## Problem Set VII - The Midterm Math 609

Answer the following questions as clearly and completely as you can. In solving a given exercise, you are free to assume (and encouraged to cite) any of the previous exercises.

- 1. Let  $\mathcal{L} = (E)$  be a first-order language where E is a binary relation symbol. What is an  $\mathcal{L}$ -structure?
- **2.** Let  $\mathcal{L} = (E)$  be as above and let  $\mathcal{A} = (A, E^{\mathcal{A}})$  and  $\mathcal{B} = (B, E^{\mathcal{B}})$  be  $\mathcal{L}$ -structures. What is an  $\mathcal{L}$ -homomorphism  $\eta : \mathcal{A} \to \mathcal{B}$ ?
- **3.** Express the axioms for an equivalence relation in the language  $\mathcal{L} = (E)$ . Denote the theory of an equivalence relation, that is, the set of consequences of these axioms, by  $T_{eq}$ .
- **4.** Calculate  $I(T_{eq}, n)$  for n = 1, 2, 3 and 4.
- 5. Let  $\mathcal{A} = (A, E^{\mathcal{A}})$  be an  $\mathcal{L}$ -structure such that  $\mathcal{A} \models T_{eq}$ . For  $a \in A$ , denote the equivalence class of a modulo  $E^{\mathcal{A}}$  by  $a/E^{\mathcal{A}}$  and denote by  $A/E^{\mathcal{A}}$  the set of equivalence classes of A modulo  $E^{\mathcal{A}}$ . Prove that if  $\mathcal{B} = (B, E^{\mathcal{B}}) \models T_{eq}$ , then an  $\mathcal{L}$ -homomorphism  $\eta : \mathcal{A} \to \mathcal{B}$  induces a function  $\eta/E : A/E^{\mathcal{A}} \to B/E^{\mathcal{B}}$  in the natural way.
- 6. Prove that an  $\mathcal{L}$ -homomorphism  $\eta : \mathcal{A} \to \mathcal{B}$  is an embedding if and only if both  $\eta$  and  $\eta/E$  are injective.
- 7. Let n be a natural number and  $\mathcal{A} \models T_{eq}$ . Exhibit a formula  $P_n(x)$  that defines in  $\mathcal{A}$  the set of all  $a \in A$  such that  $|a/E^{\mathcal{A}}| = n$ .

## Assume from now on that $T \supseteq T_{eq}$ is a complete theory.

- 8. Show that if  $T \models \exists x P_n(x)$ , then the formula  $P_n(x)$  isolates a type in  $S_1(T)$ , that is, the basic open subset  $[P_n(x)]$  of  $S_1(T)$  is singleton.
- **9.** Consider the 1-type  $p_{\infty}(x) := \{\neg P_n(x) : n < \omega\}$ . Prove that if  $p_{\infty}$  is *T*-consistent, then it determines a unique point of  $S_1(T)$ .
- 10. Suppose that  $p_{\infty}$  is *T*-consistent. Prove that  $p_{\infty}$  is isolated in  $S_1(T)$  if and only if there are only finitely many natural numbers *n* for which  $T \models \exists x P_n(x)$ .
- 11. Let  $\mathcal{A} = (A, E^{\mathcal{A}})$  and  $\mathcal{B} = (B, E^{\mathcal{B}})$  be *countable*  $\mathcal{L}$ -structures such that  $\mathcal{A}, \mathcal{B} \models T_{eq}$ . Show that  $\mathcal{A}$  and  $\mathcal{B}$  are isomorphic if and only if for every natural number n,  $|P_n(\mathcal{A})/E^{\mathcal{A}}| = |P_n(\mathcal{B})/E^{\mathcal{B}}|$  and also  $|p_{\infty}(\mathcal{A})/E^{\mathcal{A}}| = |p_{\infty}(\mathcal{B})/E^{\mathcal{B}}|$ .
- 12. Prove that T is  $\aleph_0$ -categorical if and only if it has an infinite model and the Stone space  $S_1(T)$  is finite.
- **13.** Prove that T is  $\aleph_1$ -categorical if and only if the following three conditions are satisfied:
  - a)  $S_1(T)$  is finite.
  - **b)** The definable set  $p(\mathcal{A})$  is infinite for exactly one of the 1-types  $p \in S_1(T)$ .
  - c)  $|p_{\infty}(\mathcal{A})/E^{\mathcal{A}}| \leq 1.$