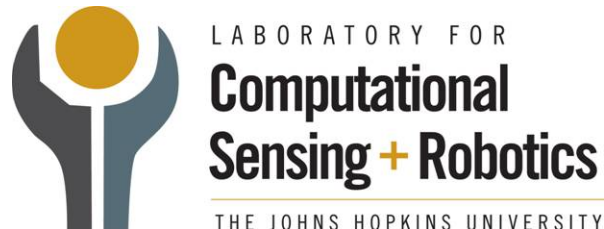


# Medical Robots and their Control Paradigms

Peter Kazanzides

Associate Research Professor  
Dept. of Computer Science  
The Johns Hopkins University



# My Background

- **1983-1988** Ph.D. EE (Robotics), Brown University
  - Thesis: Multiprocessor Control of Robotic Manipulators
- **1989-1990** Postdoctoral researcher at IBM
  - Medical robotics (ROBODOC)
- **1990-2002** Co-Founder of Integrated Surgical Systems
  - Director of Robotics and Software
  - Commercial development of ROBODOC® System
  - Sales in Europe (CE Mark) and Asia
  - Clinical trials in U.S. and Japan
- **2002-present** Research faculty at JHU
  - Research in use of robotics for neurosurgery, cancer research and therapy, telesurgery, microsurgery, ...



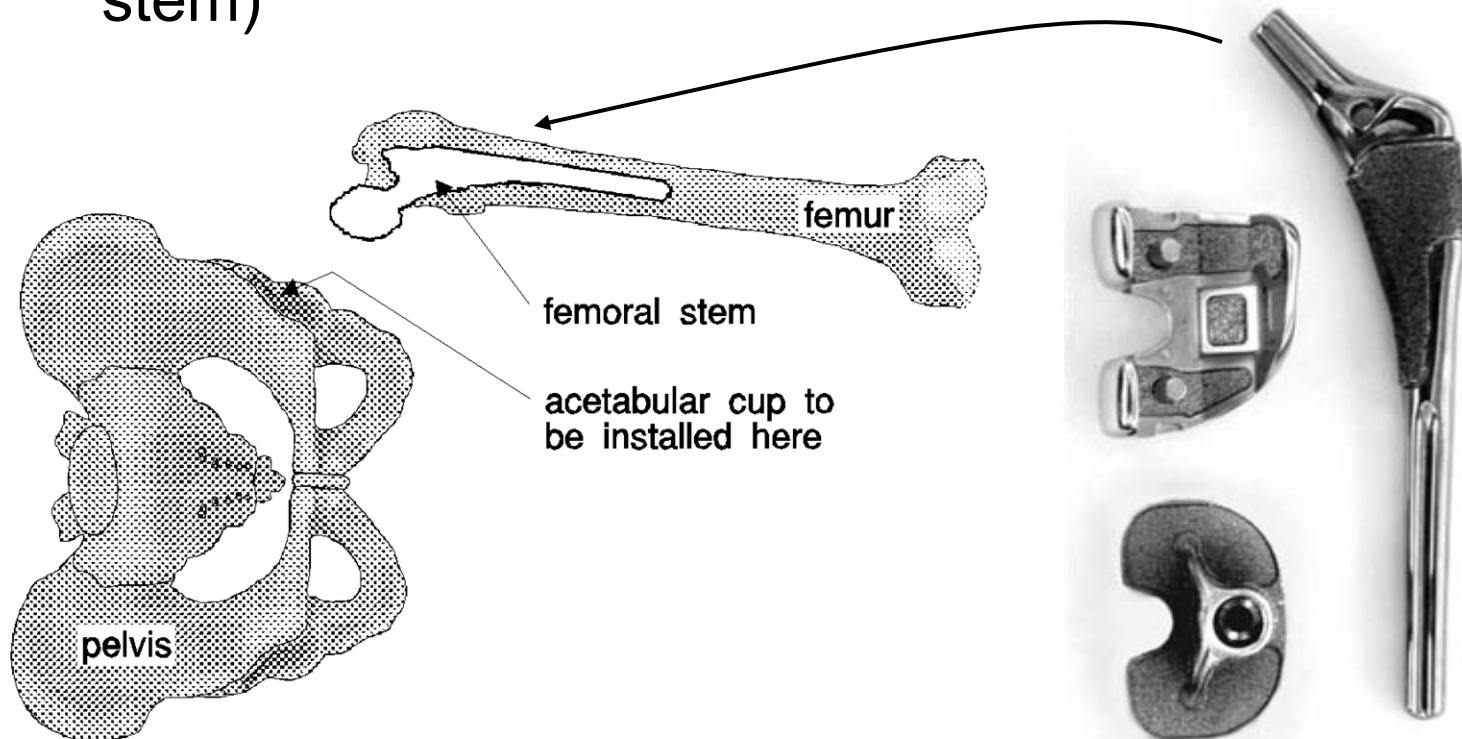
# Outline

- Overview of ROBODOC System
- Medical robotics at JHU
- What are the control challenges?
- Force control in ROBODOC
- Cooperative Control with Virtual Fixtures
- Constrained optimization formulation
- Conclusions



# ROBODOC® System

- Initially developed to assist with Total Hip Replacement (THR) surgery
  - machine femur for cementless prosthesis (femoral stem)

