

talk at  
 ND departmental orientation  
 for new grad students  
 8/6/2020  
 2:00

## Functional field theory and simple examples

Plan:

- I. Atiyah-Segal axioms of QFT
- II. Example: Dijkgraaf-Witten model
- III. Example: 2d Yang-Mills theory
- IV. Example: classical Chern-Simons theory

### I. Atiyah-Segal's axioms. [outline]

n-dim. QFT is an assignment

DATA

(1)  $(n-1)$ -dim. closed manifold  $\gamma \mapsto \mathbb{I} \rightarrow$

vector space  $/ \mathbb{C}$

$H_\gamma$

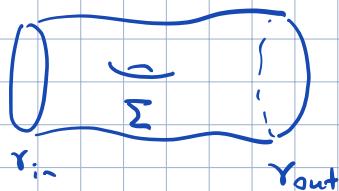
"space of states"

(2) n-dim. mfd  $\Sigma$  with boundary  $\partial\Sigma = \gamma_{\text{out}} \sqcup \overline{\gamma_{\text{in}}}$   $\mapsto \mathbb{I} \rightarrow$

linear map

$Z_\Sigma : H_{\gamma_{\text{in}}} \rightarrow H_{\gamma_{\text{out}}}$

"partition function"



### Axioms:

(a) multiplicativity:

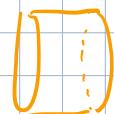
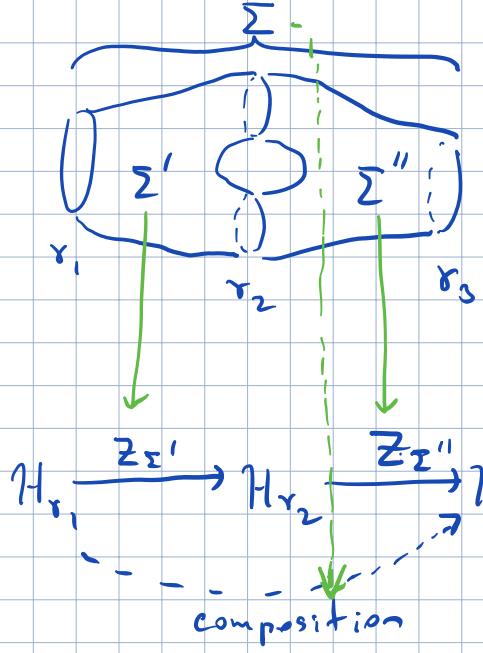
$$H_{\gamma_1 \sqcup \gamma_2} = H_{\gamma_1} \otimes H_{\gamma_2}$$

$$Z_{\Sigma_1 \sqcup \Sigma_2} = Z_{\Sigma_1} \otimes Z_{\Sigma_2}$$

(b) gluing: if  $\gamma_1 \xrightarrow{\Sigma'} \gamma_2$ ,  $\gamma_2 \xrightarrow{\Sigma''} \gamma_3$  two cobordisms,

then for the glued cobordism

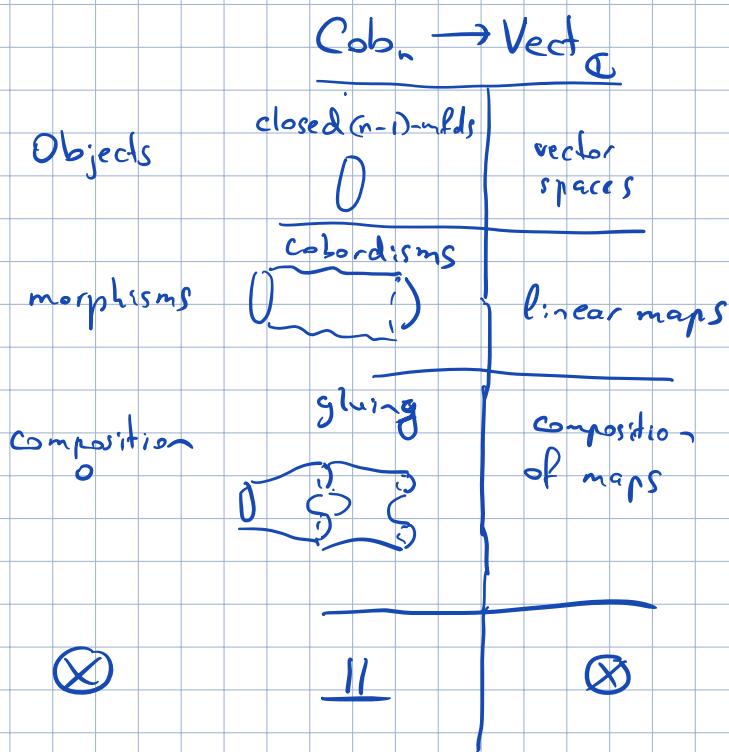
$$\Sigma = \Sigma' \cup_{\gamma_2} \Sigma'', \quad Z_\Sigma = Z_{\Sigma''} \circ Z_{\Sigma'}$$



