#### **Recent Advances in Positive Systems:The Servomechanism Problem**

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#### **Bartek Roszak and Edward J. Davison**



#### **Systems Control Group, University of Toronto**

- Motivation why positive systems?
- Introduction and background to positive LTI  $\bullet$ systems
- **SISO results and examples**
- **C** MIMO results and examples
- **Extensions and future development**
- References $\bullet$

### **Motivation - Why Positive Systems:**



## **Motivation - IntravenousMaintenance of Anesthesia:**



## **Motivation - Water Tanks:**



- Motivation why positive systems?  $\checkmark$  $\bullet$
- Introduction and background to positive LTI  $\bullet$ systems
	- **Positive LTI System Definition**
	- "Almost" Positive LTI Systems
	- System of Interest

**Definition 1** <sup>A</sup> linear system

$$
\begin{array}{rcl}\n\dot{x} & = & Ax + Bu \\
y & = & Cx + Du\n\end{array} \tag{1}
$$

where  $A\in\mathbb{R}^{n\times n}$ noinnr ,  $B\in\mathbb{R}^{n\times m}$  ,  $C\in\mathbb{R}^{r}$ . . . . . .  $^{\times n}$ , and  $D\in\mathbb{R}^{r}$ *זו* m מ  $\times m$ is considered to be a positive linear system *if for ev-*<br>ery nonnegative initial state and for every nonnegative ery nonnegative initial state and for every nonnegative input the state of the system and the output remainnonnegative.

<span id="page-6-1"></span><span id="page-6-0"></span>

It turns out that Defini[tio](#page-6-0)n <sup>1</sup> has <sup>a</sup> very nice interpretation i nterms of the matrix quadruple  $(A, B, C, D).$ 

**Theor[em](#page-6-1) 1** A linear system (1) is positive if and only if the matrix A is a Matrice matrix, and B, C, and B, are the matrix A is a Metzler matrix, and B, C, and D are<br>ponneqative matrices nonnegative matrices.

A matrix A is Metzler if all the off-diagonal terms are<br>nannosative nonnegative.



An arbitrary linear system is considered to be analmost-state (output) positive linear system with respect to  $\mathbf{a}^{n(r)}$  $x_0$  if for any given  $\delta = (\delta_1, \delta_2, ..., \delta_{n(r)}) \in \mathbb{R}_+^{n(r)} \setminus \{0\}$  there exists a  $u_\delta$  such that the state  $x$  (output  $y$ ) of the system satisfies



- Motivation why positive systems?  $\checkmark$
- Introduction and background to positive LTI  $\bullet$ systems  $\checkmark$
- SISO results and examples $\bullet$ 
	- Servomechanism problem for SISO positiveLTI systems

## **System of Interest:**

SISO system

$$
\dot{x} = Ax + bu + e_{\omega}\omega
$$
  
\n
$$
y = cx + du + f\omega
$$
  
\n
$$
e := y - y_{ref}
$$
\n(2)

<span id="page-10-0"></span> $u\in\mathbb{R}^m$  is the input,  $x\in\mathbb{R}^n_+$ output to be regulated,  $\omega\in\mathbb{R}^{\Omega}$  are the disturbances,  $+$  $\frac{n}{+}$  is the state,  $y\in\mathbb{R}_+$  $_+$  is the  $y_{ref} \in \mathbb{R}_+$  is the tracking sigr in the system. Matrix  $A$  is stable Metzler, and<br>matrices  $k$  and the and data perpenditive  $i_{+}$  is the tracking signal and  $e \in \mathbb{R}^{r}$  is the error matrices  $b$ ,  $c$ ,  $e_{\omega}\omega$ ,  $f\omega$ , and  $d$  are nonnegative.

**Assumption 1** Gi[ve](#page-10-0)n (2) assume that

 $rank(d - cA^{-1}b) = 1$ 

and for all tracking and disturbance signals in question, it's assumed that the steady-state of the system(2) given by

 $\sqrt{ }$  $\begin{array}{c} \end{array}$  $x_{ss}$  $u_{ss}$  <sup>=</sup> <sup>−</sup> <sup>A</sup> <sup>b</sup> <sup>c</sup> <sup>d</sup> −<sup>1</sup> <sup>e</sup><sup>ω</sup> <sup>0</sup> <sup>f</sup> <sup>−</sup><sup>1</sup> ωyref (3)

<span id="page-11-0"></span>and has the property that  $u_{ss} \in \mathbb{R}_+$  .

