

Quantum BV theories on manifolds with boundary

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Joint work with Alberto S. Cattaneo and Nikolai Reshetikhin

Motivation I: Chern-Simons theory

1 Classical Chern-Simons theory:

- $S = \int_M \text{tr}(\frac{1}{2}A \wedge dA + \frac{1}{6}A \wedge [A, A])$
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- Φ_{Γ} is the contraction of $(\partial_{x_0}^2 f)^{-1}$ for edges, $\partial_{x_0}^k f$ for k -valent vertex.

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References: Frank W. J. Olver, *Introduction to asymptotics and special functions*, Academic Press, New York, 1974. [[leading term](#)]

Pavel Etingof, *Mathematical ideas and notions of quantum field theory*,

<http://www-math.mit.edu/~etingof/lect.ps> (2002) [[Feynman graphs](#)]

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- ⑤ **Solution:** **Batalin-Vilkovisky formalism** – replaces the integral by one with **non-degenerate** critical points.
- ⑥ Output – the perturbative answer (**Witten-Axelrod-Singer**):

$$\begin{aligned}
 Z^{\text{pert}} &= \\
 &= e^{\frac{i}{\hbar} S(A_0)} \cdot \tau(M, A_0)^{\frac{1}{2}} \cdot e^{\frac{\pi i}{4} \psi^{A_0, g}} \cdot \exp \left(\sum_{\Gamma} \frac{\hbar^{-\chi(\Gamma)}}{|\text{Aut}(\Gamma)|} i^{E+V} \cdot \Phi_{\Gamma}^{A_0, g} \right) \cdot e^{ic(\hbar) S_{\text{grav}}(g, \phi)}
 \end{aligned}$$

References:

E. Witten, *Quantum field theory and the Jones polynomial*, Comm. Math. Phys. 121 3 (1989) 351–399.

S. Axelrod and I. M. Singer, *Chern–Simons perturbation theory, I and II*, arXiv:hep-th/9110056 (1991), arXiv:hep-th/9304087 (1993).

Motivation I: Chern-Simons theory – the perturbative answer (Witten-Axelrod-Singer):

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- M is **closed**, A_0 is an **acyclic** flat connection.
- $\tau(M, A_0)$ – Reidemeister-Ray-Singer torsion.

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- ψ – the Atiyah-Patodi-Singer eta invariant of $L_- = d_E * + * d_E$ on $\Omega^{\text{odd}}(M, E)$. E the flat vector bundle determined by A_0 .

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- $\Gamma \in \{ \text{diagram 1}, \text{diagram 2}, \text{diagram 3}, \dots \}$ – connected 3-valent,

$$\Phi_{\Gamma} = \int_{\text{Conf}_V(M)} \prod_{\text{edges } e} \eta(x_{e_{\text{in}}}, x_{e_{\text{out}}})$$

Here $\eta \in \Omega^2(\text{Conf}_2(M), E \boxtimes E)$ is the **propagator** – the integral kernel of d_E^*/Δ_E .

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- g – an arbitrary **Riemannian metric**, φ – **framing** of M , $c(\hbar) \in \mathbb{C}[[\hbar]]$ a universal element.

Motivation II: calculating partition functions by cut/paste.

Idea:

$$Z \left(\text{torus} \right) = \left\langle Z \left(\text{disk} \right), Z \left(\text{cylinder} \right) \right\rangle$$

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$$Z \left(\text{torus with handle} \right) = \left\langle Z \left(\text{disk with boundary} \right), Z \left(\text{torus with boundary} \right) \right\rangle$$

Functorial description (**Atiyah-Segal**):

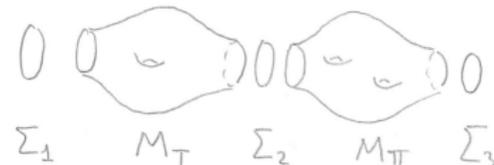
Closed $(n-1)$ -manifold Σ	\mathcal{H}_Σ
n -cobordism M 	Partition function $Z_M : \mathcal{H}_{\Sigma_{\text{in}}} \rightarrow \mathcal{H}_{\Sigma_{\text{out}}}$
Gluing 	Composition $Z_{M_I \cup M_{II}} = Z_{M_{II}} \circ Z_{M_I}$

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Gluing	Composition
 <p>Σ_1 M_{I} Σ_2 M_{II} Σ_3</p>	$Z_{M_{\text{I}} \cup M_{\text{II}}} = Z_{M_{\text{II}}} \circ Z_{M_{\text{I}}}$

Atiyah: TQFT is a functor of monoidal categories
 $(\text{Cob}_n, \sqcup) \rightarrow (\text{Vect}_{\mathbb{C}}, \otimes)$.