has to be modified in non-topological case

(c) normalization:  $H_\phi = \mathbb{C}$ ,  $Z_{\gamma \times [0,1]} = \text{id}: H_\gamma \rightarrow H_\gamma$

So: a QFT is a functor between (symmetric, monoidal) categories



Rem: One can consider manifolds without loc. geom. structure (but maybe with orientation)

- TQFT

topological

- Atiyah's case

or with loc. geom. structure (e.g. metric) - more general QFT - Segal's case.

Rem For  $\Sigma$  closed  $n$ -mfld,  $\phi^\Sigma \rightarrow \phi$ ,  $Z_\Sigma \in \mathbb{C}$  a number.

TQFT = <sup>diff.</sup> invariants of "manifolds" behaving nicely w.r.t. cutting-gluing. (3)

$$\text{Ex: } \{ \text{1-dimensional TQFTs} \} \longleftrightarrow \{ \text{fin.dim. v.sp. } V = H_{\text{pt}} \}$$

$$\text{then e.g. } Z(S^1) = \dim V$$

$$\text{Ex: } \{ \text{2-dimensional TQFTs} \} \xrightarrow{\text{(Dijkgraaf)}} \left\{ \begin{array}{l} \text{Frobenius algebras } V = H_{S^1} \\ m = Z(\text{cup}) : V^{\otimes 2} \rightarrow V \text{ product} \\ \Delta = Z(\text{cap}) : V \rightarrow V^{\otimes 2} \text{ coproduct} \\ 1 = Z(\text{circle}) : \mathbb{C} \rightarrow V \text{ unit} \\ \text{tr} = Z(\text{empty}) : V \rightarrow \mathbb{C} \text{ counit (trace)} \end{array} \right\}$$

$$\text{then e.g. } Z\left(\underbrace{\text{surface with genus } h}_{\text{genus } h}\right) = \text{tr}((m \Delta)^h \circ 1)$$

## II. Dijkgraaf - Witten model (special case)

$\Sigma$  - closed surface with cell decomposition

fix  $G$  a finite group

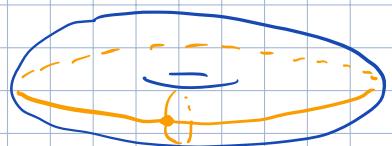
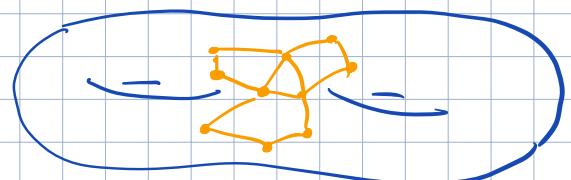
a "state" on  $\Sigma$  = decoration of edges by elements of  $G$

$$g \nearrow \sim g^{-1}$$

s.t. for each face



one has  $g_p \cdots g_2 g_1 = 1$  (\*)  
(flatness condition)