# **Servomechanism problem for SISOpositive LTI systems:**

**Problem:** Consider the pl[a](#page-10-0)nt (2), with initial condition  $x_0\in\mathbb{R}_+^n$ under Assum[ptio](#page-11-0)n 1. Find a nonnegative controller  $u$  that  $+$  ,

- (a) guarantees closed loop <mark>stabilit</mark>y;
- (b)  $\,$  ensures the p[la](#page-10-0)nt (2) is nonnegative, i.e. the states  $x$  and the output  $y$  are nonnegative for all time; and
- (c)  $\,$  ensures tracking of the reference signals, i.e.  $\,e=y\!-\!y_{ref} \rightarrow$  $0$ , as  $t\rightarrow\infty$ ,  $\forall y_{ref}\in Y_{ref}$  and  $\forall\omega\in\Omega.$  In addition,
- (d) assume that <sup>a</sup> controller has been found so that conditions(a), (b), (c) are satisfied; then for all perturbations of thenominal plant modal which maintain properties (a) and (b), it is desired that the controller can still achieve asymptotictracking and regulation, i.e. property (c) still holds.

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- (c)  $\,$  ensures tracking of the reference signals, i.e.  $\,e=y\!-\!y_{ref} \rightarrow$  $0$ , as  $t\rightarrow\infty$ ,  $\forall y_{ref}\in Y_{ref}$  and  $\forall\omega\in\Omega.$  In addition,
- (d) the controller is robust.

# **Servomechanism problem for"almost" positive LTI systems:**

#### **Remark 1**

In the sequel when almost-state and almost-output positivity will be considered, then in the previous problem the words state and output should be replaced by almost-state and almost-output, respectively. Additionally, the constraint of nonnegativity on theinput will be lifted, i.e. the input can be bidirectional.

We call this problem the servomechanism problem for "almost" positive LTI systems.

$$
\dot{\eta} = \epsilon (y_{ref} - y), \quad \eta_0 = 0
$$
\n
$$
u = k\eta
$$
\n(4)

where

$$
k = \begin{cases} 0 & \eta \leq 0 \\ 1 & \eta > 0 \end{cases}
$$

and  $\eta_0$  $\epsilon_0 = 0$  and  $\epsilon \in (0, \epsilon^*)$  $^{\ast}\big],\,\epsilon^{\ast}$  $^{\ast}\in\mathbb{R}_{+}$  $+\setminus\{0\}.$ 

## **Clamping Tuning Regulator:**



$$
\dot{\eta} = \epsilon (y_{ref} - y), \ \eta_0 = 0
$$
  

$$
u = k\eta
$$

## **Key Assumptions: .**

**Finding**  $rank(d - cA^{-1}b) = 1$ 

**Algorithm 1** It is assumed that the output of the system is measurable and the input is excitable the disturbance set to zero, i.e.  $\omega=0$ .

- 1. Apply an input  $u=\overline{u}$  [to](#page-10-0) (2), with  $\overline{u}$  having a nonzero steady-state value.
- 2. Measure the corresponding steady-state value of the output  $y=\overline{y}$ .
- <span id="page-17-0"></span>3. If  $\overline{y}\neq 0$ , then the existence condition holds true.

# **Key Assumptions:Remark on**  $u_{ss}$

$$
\dot{x} = 0 = Ax_{ss} + bu_{ss} + e_{\omega}\omega
$$

$$
\dot{\eta} = \epsilon (y_{ref} - y) = 0 = cx_{ss} + du_{ss} + f\omega - y_{ref}
$$

#### Isolating for  $x_{ss}$  we get:

$$
x_{ss} = -A^{-1}bu_{ss} - A^{-1}e_{\omega}\omega.
$$

By substituting  $x_{ss}$  and isolating for  $u_{ss}$  we obtain:

$$
u_{ss} = \frac{cA^{-1}e_{\omega}\omega - f\omega + y_{ref}}{d - cA^{-1}b}
$$

.

