

The Geometry of Planar Pixelations and Shape Recognition

Liviu I. Nicolaescu & Brandom Rowekamp

2012

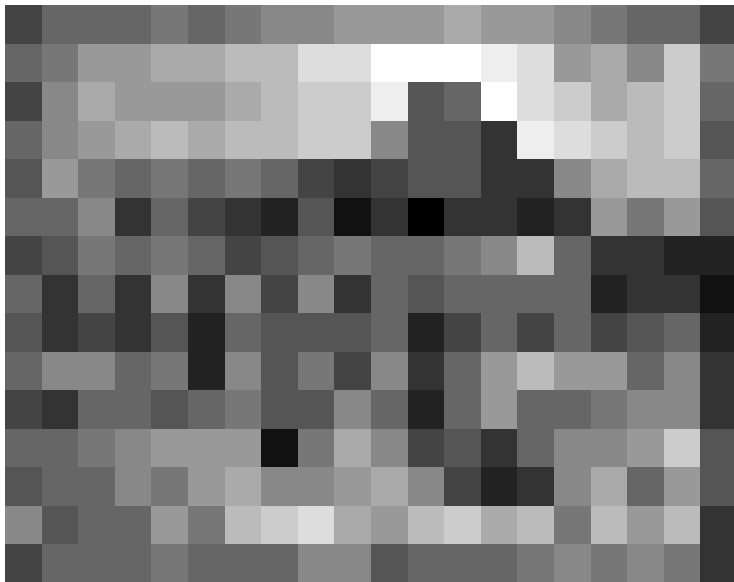
1 Introduction

2 Formulation of the problem

- The main characters
- This is trickier than you might think

3 Perestroika

- Elementary Regions
- A little Morse theory
- The normal cycle
- The convergence theorem









Pixels

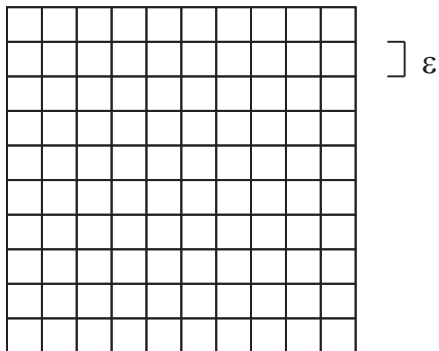
Pixels

Definition

Let $\varepsilon > 0$. An ε -pixel is a square of the form

$$[(m-1)\varepsilon, m\varepsilon] \times [(n-1)\varepsilon, n\varepsilon], \quad m, n \in \mathbb{Z}.$$

The Grid of Pixels



Pixelations

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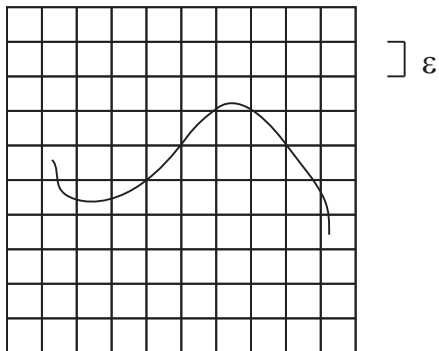
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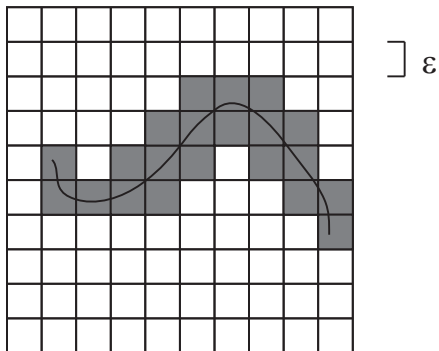
Let $\varepsilon > 0$. An ε -**pixelation** is the union of a finite collection of ε -pixels. .
Suppose that S is a compact subset of \mathbb{R}^2 . The ε -**pixelation** of S , denoted by $P_\varepsilon(S)$, is the union of all the ε -pixels that touch S . The number ε is called the **resolution** of the pixelation.