Example: 2D TQFT

$$Z \left(\text{torus} \right)$$

can be expressed in terms of building blocks:

$$\textcircled{1} Z \left(\text{disk} \right) : \mathbb{C} \rightarrow \mathcal{H}_{S^1}$$

$$\textcircled{2} Z \left(\text{cap} \right) : \mathcal{H}_{S^1} \rightarrow \mathbb{C}$$

$$\textcircled{3} Z \left(\text{pair of pants} \right) : \mathcal{H}_{S^1} \otimes \mathcal{H}_{S^1} \rightarrow \mathcal{H}_{S^1}$$

$$\textcircled{4} Z \left(\text{triple junction} \right) : \mathcal{H}_{S^1} \rightarrow \mathcal{H}_{S^1} \otimes \mathcal{H}_{S^1}$$

– **Universal local building blocks** for 2D TQFT!

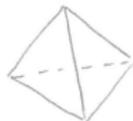
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Building blocks: balls with stratified boundary (cells)



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Extension of Atiyah's axioms to gluing with corners: extended TQFT
([Baez-Dolan-Lurie](#)).

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Example: [Turaev-Viro](#) 3D state-sum model.

building block - 3-simplex	
	q6j-symbol
gluing	sum over spins on edges

Problems:

- Very few examples!
- Some natural examples do not fit into Atiyah axiomatics.

Goal:

- Construct TQFT with corners and gluing out of perturbative path integrals for diffeomorphism-invariant action functionals.
- Investigate interesting examples.

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Note: $\{S, S\}_\omega = 0$.

BV-BFV formalism for gauge theories on manifolds with boundary

Reference: A. S. Cattaneo, P. Mnev, N. Reshetikhin, *Classical BV theories on manifolds with boundary*, Comm. Math. Phys. 332 2 (2014) 535–603.

For M with boundary:

$$\begin{array}{ccc}
 M & \longrightarrow & (\mathcal{F}, \quad \omega, \quad Q, S) & \text{– space of fields} \\
 & & \downarrow \pi & \downarrow \pi_* \\
 \partial M & \longrightarrow & (\mathcal{F}_\partial, \omega_\partial = \delta\alpha_\partial, Q_\partial, S_\partial) & \text{– phase space}
 \end{array}$$

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Subscripts = “ghost numbers”.

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Relations: $Q_\partial^2 = 0$, $\iota_{Q_\partial}\omega_\partial = \delta S_\partial$; $Q^2 = 0$, $\boxed{\iota_Q\omega = \delta S + \pi^*\alpha_\partial}$.

\Rightarrow CME: $\frac{1}{2}\iota_Q\iota_Q\omega = \pi^*S_\partial$

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Gluing:

$$M_I \cup_\Sigma M_{II} \rightarrow \mathcal{F}_{M_I} \times_{\mathcal{F}_\Sigma} \mathcal{F}_{M_{II}}$$

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This picture extends to higher-codimension strata!

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$$\partial M \longrightarrow (\Omega^\bullet(\partial M)[1], \frac{1}{2} \int_{\partial} \delta \mathcal{A} \wedge \delta \mathcal{A}, \int_{\partial} d\mathcal{A} \frac{\delta}{\delta \mathcal{A}}, \frac{1}{2} \int_{\partial} \mathcal{A} \wedge d\mathcal{A})$$

$$\text{Superfield } \mathcal{A} = \underbrace{c}_{\text{ghost},1} + \underbrace{A}_{\text{classical field},0} + \underbrace{A_{-1}^+ + c_{-2}^+}_{\text{antifields}}$$

Euler-Lagrange moduli spaces:

$$M \longrightarrow H^\bullet(M)[1]$$

$$\iota^* \downarrow$$

$$\partial M \longrightarrow H^\bullet(\partial M)[1]$$

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Reminder: In Darboux coordinates (x^i, ξ_i) on \mathcal{F}_{res} ,

$$\Delta_{\text{res}} = \frac{\partial}{\partial x^i} \frac{\partial}{\partial \xi_i}$$

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P_* — BV pushforward (fiber BV integral) for

$$\mathcal{F}_{\text{res}}^{M_I} \times \mathcal{F}_{\text{res}}^{M_{II}} \xrightarrow{P} \mathcal{F}_{\text{res}}^{M_I \cup_\Sigma M_{II}}$$

Aside: BV pushforward.