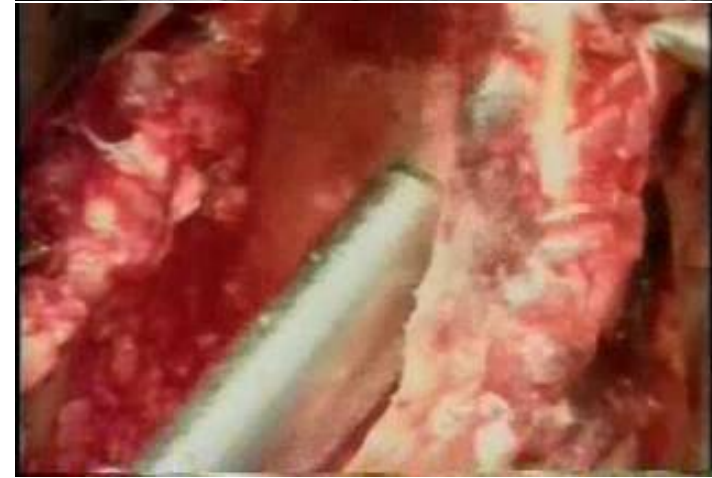
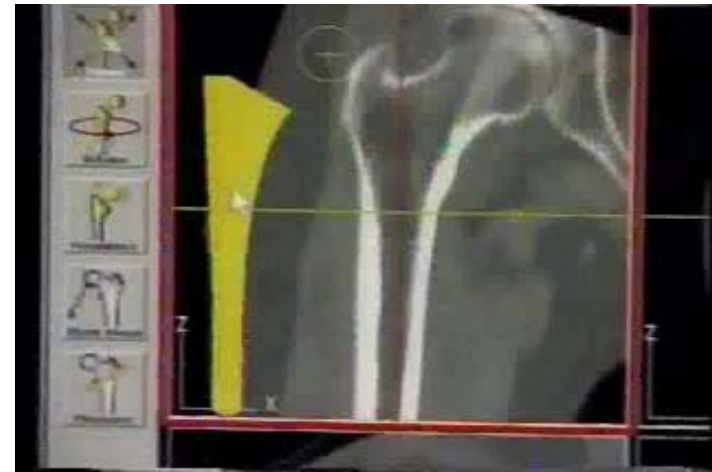


# ROBODOC® System

Conventional procedure  
(mallet and broach)

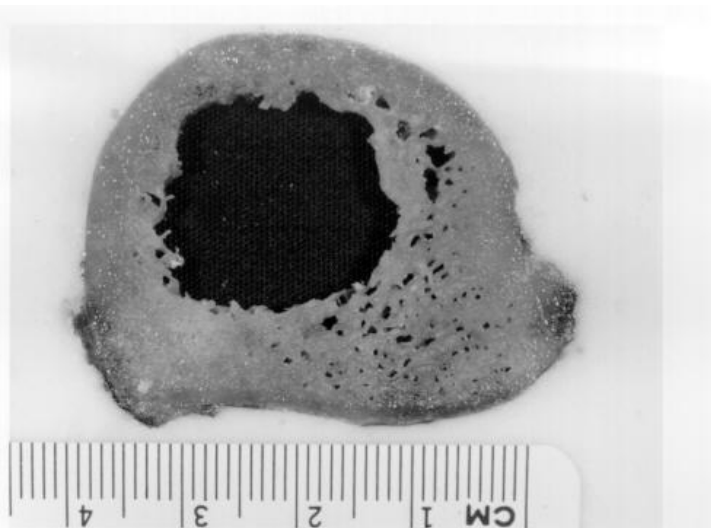


Computer-assisted  
planning and execution

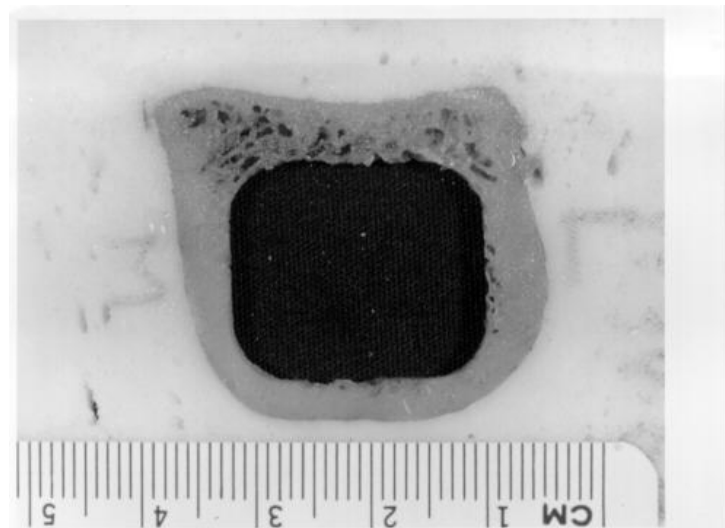


# ROBODOC Benefits

- Intended benefits:
  - Increased dimensional accuracy
  - Increased placement accuracy
  - More consistent outcome