Two states  $\{g_e\}$ ,  $\{g'_e\}$  are "equivalent" if

$$g'_e = h v_2 g_e h^{-1} v_1$$



for all edges, for some

$\{h_v\}$ : vertices  $\rightarrow G$

I.e. we have  $G^V G \{G^E\}_{\text{flat}}$   
gauge transformations states

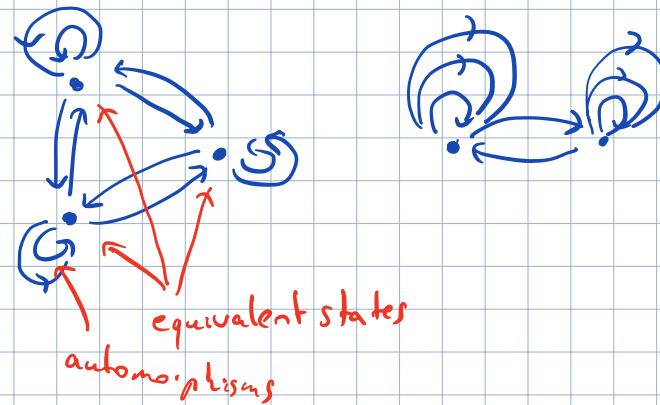
• For  $G \subset S_N$ , state  $/\sim$  = data of an  $N$ -leaf covering of  $\Sigma$  with deck transformations in  $G$  (a " $G$ -covering"), up to iso

•  $\{\text{states}\}/\sim = \text{Hom}_{\text{group}}(\pi_1(\Sigma), G)/G$

$\{g\} \xrightarrow{\quad} (\alpha \mapsto g_{e_s} \dots g_{e_1})$  - well-defined due to flatness condition ( $\alpha$ )  
closed path along edges  $e_s \dots e_1$  group hom. by construction.

•  $\{\text{states}\}/\sim$  is a groupoid

$G^V G \{G^E\}_{\text{flat}}$   
arrow objects



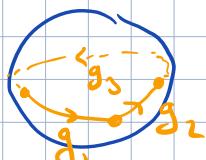
• Dijkgraaf-Witten partition function:

$$\begin{aligned} Z_\Sigma &:= \text{Vol}_{\text{gpd}}(\{\text{states}\}/\sim) = \sum_{\substack{\text{non-equiv} \\ \text{states } \{g\}}} \frac{1}{|\text{Aut}|} = \frac{\#\text{states}}{|G|^V} \\ &= \frac{\#\text{Hom}(\pi_1(\Sigma), G)}{|G|} \end{aligned}$$

# arrows  
(symmetries)

- independent of the cell decomposition of  $\Sigma$ !

Ex:



Sphere

$$Z(S^2) = \frac{\#\{(g_1, g_2, g_3) \mid g_3 g_2 g_1 = 1\}}{\#\{(h_1, h_2, h_3)\}}$$

flatness

$$= \frac{|G|^2}{|G|^3} = \frac{1}{|G|}$$

$i.e. g_3 = g_1^{-1} g_2^{-1}$

Ex: Torus

$$Z = \frac{\#\{(g_1, g_2) \in G \mid g_2^{-1}g_1^{-1}g_2g_1 = 1\}}{\#\{h \in G\}}$$

$$= \frac{1}{|G|} \sum_{g_1} \# C_{g_1} = \frac{1}{|G|} \sum_{g_1} \frac{|G|}{\# [g_1]} = \sum_{g_1 \in G} \frac{1}{\# [g_1]} = \# \underbrace{\frac{G}{[G]}}_{\substack{\text{set of} \\ \text{conjugacy} \\ \text{classes}}}$$

$\uparrow$   
centralizer of  $g_1$   
 $=$  all commuting elements

$\uparrow$   
conjugacy class of  $g_1$

Ex:  $G = S_3$ ,

then  $Z(S^2) = \frac{1}{6}$ ,  $Z(S^1 \times S^1) = \underbrace{3}_{\#\text{conj. classes}}$

$\bullet \bullet \bullet$   $|C| = 6$   
 $\bullet \rightarrow \bullet \rightarrow \bullet$   $|C| = 3$   
 $\bullet \circ \bullet$   $|C| = 2$

Euler char. of  $\Sigma$

General formula for the answer

$$Z(\text{--- --- --- ---}) = \frac{1}{|G|^{2-2h}} \sum_R (\dim R)$$

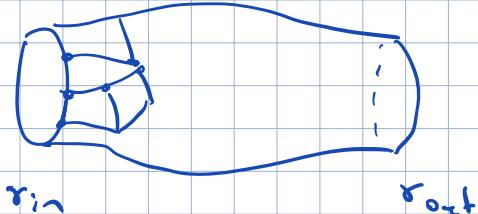
$\downarrow$   
genus  $h$   
 $\downarrow$   
irred. rep. of  $G$

