

Talk for ND Grad Student Seminar  
(April 19, 2021)

Topological quantum mechanics, Stasheff's associahedra  
and homotopy transfer

① Homotopy transfer

Let  $(V, d, m)$  be a differential graded algebra  
 differential multiplication

$$d: V^i \rightarrow V^{i+1}$$

$$m: V^k \otimes V^l \rightarrow V^{k+l}$$

s.t.

$$d^2 = 0$$

$$d(xy) = dx \cdot y + (-1)^{|x|} x \cdot dy$$

$$(x \cdot y) \cdot z = x \cdot (y \cdot z)$$

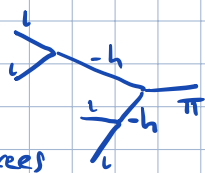
And let  $V = \underbrace{V' \oplus V''}_{\text{def. retract}} - \text{splitting of complexes.}$   
 acyclic

Then  $V'$  inherits an  $A_\infty$  algebra structure,

$$m_n: V'^{\otimes n} \rightarrow V'$$

$$m_1 = d_{V'}$$

Given by  $m_n = \sum$   
 binary rooted trees with  $n$  leaves



$$x_1 \otimes \dots \otimes x_n \mapsto \pi \left( \left( -h(l(x_1) \cdot l(x_2)) \right) \cdot \left( -h(l(x_2) \cdot l(x_3)) \right) \right)$$

where  $l: V' \hookrightarrow V$  inclusion

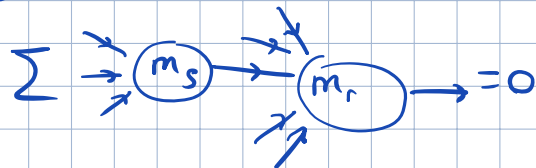
$\pi: V \twoheadrightarrow V'$  projection

$h: V \rightarrow V$  chain homotopy:  $dh + hd = id - \pi \circ l$

$\{m_n\}$  satisfy the " $A_\infty$  relations"

$$\sum_{\substack{r+s=n+1 \\ 1 \leq i \leq r}} m_r \circ m_s = 0$$

Or:  $(\hat{m}_1 + \hat{m}_2 + \hat{m}_3 + \dots)^2 = 0$   
 $\hat{m}_k: TV' \rightarrow TV'$  - extension of  $m_k$  to a coderivation of  $TV'$



Ex:  $V = \Omega^*(M)$   
 cpt Riem. mfd

$V' = \text{Harm}(M) \simeq H^*(M)$ ,  $V'' = \Omega^{\text{ex}} \oplus \Omega^{\text{coex}}$

$h = \begin{cases} 0 & \text{on Harm} \\ d^* \Delta^{-1} & \text{on } V'' \end{cases}$

Then  $m_n$  are "Massey operations" on  $H(M)$ .  
 - encode  $\mathbb{Q} \otimes \pi_k(M)$  if  $\pi_1(M) = 0$ .

E.g.  $M = \left\{ \begin{pmatrix} 1 & x & y \\ 0 & 1 & z \\ 0 & 0 & 1 \end{pmatrix} \right\}$   
 Heisenberg nilmanifold

has vanishing  $m_2$   $H^1 \otimes H^1 \rightarrow H^2$   
 but nonvanishing  $m_3$   $H^1 \otimes H^1 \otimes H^1 \rightarrow H^3$  (2)

$\underline{E}_X: V = \mathbb{R}^\circ([0,1])$

$V' = \mathbb{C}^\circ(\bullet \rightarrow \bullet)$   
 cell cobrains

$m_{n+1}(\underbrace{e_0, \dots, e_0}_k, \underbrace{e_1, \dots, e_1}_{n-k}) = (-1)^k \binom{n}{k} \frac{B_n}{n!} e_0$

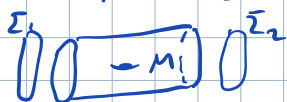
$m_{n+1}(e_0, \dots, e_0, e_1, e_1, \dots, e_1) = -(-1)^k \binom{n}{k} \frac{B_n}{n!} e_0$

$m_2(e_0, e_0) = e_0$  ,  $m_2(e_1, e_1) = e_1$

(2) Atiyah's axioms of n-TQFT

•  $(n-1)$ -dim closed mfd  $\Sigma \rightarrow V_\Sigma$  - vector space

•  $n$ -cobordism  $\Sigma_1 \xrightarrow{M} \Sigma_2 \rightarrow I_M: V_{\Sigma_1} \rightarrow V_{\Sigma_2}$  - linear map