Broach

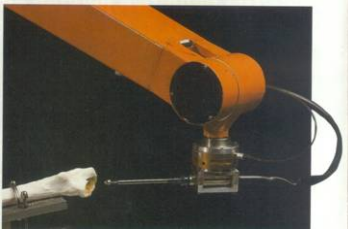


Robot

# ROBODOC History

1986-1988

Feasibility study and proof of concept at U.C. Davis and IBM



1988-1990

Development of canine system

May 2, 1990 First canine surgery



# ROBODOC History

1990-1995

Human clinical prototype

Nov 1, 1990

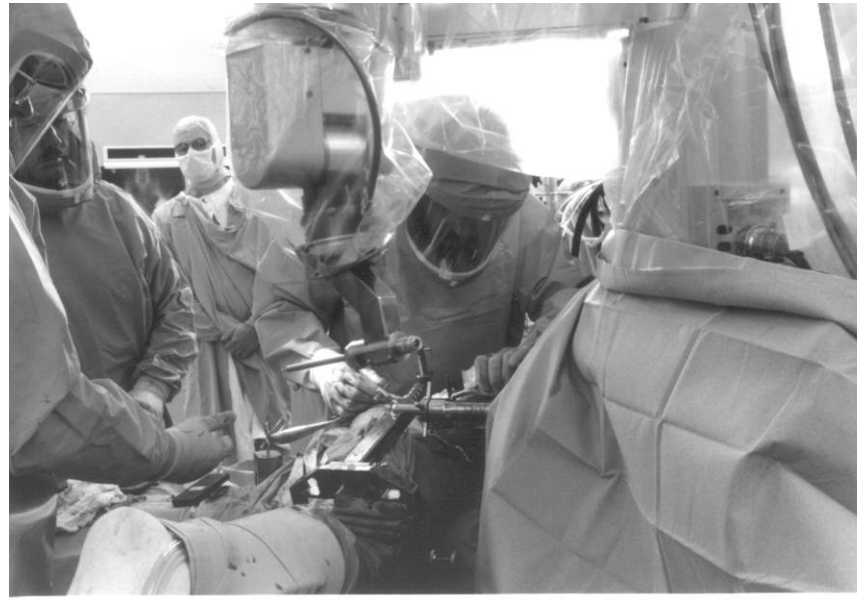
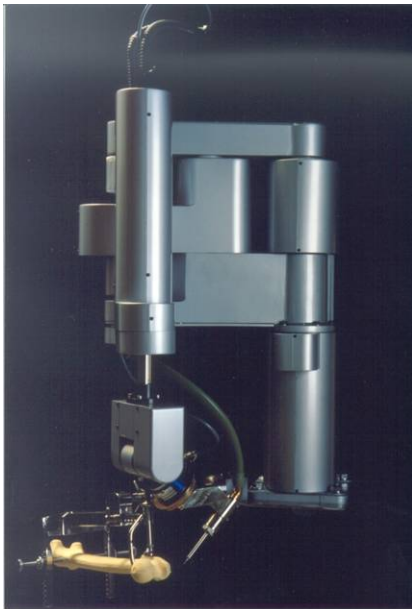
Formation of ISS

Nov 7, 1992

First human surgery, Sutter General Hospital

Aug 1994

First European surgery, BGU Frankfurt

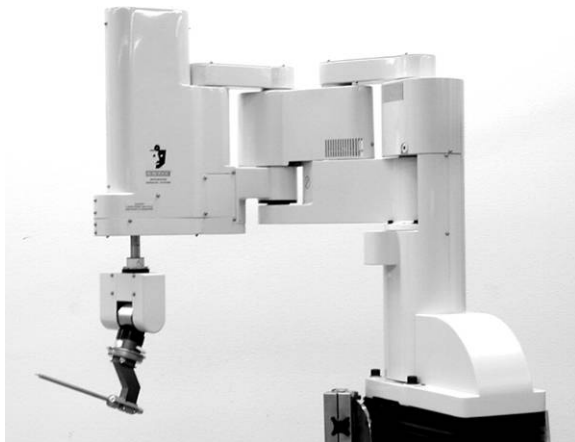




# ROBODOC History

## 1995-2002 ROBODOC in Europe and Asia

March 1996	CE Mark
April 1996	First 2 installations (Germany)
Nov 1996	ISS initial public offering (NASDAQ)
March 1998	First pinless hip surgery
Feb 2000	First knee replacement surgery



# ROBODOC History

## 2003-2007

## ROBODOC RIP

Oct 2003

Class action lawsuit in Germany

June 2005

ISS “ceases operations”

June 2006

German high court ruling against plaintiff

Sept 2006

ISS resumes operations

June 2007

ISS sells assets to Novatrix Biomedical

## 2007-present

## ROBODOC reborn

Sept 2007

Curexo Medical formed (Novatrix)

Sept 2007

Curexo files 510(K) with FDA

Feb 2008

Company renamed to ROBODOC, a  
Curexo Technology Company

Aug 2008

Robodoc receives FDA approval!



# ROBODOC Status

- Approximately 50 systems were installed worldwide
  - Europe (Germany, Austria, Switz., France, Spain)
  - Asia (Japan, Korea, India)
  - U.S. (Clinical trial for FDA approval)
- Over 20,000 hip and knee replacement surgeries
- ROBODOC no longer used in Europe
- One Korean hospital uses system regularly – claim 2,500 surgeries/year
- FDA approval in Aug 2008



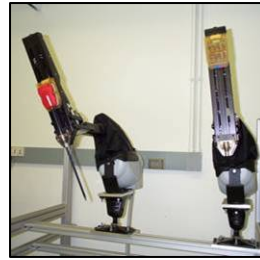
# Medical Robotics at JHU



Retinal surgery



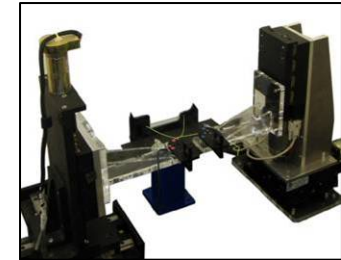
Steady hand robot



Research daVinci



Needle steering



Bimanual manipulation



Snake robot



Brachytherapy



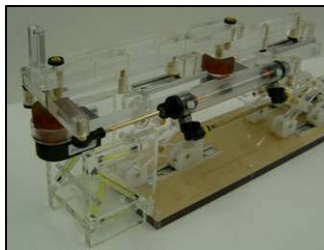
Neurosurgery



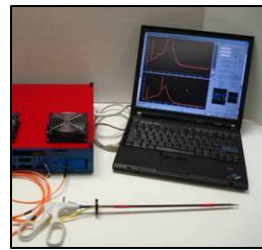
SARRP



Rodent research



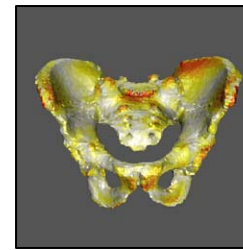
MR-compatible robot



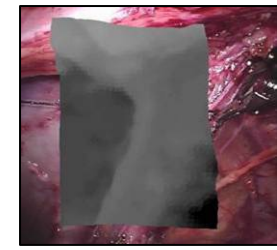
Spectroscopy



Smart retractor



Atlas



Stereo vision

# Control Challenges

- Most medical robots are slow (for safety reasons)
  - Individual joint-level PID control is good enough for position/velocity control
  
- What about surgery on a beating heart?