A pixelation

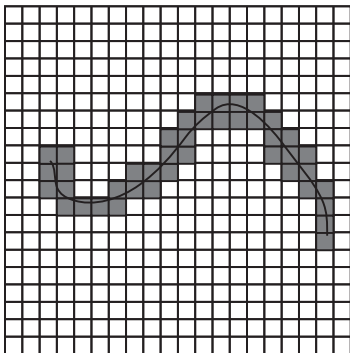
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 - ▶ homotopy type, i.e., Betti numbers.

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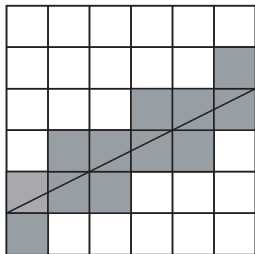
- Things go downhill from here.

Geometric headaches

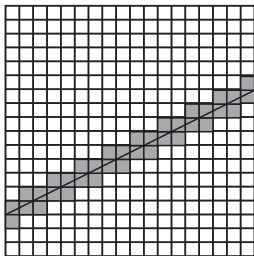
Consider the ε -pixelations of a line segment with an endpoint at the origin.

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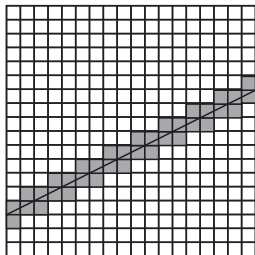
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The total curvature of the boundary of the pixelation goes to ∞ as $\varepsilon \searrow 0$.

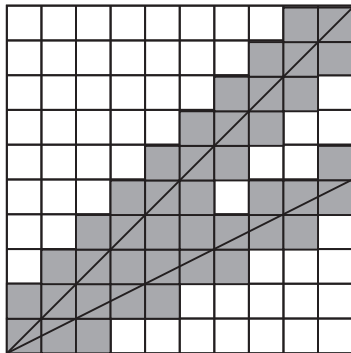
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Very often, $b_1(P_\varepsilon(S)) > b_1(S)$, $\forall \varepsilon > 0$.

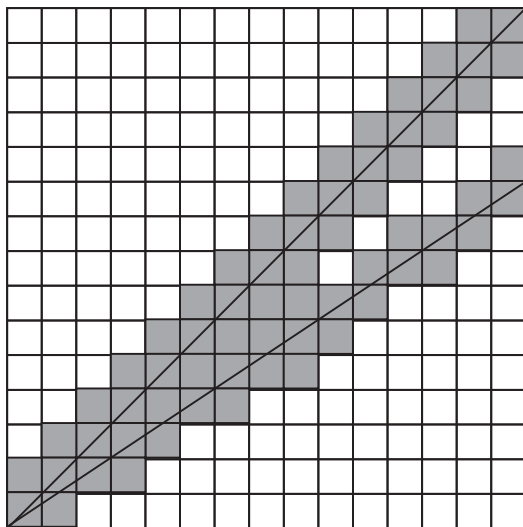
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- More cycles can be found in pixelations of two lines by altering slopes.
- An angle between a line of slope 1 and a line of slope $\frac{n}{n+1}$ will have n cycles appear in each ε -pixelation.

Elementary regions

Definition

A subset $S \subset \mathbb{R}^2$ is said to be **elementary** if it can be defined as

$$S = S(\beta, \tau) := \{ (x, y) : x \in [a, b], \beta(x) \leq y \leq \tau(x) \},$$

where $\beta, \tau : [a, b] \rightarrow \mathbb{R}$ are continuous semialgebraic functions such that $\beta(x) \leq \tau(x), \forall x \in [a, b]$. The function β is called the *bottom* of S while τ is called the *top* of S .