•  $\varphi \in \text{Diff}(\Sigma) \rightarrow \rho_\varphi: V_\Sigma \rightarrow V_\Sigma$

Axioms: • multiplicativity:  $\mathbb{1} \rightarrow \otimes$

• gluing:  $I\left(\begin{matrix} M' \\ \cup \\ M'' \end{matrix}\right) = I_{M''} \circ I_{M'}$

• normalization:  $V_\emptyset = \mathbb{k}$  ,  $I_{\Sigma \times [0,1]} = \text{id}_{V_\Sigma}$

• Diff-equivariance:

for  $\phi: M \rightarrow \tilde{M}$  diffeo,

$$\begin{array}{ccc} V_{\Sigma_1} & \xrightarrow{I_M} & V_{\Sigma_2} \\ \rho(\phi|_{\Sigma_1}) \downarrow & & \downarrow \rho(\phi|_{\Sigma_2}) \\ V_{\tilde{\Sigma}_1} & \xrightarrow{I_{\tilde{M}}} & V_{\tilde{\Sigma}_2} \end{array}$$

In particular,  $(V, I): \text{Cob}_n \rightarrow \text{Vect}$  is a functor of monoidal categories

• Segal's version:  $I_M = I_{M, \gamma}$  depends on local geom. structure  $\gamma \in \text{Geom}_M$  on  $M$ .

- E.g.  $\gamma$  is a
- Riem. metric
  - complex structure
  - - - - -

Ex (quantum mechanics):  $n=1$ ,  $pt \rightarrow V$  (space of states), set  $Geom_{\rightarrow} = \{Riem. metrics on I\}$

Then  $I(\overbrace{\quad}^{t>0}) \in End(V)$ ,  $\underbrace{\quad}_{length}$

$$\begin{array}{ccc} \overbrace{\quad}^{t_1} & \cup & \overbrace{\quad}^{t_2} \\ \parallel & & \parallel \\ \underbrace{\quad}_{t_1+t_2} & & \end{array} \Rightarrow I_{t_1+t_2} = I_{t_1} \circ I_{t_2}$$

(\*)  
- semi-group law

Solution of (\*):  $I_t = e^{-tH}$ ,  $H \in End(V)$   
non-negative operator - Hamiltonian

③ **HTQFT**:  $\Sigma \rightarrow (V^\bullet, Q)$  - chain complex,  
 "higher" (or - derived, - cohomological, - cochain level)  $M \rightarrow I_M \in \Omega^\bullet(Geom_M) \otimes Hom(V_{\Sigma_1}, V_{\Sigma_2})$   
 satisfying  $(d_{Geom} - Q_{\Sigma_1} + Q_{\Sigma_2}) I_M = 0$

Rem  $I_M^{(co)}$  is a chain map  $V_{\Sigma_1} \rightarrow V_{\Sigma_2}$ ;   
 induced map  $[I_M^{(co)}]: H_Q(V_{\Sigma_1}) \rightarrow H_Q(V_{\Sigma_2})$  is (locally) constant on Geom.  
 - (ordinary) Atiyah's TQFT.

Ex (HTQM):  $n=1$ ,  $pt \rightarrow V^\bullet$ ,  $Q: V^\bullet \rightarrow V^{\bullet+1}$  differential  
 $G: V^\bullet \rightarrow V^{\bullet-1}$  "non-normalized chain homology"

s.t.  $H = QG + GQ$  is non-negative

$$I(\overbrace{\quad}^{t, dt}) = \underbrace{e^{-tH - dt G}}_{e^{-tH} (1 - dt G)} \in \underbrace{\Omega^\bullet(\mathbb{R}_+)}_{\text{moduli space of metric intervals}} \otimes End(V)$$

recovered from gluing action and  $I(\overbrace{\quad}^{t, dt}) = 1 - tH - dt G + O(t^2)$   
 short interval

(\*)  $(d_t + [Q, -]) I = 0$  is satisfied

Ex: a)  $V^\bullet = \Omega^\bullet(M)$ ,  $Q = d$ ,  $G = d^*$   $\Rightarrow H = \Delta G \Omega^\bullet(M)$

Rem  $I|_{t=0} = id$ ,  $I|_{t=\infty} = P_{\text{Harm}}$ ,  $-\int_0^\infty I = \begin{cases} 0 & \text{on Harm} \\ d^* \Delta^{-1} \end{cases}$   
 $(*) \Rightarrow [Q, -]_{t_0}^t I = I|_{t_0} - I|_t$   
 $= \text{chain homotopy between } id \text{ and } P_{\text{Harm}}$