$(2-2h)$

triv rep  $\downarrow$   $\oplus$  alt rep  $\downarrow$

Ex:  $G = S_3 \rightarrow Z(\Sigma_h) = \frac{1}{6^{2-2h}} (1^{2-2h} + 2^{2-2h} + 1^{2-2h})$

Surfaces with boundary



$$H(\text{---}) = \text{Span}_{\mathbb{C}}$$

$\{ \text{states on } S^1 \}$

$$= \mathbb{C}^{G/G} = (\mathbb{C}^G)^{G-\text{invar.}}$$

$$\{ \psi(g) \text{ s.t. } \psi(hgh^{-1}) = \psi(g) \} \quad \forall h \in G$$

$$Z\left(\begin{array}{c} (0, g_1), \dots, (0, g_p), (1, g_1'), \dots, (1, g_q') \\ \vdots \\ (0, g_1), \dots, (0, g_p), (1, g_1'), \dots, (1, g_q') \end{array}\right) := \psi_{in}(g_1^1, \dots, g_p^1)$$

genus  $h$

$$Z_{\Sigma_h}(g_{out}, g_{in})$$

# states on  $\Sigma$  restricting to  $g_{in}, g_{out}$

$$\rightarrow \psi_{out}(g_1^1, \dots, g_q^1) = \sum_{g_1^1, \dots, g_p^1} \frac{(\# \text{bulk symmetries}) \cdot (\# \text{in-boundary symmetries})}{(\# \text{states on } \Sigma)} \times \psi_{in}(g_1^1, \dots, g_p^1)$$

$$Z_{\Sigma_h}(g_{out}, g_{in}) = \frac{1}{|G|^{2-2h-p}} \sum_R (\dim R)^{2-2h-p-q} \overline{x_R(g_1^1)} \cdots \overline{x_R(g_p^1)} \cdot$$

$$\cdot x_R(g_1^1) \cdots x_R(g_q^1)$$

(Gluing property follows from Schur's orthogonality)

- Which Frobenius algebra corresponds to this 2d TQFT?

- It is

$$Z(\mathbb{C}[G])$$

center

group ring  
(convolution ring)

$$(\alpha * \beta)(g) = \sum \alpha(h) \beta(h^{-1}g)$$



### \* 2d Yang-Mills theory

$G$  = Lie group  
compact  
e.g.  $G = SU(2)$

$\Sigma$  - surface

action functional:  $S[A] = \frac{1}{2} \int_{\Sigma} \text{tr } F_A \wedge *F_A$

$\uparrow$   
 $\text{Lie}(G)$

curvature,  $F_A = dA + \frac{1}{2}[A, A]$

### Quantum picture:

space of states  $H_{S^1} = L^2(G)^G$

- class functions on  $G$

- there is an orthonormal basis of characters

$$\{\chi_R(U)\}_{R \in \text{Irrep}(G)}$$

### partition function (Migdal-Witten)

functional integral

for a closed surface:

$$Z(\text{---}) = \int_{\text{Conn}(\Sigma)} dA e^{-S[A]}$$

$$= \sum_R (\dim R)^{2-2h} \cdot e^{-a \cdot C_2(R)}$$

area( $\Sigma$ ) quadratic Casimir

with boundary:

$$Z \left( \begin{array}{c} \text{---} \\ \vdots \\ \text{---} \end{array} \right) = \int_{\text{Conn}(\Sigma)} dA e^{-S[A]}$$

with holonomies  
around bdry circles being  
 $g^{in}_1 \cdots g^{in}_p, g^{out}_1 \cdots g^{out}_q$

$$= \sum_R (\dim R)^{2-2h-p-q} \cdot e^{-a \cdot C_2(R)} \cdot \prod_{i=1}^p \overline{\chi(g_i^{in})} \cdot \prod_{j=1}^q \chi(g_j^{out})$$