# HeartLander Robot (CMU)

Miniature mobile robot that adheres to the epicardium and travels to any site for cardiac therapy.



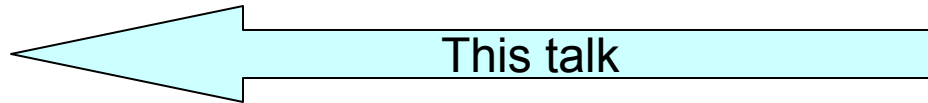
In-vivo testing with beating pig hearts.

Riviere, Patronik, Zenati



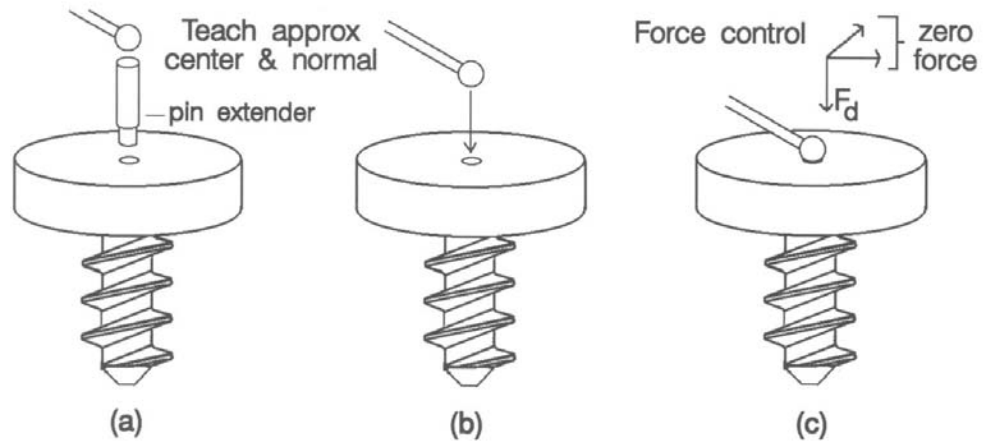
# Control Challenges

- Robot must work with surgical team
  - Man/machine partnership vs. automation
- Robot must sense and adapt to its environment
  - Force
  - Tissue properties
  - Vision
  - Intraoperative imaging (CT, MR, Ultrasound)



# ROBODOC Force Control

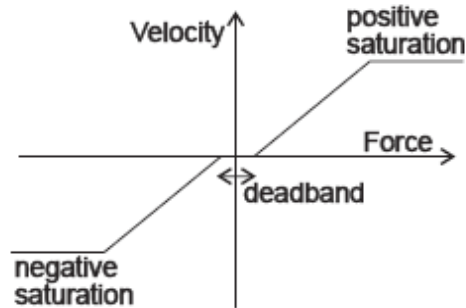
- Hand guidance
- Tactile search
- Force-controlled cutting
- Safety threshold



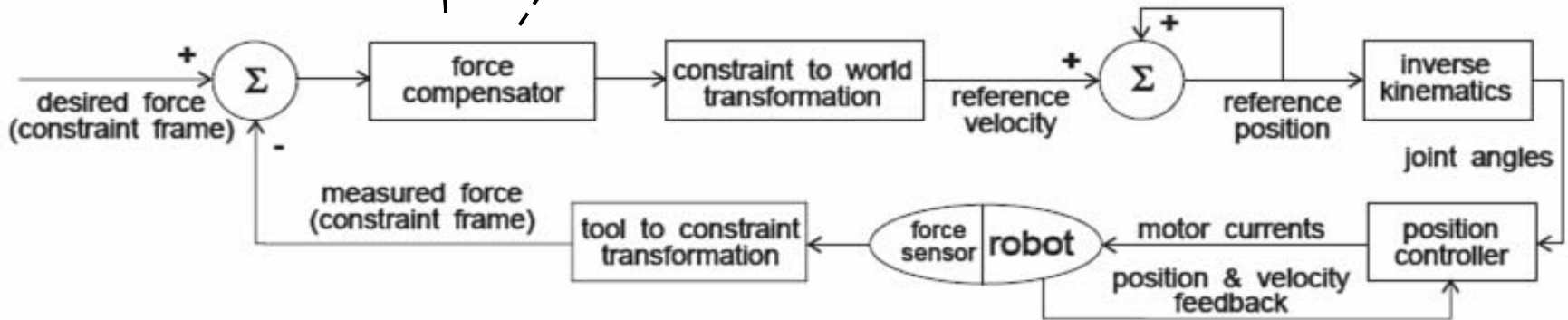
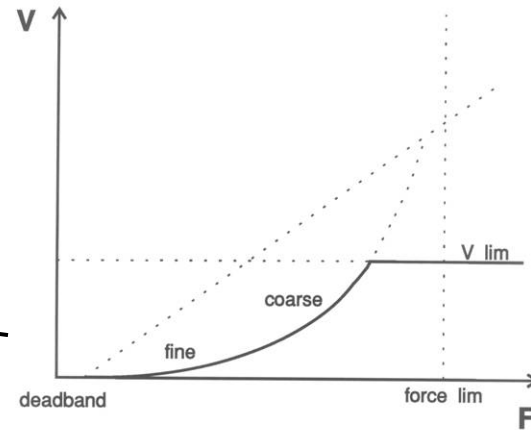


# ROBODOC Force Control

Linear Gains



Nonlinear Gains



P. Kazanzides, J. Zuhars, B. Mittelstadt, R.H. Taylor, "Force Sensing and Control for a Surgical Robot," *IEEE Intl. Conf. on Robotics and Auto.*, Nice, France, May 1992