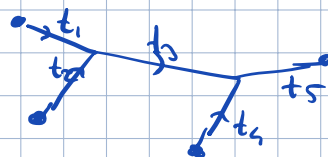
b)  $V^\bullet = \Omega^\bullet(M)$ ,  $Q = d$ ,  $G = \mathcal{L}_V \Rightarrow H = \mathcal{L}_V$   
 vector field

c)  $(V^\bullet, Q)$  a chain complex with a retraction  $\begin{matrix} V^2 \supset h \\ \uparrow \downarrow \pi \\ V^1 \end{matrix}$ . Set  $G = h$   
 $\Rightarrow H = \begin{cases} 0 & \text{on } l(V^1) \\ id & \text{on } V'' = \ker \pi \end{cases}$

④ HTQM on metric trees

Let  $(V, d, m)$  be a DGA and let  $\begin{matrix} V^2 \supset h \\ \uparrow \downarrow \pi \\ V^1 \end{matrix}$  be a retraction

instead of  $\text{Cob}_1$ , consider <sup>binary, rooted</sup> trees with metric on edges



$I_T \in \Omega^\bullet(\mathbb{R}_+^{\#\text{edges}}) \otimes \text{Hom}(V^{\otimes \#\text{leaves}}, V)$

Eg.  $I \left( \begin{matrix} t_1 \\ \swarrow \searrow \\ t_2 \quad t_3 \end{matrix} \right) (x_1 \otimes x_2) = e^{-t_3 H - d t_3 G} \circ m \left( e^{-t_2 H - d t_2 G} (x_1), e^{-t_2 H - d t_2 G} (x_2) \right)$

with  $G = h$ ,  $H = P_{V^1}$

moduli of metric trees with  $\infty$ -long ends

"pre-amplitude":  $I_n = I \Big|_{\substack{\text{trees with } \infty\text{-long ends} \\ \text{with } n \text{ leaves}}} \in \Omega^\bullet(\text{MTrees}_n^\infty) \otimes \text{Hom}(V^{\otimes n}, V)$   
 $\downarrow$   
 $\text{Hom}(V^{\otimes n}, V)$

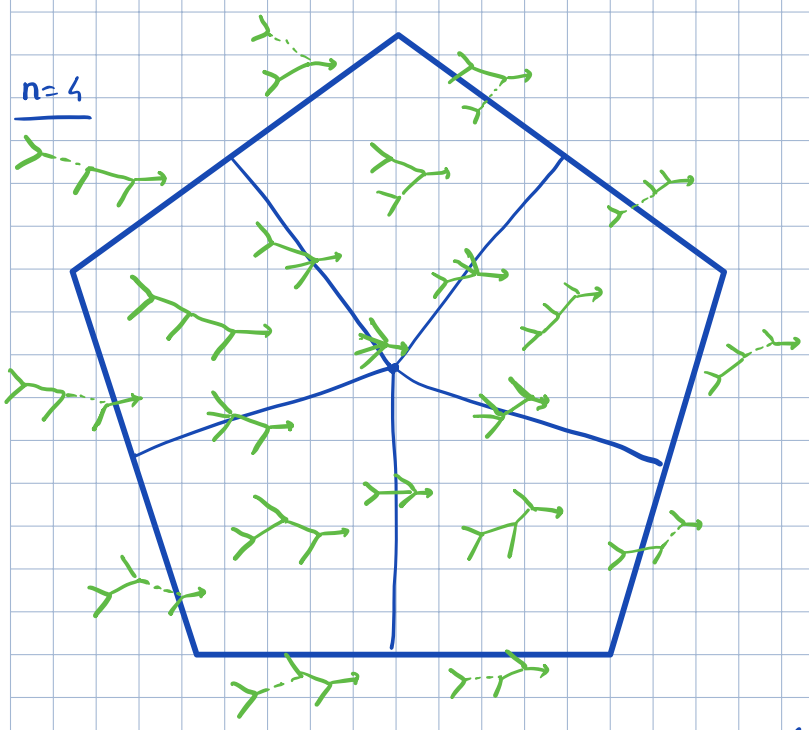
$n=3$   $\text{MTrees}_3$ :  $I = m_2(id \otimes m_2)$ ,  $I = m_2(m_2 \otimes id)$

$I_3$  is  $(d_{\text{MT}} + d_{V^1})$ -closed on  $\text{MTrees}_3$  using  $[Q, m] = 0$  - Leibniz  
 • continuous at (due to associativity of  $m$ )

thus:  $d_{MT} I^{(0)} + d_{V'} I^{(1)} = 0$

$$\int_{MTrees} I^{(0)} + d_{V'} \underbrace{\left( - \int_{MTrees} I^{(1)} \right)}_{m_3} = 0$$