# **Key Assumptions:Remark on**  $u_{ss}$

$$
\dot{x} = 0 = Ax_{ss} + bu_{ss} + e_{\omega}\omega
$$

$$
\dot{\eta} = \epsilon(y_{ref} - y) = 0 = cx_{ss} + du_{ss} + f\omega - y_{ref}
$$
Isolating for  $x_{ss}$  we get:
$$
x_{ss} = -A^{-1}bu_{ss} - A^{-1}e_{\omega}\omega.
$$
Therefore:

 $cA^-$ 1 $^{\text{-}}e_{\omega}\omega-\text{ }$  $-f\omega+y_{ref}\geq 0.$ 

**Theorem 2** Consider syst[em](#page-10-0) (2) under the clamping tuning regulator. Further assume that

- $rank(d cA^{-1}b) = 1$
- $x_0 \in \mathbb{R}^n_+$
- $u_{ss} > 0$  .

Then there exists an  $\epsilon^*$  such that for all  $\epsilon \in (0,\epsilon^*]$  the clamping tuning regulator solves the servomechanismproblem.

## **Algorithm: .**

#### **Algorithm 2**

- 1. Check the existence condition  $rank(d-cA^{-1}b)=1$  by Algor[ith](#page-17-0)m 1.
	- (a) If Algor[ith](#page-17-0)m 1 returns  $\overline{y}=0,$  then there does not exist a solution to the servomechanism problem.
	- (b) Otherwise, go to Step 2.
- 2. Apply the <mark>clamping regulator to the unknown plant</mark>.
	- (a) If the clamping controller remains at zero for  $t\in[t_+,\infty)$ , where  $t_+ \,\geq\, 0$ , and no tracking/regulation occurs, then the servomechanism problem is not solvable under anycontrol law.
	- (b) Otherwise, the clamping regulator solves the servomechanism problem.

## **Intravenous Anesthetic**





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## **Water Tanks: .**



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$$
\dot{x} = \begin{bmatrix} -0.8 & 0 & 0 & 0 & 2 & 0 \\ 0 & -0.7 & 0 & 0 & 0 & 0 \\ 0.8 & 0.7 & -0.5 & 0 & 0 & 0 \\ 0 & 0 & 0.15 & -1 & 0 & 0 \\ 0 & 0 & 0 & 1 & -2 & 0 \\ 0 & 0 & 0.35 & 0 & 0 & -0.8 \end{bmatrix} x + \begin{bmatrix} 0.5 \\ 0.5 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} u + \begin{bmatrix} 0.5 \\ 0.5 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix} \omega
$$

Also, assume the output  $y$  is of the form

$$
y = \left[ \begin{array}{cccc} 0 & 0 & 0 & 0 & 0 & 1 \end{array} \right] x
$$

\*  $\epsilon = 0.5$ .

#### **Simulation:.**



## **Tuning Regulator: "Almost"positivity**

What about "almost" positivity?

$$
\dot{\eta} = y - y_{ref} \n u = -\epsilon \eta
$$
\n(5)

where  $\eta_0$  $\epsilon_0 = 0$  and  $\epsilon \in (0, \epsilon^*)$  $^{\ast}\big],\,\epsilon^{\ast}$  $^{\ast}\in\mathbb{R}_{+}$  $_+ \setminus \{0\}.$ 

<span id="page-29-0"></span>

# **Servomechanism for"Almost" positivity**

The controller:

$$
\dot{\eta} = y - y_{ref} \n u = -\epsilon \eta
$$
\n(6)

where  $\eta_0$  $\epsilon_0=0$  and  $\epsilon\in(0,\epsilon^*$  assumption that  $^{\ast}\big],\,\epsilon^{\ast}$  $^*\in\mathbb{R}_+$  $_+ \setminus \{0\}$ , under the

 $rank(d-\,$  $-cA^{-}$ 1 $b)=1,\; x_{ss}\in\mathbb{R}^n_+$  $+$  $\frac{n}{4}$  and  $x_0 \in \mathbb{R}^n_+$  $+$ 

solves Problem 1 under Remark 1, i.e. the servomechanism problem for "almost" positivity canbe attained un[de](#page-29-0)r (5).