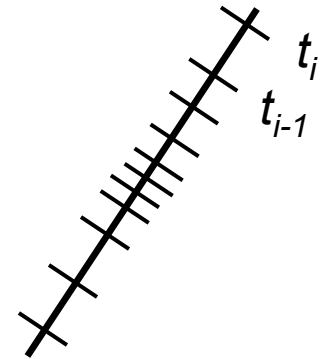
# Force-controlled bone cutting

- Problem: ROBODOC uses fixed cutfiles
  - Conservative cut speeds (assume hard bone)
  - Long cutting times
- Solution: Modify cutter feed rate based on measured force
  - Cutfile specifies minimum and maximum feed rates, as well as maximum force
  - System parameters include tool stiffness



# Force-controlled bone cutting

- Technical approach: Use “time warping” in trajectory generator
  - All motions are parameterized by time
  - Plan motion at maximum speed,  $s_{max}$
  - Use time  $t_i$  from following equation:



$$t_i = t_{i-1} + \Delta t \cdot \arg \max \left( 1 - e^{-R(f_{max} - f(t))}, \frac{s_{min}}{s_{max}} \right) \quad f(t) \leq f_{max}$$

As  $f(t) \rightarrow f_{max}$ , warp time to reduce speed to  $s_{min}$

As  $f(t) \rightarrow 0$ ,  $t_i \approx t_{i-1} + \Delta T$  (move at  $s_{max}$ )

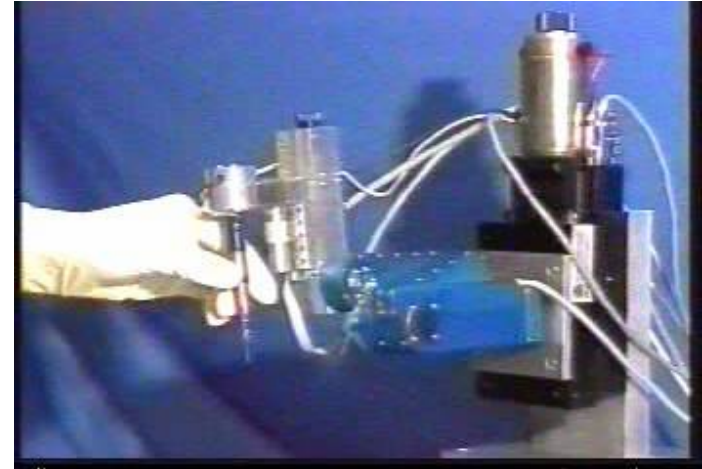
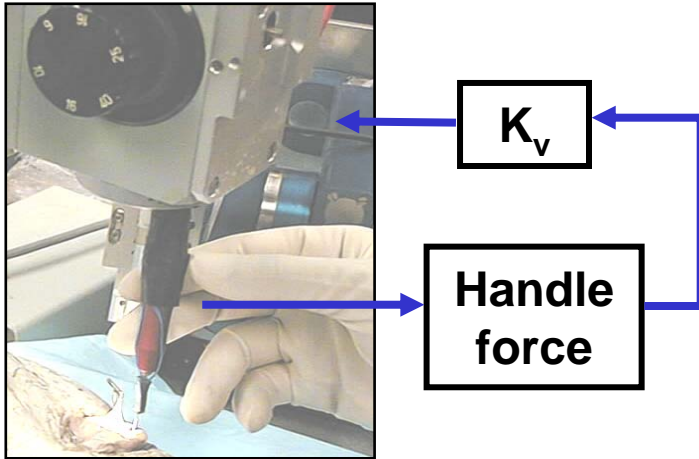
If  $f(t) > f_{max}$ , stop robot and cutter

# Cooperative Control and Virtual Fixtures

- Generalization of force-controlled guidance and force-controlled cutting
- Steady Hand guidance (JHU)
  - Force-controlled guidance for tremor reduction
- Virtual fixtures
  - Guidance virtual fixtures: constrain motion along a preferred direction
  - Boundary virtual fixtures: prevent motion into a “forbidden zone” (or stay within “safe zone”)
  - Hard vs. soft virtual fixtures



# Physical Guidance: Steady Hand Guiding for Microsurgery



**Free hand motion**

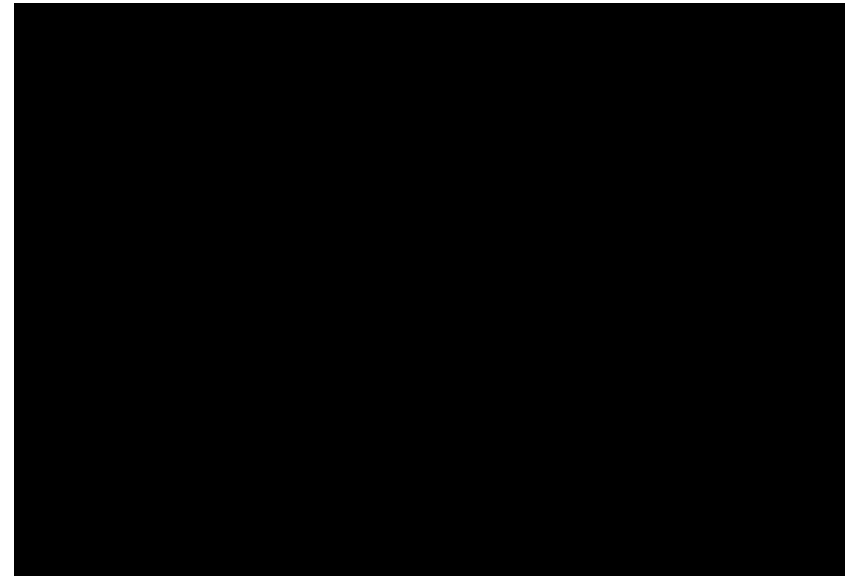
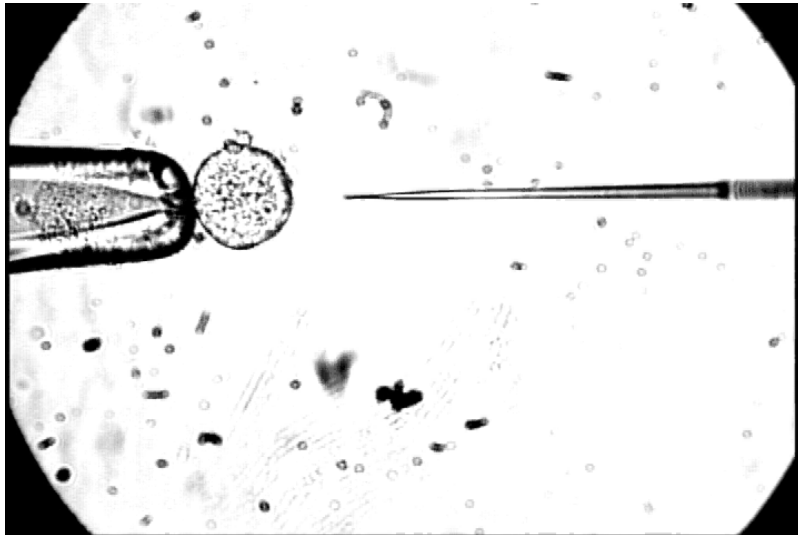