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Reference: P. Mnev, *Discrete BF theory*, arXiv:0809.1160

Quantization

Choose $p : \mathcal{F}_\partial \rightarrow \mathcal{B}$ Lagrangian fibration, $\alpha_\partial|_{p^{-1}(b)} = 0$.

$$\boxed{\mathcal{H}_\partial = \text{Dens}^{\frac{1}{2}}(\mathcal{B})}, \quad \Omega_\partial = \widehat{S}_\partial \in \text{End}(\mathcal{H}_\partial)_1.$$

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Partition function:

$$Z_M(b) = \int_{\mathcal{L} \subset \mathcal{F}_b} e^{\frac{i}{\hbar}S}, \quad Z_M \in \text{Dens}^{\frac{1}{2}}(\mathcal{B})$$

$\mathcal{L} \subset \mathcal{F}_b$ gauge-fixing Lagrangian.

Problem: Z_M may be ill-defined due to zero-modes.

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Solution: Split $\mathcal{F}_b = \mathcal{F}_{\text{res}} \times \widetilde{\mathcal{F}} \ni (\phi_{\text{res}}, \widetilde{\phi})$. Partition function:

$$Z_M(b, \phi_{\text{res}}) = \int_{\mathcal{L} \subset \widetilde{\mathcal{F}}} e^{\frac{i}{\hbar} S(b, \phi_{\text{res}}, \widetilde{\phi})}, \quad Z_M \in \text{Dens}^{\frac{1}{2}}(\mathcal{B}) \otimes \text{Dens}^{\frac{1}{2}}(\mathcal{F}_{\text{res}})$$

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$$\mathcal{F}_{\text{res}} \xrightarrow{P} \mathcal{F}'_{\text{res}} \quad \Rightarrow \quad Z'_M = P_* Z_M$$

Abelian BF theory: the continuum model.

Input:

- M a closed oriented n -manifold M .
- E an $SL(m)$ -local system.

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Space of BV fields: $\mathcal{F} = \Omega^\bullet(M, E)[1] \oplus \Omega^\bullet(M, E^*)[n-2] \ni (A, B)$

Action: $S = \int_M \langle B, d_E A \rangle$.

Reference: A. S. Schwarz, *The partition function of degenerate quadratic functional and Ray-Singer invariants*, Lett. Math. Phys. 2, 3 (1978) 247–252.

A. S. Schwarz: For M **closed** and E **acyclic**, the partition function is the R -torsion $\tau(M, E) \in \mathbb{R}$.

Result, C-M-R

arXiv:1507.01221

For M closed, E possibly non-acyclic,
 $\mathcal{F}_{\text{res}} = H^\bullet(M, E)[1] \oplus H^\bullet(M, E^*)[n - 2]$ and

$$Z_M = \xi \cdot \tau(M, E)$$

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$$\xi = (2\pi\hbar)^{\sum_{k=0}^n (-\frac{1}{4} - \frac{1}{2}k(-1)^k) \cdot \dim H^k(M, E)} \cdot (e^{-\frac{\pi i}{2}} \hbar)^{\sum_{k=0}^n (\frac{1}{4} - \frac{1}{2}k(-1)^k) \cdot \dim H^k(M, E)}$$

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In particular Z_M contains a **mod16 phase** $e^{\frac{2\pi i}{16} s}$ with
 $s = \sum_{k=0}^n (-1 + 2k(-1)^k) \cdot \dim H^k(M, E)$.

Result, C-M-R

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For M with boundary, E possibly non-acyclic,

Result, C-M-R

arXiv:1507.01221

For M with boundary, E possibly non-acyclic,

$$Z_M = \xi \cdot \tau(M, \Sigma_{in}; E) \cdot$$

$$\cdot \exp \frac{i}{\hbar} \left(\int_{\Sigma_{out}} \mathbb{B} \mathbf{a} + \int_{\Sigma_{in}} \mathbf{b} \mathbb{A} - \int_{\Sigma_{out} \times \Sigma_{in} \ni (x,y)} \mathbb{B}(x) \eta(x, y) \mathbb{A}(y) \right)$$