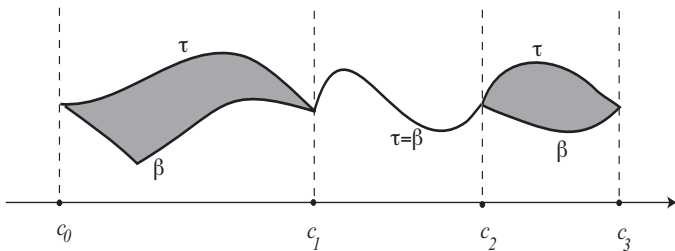


Figure: *An elementary set.*

Columns, stacks and elementary sets

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- **KEY REMARK** Suppose that S is an elementary region and $\varepsilon > 0$. Then each column of $P_\varepsilon(S)$ consists of at most one stack. In particular, $P_\varepsilon(S)$ is homotopic to S , $\forall \varepsilon > 0$.

A pixelation of an elementary set

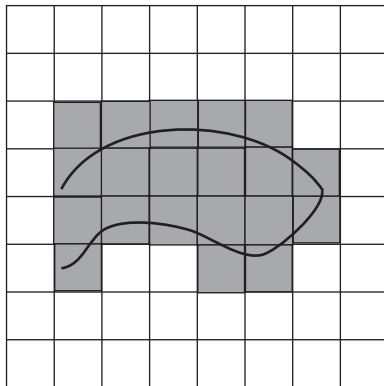


Figure: *The columns of the above pixelation consist of single stacks.*

Approximating the graph of a function

- Let f be a continuous semialgebraic function and denote by $P_\varepsilon(f)$ the ε -pixelation of its graph.

Approximating the graph of a function

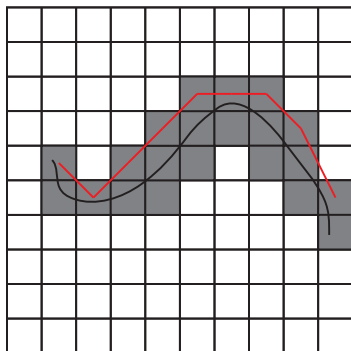
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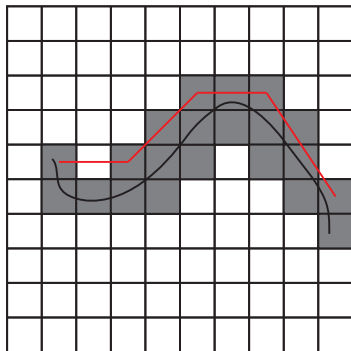
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- Skipping more columns means more possible slopes, but fewer line segments.
- We want to skip a lot of columns, but want many line segments.

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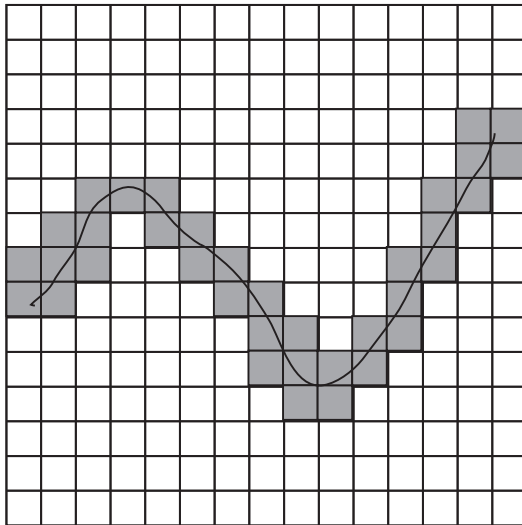
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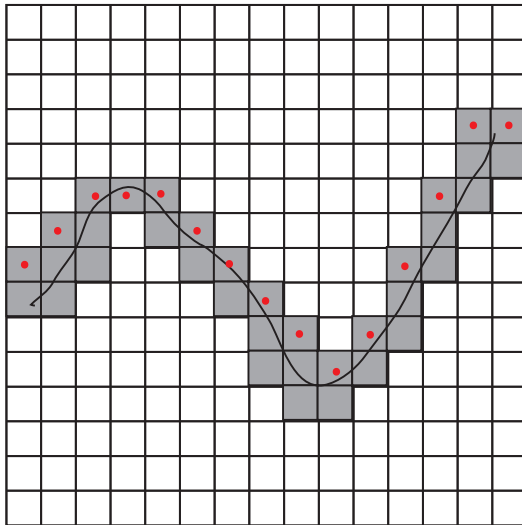
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- Successively connect the selected points by line segments. Denote by $\mathcal{L}_\varepsilon(f)$ the resulting broken line.