**Steady hand motion**

# Steady Hand Guiding at the Cellular Level



*Kumar, Kapoor, Taylor*



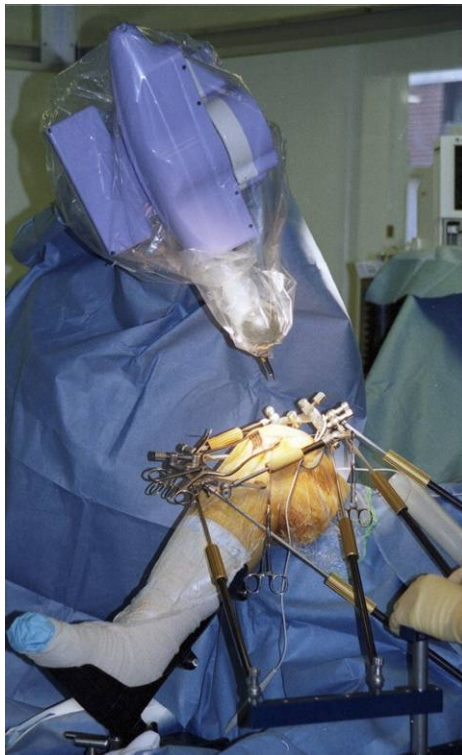
# Cooperative Control and Virtual Fixtures

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# Cooperative Control with Virtual Fixtures: Acrobot Robot (Imperial College, London)

- Uses virtual fixtures (Active Constraint Control) to enable surgeon to execute preoperative plan (machine femur and tibia)