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Where: $\mathcal{F}_{res} = H^\bullet(M, \Sigma_{in}; E)[1] \oplus H^\bullet(M, \Sigma_{out}; E^*)[n-2] \ni (a, b)$

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Where: $\mathcal{B} = \Omega^\bullet(\Sigma_{\text{in}})[1] \oplus \Omega^\bullet(\Sigma_{\text{out}})[n-2] \ni (\mathbb{A}, \mathbb{B})$

$$\mathcal{H}_\Sigma = \text{Dens}^{\frac{1}{2}}(\mathcal{B}) \ni \sum_{k,l \geq 0} \int_{\text{Conf}_k(\Sigma_{\text{in}}) \times \text{Conf}_l(\Sigma_{\text{out}})}$$

$$\Psi(x_1, \dots, x_k; y_1, \dots, y_l) \mathbb{A}(x_1) \cdots \mathbb{A}(x_k) \mathbb{B}(y_1) \cdots \mathbb{B}(y_l)$$

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Where: ξ as before (but for relative cohomology),

Result, C-M-R

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Where: τ - relative R-torsion,

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Where: $\eta \in \Omega^{n-1}(\text{Conf}_2(M), E \boxtimes E^*)$ – **propagator**, i.e.
 $\alpha \mapsto \int_{M \ni y} \eta(x,y)\alpha(y)$ is a chain contraction from $\Omega^\bullet(M, \Sigma_{\text{in}}; E)$ to $H^\bullet(M, \Sigma_{\text{in}}; E)$.

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This result satisfies:

- gluing

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- change of η shifts Z_M by $(\frac{i}{\hbar}\Omega_{\partial} - i\hbar\Delta_{\text{res}})$ -exact term.

Result, C-M-R

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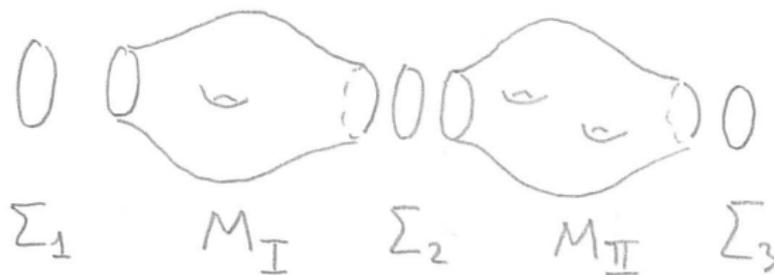
$$\cdot \exp \frac{i}{\hbar} \left(\int_{\Sigma_{\text{out}}} \mathbb{B} \mathbf{a} + \int_{\Sigma_{\text{in}}} \mathbf{b} \mathbb{A} - \int_{\Sigma_{\text{out}} \times \Sigma_{\text{in}} \ni (x,y)} \mathbb{B}(x) \eta(x,y) \mathbb{A}(y) \right)$$

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- change of η shifts Z_M by $(\frac{i}{\hbar} \Omega_{\partial} - i\hbar \Delta_{\text{res}})$ -exact term.

$$\text{BFV operator: } \Omega_{\partial} = -i\hbar \left(\int_{\Sigma_{\text{out}}} d_E \mathbb{B} \frac{\delta}{\delta \mathbb{B}} + \int_{\Sigma_{\text{in}}} d_E \mathbb{A} \frac{\delta}{\delta \mathbb{A}} \right)$$

Gluing

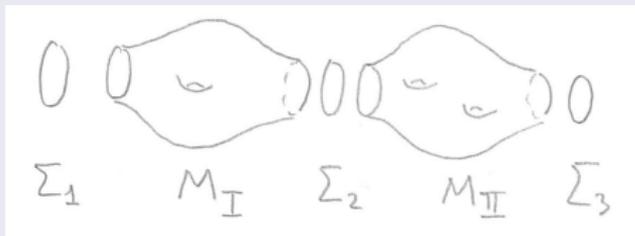


in two steps:

- 1 $\tilde{Z}_M = \int_{\mathbb{A}_2, \mathbb{B}_2} Z_{M_{II}}(\mathbb{B}_3, \mathbb{A}_2; \mathbf{a}_{II}, \mathbf{b}_{II}) \cdot e^{\frac{i}{\hbar} \int_{\Sigma_2} \mathbb{B}_2 \mathbb{A}_2} \cdot Z_{M_I}(\mathbb{B}_2, \mathbb{A}_1; \mathbf{a}_I, \mathbf{b}_I).$
- 2 $Z_M = P_* \tilde{Z}_M$, for $P : \mathcal{F}_{\text{res}}^I \times \mathcal{F}_{\text{res}}^{II} \rightarrow \mathcal{F}_{\text{res}}.$

Result, C-M-R

arXiv:1507.01221



η_I, η_{II} – propagators on M_I, M_{II} .