Consider the same problem under the controller:

$$
\dot{\eta} = y - y_{ref}, \quad \eta_0 = 0
$$
  

$$
u = \max\{[K_x \; K_\eta] \begin{bmatrix} x \\ \eta \end{bmatrix}, 0\}
$$

where  $K_x\in\mathbb{R}^1$  cheap control problem: × $\frac{n}{K}$  and  $K_{\eta}\in\mathbb{R}$  are found by solving the

$$
\int_0^\infty \epsilon^2 e^T e + \dot{u}^T \dot{u} d\tau \tag{7}
$$

### where  $\epsilon > 0$

Consider the same problem under the controller:

$$
\dot{\eta} = y - y_{ref}, \quad \eta_0 = 0
$$
  

$$
u = \max\{[K_x \; K_\eta] \begin{bmatrix} x \\ \eta \end{bmatrix}, 0\}
$$

for the system:

$$
\begin{bmatrix} \ddot{x} \\ \dot{e} \end{bmatrix} = \begin{bmatrix} A & 0 \\ c & 0 \end{bmatrix} \begin{bmatrix} \dot{x} \\ e \end{bmatrix} + \begin{bmatrix} b \\ d \end{bmatrix} \dot{u}
$$

$$
e = \begin{bmatrix} 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ e \end{bmatrix}
$$



## **Optimal Approach: LQcR control**

We cannot blindly use the standard LTI approach! E.g.



### **Experimental results: LQcR control**



## **Experimental results: LQcR control**



- Motivation why positive systems?  $\checkmark$
- Introduction and background to positive LTI  $\bullet$ systems  $\checkmark$
- SISO results and examples  $\checkmark$
- MIMO results and examples

## **System of Interest:**

#### MIMO case

$$
\begin{array}{rcl}\n\dot{x} & = & Ax + Bu + E\omega \\
y & = & Cx + Du + F\omega \\
e & := & y_{ref} - y\n\end{array} \tag{8}
$$

<span id="page-38-0"></span> $u\in\mathbb{R}^m$  is the input,  $x\in\mathbb{R}^n_+$ output to be regulated,  $\omega\in\mathbb{R}_+^\Omega$  are the distur  $+$  $\frac{n}{+}$  is the state,  $y\in\mathbb{R}_+$  $_+$  is the  $y_{ref} \in \mathbb{R}_+$  is the tracking sigr  $+$  $\frac{\Omega}{\mu}$  are the disturbances, in the system. Matrix  $A$  is stable Metzler, and<br>iriese  $B$   $C$   $D$   $E$   $E$  are perpeactive with  $m$  $i_+$  is the tracking signal and  $e \in \mathbb{R}^r$  is the error matrices  $B, \, C, \, D, \, E, \, F$  are nonnegative with  $m=r.$ 

Find a controller  $u\in\mathbb{R}^m_+$  $y_{ref} \in \mathbb{R}_+^r$  and for all c  $\mathrm{+}$  $\frac{m}{+}$  for all reference tracking signals  $^{+}$  $\frac{r}{+}$  and for all disturbance signals  $\omega\in\mathbb{R}_+^\Omega$  $^{+}$  $A^{\Omega}_{+}$  such that

- (a) closed loop <mark>stability</mark> is maintained;
- (b)  $\,$  nonnegativity of states  $x$  and outputs  $y$  occurs for all time;  $\,$
- (c) tracking of reference signals occurs, i.e.  $e=y_{ref}-y\rightarrow0,$ as  $t\rightarrow\infty$ ,  $\forall y_{ref}\in\mathbb{R}^{r}_{+}$  $^{+}$  $T_+^r$  and  $\forall \omega \in \mathbb{R}_+^\Omega$  $+ \, \cdot$
- (d) assume that an LTI controller has been found so that condi tions (a), (b), (c) are satisfied; then for all perturbations of thenominal plant modal which maintain properties (a) and (b), it is desired that the controller can still achieve asymptotictracking and regulation, i.e. the controller is robust.

**Theorem:** There does not exist <sup>a</sup> solution to theproblem of interest for almost all positive syste[m](#page-38-0)s (8).