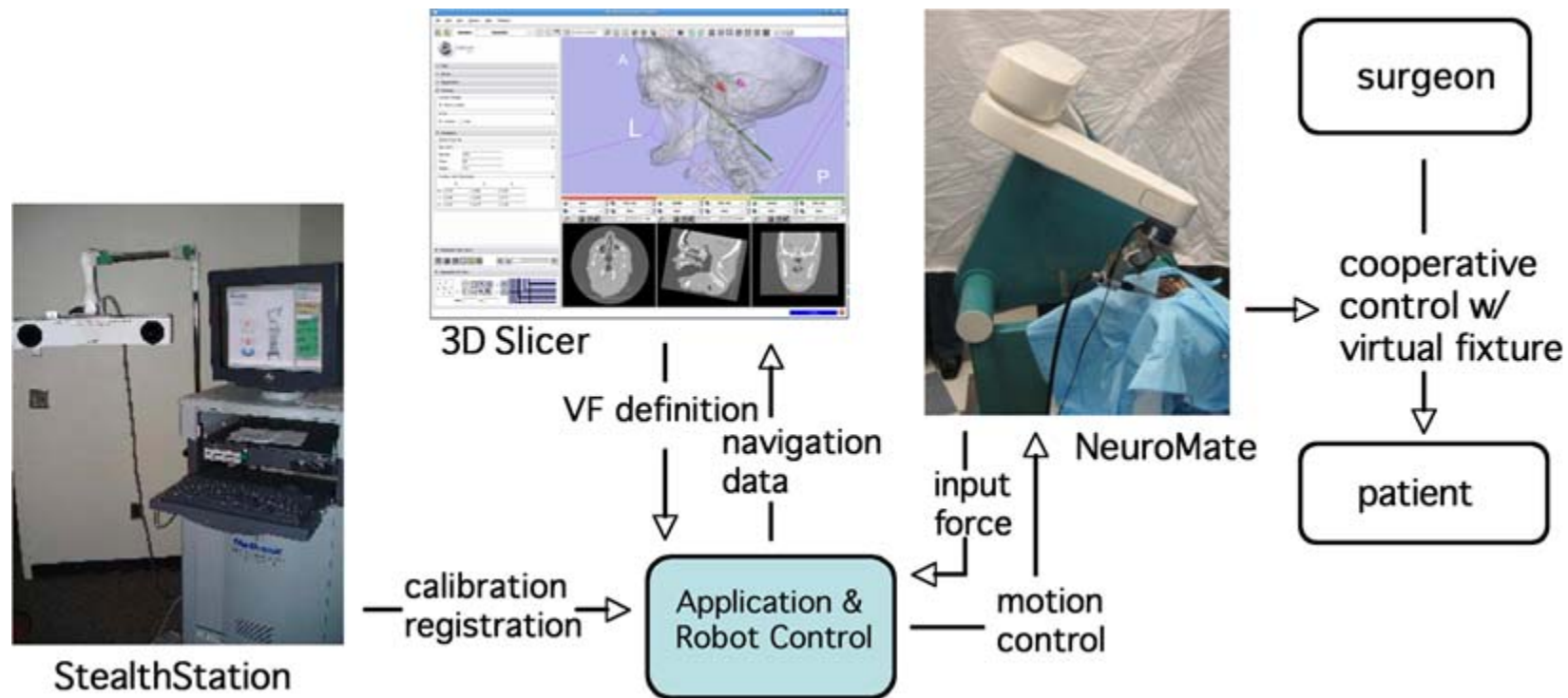


*Courtesy of Acrobot Co. Limited, UK*



# Cooperative Control with Virtual Fixtures: Robot for Skull Base Surgery (JHU)

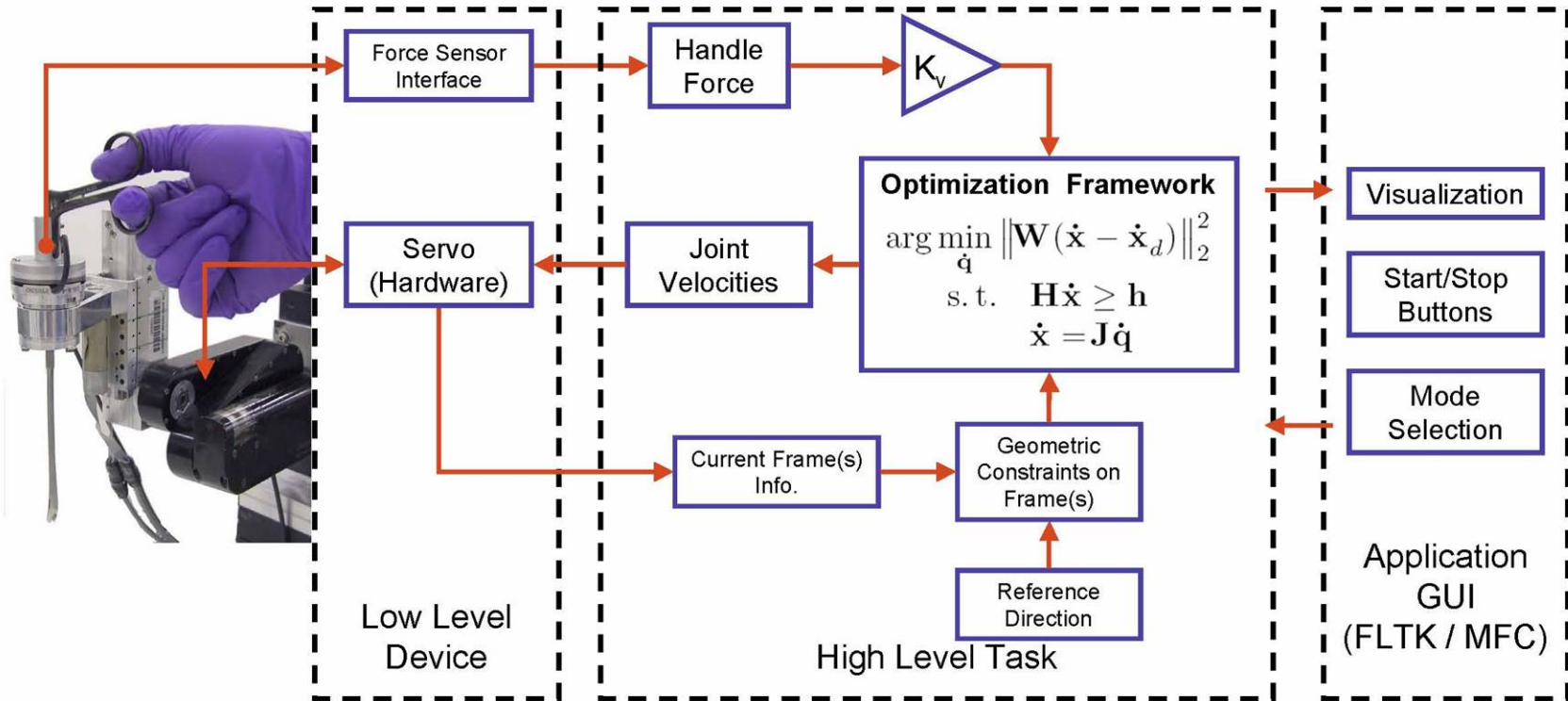
- Uses virtual fixtures to constrain surgeon to remain inside “safe zone” during skull base drilling