Assume $H^\bullet(M, \Sigma_1) = H^\bullet(M_I, \Sigma_1) \oplus H^\bullet(M_{II}, \Sigma_2)$.

Then the glued propagator on M is:

$$\eta(x, y) = \begin{cases} \eta_I(x, y) & \text{if } x, y \in M_I \\ \eta_{II}(x, y) & \text{if } x, y \in M_{II} \\ 0 & \text{if } x \in M_I, y \in M_{II} \\ \int_{z \in \Sigma_2} \eta_{II}(x, z) \eta_I(z, y) & \text{if } x \in M_{II}, y \in M_I \end{cases}$$



Example: Poisson sigma model, $n = 2$.

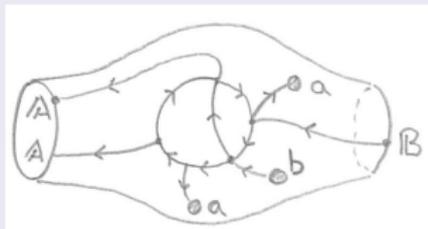
Action: $S = \int_M \langle B, dA \rangle + \frac{1}{2} \langle \pi(B), A \otimes A \rangle$

$\pi = \sum_{ij} \pi^{ij}(u) \frac{\partial}{\partial u^i} \wedge \frac{\partial}{\partial u^j}$ Poisson bivector on \mathbb{R}^m .

Result, C-M-R

arXiv:1507.01221

$$Z_M = \xi \cdot \tau \cdot \exp \frac{i}{\hbar} \sum_{\text{graphs}}$$



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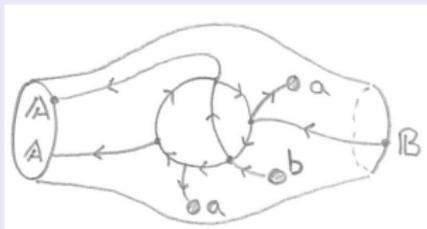
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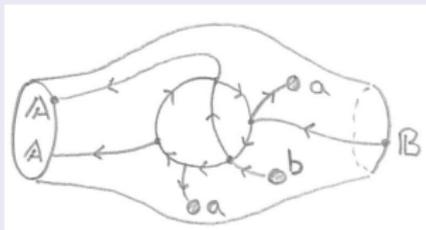
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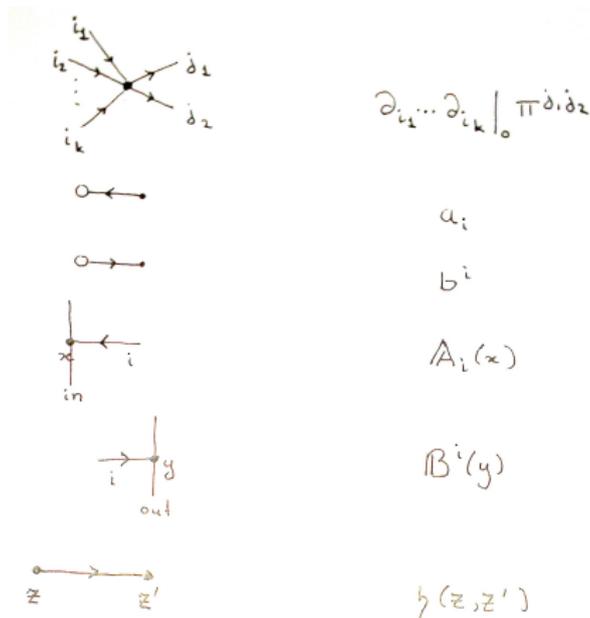
$\Omega_{\partial} =$ standard-ordering quantization ($\mathbb{B} \mapsto -i\hbar \frac{\delta}{\delta \mathbb{A}}$ on Σ_{in} , $\mathbb{A} \mapsto -i\hbar \frac{\delta}{\delta \mathbb{B}}$

on Σ_{out}) of $\int_{\partial} \mathbb{B}^i d\mathbb{A}_i + \frac{1}{2} \Pi^{ij}(\mathbb{B}) \mathbb{A}_i \mathbb{A}_j$ where $\Pi^{ij}(u) = \frac{u^i * u^j - u^j * u^i}{i\hbar}$ is