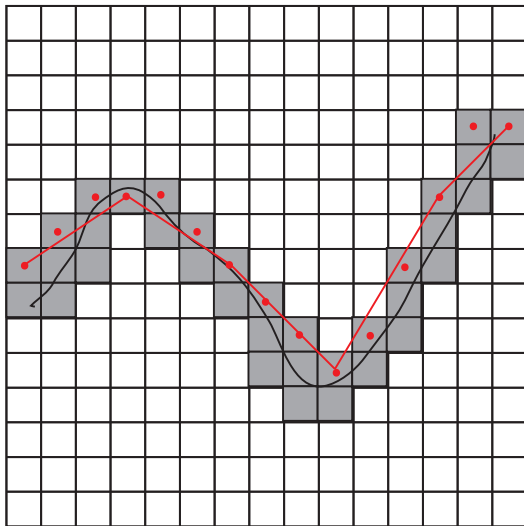
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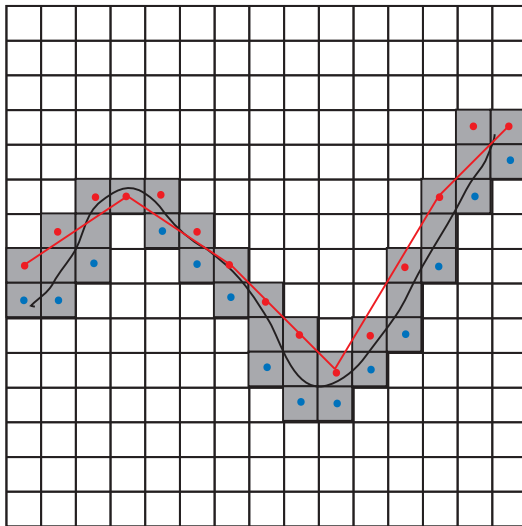
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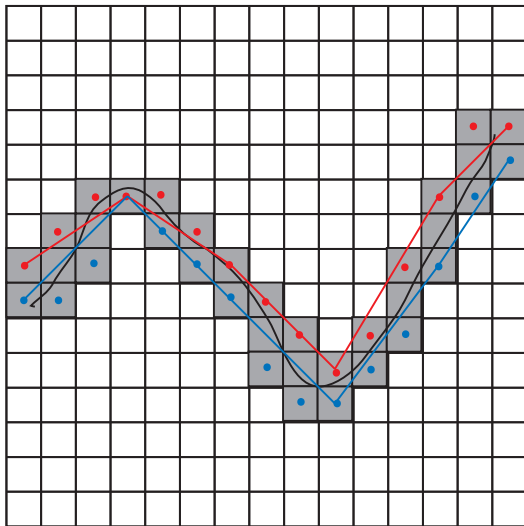
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Theorem (N.& Rowekamp)

Let f be a continuous semialgebraic function with graph Γ_f , and $\sigma : \mathbb{R}_{>0} \rightarrow \mathbb{Z}_{>0}$ be a spread function, i.e.,

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then the total curvature of $\mathcal{L}_\varepsilon(f)$ converges to the total curvature of Γ_f .