*Kazanzides, Xia, Baird, Jallo*

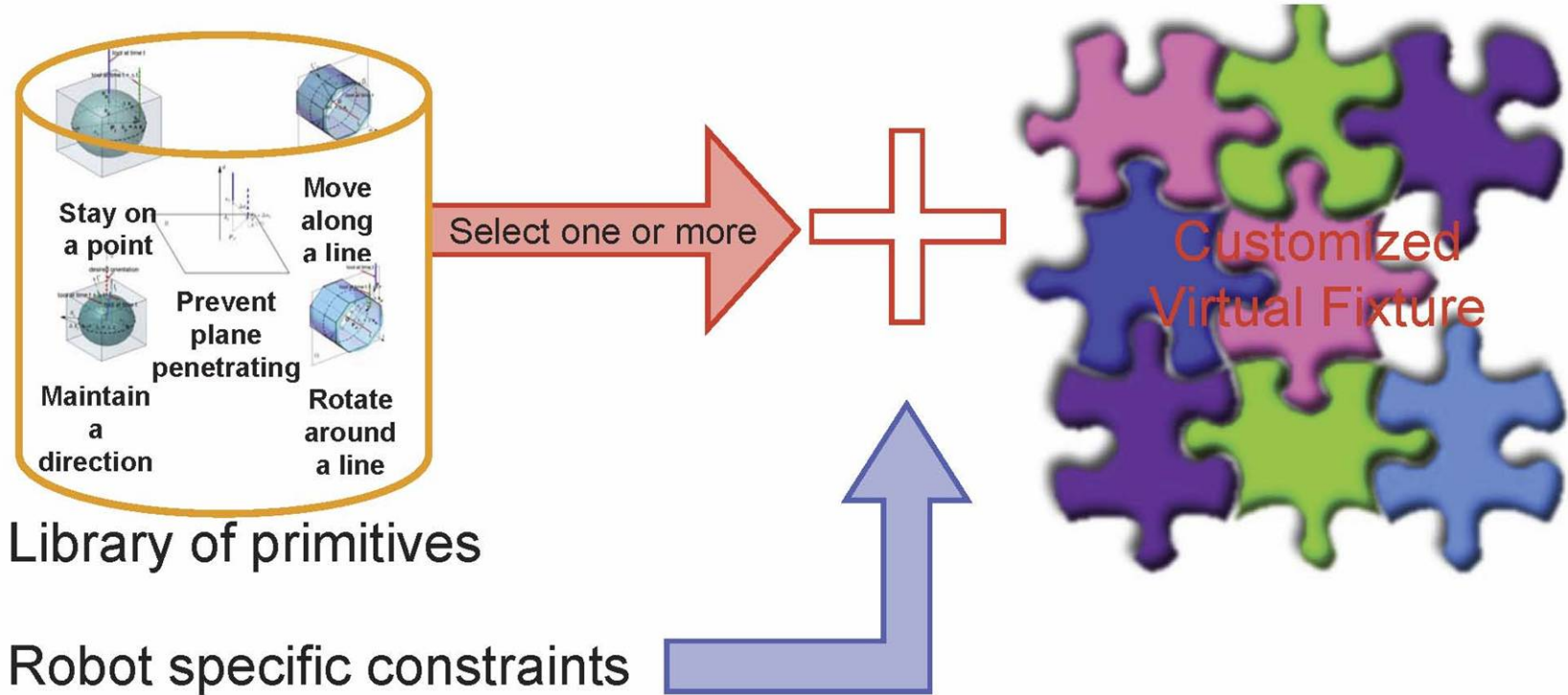


# Virtual Fixture Implementation: Constrained Optimization



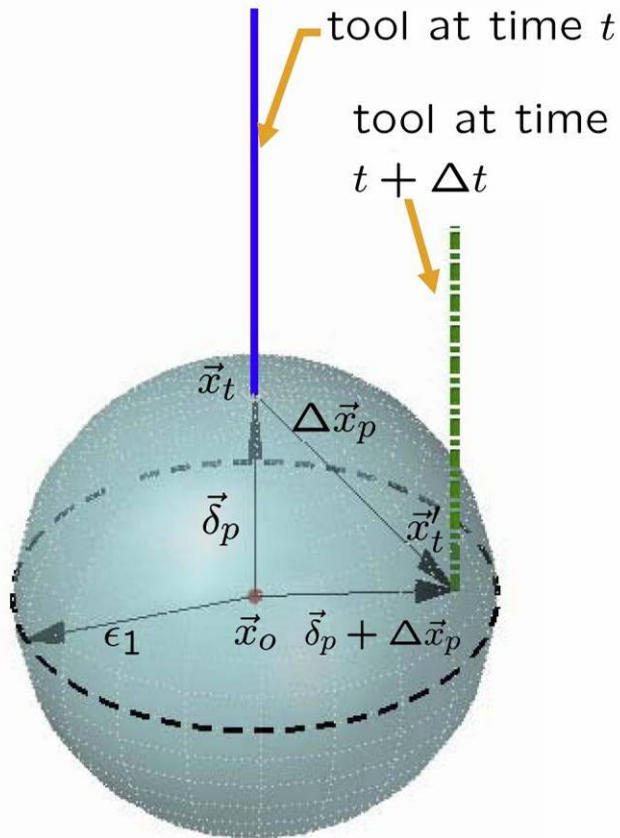
*Kapoor & Taylor, 2007*

# Composition of Virtual Fixtures



Kapoor & Taylor, 2007

# Virtual Fixture Primitives: 1) Stay on a point



Constraint:  $\|\vec{\delta}_p + \Delta \mathbf{x}_p\| \leq \epsilon_1$

Polyhedron approximation for sphere of radius  $\epsilon_1$ :

$$\begin{bmatrix} c_{\alpha_{1i}} c_{\beta_{1j}} & c_{\alpha_{1i}} s_{\beta_{1j}} & s_{\alpha_{1i}} & 0 & 0 & 0 \end{bmatrix} \cdot (\boldsymbol{\delta} + \Delta \mathbf{x}) \leq \epsilon_1,$$

$$c_{\alpha_{1i}} = \cos \frac{i2\pi}{n}; \quad s_{\alpha_{1i}} = \sin \frac{i2\pi}{n}; \quad c_{\beta_{1j}} = \cos \frac{j2\pi}{m}; \quad s_{\beta_{1j}} = \sin \frac{j2\pi}{m}$$

$$i = 0, \dots, n-1; \quad j = 0, \dots, m-1.$$

Yields constraint:  $A \cdot \Delta \mathbf{x} \leq b$

# Conclusions

- Medical robots have distinct differences from industrial robots:
  - Must work with humans (cooperative)
  - Environment (operating room and patient) is relatively unstructured
- Control challenges are primarily at the higher levels:
  - Man/machine interactions
  - Sensor-based control



# Conclusions

- Force control widely used:
  - “Steady hand” guidance
  - Virtual fixtures (mechanical guidance)
  - Tactile search
  - Safety
- High-performance computers enable “real-time” numerical optimization



# Acknowledgements

- Faculty
  - Russell Taylor
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