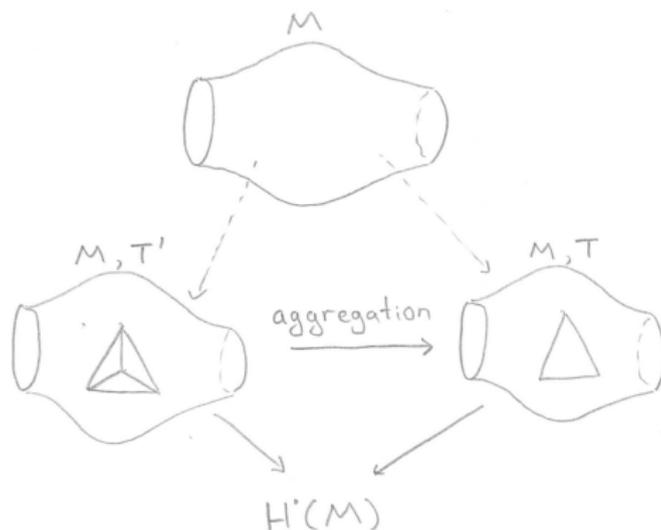
Kontsevich's deformation of π .

Rules for calculating Φ_Γ (“Feynman rules”).

Decorate half-edges by $i \in \{1, \dots, m\}$, put internal vertices to $z_1, \dots, z_p \in M$, boundary in-vertices to $x_1, \dots, x_k \in \Sigma_{\text{in}}$, boundary out-vertices to $y_1, \dots, y_l \in \Sigma_{\text{out}}$. Assign:



Sum over i -labels, integrate over positions of vertices.



Reference. Abelian and non-abelian BF :

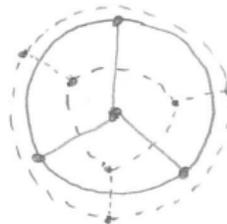
P. Mnev, *Discrete BF theory*, arXiv:0809.1160 (– for M closed),
 A. S. Cattaneo, P. Mnev, N. Reshetikhin, *Cellular BV-BFV- BF theory*.
 (– with gluing).

1D Chern-Simons: A. Alekseev, P. Mnev, *One-dimensional Chern-Simons theory*, *Comm. Math. Phys.* 307 1 (2011) 185–227.

Example: abelian BF theory on a cobordism with a cell decomposition.

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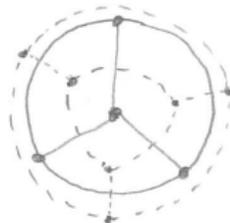
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Example: abelian BF theory on a cobordism with a cell decomposition – continued.

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- Consistent with BV pushforwards along cellular aggregations $T' \rightarrow T$.

Further program

- ① → **Corners**.
- ② Partition function for a “building block” (cell) in interesting examples.
- ③ Compute cohomology of Ω_{∂} , e.g. in PSM.
- ④ More general polarizations, generalized Hitchin’s connection.
- ⑤ Chern-Simons theory in BV-BFV formalism: extension of Axelrod-Singer’s treatment to 3-manifolds with boundary/corners.
 - Comparison with Witten-Reshetikhin-Turaev non-perturbative answers.
 - Prove the conjecture that $k \rightarrow \infty$ asymptotics of the RT invariant on a closed 3-manifold is given by Axelrod-Singer expansion.
- ⑥ Observables supported on submanifolds.

Main references

- A. S. Cattaneo, P. Mnev, N. Reshetikhin, *Classical BV theories on manifolds with boundary*, Comm. Math. Phys. 332 2 (2014) 535–603.
- A. S. Cattaneo, P. Mnev, N. Reshetikhin, *Perturbative quantum gauge theories on manifolds with boundary*, arXiv:1507.01221

Cellular realizations:

- P. Mnev, *Discrete BF theory*, arXiv:0809.1160.
- A. Alekseev, P. Mnev, *One-dimensional Chern-Simons theory*, Comm. Math. Phys. 307 1 (2011) 185–227.
- A. S. Cattaneo, P. Mnev, N. Reshetikhin, *Cellular BV-BFV-BF theory*, in preparation.