Reason:

$$
u_{ss} = K_r y_{ref} + K_d \omega
$$
  
=  $(D - CA^{-1}B)^{-1} y_{ref}$   
 $- (D - CA^{-1}B)^{-1} (F - CA^{-1}E) \omega$   
 $\geq 0$ 

**Assumption 2** Gi[ve](#page-38-0)n (8) assume that

 $rank(D - CA^{-1}B) = r$ 

and for all tracking and disturbance signals in question, it's assumed that the steady-state of the system(8) is given by

$$
\begin{bmatrix} x_{ss} \\ u_{ss} \end{bmatrix} = - \begin{bmatrix} A & B \\ C & D \end{bmatrix}^{-1} \begin{bmatrix} E & 0 \\ F & -I \end{bmatrix} \begin{bmatrix} \omega \\ y_{ref} \end{bmatrix}
$$

and has the property that  $u_{ss}=K_ry_{ref}+K_d\omega\in\mathbb{R}^m_+.$ 

# **Key Assumptions:**Finding  $K_r$

- 1. Apply an inpu[t](#page-38-0) vector  $u=[0\; ...\; 0\; \overline{u}_i\; 0\; ...\; 0]^T$  to (8),  $\forall i=1,...,m.$
- 2. Measure the corresponding steady-state value of the output vectors  $y=\overline{y}_i\in \mathbb{R}^r$ ,  $\forall i=1,...,m.$
- 3. Solve the equation:

$$
K_1\begin{bmatrix} \overline{u}_1 & 0 & \dots & 0 \\ 0 & \overline{u}_2 & \dots & 0 \\ & & \ddots & \\ 0 & 0 & \dots & \overline{u}_m \end{bmatrix} = \begin{bmatrix} \overline{y}_1^1 & \overline{y}_2^1 & \dots & \overline{y}_m^1 \\ \overline{y}_1^2 & \overline{y}_2^2 & \dots & \overline{y}_m^2 \\ & & \ddots & \\ \overline{y}_1^r & \overline{y}_2^r & \dots & \overline{y}_m^r \end{bmatrix}
$$

University of Toronto **for**  $K_1 = (D - CA^{-1}B)$ . Note  $K_r = K_1^{-1}$  .  $\qquad \qquad \text{43}$ 

# **Measurable Disturbances:**Finding  $K_d$

- 1. Apply a disturbance vector  $\omega = [0\; ... \; 0\; \overline{\omega}_i \; 0 \; ... \; 0]^T$ [t](#page-38-0)o (8),  $\forall i=1,...,\tilde{\Omega}.$
- 2. Measure the corresponding steady-state value of the output vectors  $y=\overline{y}_i\in\mathbb{R}^r$  $^r$ ,  $\forall i=1,...,\tilde{\Omega}.$
- 3. Solve the equation:

$$
K_2\begin{bmatrix}\n\overline{\omega}_1 & 0 & \dots & 0 \\
0 & \overline{\omega}_2 & \dots & 0 \\
& & \ddots & \vdots \\
0 & 0 & \dots & \overline{\omega}_{\tilde{\Omega}}\n\end{bmatrix} = \begin{bmatrix}\n\overline{y}_1^1 & \overline{y}_2^1 & \dots & \overline{y}_{\tilde{\Omega}}^1 \\
\overline{y}_1^2 & \overline{y}_2^2 & \dots & \overline{y}_{\tilde{\Omega}}^2 \\
& & \ddots & \vdots \\
\overline{y}_1^r & \overline{y}_2^r & \dots & \overline{y}_{\tilde{\Omega}}^r\n\end{bmatrix}
$$
\nfor  $K_2 = (F - CA^{-1}E)$  Note:  $K_d = -K_rK_2$ 

## **Tuning Regulators andFeedforward control:**

Tuning Regulator:

$$
\dot{\eta} = \epsilon (y_{ref} - y) \n u_{tr} = (D - CA^{-1}B)^{-1} \eta
$$
\n(9)

where 
$$
\epsilon \in (0, \epsilon^*]
$$
,  $\epsilon^* \in \mathbb{R}_+ \setminus \{0\}$ .

