $p_t := 1/\alpha$ and the insertion symbol 0 is sent with probability $1 p_t$
- At the decoder, there are N symbols, $N \sim$ negative binomial distribution
- Information-stable: $C = \lim_{k \to \infty} g(k, N)/k$ [Dobrushin]

$$\mathbf{N}/\mathbf{k} \xrightarrow{\mathbf{p}} \alpha \text{ as } \mathbf{k} \to \infty$$

Upper Bounds for the IID Insertion Model

• Achievable rate region (R, α)

► Theorem:

Comparison to Asynchronous Communication

Contiguous transmission of the codewords, but starts at a random time unknown to the receiver [Tchamkerten, Chandar, Wornell]
n = e^{αk}, α → Asynchronous exponent
W_{*}(·) := W(·|x = *) the noise distribution of the channel

 $C(\alpha) = \max_{P:D(PW||W_{\star}) \ge \alpha} \mathbb{I}(P, W)$



Decoding from Pattern Detection

► The decoder chooses k of the n output symbols denoted by ỹ^k and the other symbols denoted by ŷ^{n-k}. For each choice there are two stages:

1 2 3



- If $\tilde{y}^k \in T_{PW}$ and $\hat{y}^{n-k} \in T_{W_{\star}}$, then go to the second stage; otherwise, make another choice for the k symbols and repeat the two-stage procedure.
- If $\tilde{y}^k \in T_{[W]_{\mu}}(c^k(m))$ for a unique index *m* and declares it as the message being sent; otherwise, make another choice for the *k* symbols and repeat the two-stage procedure.

 Idea: Providing the decoder and encoder with side-information [Fertonani, Duman]
 Side-information: For consecutive blocks of length s of the output sequence, the number of information symbols → random sequence {Z_i}^T_{i=1} i.i.d. ~ B(s, p_t) S=3, T=6

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Z ₁ =1	Z ₂ =2	Z ₃ =1	Z ₄ =1	Z ₅ =3	Z ₆ =1
g(1,3)	g(2,3)	g(1,3)	g(1,3)	g(3,3)	g(1,3)

• Let C_u be the capacity of this genie-aided system \rightarrow an upper bound for the capacity of the original channel

$$C_{u} = \lim_{k \to \infty} \frac{1}{k} \sum_{i=1}^{l} g(Z_{i}, s) = 1 - \frac{1}{sp_{t}} \sum_{a=0}^{s} {s \choose a} p_{t}^{a} (1 - p_{t})^{s-a} \phi(a, s)$$

• Maximum of s for which we could compute $\phi(a, s)$ is 17



Partial Divergence

• Method of types: If a random sequence X^k is generated i.i.d. according to Q, then

 $\lim_{k\to\infty} -\frac{1}{k} \log \mathbb{P}(X^k \in T_P) = D(P||Q)$

• Lemma: If a random sequence X^k is generated as follows: k_1 symbols are i.i.d. according to Q and k_2 symbols are i.i.d. according to P, where $k_1 + k_2 = k$ and $\rho := k_1/k$, then

$$egin{aligned} &\lim_{k o\infty}-rac{1}{k}\log\mathbb{P}(X^k\in T_P):=d_
ho(P||Q)\ &=D(P||Q)-\sum_{j=0}^{t-1}p_j\log(c^*+rac{p_j}{q_j})+
ho\log c^*+h(
ho). \end{aligned}$$

where c^* is a function of ρ , P, and Q:

$$c^* \sum_{j=0}^{t-1} \frac{p_j q_j}{c^* q_j + p_j} = \rho$$

Achievable Rates

Theorem: Using decoding from pattern detection $R < \max_{P}\{\mathbb{I}(P, W) - f(P, W, \alpha)\}$ is achievable, where

 $f(P, W, \alpha) := \max_{0 \leq \beta \leq 1} \{ (\alpha - 1)h(\beta) + h((\alpha - 1)\beta) - d_{(\alpha - 1)\beta}(PW||W_{\star}) - (\alpha - 1)d_{\beta}(W_{\star}||PW) \}.$

Overhead cost $f(P, W, \alpha)$:

- Increasing $s \rightarrow$ less frequent side-information \rightarrow better upper bound
- The plot suggests that the linear scaling is relevant
- ► The techniques can be used for non-binary and/or noisy i.i.d. insertions

Extension 1: Packet-Level Intermittent Communication



- Small packet intermittent communication: *I* is finite. If *I* = 1: Symbol-level intermittent communication
 Medium packet intermittent communication: *I* = λ log k
- Large packet intermittent communication: $I = k^{\lambda}$, $\lambda \leq 1$. If $\lambda = 1$: Asynchronous communication

Extension 2: Intermittent Multi-Access Communication



• How much we need to back off from the mutual information as the cost of intermittency • $f(P, W, \alpha)$ is increasing in $\alpha \rightarrow$ increasing the intermittency rate decreases the achievable rate



$$\begin{split} & W(y|x_1, x_2), \ W_{\star} := W(y|x_1, x_2 = \star), \ W_{\star} := W(y|x_1 = \star, x_2), \ W_{\star\star} := W(y|x_1 = \star, x_2 = \star) \\ \text{Rate region } (R_1, R_2) \text{ is achievable if } \mathbb{P}((\hat{m}_1, \hat{m}_2) \neq (m_1, m_2)) \to 0 \text{ as } k \to \infty \end{split}$$
 $\bullet \text{Random access without collisions: The output pair } (x_1, x_2) \text{ of the intermittent process } (c_1, \star) \text{ or } (\star, c_2) \\ \text{Theorem: Rates } (R_1, R_2) \text{ satisfying} \\ & R_1 < \mathbb{I}(X_1; Y|x_2 = \star) - f_1(P_1, P_2, W) \\ & R_2 < \mathbb{I}(X_2; Y|x_1 = \star) - f_1(P_1, P_2, W) \\ & \text{are achievable for any } (X_1, X_2) \sim P_1(x_1)P_2(x_2), \text{ where} \\ & f_1(P_1, P_2, W) := \max_{0 \le \beta \le 1} \{2h(\beta) - d_\beta(P_1W_{\star}||P_2W_{\star}) - d_\beta(P_2W_{\star}.||P_1W_{\star})\}. \\ & \text{Input distributions } P_1 \text{ and } P_2: \text{ trade-off between the two terms} \\ \bullet \text{ Random access with collisions: } d \text{ collided symbols at the output of the intermittent process} \\ \bullet \text{ Collisions as deletions: } k - d \text{ of the pair } (c_1, \star), k - d \text{ of the pair } (\star, c_2), \text{ and } d \text{ of the pair } (c_1, c_2) \\ \end{array}$

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