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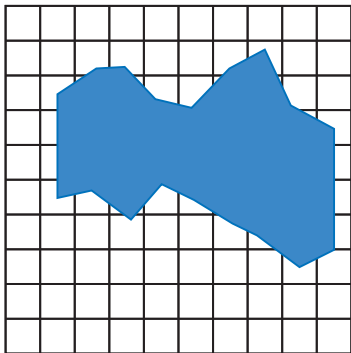
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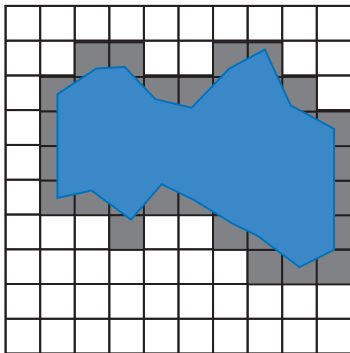
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- We denote by $\mathcal{L}_\varepsilon(S)$ the region between $\mathcal{L}_\varepsilon^-(S)$ and $\mathcal{L}_\varepsilon^+(S)$.

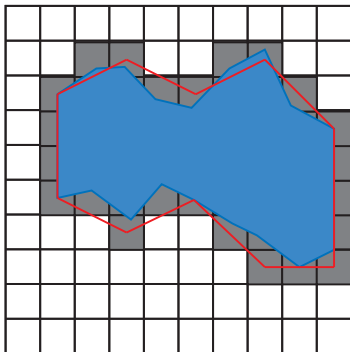
Example of Approximation



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Morse theory to the rescue

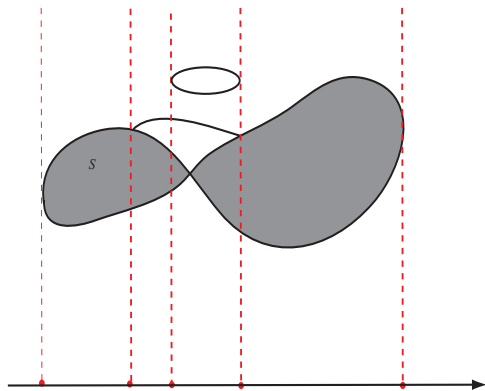


Figure: *A planar semialgebraic set.*

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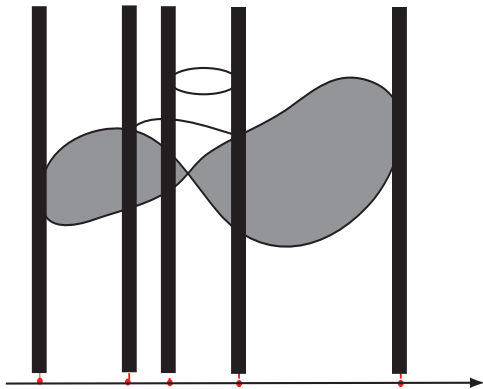


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- We denote by \mathcal{J}_R the set of points of discontinuity of \mathbf{c}_R . We will refer to it as the **jump set** of R .

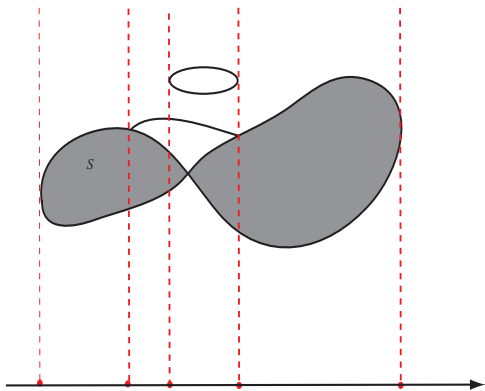


Figure: A planar semialgebraic set. The \bullet 's mark the jump set \mathcal{J}_S .

Locating the jump set

Theorem (N. & Rowekamp)

Suppose that $S \subset \mathbb{R}^2$ is a compact semialgebraic set satisfying the following condition.