Feedforward Control:

 $u = (D - CA^{-})$ 1 $(B)^-$ 1 $^{-}y_{ref} (D{-}CA^{-}$ 1 $(B)^-$ 1 $^{1}(F{-}CA^{-}% )^{2}=(\sigma^{A}+\sigma^{A})^{2}$ 1 $^1E) \omega$ 

# **Tuning Regulators and Feedforward**

**control:**



**New Problem:** Obtain the largest subclass of tracking signals  $y_{ref}\in Y_{ref}\subset \mathbb{R}^r_+$  the original Problem of Interest is satisfied.  $\mathrm{+}$  $\frac{r}{+}$  and disturbance signals  $\omega\in\Omega\subset\mathbb{R}_+^\Omega$  $\pm$  $\frac{\Omega}{4}$  such that

**Theorem:**The original problem is solvable if and only if

$$
(y_{ref}, \omega) \in Y_{ref} \times \Omega := \{ (\overline{y}_{ref}, \overline{\omega}) \in \mathbb{R}_+^r \times \mathbb{R}_+^{\Omega} \mid
$$
  

$$
K_r \overline{y}_{ref} > -K_d \overline{\omega} \text{ component-wise} \}.
$$
 (10)

Moreover, it suffices to use the feedforward compensator andthe tuning regulator control as the control input  $u,$  i.e.

$$
u = u_{ff} + u_{tr}.
$$

## **Water Tanks:**



#### **Water Tanks:**

$$
\dot{x} = \begin{bmatrix} -0.8 & 0 & 0 & 0 & 2 & 0 \\ 0 & -0.7 & 0 & 0 & 0 & 0 \\ 0.8 & 0.7 & -0.5 & 0 & 0 & 0 \\ 0 & 0 & 0.15 & -1 & 0 & 0 \\ 0 & 0 & 0 & 1 & -2 & 0 \\ 0 & 0 & 0.35 & 0 & 0 & -0.8 \end{bmatrix} x + \begin{bmatrix} 0.5 & 0 \\ 0.5 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 1 \end{bmatrix} u + \begin{bmatrix} 0.5 \\ 0.5 \\ 0 \\ 0 \\ 0 \end{bmatrix} \omega
$$

Also, assume the output  $y$  is of the form

$$
y = \left[ \begin{array}{cccc} 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 1 \end{array} \right] x
$$

\*  $\epsilon = 0.1$ .

## **Simulation:**



## **Simulation:**



### **Extensions and future development:**

- Motivation why positive systems?  $\checkmark$
- Introduction and background to positive LTI  $\bullet$ systems  $\checkmark$
- SISO results and examples  $\checkmark$
- MIMO results and examples  $\checkmark$
- Extensions and future development

### **Extensions and future development:**

- Assume model is known want to solve the sametype of problem using optimal control techniques
- **Previous study, aside from "almost" positivity, has**  been for positive systems constraint by nonnegative control - want to solve the same type of problem for nonpositive and bidirectional control presently ongoing!

# **Water Tanks Example withnonnegative input**



# **Results for Optimal Control:Water Tanks Example (Figure 1)**



# **Results for Optimal Control:Water Tanks Example (Figure 2)**



# **Results for Optimal Control:Water Tanks Example (Figure 3)**



# **Water Tanks Example withnonnegative input**



# **Results for Optimal Control:Water Tanks Example (Figure 4)**



# **Water Tanks Example withnonnegative input**



# **Results for Optimal Control:Water Tanks Example (Figure 5)**



# **Results for Optimal Control:Water Tanks Example (Figure 6)**



# **Results for Optimal Control:Building Example**



 $\omega_{outside}$ (decrease of outside temperature)

# **Results for Optimal Control:Building Example**



## **References: Bartek RoszakEdward Davison**

- **C** "The servomechanism problem for unknown MIMO LTI positive systems: feedforward and robust tuningregulators". American Control Conference, June 11-13, 2008, Seattle, USA.
- **C** "The servomechanism problem for unknown SISO" positive systems using clamping", 17th IFAC WorldCongress, July 6-11, 2008, Seoul, Korea.
- **D** "Tuning regulators for tracking SISO positive linear systems", Proceedings of the European Control Conferencepp.540-547, July 2007
- Motivation why positive systems?  $\checkmark$
- Introduction and background to positive LTI  $\bullet$ systems  $\checkmark$
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- References  $\checkmark$