- associativity relation



$MTrees_4$   
 $K_4$  - Starbuck's associahedron

$$d_{MT} I^{(1)} + d_{V'} I^{(2)} = 0$$

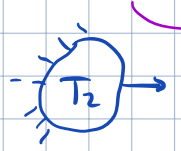
$$\int_{\partial MTrees_4} I^{(1)} + d_{V'} \int_{MTrees_4} I^{(2)} = 0$$

$m_2 \circ m_3 + m_3 \circ m_2$        $m_4$

Generally:  $\int_{\partial MTrees_n} I^{(n-3)} + d_{V'} \int_{MTrees_n} I^{(n-2)} = 0$

-  $A_{\infty}$ -relation for  $(V', m_i = d_{V'}, m_2, m_3, \dots)$   
 HTQM amplitudes

$$\sum_{T_1, T_2} \int_{MT_1} \int_{MT_2}$$

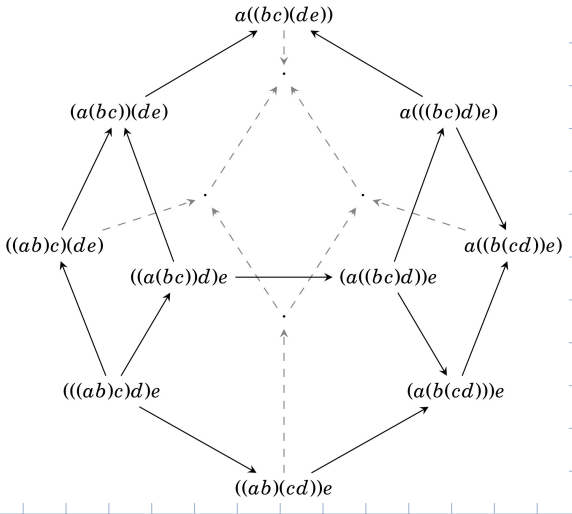


$$d_{V'} m_n + \sum_{i=1}^{n-1} m_n \circ_i d_{V'}$$

$$\sum_{\substack{r,s=n \\ 1 \leq i \leq r+1 \\ r,s \geq 2}} m_{rel} \circ_i m_s$$

$$I_{\text{tree}(T_1)} \circ I_{\text{tree}(T_2)} = I_{\text{tree}(T_2)} \circ_i I_{\text{tree}(T_1)}$$

- factorization of the pre-amplitude  $I$  on the boundary strata of  $MTrees$



Rem if  $\delta \in \text{End}_+(V)$ ,  $[d, \delta] = 0$ ,  $[b, \delta] = 0$

then 
$$S' := \sum_n \int \prod_{t_i, \dots, t_n} I \left( \frac{(\cdot)}{\delta} \frac{(\cdot)}{t_1} \frac{(\cdot)}{\delta} \frac{(\cdot)}{t_2} \dots \frac{(\cdot)}{t_n} \frac{(\cdot)}{\delta} \right) \in \text{End}_+(V')$$

satisfies  $(d_{V'} + S')^2 = 0$

$S'$  - induced perturbation of the differential on  $V$ .  
 (homol. perturbation lemma);  $H_{d_{V'} + S'} \cong H_{d + S}$

Further application: Gromov-Witten invariants

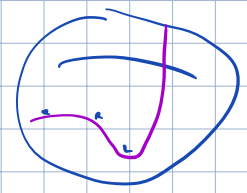
$$I \in \Omega^2(\bar{\mathcal{M}}_{g,n}) \otimes \text{Hom}(H^*(X)^{\otimes n}, \mathbb{R})$$
  
target Kähler mfd

- closed forms on  $\bar{\mathcal{M}}_{g,n}$

$$\left( \int_{\bar{\mathcal{M}}_{g,n}} I \right) (\delta_{\alpha_1}, \dots, \delta_{\alpha_n}) = \# \text{ curves of genus } g \text{ in } X \text{ passing through } \alpha_1, \dots, \alpha_n$$
  
cycles

- Factorizing on compactification strata

Keel's relation for  $H^*(\bar{\mathcal{M}}_{g,n}) \rightarrow$  equation on amplitudes  $\int_{\bar{\mathcal{M}}_{g,n}} I$   
 - "WDVV equation"



- allows one to "predict" GW invariants:  $X = \mathbb{C}P^2$

$N_d = \#$  genus 0 curves of degree  $d$  through  $3d-1$  points.

- $N_1 = 1$
- $N_2 = 1$
- $N_3 = 12$
- 

(Kontsevich-Mori)