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Then there exist $\hbar > 0$, $\nu_0 > 0$ and $\kappa_0 \in (0, 1] \cap \mathbb{Q}$, depending only on S such that for $\varepsilon < \hbar$ we have

$$\text{dist}(\mathcal{J}_S, \mathcal{J}_{P_\varepsilon(S)}) < \nu_0 \varepsilon^{\kappa_0}.$$

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- The condition **(G)** is generic.
- If S itself is PL , then we can choose $\kappa_0 = 1$. In general κ_0 depends on the order of contact of the various branches of S at singular points.
- A spread function $\sigma(\varepsilon)$ is said to be compatible with S if it satisfies

$$\lim_{\varepsilon \searrow 0} \varepsilon^{\frac{1}{2}} \sigma(\varepsilon) = \lim_{\varepsilon \searrow 0} \frac{\varepsilon \sigma(\varepsilon)}{\nu_0 \varepsilon^{\kappa_0}} = \infty.$$

For example if $\alpha := \max(\frac{1}{2}, 1 - \kappa_0)$ then

$$\sigma(\varepsilon) = \left[\varepsilon^{-\frac{1+\alpha}{2}} \right]$$

will do the trick.

The construction of \mathcal{L}_ε

- (a) Let S be a compact semialgebraic set. Fix a spread $\sigma(\varepsilon)$ compatible with S .

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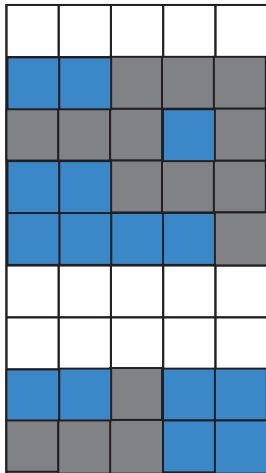
- (c) Each of the components of $P_\varepsilon(S) \setminus \mathcal{N}_\varepsilon$ is the pixelation of an elementary region. Using the $\sigma(\varepsilon)$ -interpolation method outlined earlier, replace each of these components C with the *PL*-set $\mathcal{L}_\varepsilon(C)$.

Approximating Noise

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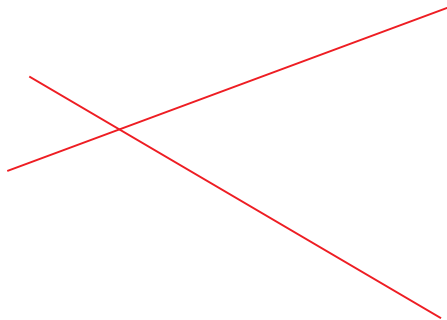
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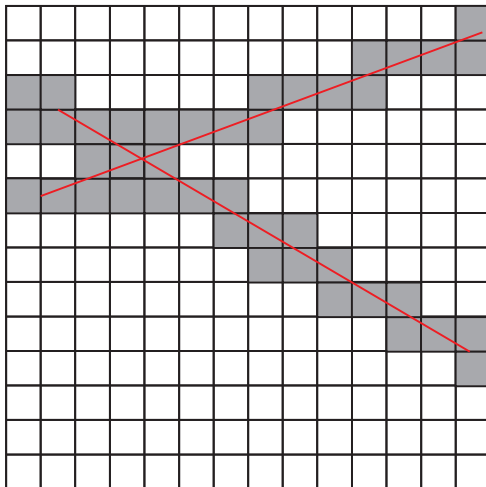
The PL -set $\mathcal{L}_\varepsilon(S)$

Define $\mathcal{L}_\varepsilon(S)$ as the union of the PL -sets constructed at (c) and (d) above.

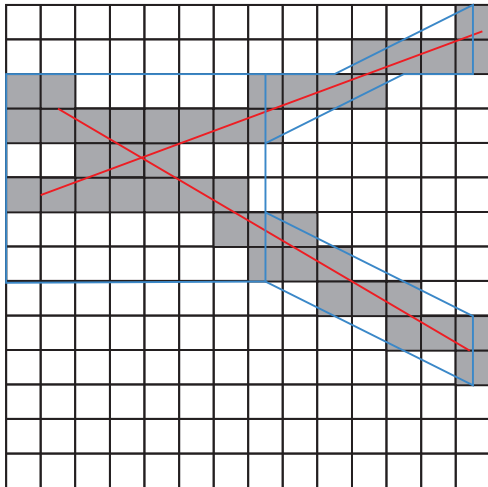
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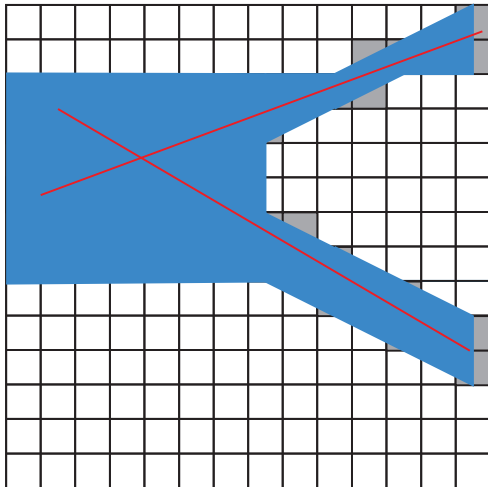
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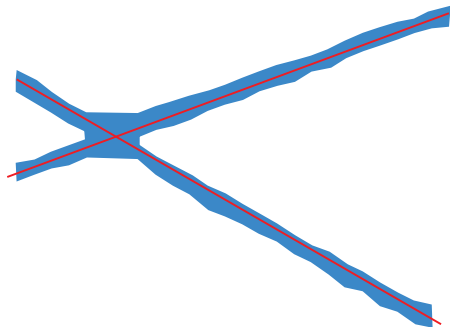
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The normal cycle of a planar semialgebraic set

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- The correspondence $S \mapsto \mathbf{N}^S$ is **injective!** Its inverse was explicitly described by Kashiwara-Schapira using the concept of local Euler obstruction. They also gave the first general construction of \mathbf{N}^S using micro-local theory of sheaves.

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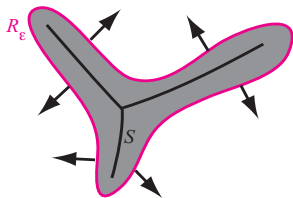


Figure: *An ε -tube around a semialgebraic set S .*

The construction of the normal cycle

Consider the Gauss map $\mathbf{n}_\varepsilon : \partial R_\varepsilon \rightarrow S^1$ that associates to a point on the boundary its unit outer normal. Its graph

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Theorem (J. Fu)

As $\varepsilon \searrow 0$ the current $[\Gamma_{f,\varepsilon}]$ converges in the sense of currents to a current $[\Gamma_f]$. This limit is independent of the choice of function f and it is, by definition, the normal cycle of S .

The main approximation result

Theorem (N.& Rowekamp)

Suppose that $S \subset \mathbb{R}^2$ is a compact semialgebraic set. For $\varepsilon > 0$ denote by $\mathcal{L}_\varepsilon(S)$ the PL-set obtained from the pixelation $P_\varepsilon(S)$ by the algorithm outlined earlier. Then the normal cycle $\mathbf{N}^{\mathcal{L}_\varepsilon(S)}$ converges to the normal cycle \mathbf{N}^S as $\varepsilon \searrow 0$.

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$$\lim_{n \rightarrow \infty} \chi(H \cap S_n) = \chi(S),$$

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Then $\mathbf{N}^{S_n} \rightarrow \mathbf{N}^S$ as $n \rightarrow \infty$.

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- In our case the mass condition (b) is guaranteed by the fact that the perimeters and total curvatures of $\mathcal{L}_\varepsilon(S)$ are $O(1)$ as $\varepsilon \searrow 0$.

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- The tricky part is proving the condition (c) on convergence of Euler characteristics.

